

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Boynton, Brian M.

PDAAG, Civil Division, Department of Justice

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Boynton, Brian M. [electronically signed on 04/10/2022 by Boynton, Brian M. in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gupta, Vanita, Certifying Official [electronically signed on 06/29/2022 by Gupta, Vanita in Integrity.gov]

Other review conducted by

/s/ Granston, Michael, Ethics Official [electronically signed on 06/28/2022 by Granston, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/28/2022

Data Revised 05/13/2022

Data Revised 05/06/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	WilmerHale	Washington, DC, District of Columbia	Law Firm	partner	4/2017	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Traditional IRA: Vanguard Total Bond Market Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	IRA: Vanguard Total International Bond Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	WilmerHale, defined benefit plan	N/A	\$250,001 - \$500,000		None (or less than \$201)
4	401-K				
4.1	Loomis Sayles Global Bond Fund Institutional Class (LSGBX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Dodge & Cox Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	American Century Mid Cap Value Fund (Investor Class)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	Black Rock Equity Index Non-Lendable Fund (Class M)	Yes	\$100,001 - \$250,000		None (or less than \$201)
4.5	Loomis Sayles Growth Fund (Class N)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	Vanguard Institutional Target Retirement 2040 Fund Institutional Class Shares (VIRSX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.7	Ivy Small Cap Growth Fund Class Y Shares (WSCYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.8	Vanguard Tax-Managed Small Cap Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.9	American Century Emerging Market	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.10	MFS International New Discovery Fund (Class R3)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.11	Harbor Large Cap Value Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.12	401-K: The Hartford Mid Cap Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	WilmerHale (law firm)	N/A		2020 profit distribution, 2021 guaranteed payment for January 2021 work, interest on partner capital, return of partner capital	\$1,218,160
6	WilmerHale capital account	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
7	Traditional IRA: Vanguard Federal Money Market Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Roth IRA: Vanguard Extended Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Roth IRA: Vanguard Total International Stock Index Fund ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Rollover IRA: Vanguard Intermediate Term Investment Grade Admiral CL	Yes	\$100,001 - \$250,000		None (or less than \$201)
11	Rollover IRA: Vanguard Short Term Investment Grade Admiral CL	Yes	\$50,001 - \$100,000		None (or less than \$201)
12	Rollover IRA: Vanguard Total Bond Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Rollover IRA: Vanguard Total Intl Stock Index Fund ETF	Yes	\$250,001 - \$500,000		None (or less than \$201)
14	Rollover IRA: Vanguard Total Intl Bond Index ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
15	Rollover IRA: Vanguard Total Stock Market ETF	Yes	\$250,001 - \$500,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WilmerHale	Washington, DC, District of Columbia	I continue to participate in the WilmerHale partner defined benefit retirement plan. The plan sponsor has not made and will not make further contributions since my separation in January 2021.	1/2009
2	WilmerHale	Washington, DC, District of Columbia	My entire capital account was returned before I started at DOJ.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA: Vanguard Total Bond Market Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	IRA: Vanguard Total International Bond Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Rollover IRA: Vanguard Total Bond Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Sandia Fidelity 401-k Account (Vanguard 2040 Fund shares)	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	[US bank] Checking Account	No	\$1,001 - \$15,000		None (or less than \$201)
2	[US bank] Savings Account	No	\$100,001 - \$250,000		None (or less than \$201)
3	Vanguard Federal Money Market Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Vanguard S&P 500 Index ETF	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
5	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
6	Vanguard Total Intl Stock Index Fund ETF	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
8	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
9	NY529 Account for [redacted information], Aggressive & Moderate Growth Portfolios	Yes	\$250,001 - \$500,000		None (or less than \$201)
10	NY529 Account for [redacted information], Aggressive & Moderate Growth Portfolios	Yes	\$250,001 - \$500,000		None (or less than \$201)
11	NY529 Account for [redacted information], Aggressive & Moderate Growth Portfolios	Yes	\$250,001 - \$500,000		None (or less than \$201)
12	NY529 Account for [redacted information], Aggressive & Moderate Growth Portfolios	Yes	\$250,001 - \$500,000		None (or less than \$201)
13	NY529 Account for [redacted information] Aggressive & Moderate Growth Portfolios	Yes	\$100,001 - \$250,000		None (or less than \$201)
14	NY529 Account for [redacted information] Aggressive & Moderate Growth Portfolios	Yes	\$100,001 - \$250,000		None (or less than \$201)
15	Vanguard International Growth Admiral CL	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
16	Vanguard Municipal Money Market Fund Investor Shares (VMSXX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard Total Stock Market ETF (Joint Account)	Purchase	07/09/2021	\$15,001 - \$50,000
2	Vanguard Total Intl Stock Index Fund ETF (Joint Account)	Purchase	10/06/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
3	Vanguard Municipal Money Market (Joint Account)	Sale	07/16/2021	\$1,001 - \$15,000
4	Vanguard Short Term Investment Grade Admiral (BMB Rollover IRA)	Purchase	07/08/2021	\$1,001 - \$15,000
5	Vanguard Intermediate Term Invest Grade Admiral CL (BMB Rollover IRA)	Purchase	07/08/2021	\$1,001 - \$15,000
6	Vanguard Total Intl Bond Index ETF (BMB Rollover IRA)	Purchase	07/09/2021	\$1,001 - \$15,000
7	Vanguard Total Bond Market ETF (BMB Rollover IRA)	Purchase	07/09/2021	\$1,001 - \$15,000
8	Vanguard Total Stock Market ETF (BMB Rollover IRA)	Sale	07/09/2021	\$15,001 - \$50,000
9	Vanguard Long Term Tax Exempt Admiral CL (Joint Account)	Purchase	02/24/2021	\$15,001 - \$50,000
10	Vanguard Intermediate Term Tax Exempt Admiral CL (Joint Account)	Purchase	02/24/2021	\$15,001 - \$50,000
11	Vanguard Total Stock Market Index Admiral CL (Joint Account)	Purchase	02/24/2021	\$50,001 - \$100,000
12	Vanguard Total INTL Stock Index Admiral CL (Joint Account)	Purchase	02/24/2021	\$500,001 - \$1,000,000
13	Vanguard LTD Term Tax Exempt Admiral CL (Joint Account)	Purchase	02/24/2021	\$15,001 - \$50,000
14	Vanguard 500 Index Admiral CL (Joint Account)	Purchase	02/24/2021	\$100,001 - \$250,000
15	Vanguard Total Stock Market ETF (Joint Account)	Purchase	04/07/2021	\$100,001 - \$250,000
16	Vanguard Total Stock market ETF (Joint Account)	Purchase	04/08/2021	\$1,001 - \$15,000
17	Vanguard Total INTL Stock Index Admiral CI (Joint Account)	Purchase	04/09/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
18	Vanguard Total INTL Stock Index Admiral CL (Joint Account)	See Endnote	Purchase	03/19/2021	\$1,001 - \$15,000
19	Vanguard 500 Index Admiral CL (Joint Account)	See Endnote	Purchase	03/25/2021	\$1,001 - \$15,000
20	Vanguard INTL Growth Admiral CL (Joint Account)	See Endnote	Purchase	12/15/2021	\$1,001 - \$15,000
21	Vanguard INTL Growth Admiral CL (Joint Account)	See Endnote	Purchase	12/15/2021	\$15,001 - \$50,000
22	Vanguard INTL growth Admiral CL (Joint Account)	See Endnote	Purchase	12/15/2021	\$1,001 - \$15,000
23	Vanguard Municipal Money Market (Joint Account)		Sale	01/11/2021	\$1,001 - \$15,000
24	Vanguard Long Term Tax Exempt Admiral CL		Sale	04/06/2021	\$15,001 - \$50,000
25	Vanguard Intermediate Term Tax Exempt Admiral CL (Joint Account)		Sale	04/06/2021	\$15,001 - \$50,000
26	Vanguard Municipal Money Market (Joint Account)		Sale	04/06/2021	\$1,001 - \$15,000
27	Vanguard LTD Term Tax Exempt Admiral CL (Joint Account)		Sale	04/06/2021	\$15,001 - \$50,000
28	Vanguard Municipal Money Market (Joint Account)		Sale	04/19/2021	\$1,001 - \$15,000
29	Vanguard Short Term Investment Grade Admiral CL (BMB Rollover IRA)		Purchase	04/06/2021	\$50,001 - \$100,000
30	Vanguard Intermediate Term Invest grade Admiral CL (BMB Rollover IRA)		Purchase	04/06/2021	\$100,001 - \$250,000
31	Vanguard Total INTL Stock Index Fund ETF (BMB Rollover IRA)		Purchase	04/07/2021	\$250,001 - \$500,000
32	Vanguard Total Stock Market ETF (BMB Rollover IRA)		Purchase	04/07/2021	\$250,001 - \$500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
33	Vanguard Total INTL Bond Index ETF (BMB Rollover IRA)		Purchase	04/07/2021	\$50,001 - \$100,000
34	Vanguard Total Bond Market ETF (BMB Rollover IRA)		Purchase	04/07/2021	\$1,001 - \$15,000
35	Vanguard Total INTL Stock Index Fund ETF (BMB Rollover IRA)		Purchase	04/12/2021	\$1,001 - \$15,000
36	Vanguard Total INTL Bond Index ETF (BMB Rollover IRA)		Purchase	04/12/2021	\$1,001 - \$15,000
37	Vanguard Total INTL Stock Index Fund ETF (BMB Rollover IRA)	See Endnote	Purchase	06/24/2021	\$1,001 - \$15,000
38	Vanguard Total Stock market ETF (BMB Rollover IRA)	See Endnote	Purchase	06/29/2021	\$1,001 - \$15,000
39	Vanguard Total INTL Stock Index Fund ETF (BMB Rollover IRA)	See Endnote	Purchase	09/23/2021	\$1,001 - \$15,000
40	Vanguard Total Stock Market ETF (BMB Rollover IRA)	See Endnote	Purchase	09/29/2021	\$1,001 - \$15,000
41	Vanguard Total INTL Stock index Fund ETF	See Endnote	Purchase	12/23/2021	\$1,001 - \$15,000
42	Vanguard Total INTL Bond Index ETF (BMB Rollover IRA)	See Endnote	Purchase	12/29/2021	\$1,001 - \$15,000
43	Vanguard Total Stock Market ETF (BMB Rollover IRA)	See Endnote	Purchase	12/30/2021	\$1,001 - \$15,000
44	Vanguard Intermediate Term Investment Grade Admiral CL (BMB Rollover IRA)	See Endnote	Purchase	12/29/2021	\$1,001 - \$15,000
45	Vanguard Total INTL Stock Index Fund ETF (BMB Roth IRA)	See Endnote	Purchase	06/24/2021	\$1,001 - \$15,000
46	Vanguard Total INTL Stock Index Fund ETF	See Endnote	Purchase	12/23/2021	\$1,001 - \$15,000
47	Vanguard Total INTL Bond Index Admiral CL (BMB Traditional IRA)		Purchase	02/24/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	Vanguard Total INTL Bond Index Admiral CL (BMB Traditional IRA)	Purchase	02/24/2021	\$15,001 - \$50,000
49	Vanguard Total Bond Market Index Admiral CL (BMB Traditional IRA)	Purchase	02/24/2021	\$1,001 - \$15,000
50	Vanguard Total INTL Stock index Admiral CL (BMB Traditional IRA)	Sale	02/24/2021	\$1,001 - \$15,000
51	Vanguard Total INTL Stock Index Admiral CL (BMB Traditional IRA)	Sale	02/24/2021	\$15,001 - \$50,000
52	John Hancock Income Fund (BMB 401k)	Sale	03/08/2021	\$15,001 - \$50,000
53	John Hancock Global Bond Fund (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
54	John Hancock Vanguard Target Ret (BMB 401k)	Sale	03/08/2021	\$250,001 - \$500,000
55	John Hancock American Cent Mid Cap Value R6 (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
56	John Hancock Black Rock Equ Idx Non-Lend M (BMB 401k)	Sale	03/08/2021	\$100,001 - \$250,000
57	John Hancock Small Cap Growth Fund (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
58	John Hancock Loomis Sayles Growth Fund N (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
59	John Hancock Vanguard Tax Managed SC Adm Sh (BMB 401k)	Sale	03/08/2021	\$15,001 - \$50,000
60	John Hancock The Hartford Mid Cap Fund (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
61	John Hancock American Cent Emg(BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
62	John Hancock Int'l New Disc Fund (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	John Hancock Large Cap Value Fund (BMB 401k)	Sale	03/08/2021	\$50,001 - \$100,000
64	Sandia Fidelity 401-K (Vanguard Target 2040) (various dates)	Purchase		\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	18	reinvestment
7.	19	reinvestment
7.	20	reinvestment
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PART	#	ENDNOTE
7.	40	reinvestment
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7.	46	reinvestment

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
