

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Markell, Jack

U.S. Representative to Organization for Economic Cooperation and Development w/rank of Ambassador, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Markell, Jack [electronically signed on 04/06/2021 by Markell, Jack in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 07/14/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/20/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Graham Holdings	Arlington, Virginia	Corporation	Member, Board of Directors	4/2017	Present
2	Scientific Games	Las Vegas, Nevada	Corporation	Member, Board of Directors	7/2019	Present
3	GMMB	Washington, District of Columbia	Corporation	consultant	2/2017	6/2020
4	Annie E. Casey Foundation	Baltimore, Maryland	Non-Profit	Member, Board of Directors	3/2017	Present
5	Strada Education Network	Indianapolis, Indiana	Public Charity	Member, Board of Directors	7/2019	Present
6	FS Credit Real Estate Income Trust	Philadelphia, Pennsylvania	Corporation	Member, Board of Directors	2/2018	Present
7	Anterix	Woodland Park, New Jersey	Corporation	Consultant	8/2017	Present
8	Vemo Education	Arlington, Virginia	Corporation	Member, Board of Directors	3/2019	Present
9	Symbiont.io	New York, New York	Corporation	Member, Board of Directors	4/2017	Present
10	Everside Health	Denver, Colorado	Corporation	consultant/advisor	8/2018	Present
11	One Concern	Menlo Park, California	Corporation	Consultant/advisor	2/2019	Present
12	Nanocan	Princeton, New Jersey	Corporation	Advisory Board member	8/2020	Present
13	Jack Markell Consulting LLC	Wilmington, Delaware	Corporation	President	2/2017	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
14	Family Revocable Trust	Wilmington, Delaware	Trust	Trustee	7/1997	Present
15	PT Fund, inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	Policy Chair	11/2020	1/2021
16	Jobs for America's Graduates	Alexandria, Virginia	Non-Profit	board member	1/2011	Present
17	Pacific Connector	Coos Bay, Oregon	Corporation	consultant	3/2019	3/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rollover IRA	No			
1.1	First American Gov't. Obligations CL Z	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Vanguard GNMA Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Brown Advisory Total Return Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Exact Sciences Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.5	MiMedx Group, Inc.	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.6	Brown Adv. Small Cap Growth CI Inv	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Brown Adv. Small Cap Growth Inst.	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Brown Adv. Flexible Equity	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	Brown Advisory Sustainable Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Matthews Asia Innovators Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.11	Brown Adv. Global Leaders	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.12	iShares MSCI All Country Asia ex-Japan	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.13	Brown Advisory Investors 2018-TG PIP XI, LLLP	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.14	Brown Adv. Private Equity Partners V (TE), LLLP	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.15	Tilia Fund I	No	\$100,001 - \$250,000		None (or less than \$201)
1.15.1	Re-frig It (cold storage and warehousing)	N/A			
1.15.2	Certified Laboratories (analytical chemistry)	N/A			
1.15.3	Universal Pure (high pressure food processing)	N/A			
1.15.4	PPG (contract food manufacturing)	N/A			
1.15.5	Ellison Bakery (bakery solutions)	N/A			
2	Roth IRA	No			
2.1	First American Gov. Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	Brown Adv. Global Leaders Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Jack Markell Consulting LLC i401k	No			
3.1	US Bank (#1) Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Vanguard GNMA Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Brown Advisory Total Return Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	Brown Advisory Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Brown Advisory Flexible Equity	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.6	Brown Advisory Sustainable Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.7	Cardinal Small Cap Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	Artisan International Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Brown Advisory Global Leaders	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.10	Matthews Asia Innovators	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.11	iShares MSCI All Country Asia ex-Japan	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Scientific Games, stock options vested	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	Graham Holdings	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
6	FS Credit Real Estate Income Trust	See Endnote		Director Fees	\$12,375

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
7	FS Credit Real Estate Income Trust shares	See Endnote	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
8	Vemo Education, vested options - partners with institutions of higher education to improve enrollment; value not readily ascertainable; 219,270 vested at exercise price of \$.08/share; option grant expires March 2029		N/A			None (or less than \$201)
9	Symbiont.io - vested stock options. Symbiont is a blockchain enterprise software firm. Value not easily ascertainable.. Options on 150,000 shares have fully vested at exercise price of \$.75 per share. Expiration date of options March 2027		N/A			None (or less than \$201)
10	Nanocan stock options unvested, Nanocan is focused on treating cancer. (value not readily ascertainable). Number of shares is 16,625 at an exercise price of \$.09 per share, vesting monthly over 36 months beginning September 2020 and expiration date of July 2030.		N/A			None (or less than \$201)
11	One Concern Restricted Stock Units, 8,125 vested shares. One Concern helps its customers prepare for and mitigate unpredictable disasters. Most recent price per share is \$2.03		N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Everside Health equity plan consisting of vested Value Units which provide increasing equity ownership as the original equity holders earn profits. Everside Health is a direct primary care provider operating health clinics in a majority of states.		N/A	\$250,001 - \$500,000		None (or less than \$201)
13	Scientific Games, stock options unvested		N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Scientific Games, restricted stock units unvested		N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Anterix stock options vested	N/A	\$500,001 - \$1,000,000	ordinary income	\$451,735
16	Anterix stock options unvested	N/A	\$100,001 - \$250,000		None (or less than \$201)
17	Vemo Education, unvested options - partners with institutions of higher education to improve enrollment; value not readily ascertainable; 21,730 unvested at exercise price of \$.08/share; fully vesting 2/23; expiration date for unvested options is March 2029	N/A			None (or less than \$201)
18	VEPF Supermoose Holdings; fully vested shares (value not easily ascertainable) for Superion (now called Central Squares Technologies) as a result of prior board service. Central Square Technologies provides public software to local governments in North America	N/A	\$250,001 - \$500,000	Capital Gains	None (or less than \$201)
19	Jack Markell Consulting LLC (management consulting)	N/A			
19.1	GMMB (advocacy consulting)	N/A		Consulting Fees	\$90,000
19.2	Graham Holdings	N/A		Director Fees	\$195,500
19.3	Annie E. Casey Foundation	See Endnote	N/A	director fees	\$70,000
19.4	Scientific Games	N/A		Director Fees	\$90,423
19.5	Strada Education Network	See Endnote	N/A	directors fees	\$171,000
19.6	Anterix	N/A		Consulting Fees	\$160,000
19.7	U.S. bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20	State of Delaware, defined benefit plan; already collecting; value not easily ascertainable	N/A		pension payments	\$38,400

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Nanocan stock options vested, Nanocan is focused on treating cancer. (value not readily ascertainable). Number of shares is 4,750 at an exercise price of \$.09 per share and expiration date of July 2030.	N/A			None (or less than \$201)
22	One Concern Restricted Stock Units, 6,875 unvested shares. One Concern helps its customers prepare for and mitigate unpredictable disasters. Most recent price per share is \$2.03	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Everside Health equity plan consisting of unvested Value Units which provide increasing equity ownership as the original equity holders earn profits. (Value not readily ascertainable – company provided a value of \$4.00 per value unit as of 6/1/21.) Everside Health is a direct primary care provider operating health clinics in a majority of states.	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Jack Markell i401K	Wilmington, Delaware	I will continue to participate in this defined contribution plan, and the plan sponsor will continue to make contributions.	9/2017
2	Scientific Games	Las Vegas, Nevada	Pursuant to company policy, all unvested options will be fully vested when I leave the Board, and I will retain my vested stock options.	6/2019
3	Scientific Games	Las Vegas, Nevada	Pursuant to company policy, all unvested restricted stock units will fully vest when I leave the Board. Upon the vesting of the RSU's, they immediately convert to shares of common stock which I am free to hold or sell.	6/2020

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Vemo Education	Arlington, Virginia	Pursuant to company policy for senior executives and directors who leave the company for government service, 12 months of unvested options will be vested upon departure for government service. In addition, the expiration date for my exercise of vested stock options will be 90 days after I depart government service. I will retain my vested stock options.	3/2019
5	Symbiont.io	New York, New York	All of my options have fully vested. Pursuant to company practice for senior executives or directors who leave the company not for cause and who are 1) not going to a competitor and b) not recruiting company employees, the expiration date for exercising options will be one year from the time of departure, I will retain my options for that year and at that time would be required to decide whether or not I would exercise the options.	4/2017
6	One Concern	Menlo Park, California	Pursuant to company policy, I will forfeit my unvested restricted stock units upon departure from service to the company. I will retain the vested restricted stock units until a liquidity event.	2/2019
7	NEAPH/Everside Health	Denver, Colorado	In August 2018 I was granted Value Units that vested 25% after one year and the balance equally over the next 36 months. The value of these units increase as the equity holder's stake increases in value. Pursuant to company policy, unvested shares will be forfeited upon the conclusion of my service to the company.	8/2018
8	Nanocan Vested Options	Princeton, New Jersey	I was granted options to purchase 21,375 shares at an exercise price of \$.09 per share. Of those, 4750 have vested. Pursuant to company policy, the expiration date for the exercise of options is July, 2030. I will retain these options until that date, at which point, I would be required to decide whether or not to exercise them.	7/2020

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
9	Nanocan unvested options	Princeton, New Jersey	I was granted options to purchase 21,375 shares at an exercise price of \$.09 per share. Of those, 16,625 have not yet vested. Pursuant to company policy and the terms of the company's equity incentive plan, the board has the discretion to accelerate vesting for advisory board members and employees who leave the company to enter public service. The board has determined to fully vest my options. I will retain my vested stock options and must decide prior to the option exercise date of July 30, 2030 whether or not I will exercise them.	7/2020
10	Anterix vested options	Woodland Park, New Jersey	Pursuant to the terms of the option agreement, I may exercise the options up to six months from the time my service as a consultant to the company comes to an end.	8/2017
11	Anterix unvested options	Woodland Park, New Jersey	Pursuant to company policy and precedent, unvested options will fully vest upon my departure as a consultant as a result of my providing additional consulting services prior to my departure. As the co-chair of the Company's Advisory Council, I would prepare a final report on the accomplishments of the council as well as a statement of unfinished business the Council still has to complete. I would retain my vested stock options until I exercise them.	8/2017
12	VEPF Supermoose Holdings vested shares	Lake Mary, Florida	Pursuant to the company policy and the terms of my agreement with the company, the shares have fully vested and will transfer to me upon a change of control of the company. Until that time, I will retain my shares.	11/2017
13	State of Delaware Health Insurance	Dover, Delaware	As a retiree from the state of Delaware with 18 years of service, I am entitled to lifelong insurance.	1/2009
14	State of Delaware	Dover, DE	I will continue to participate in this defined benefit plan.	3/2017
15	Jack Markell Consulting LLC	Wilmington, Delaware	Upon confirmation, I will place my consulting business in an inactive status. I am not owed any fees for services.	4/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	GMMB	Washington, District of Columbia	consultant
2	Graham Holdings	Arlington, Virginia	member, board of directors
3	Annie E. Casey Foundation	Baltimore, Maryland	member, board of directors
4	Scientific Games	Las Vegas, Nevada	member, board of directors
5	Strada Education Network	Indianapolis, Indiana	member, board of directors
6	FS Credit Real Estate Income Trust	Philadelphia, Pennsylvania	member, board of directors
7	Anterix	Woodland Park, New Jersey	consultant
8	Pacific Connector	Coos Bay, Oregon	consultant
9	Collaborative for Student Success	Washington, District of Columbia	consulting services (client of GMMB)
10	Vemo Education	Arlington, Virginia	member, board of directors
11	Symbiont.io	New York, New York	member, board of directors
12	Nanocan	Princeton, New Jersey	Advisory board member
13	One Concern	Menlo Park, California	consultant/advisor
14	Everside Health	Denver, Colorado	consultant/advisor

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Tech Impact (workforce development)	N/A		consulting fees	
2	Roth IRA	No			
2.1	Brown Advisory Global Leaders Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Inherited IRA	No		cash distribution	\$357
3.1	First American Gov. Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)
3.2	Brown Advisory Global Leaders	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	SEP/Traditional IRA	No			
4.1	First American Government Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)
4.2	American Balanced Fund Class F2 Shares (AMBFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	American Bond Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	American Cap Inc Builder F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	American Cap Wrld Gr & Inc	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	American Europacific GRW F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	American GW FD of America	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8	American Fundamental INV	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	American New Perspective F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Black Rock Global Allocation I	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	American Fds Fundamental	Yes	None (or less than \$1,001)		None (or less than \$201)
4.12	American Fds Cap World G/I	Yes	None (or less than \$1,001)		None (or less than \$201)
4.13	American Funds Bond Fund of America	Yes	None (or less than \$1,001)		None (or less than \$201)
4.14	American Fds Bal Fd F2	Yes	None (or less than \$1,001)		None (or less than \$201)
4.15	American Fds Cap Inc Bldr	Yes	None (or less than \$1,001)		None (or less than \$201)
4.16	Black Rock Global Allocation Fd	Yes	None (or less than \$1,001)		None (or less than \$201)
5	Carla Markell Sole Proprietor i401K	No			
5.1	US bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.2	Vanguard GNMA Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Brown Advisory Total Return Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Brown Advisory Small Cap Growth CI Inv	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Brown Adv. Flexible Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Brown Advisory Sustainable Growth CI Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Brown Advisory Small Cap Fundamental Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Brown Advisory - Beutel Goodman Large-Cap Value Fund Institutional Class Shares (BVALX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Matthews Asia Innovators Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Brown Advisory Global Leaders Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First American Gov't. Obligations CI Z	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	First American Gov't. Obligations Income	Yes	None (or less than \$1,001)		None (or less than \$201)
3	Vanguard Short Term Tax Exempt Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
4	Brown Advisory Tax Exempt Bond FundCI Inst	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
5	Brown Advisory Small Cap Growth CI Inv	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Vanguard Dividend Growth	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Brown Advisory Flexible Equity Fund CI Inv	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Brown Advisory Sustainable Growth CI Inst	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	Brown Advisory Small Cap Fundamental Value CI I	Yes	\$50,001 - \$100,000		\$201 - \$1,000
10	Brown Advisory Global Leaders Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
11	Matthews Asia Innovators Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	iShares MSCI All Country Asia ex-Japan	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	First American Government Obligations CI Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	Vanguard Short Term Tax Exempt	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	Brown Advisory Tax Exempt Bond CI Inst	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
16	Brown Advisory Global Leaders CI Inst	Yes	\$50,001 - \$100,000		None (or less than \$201)
17	Matthews Asia Innovators	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	iShares MSCI All Country Asia ex-Japan	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Brown Advisory Real Estate Partners II (QP), LLLP	Yes	\$15,001 - \$50,000		None (or less than \$201)
20	Brown Advisory Private Equity Partners VIII (QP), LLLP	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	US Bank (#2 Cash)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
22	Blackrock Strat Muni Opportunity A	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
23	Fannie Mae Pfd. stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Freddie Mac Series Z stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	Lumen Technologies	N/A	None (or less than \$1,001)		None (or less than \$201)
26	T-Mobile	N/A	None (or less than \$1,001)		None (or less than \$201)
27	Black Rock Strat Muni A	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
28	Morgan Stanley Institutional Liq. Treasury Securities Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Variable Annuity TA Retirement Plus	No			
29.1	Blackrock Advantage Large Cap VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.2	Blackrock Large Cap Focus Growth VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.3	Blackrock Advantage Small Cap Mid Cap VI	Yes	\$15,001 - \$50,000		None (or less than \$201)
29.4	Blackrock S&P 500 Index VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Marvin and Palmer U.S. Equity Limited Partnership	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
31	FS Credit Real Estate Income Trust	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Ambev SA (ABEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Davis Global Fund Class A	Yes	\$15,001 - \$50,000		None (or less than \$201)
34	Graham Holdings	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
35	Fidelity Government Money Market Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Direxion Daily S&P 500 Bear 1X Shares (SPDN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Proshares UltraPro Short QQQ (SQQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	Play2Pay - enabling mobile phone users to pay service provider bills by laying games	N/A	\$50,001 - \$100,000		None (or less than \$201)
39	Reciprocity Health - designing patient engagement campaign to improve health	N/A	\$15,001 - \$50,000		None (or less than \$201)
40	Chuck - building a consumer entertainment app for sports fans	N/A	\$15,001 - \$50,000		None (or less than \$201)
41	Poplar Ventures is a venture capital firm based in Louisville that invests in early-stage, growth-stage, cloud-based software companies.	No	\$15,001 - \$50,000		None (or less than \$201)
41.1	SkuVault, cloud-based inventory man	N/A			
41.2	PERQ Software LLC, digital marketing	N/A			
41.3	Podchaser is a provider of social database and discovery solutions for the podcasting industry.	N/A			
41.4	Switcher, Inc., livestream video solutions	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	Centerville Road, Wilmington, Delaware - office building for eye doctor and lawyer owned by Centerville Property Associates of which I am a 20% owner;	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
43	Woolen Way - student housing in Newark, Delaware owned by Woolen Way Associates of which I am a 4.0% owner;	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
44	Creekview - restaurant and office space in Newark, Delaware owned by Creek View Road Associates of which I am a 20% owner	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
45	Choate Street - student housing in Newark, Delaware; owned by Choate Street Associates (I am a 4.42% owner)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
46	White Clay/Hillside - student housing in Newark, Delaware owned by White Clay Hillside Associates of which I am a 4.42% owner	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
47	Center Street - student housing in Newark, Delaware owned by Lofts at Center Street Associates of which I am a 10.5% owner	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
48	Horseshoe - student housing in Newark, Delaware owned by Horseshoe LLC of which I am a 15% owner	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
49	Hotel Main Street , Newark, Delaware - not yet built but in process along with student housing; ; I am a very small minority owner	N/A	\$100,001 - \$250,000		None (or less than \$201)
50	Chapel Street Project - to be determined real estate project in Newark, DE; I am a very small minority owner.	N/A	\$15,001 - \$50,000		None (or less than \$201)
51	Delaware College Investment Plan - Fidelity DE Aggressive Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
52	Delaware College Investment Plan - Fidelity DE Moderate Growth Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	Delaware College Investment Plan - Fidelity DE Conservative Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
54	Met Life Whole Life - CM	N/A	\$100,001 - \$250,000		None (or less than \$201)
55	Met Life Whole Life - JM	N/A	\$250,001 - \$500,000		None (or less than \$201)
56	New Street Associates - student housing in Newark, Delaware owned by New Street Associates of which I am a 12.5% owner	N/A	\$100,001 - \$250,000		\$15,001 - \$50,000
57	Everside Health	N/A	\$100,001 - \$250,000		None (or less than \$201)
58	Undeveloped land, St. John, US Virgin Island	N/A	\$50,001 - \$100,000		None (or less than \$201)
59	Symbiont.io	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Tilia Fund I	capital call	\$15,001 - \$50,000	2017	NA	on demand
2	Brown Advisory Private Equity Partners V (TE), LLLP	capital call	\$15,001 - \$50,000	2017	n/a	on demand

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	Brown Advisory Investors 2018-TG PIP XI, LLLP		capital call	\$15,001 - \$50,000	2018	n/a	on demand
4	Brown Advisory Real Estate Partners II (QP), LLLP		capital call	\$50,001 - \$100,000	2019	N/A	on demand
5	Brown Advisory Private Equity Partners VIII (QP), LLLP		capital call	\$50,001 - \$100,000	2019	N/A	on demand
6	Brown Advisory Investors 2020-AVF V LLLP	See Endnote	capital call	\$50,001 - \$100,000	2021	N/A	on demand
7	Poplar Ventures		capital call	\$50,001 - \$100,000	2019	N/A	on demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	6	FS Credit Real Estate Income Trust seeks to deliver an alternative source of income through investing in commercial real estate debt
2.	7	Some shares received as director fees.
2.	19.3	Annie E. Casey is a charitable foundation focused on improving the well-being of American children
2.	19.5	Strada Education is a nonprofit corporation that focuses on the school to work pipeline.
8.	6	The capital call is an unfunded commitment.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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