Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Koh, Daniel A

Deputy Assistant to the President and Deputy Director, Intergovernmental Affairs, White House - Biden-Harris Administration

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Special Assistant to the President and Deputy Cabinet Secretary, The White House (8/2022 - 11/2023)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Koh, Daniel A [electronically signed on 04/14/2024 by Koh, Daniel A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Yoon, James J, Certifying Official [electronically signed on 05/06/2024 by Yoon, James J in Integrity.gov]

Other review conducted by

/s/ Yoon, James J, Ethics Official [electronically signed on 05/06/2024 by Yoon, James J in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/06/2024

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA (Roth)	No			
1.1	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	$1,001 - $15,000		None (or less than $201)
1.2	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	iShares 1-3 Year Treasury Bond ETF (SHY)	Yes	$1,001 - $15,000		None (or less than $201)
1.4	TIAA-CREF Core Impact Bond Fund Institutional Class (TSBIX)	Yes	None (or less than $1,001)		None (or less than $201)
1.5	U.S. bank money market account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
1.6	First Trust Dow Jones Internet Index Fund (FDN)	Yes	$1,001 - $15,000		None (or less than $201)
1.7	iShares Expanded Tech Sector ETF (IGM)	Yes	$1,001 - $15,000		None (or less than $201)
1.8	SPDR S&P 500 ETF Trust (SPY)	Yes	$15,001 - $50,000		None (or less than $201)
1.9	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
1.10	Pax Global Environmental Markets Fund Institutional Class Shares (PGINX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	iShares MSCIEmerging Markets ETF (EEM)	Yes	$1,001 - $15,000		None (or less than $201)
1.12	SPDR S&P MidCap 400 ETF (MDY)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	ColumbiaGlobal Technology Growth Fund Institutional 3 Class Shares (CGTUX)	Yes	$1,001 - $15,000		None (or less than $201)
2	IRA	No			
2.1	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
2.2	First Trust Dow Jones Internet Index Fund (FDN)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	Invesco FTSE RAFI US 1000 ETF (PRF)	Yes	$1,001 - $15,000		None (or less than $201)
2.4	S&P 500 (SPY)	Yes	$1,001 - $15,000		None (or less than $201)
2.5	iShares Select Dividend ETF (DVY)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	SPDR S&P MidCap 400 ETF (MDY)	Yes	$15,001 - $50,000		None (or less than $201)
2.7	iShares MSCI EAFE ETF (EFA)	Yes	$1,001 - $15,000		None (or less than $201)
2.8	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	$1,001 - $15,000		None (or less than $201)
2.9	Vanguard Small-Cap Value Index Fund ETF (VB)	Yes	$1,001 - $15,000		None (or less than $201)
2.10	Vanguard Real Estate Index Fund ETF (VNQ)	Yes	$1,001 - $15,000		None (or less than $201)
2.11	iShares iBoxx $ Inv Grade Corporate Bond ETF (LQD)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	TIAA-CREF Core Impact Bond Fund Institutional Class (TSBIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.13	Permanent Portfolio Class I Shares (PRPFX)	Yes	$1,001 - $15,000		None (or less than $201)
2.14	Invesco WilderHill Clean Energy ETF (PBW)	Yes	$1,001 - $15,000		None (or less than $201)
2.15	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	$1,001 - $15,000		None (or less than $201)
2.16	SPDR S&P Biotech ETF (XBI)	Yes	$1,001 - $15,000		None (or less than $201)
2.17	iShares TIPS Bond ETF (TIP)	Yes	$1,001 - $15,000		None (or less than $201)
2.18	Financial Select Sector SPDR Fund (XLF)	Yes	$1,001 - $15,000		None (or less than $201)
2.19	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
2.20	FEDERATED HERMES GOVT OBLGATIONS FD CL PREM	Yes	$1,001 - $15,000		None (or less than $201)
2.21	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Karat, Inc.		N/A		salary	
2	Karat, Inc., vested incentive stock options	See Endnote	N/A	$500,001 - $1,000,000		None (or less than $201)
3	Roth IRA		No			
3.1	VanEck Vectors Morningstar Wide Moat ETF (MOAT)		Yes	$1,001 - $15,000		None (or less than $201)
4	IRA		No			
4.1	Warren, MI School District, bonds		N/A	$15,001 - $50,000		None (or less than $201)
4.2	BaronGlobal Advantage Fund Institutional Class Shares (BGAIX)		Yes	$1,001 - $15,000		None (or less than $201)
4.3	Baron Partners Fund Retail Shares (BPTRX)		Yes	$1,001 - $15,000		None (or less than $201)
4.4	Hennessy Gas Utility Fund Investor Class Shares (GASFX)		Yes	$1,001 - $15,000		None (or less than $201)
4.5	Matthews Asia Innovators Fund Investor Class Shares (MATFX)		Yes	$1,001 - $15,000		None (or less than $201)
4.6	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)		Yes	$1,001 - $15,000		None (or less than $201)
4.7	Wasatch Core Growth Fund Investor Class Shares (WGROX)		Yes	$15,001 - $50,000		None (or less than $201)
4.8	VanEck Vectors Morningstar Wide Moat ETF (MOAT)		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.9	Invesco S&P SmallCap Financials ETF (PSCF)	Yes	$1,001 - $15,000		None (or less than $201)
4.10	Catalyst Systematic Alpha Fund Class I Shares (ATRFX)	Yes	$15,001 - $50,000		None (or less than $201)
4.11	U.S. Bankcorp bonds	N/A	$15,001 - $50,000		None (or less than $201)
5	Karat 401K	No	$15,001 - $50,000		
5.1	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	$1,001 - $15,000		None (or less than $201)
5.2	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	$1,001 - $15,000		None (or less than $201)
5.3	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	Vanguard Real Estate Index Fund Admiral Shares (VGSLX)	Yes	$1,001 - $15,000		None (or less than $201)
5.5	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	Vanguard Emerging Markets Stock Index Fund Signal Shares (VERSX)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Investment Management Account Daniel Koh	No			
1.1	ARK Genomic Revolution ETF (ARKG)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2	iShares US Medical Devices ETF (IHI)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	iShares PHLX Semiconductor ETF (SOXX)	Yes	$15,001 - $50,000		$201 - $1,000
1.4	Global X Robotics and Artificial Intelligence ETF (BOTZ)	Yes	$1,001 - $15,000		None (or less than $201)
1.5	iShares MSCI EAFE ETF (EFA)	Yes	$15,001 - $50,000		$201 - $1,000
1.6	iShares Russell Mid-Cap ETF (IWR)	Yes	$15,001 - $50,000		$201 - $1,000
1.7	Invesco FTSE RAFI US 1000 ETF (PRF)	Yes	$15,001 - $50,000		$201 - $1,000
1.8	Vanguard Small-Cap Index Fund ETF (VB)	Yes	$15,001 - $50,000		$201 - $1,000
1.9	U.S. bank money market account #1 (cash)	N/A	$100,001 - $250,000		$5,001 - $15,000
1.10	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
1.11	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	$1,001 - $15,000		None (or less than $201)
1.12	Global X Social Media ETF (SOCL)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	iShares Exponential Technologies ETF (XT)	Yes	$15,001 - $50,000		None (or less than $201)
1.14	SPDR S&P 500 ETF Trust (SPY)	Yes	$100,001 - $250,000		$1,001 - $2,500
1.15	Health Care Select Sector SPDR Fund (XLV)	Yes	$1,001 - $15,000		$201 - $1,000
1.16	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.17	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		$201 - $1,000
1.18	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	$1,001 - $15,000		$201 - $1,000
1.19	Nuveen ESG Small-Cap ETF (NUSC)	Yes	$1,001 - $15,000		None (or less than $201)
1.20	iShares MSCIEmerging Markets ETF (EEM)	Yes	$1,001 - $15,000		$201 - $1,000
1.21	iShares TIPS Bond ETF (TIP)	Yes	$1,001 - $15,000		$201 - $1,000
1.22	iShares Expanded Tech-Software ETF (IGV)	Yes	$1,001 - $15,000		None (or less than $201)
1.23	Invesco WilderHill Clean Energy ETF (PBW)	Yes	$1,001 - $15,000		$201 - $1,000
1.24	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	$100,001 - $250,000		$2,501 - $5,000
1.25	PIMCO Income Fund Institutional Class Shares (PIMIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
1.26	JPMorgan Large Cap Growth Fund Class R6 Shares (JLGMX)	Yes	$100,001 - $250,000		$201 - $1,000
1.27	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	Yes	$50,001 - $100,000		None (or less than $201)
1.28	Janus Henderson Small Cap Value Fund Class N Shares (JDSNX)	Yes	$50,001 - $100,000		None (or less than $201)
1.29	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$1,001 - $15,000		$201 - $1,000
1.30	iShares Core S&P 500 ETF (IVV)	Yes	$100,001 - $250,000		$1,001 - $2,500
1.31	U.S. TREASURY NOTE 5% SEP 30 2025	N/A	$50,001 - $100,000		$1,001 - $2,500
1.32	U.S. TREASURY NOTE 5% AUG 31 2025		$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.33	U.S. TREASURY NOTE 3% JUN 30 2024		$15,001 - $50,000		$1,001 - $2,500
1.34	U.S. bank money market account #2 (cash)		$15,001 - $50,000		$1,001 - $2,500
2	Koh, Daniel Arrigg Trust U/A 12/19/97	No			
2.1	First Trust Dow Jones Internet Index ETF FDN	Yes	$15,001 - $50,000		None (or less than $201)
2.2	iShares Expanded Tech-Software Sector ETF IGV	Yes	$1,001 - $15,000		None (or less than $201)
2.3	iShares Semiconductor ETF SOXX	Yes	$15,001 - $50,000		None (or less than $201)
2.4	Technology Select Sector SPDR Fund (XLK)	Yes	$100,001 - $250,000		$201 - $1,000
2.5	ARK Innovation ETF (ARKK)	Yes	$15,001 - $50,000		None (or less than $201)
2.6	Global X Robotics and Artificial Intelligence ETF BOTZ	Yes	$1,001 - $15,000		None (or less than $201)
2.7	iShares Core S&P Small-Cap ETF (IJR)	Yes	$50,001 - $100,000		$201 - $1,000
2.8	First Trust Cloud Computing ETF (SKYY)	Yes	$1,001 - $15,000		None (or less than $201)
2.9	Vanguard Large-Cap Index Fund ETF VV	Yes	$15,001 - $50,000		$201 - $1,000
2.10	Vanguard Real Estate ETF VNQ	Yes	$15,001 - $50,000		$1,001 - $2,500
2.11	Vanguard Short-Term Bond Index Fund ETF (BSV)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.12	iShares TIPS Bond ETF (TIP)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.13	FEDERATED HERMES GOVT OBLGATIONS FD CL PREM	Yes	$15,001 - $50,000		$1,001 - $2,500
2.14	iShares US Medical Devices ETF (IHI)	Yes	$1,001 - $15,000		None (or less than $201)
2.15	iShares Exponential Technologies ETF (XT)	Yes	$15,001 - $50,000		None (or less than $201)
2.16	SPDR S&P 500 ETF Trust (SPY)	Yes	$15,001 - $50,000		$201 - $1,000
2.17	Health Care Select Sector SPDR Fund (XLV)	Yes	$1,001 - $15,000		$201 - $1,000
2.18	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$15,001 - $50,000		$201 - $1,000
2.19	Financial Select Sector SPDR Fund (XLF)	Yes	$15,001 - $50,000		$201 - $1,000
2.20	WisdomTree US Quality Dividend Growth Fund (DGRW)	Yes	$15,001 - $50,000		$201 - $1,000
2.21	SPDR S&P MidCap 400 ETF (MDY)	Yes	$100,001 - $250,000		$1,001 - $2,500
2.22	Invesco WilderHill Clean Energy ETF (PBW)	Yes	$1,001 - $15,000		$201 - $1,000
2.23	Nuveen ESG Small-Cap ETF (NUSC)	Yes	$15,001 - $50,000		$201 - $1,000
2.24	iShares MSCI EAFE ETF (EFA)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.25	TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.26	Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.27	Permanent Portfolio Class I Shares (PRPFX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.28	ARK Genomic Revolution ETF (ARKG)	Yes	$1,001 - $15,000		None (or less than $201)
2.29	Global X Social Media ETF (SOCL)	Yes	$1,001 - $15,000		None (or less than $201)
2.30	iShares MSCIEmerging Markets ETF (EEM)	Yes	$15,001 - $50,000		$201 - $1,000
2.31	iShares Select Dividend ETF (DVY)	Yes	$1,001 - $15,000		None (or less than $201)
2.32	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$15,001 - $50,000		$201 - $1,000
2.33	iShares Core S&P 500 ETF (IVV)	Yes	$50,001 - $100,000		$201 - $1,000
2.34	ColumbiaGlobal Technology Growth Fund Institutional 3 Class Shares (CGTUX)	Yes	$50,001 - $100,000		None (or less than $201)
2.35	U.S. TREASURY NOTE 5.000% AUG 31 2025		$50,001 - $100,000		$1,001 - $2,500
2.36	FEDERATED HERMES GOVT OBLGATIONS FD CL PREM	Yes	$1,001 - $15,000		$201 - $1,000
3	Brokerage Account #1 (spouse)	No			
3.1	VanEck Vectors Morningstar Wide Moat ETF AUD (MOAT)	Yes	$15,001 - $50,000		None (or less than $201)
3.2	Vanguard Small-Cap Value Index Fund ETF	Yes	$1,001 - $15,000		None (or less than $201)
3.3	Grant Park Multi Alternative Strategies Fund Class I (GPAIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.4	JPMorgan Large Cap Growth Fund Class A (OLGAX)	Yes	$1,001 - $15,000		None (or less than $201)
3.5	BNP Paribas, bond	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.6	Carrier Creek, MI, bonds	N/A	$1,001 - $15,000		None (or less than $201)
3.7	Broward County, FL, bonds	N/A	$1,001 - $15,000		None (or less than $201)
3.8	Nebraska Investment Finance Authority, bonds	N/A	$1,001 - $15,000		None (or less than $201)
3.9	Georgia Pacific Corp., bonds	N/A	$1,001 - $15,000		None (or less than $201)
3.10	Providence St, bonds	N/A	$1,001 - $15,000		None (or less than $201)
3.11	Dodge & Cox Income Fund (DODIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.12	Dodge & Cox International Stock Fund (DODFX)	Yes	$15,001 - $50,000		None (or less than $201)
3.13	University of Southern Florida, bonds	N/A	$15,001 - $50,000		None (or less than $201)
4	Brokerage Account #2 (spouse)	No			None (or less than $201)
4.1	ARK Genomic Revolution ETF (ARKG)	Yes	$1,001 - $15,000		None (or less than $201)
4.2	ETFMG Prime Cyber Security ETF (HACK)	Yes	$1,001 - $15,000		None (or less than $201)
4.3	Invesco FTSE RAFI US 1000 ETF (PRF)	Yes	$1,001 - $15,000		None (or less than $201)
4.4	VanEck Vectors Morningstar Wide Moat ETF (MOAT)	Yes	$15,001 - $50,000		None (or less than $201)
4.5	BaronGlobal Advantage Fund Institutional Class Shares (BGAIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	Columbia Contrarian Core Fund Class A Shares (LCCAX)		Yes	$1,001 - $15,000		None (or less than $201)
4.7	First EagleGlobal Fund Class A Shares (SGENX)		Yes	$1,001 - $15,000		None (or less than $201)
4.8	Grant Park Multi Alternative Strategies Fund Class I Shares (GPAIX)		Yes	$1,001 - $15,000		None (or less than $201)
4.9	Matthews Asia Innovators Fund Investor Class Shares (MATFX)		Yes	$1,001 - $15,000		None (or less than $201)
4.10	SPDR S&P Biotech ETF (XBI)		Yes	$1,001 - $15,000		None (or less than $201)
4.11	Rhode Island Student Loan Authority, bonds		N/A	$1,001 - $15,000		None (or less than $201)
4.12	Santander UK PLC, bonds		N/A	$1,001 - $15,000		None (or less than $201)
4.13	U.S. bank #3 (cash)		Yes	$15,001 - $50,000		None (or less than $201)
4.14	Dodge & Cox Income Fund (DODIX)		Yes	$1,001 - $15,000		None (or less than $201)
4.15	Providence St, bonds		N/A	$15,001 - $50,000		None (or less than $201)
5	U.S. bank #1 (cash)		N/A	$50,001 - $100,000		None (or less than $201)
6	U.S. bank #2 (cash)		N/A	$15,001 - $50,000		None (or less than $201)
7	AAM Danvers Management LLC (real estate development)	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)
8	Continental 481 Fund LLC	See Endnote	No	None (or less than $1,001)	Capital Gains Rent or Royalties	$100,001 - $1,000,000
8.1	residential real estate, Phoenix, AZ		N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Continental Properties Real Estate Development Fund II LP		No	$15,001 - $50,000		None (or less than $201)
9.1	residential real estate, Michigan, Illinois, Minnesota, Colorado, Arizona		N/A			
10	Continental Properties Real Estate Income Fund II LP		No	$50,001 - $100,000	Rent or Royalties	None (or less than $201)
10.1	residential real estate, Wisconsin, Michigan, Colorado, Ohio, Texas, Florida		N/A			
11	Continental Properties Real Estate Income Fund IV LP		No	$50,001 - $100,000	Rent or Royalties	None (or less than $201)
11.1	residential real estate, Minnesota, Michigan, Kentucky, Florida		N/A			
12	Cash Receivable	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)
13	Residential real estate, Andover, MA		N/A	$1,000,001 - $5,000,000	Rent or Royalties	$15,001 - $50,000
14	Continental Properties Real Estate Income Fund V LP		No	$15,001 - $50,000		None (or less than $201)
14.1	residential real estate, Arizona, Wisconsin, Minnesota, Florida, Michigan, Illinois		N/A			
15	Coinbase wallet		No			
15.1	Avalanche		N/A	$1,001 - $15,000		None (or less than $201)
15.2	Solana		N/A	$50,001 - $100,000		None (or less than $201)
15.3	Polkadot		N/A	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares Core S&P 500 ETF (IVV)	Purchase	01/10/2023	$15,001 - $50,000
2	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	Purchase	01/09/2023	$1,001 - $15,000
3	Janus Henderson Small Cap Value Fund Class N Shares (JDSNX)	Purchase	01/09/2023	$1,001 - $15,000
4	JPMorgan Large Cap Growth Fund Class R6 Shares (JLGMX)	Purchase	01/09/2023	$15,001 - $50,000
5	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	01/09/2023	$15,001 - $50,000
6	SPDR S&P 500 ETF Trust (SPY)	Purchase	01/10/2023	$15,001 - $50,000
7	U.S. Treasury Note 2.875% Sep 30 2023	Purchase	01/09/2023	$50,001 - $100,000
8	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	01/10/2023	$15,001 - $50,000
9	iShares Core S&P 500 ETF (IVV)	Purchase	01/19/2023	$15,001 - $50,000
10	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	Purchase	01/18/2023	$15,001 - $50,000
11	Janus Henderson Small Cap Value Fund Class N Shares (JDSNX)	Purchase	01/18/2023	$15,001 - $50,000
12	JPMorgan Large Cap Growth Fund Class R6 Shares (JLGMX)	Purchase	01/18/2023	$15,001 - $50,000
13	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	01/18/2023	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
14	SPDR S&P 500 ETF Trust (SPY)	Purchase	01/19/2023	$15,001 - $50,000
15	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	01/19/2023	$15,001 - $50,000
16	iShares Core S&P 500 ETF (IVV)	Purchase	01/23/2023	$15,001 - $50,000
17	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	Purchase	01/20/2023	$15,001 - $50,000
18	Janus Henderson Small Cap Value Fund Class N Shares (JDSNX)	Purchase	01/20/2023	$15,001 - $50,000
19	JPMorgan Large Cap Growth Fund Class R6 Shares (JLGMX)	Purchase	01/20/2023	$15,001 - $50,000
20	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	01/20/2023	$15,001 - $50,000
21	SPDR S&P 500 ETF Trust (SPY)	Purchase	01/23/2023	$15,001 - $50,000
22	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	01/23/2023	$15,001 - $50,000
23	iShares Core S&P 500 ETF (IVV)	Purchase	01/31/2023	$15,001 - $50,000
24	Janus Henderson Enterprise Fund Class N Shares (JDMNX)	Purchase	01/30/2023	$15,001 - $50,000
25	Janus Henderson Small Cap Value Fund Class N Shares (JDSNX)	Purchase	01/30/2023	$15,001 - $50,000
26	JPMorgan Large Cap Growth Fund Class R6 Shares (JLGMX)	Purchase	01/30/2023	$15,001 - $50,000
27	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	01/30/2023	$15,001 - $50,000
28	SPDR S&P 500 ETF Trust (SPY)	Purchase	01/31/2023	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
29	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Purchase	01/31/2023	$15,001 - $50,000
30	215705GN8 (COOK CNTY IL CHS 4.25%24GO LTX DUE 12/01/24)		Purchase	01/19/2023	$15,001 - $50,000
31	569356SE9 (MARION CNTY TN 2.125%)		Purchase	03/15/2023	$15,001 - $50,000
32	Open Text Corporation Common Shares (OTEX)	See Endnote	Sale	03/13/2023	$1,001 - $15,000
33	First EagleGlobal Fund Class A Shares (SGENX)		Purchase	05/08/2023	$1,001 - $15,000
34	Invesco FTSE RAFI US 1000 ETF (PRF)		Purchase	05/08/2023	$1,001 - $15,000
35	First EagleGlobal Fund Class A Shares (SGENX)		Purchase	05/02/2023	$1,001 - $15,000
36	First Trust NASDAQ Cybersecurity ETF (CIBR)		Sale	06/07/2023	$1,001 - $15,000
37	Global X Robotics & Artificial Intelligence ETF (BOTZ)		Sale	06/07/2023	$1,001 - $15,000
38	iShares Expanded Tech-Software ETF (IGV)		Sale	06/07/2023	$1,001 - $15,000
39	First Trust NASDAQ Cybersecurity ETF (CIBR)		Sale	06/07/2023	$1,001 - $15,000
40	First Trust Cloud Computing ETF (SKYY)		Sale	06/07/2023	$1,001 - $15,000
41	Global X Robotics & Artificial Intelligence ETF (BOTZ)		Sale	06/07/2023	$1,001 - $15,000
42	iShares Expanded Tech-Software ETF (IGV)		Sale	06/07/2023	$1,001 - $15,000
43	61580MAH4 (MOORE NORMAN TEC 0.05%25GO UTX DUE 06/01/250ID)		Purchase	06/07/2023	$1,001 - $15,000
44	69353REQ7 (PNC BK N A PITTS 3.25%25 DUE 06/01/25)		Purchase	05/25/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
45	BaronGlobal Advantage Fund Institutional Class Shares (BGAIX)	Purchase	06/14/2023	$1,001 - $15,000
46	Wasatch Core Growth Fund Investor Class Shares (WGROX)	Purchase	06/14/2023	$1,001 - $15,000
47	91159HHZ6 (U.S. BANCORP 1.45%25 DUE 05/12/25)	Purchase	05/25/2023	$15,001 - $50,000
48	VanEck Vectors MorningstarGlobal Wide Moat ETF (GOAT)	Purchase	05/25/2023	$15,001 - $50,000
49	VanEck Vectors Morningstar Wide Moat ETF (MOAT)	Purchase	08/30/2023	$1,001 - $15,000
50	VanEck Vectors Morningstar Wide Moat ETF (MOAT)	Purchase	08/30/2023	$1,001 - $15,000
51	Clarkstown, NY Bonds	Purchase	10/31/2023	$1,001 - $15,000
52	Clarkstown, NY Bonds	Purchase	10/31/2023	$1,001 - $15,000
53	Kansas Bonds	Purchase	09/05/2023	$1,001 - $15,000
54	Wasatch Core Growth Fund Investor Class Shares (WGROX)	Purchase	12/06/2023	$1,001 - $15,000
55	MINNESOTA ST HSG 3.9%	Purchase	12/06/2023	$1,001 - $15,000
56	Catalyst Systematic Alpha Fund Class I Shares (ATRFX)	Purchase	11/29/2023	$15,001 - $50,000
57	Grant Park Multi Alternative Strategies Fund Class I Shares (GPAIX)	Sale	11/28/2023	$15,001 - $50,000
58	Wasatch Core Growth Fund Investor Class Shares (WGROX)	Purchase	12/06/2023	$1,001 - $15,000
59	MINNESOTA ST HSG 3.9%	Purchase	12/06/2023	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	M&T Bank	Mortgage on Personal Residence	$500,001 - $1,000,000	2019	3%	30 year fixed

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	2	Value is an estimate.
6.	7	This is a passive investment interest only. There are no reportable underlying assets.
6.	8	This fund was wound down, capital returned by 12/31/23.
6.	12	Committee to Elect Dan Koh - debt owed to me from the campaign.
7.	32	Sold at a loss, no capital gain.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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