

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

DelBene, Kurt

Assistant Secretary for Information and Technology, Department of Veterans Affairs

Other Federal Government Positions Held During the Preceding 12 Months:

Member, Defense Innovation Board, Department of Defense (5/2019 - 2/2021)

Names of Congressional Committees Considering Nomination:

- Committee on Veterans' Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DelBene, Kurt [electronically signed on 08/03/2021 by DelBene, Kurt in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hogan, Michael R, Certifying Official [electronically signed on 11/22/2021 by Hogan, Michael R in Integrity.gov]

Other review conducted by

/s/ Gurland, Jonathan, Ethics Official [electronically signed on 11/22/2021 by Gurland, Jonathan in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/22/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Microsoft Corporation	See Endnote	Redmond, Washington	Corporation	Executive Vice President	4/2015	Present
2	Partners in Health		Boston, Massachusetts	Non-Profit	Member, Board of Trustees	8/2017	Present
3	Global Partnerships		Seattle, Washington	Non-Profit	Member, Board of Directors	1/2015	Present
4	Reed College		Portland, Oregon	University/College	Member, Board of Trustees	8/2014	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Microsoft Corporation, unvested restricted stock units	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
2	Microsoft Corporation, deferred compensation: cash receivable	No			
2.1	Vanguard FTSE All-World ex-US Index Fund Institutional Shares	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.2	PIMCO Dynamic Bond Fund Institutional Class	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.3	Vanguard FTSE All-World ex-US Small-Cap Index Fund Admiral Shares (VFSAX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.4	Vanguard Mid-Cap Index Fund Admiral Shares	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	Vanguard Short-Term Bond Index Fund Institutional Plus Shares	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.6	Vanguard Institutional Index Fund Institutional Plus Shares	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.7	Fid GR Co Pool CL3	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	Microsoft Corporation (Information Technology Products and Services) See Endnote	N/A		Salary and Bonus	\$2,568,750
4	Microsoft Corporation (Information Technology Products and Services), common stock	N/A	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000
5	Microsoft Corporation, ESPP account	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	IRA	No			
6.1	Fidelity Government Cash Reserves	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.2	SPDR S&P 500 ETF Trust (SPY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	AT&T, defined benefit plan (value not readily ascertainable) \$336.05 per month beginning on 7/24/2025	N/A			None (or less than \$201)
8	Microsoft Corporation - Anticipated Bonus for Fiscal Year 2021 See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Microsoft Corporation		Redmond, Washington	Pursuant to the company's executive compensation plan, my unvested restricted stock units will begin to vest every six months beginning in August 2021, with the remaining units vesting every February and August until the final units vest in August 2024. Upon vesting, the restricted stock units are converted to common stock.	4/2015
2	Microsoft Corporation		Redmond, Washington	I will continue to participate in this deferred compensation plan. Funds will begin to be distributed over a number of years, starting upon my retirement from the company. The plan sponsor will not make further contributions after my separation.	4/2015
3	Microsoft Corporation	See Endnote	Redmond, Washington	The account is used to purchase ESPP shares in Microsoft. The cash balance will be returned to me upon my departure from Microsoft. My participation in the employee stock purchase plan will cease upon my separation from the company.	4/2015
4	AT&T		Dallas, Texas	I will continue to participate in this defined benefit plan.	6/1982
5	Microsoft Corporation		Redmond, Washington	Pursuant to my employment agreement, I will receive a performance-based bonus for services rendered in the company's fiscal year 2021.	4/2015

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME		CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Microsoft Corporation		Redmond, Washington	Executive responsible for corporate strategy and our internal systems development and common business processes, such as processing customer contracts.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Columbia Pacific Income Fund II	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.2	SPDR S&P 500 (SPY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	Fidelity Gov't Sweep Money Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	SEP IRA	No			
2.1	SPDR S&P 500 (SPY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Fidelity Gov't Sweep Money Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alkeon Growth Partners LP	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2	BalFour Place Investors, LLC	See Endnote	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	Columbia Pacific Income Fund II	See Endnote	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
4	EJF Specialty Finance Solutions Fund	See Endnote	\$50,001 - \$100,000		None (or less than \$201)
5	Equilibrium WWOV II	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Fidelity Gov't Sweep Money Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
7	Fidelity Gov't Sweep Money Fund	Yes	\$5,000,001 - \$25,000,000		\$15,001 - \$50,000
8	Fidelity Gov't Sweep Money Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Cornerstone Multifamily Income Fund	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
10	Fidelity S&P 500 Index	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
11	Global Partnerships SIF 5.0 (5.0% 3/25/23)	Yes	\$100,001 - \$250,000		None (or less than \$201)
12	Global Partnerships SIF 6.0 (2.75% September 2025)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
13	Gryphon Mezzanine Partners	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
14	Gryphon Mezzanine Partners II	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
15	Gryphon Partners Fund V	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
16	Gryphon Partners Fund VI	Yes	\$250,001 - \$500,000		None (or less than \$201)
17	Gryphon Partners IV	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
18	Harbor International	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
19	Huntington Capital Fund III	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	Kimpact Evergreen Real Estate Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
21	Manning & Napier - Rainier Intl Disc	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
22	Matthews Asia Dividend Fund Institutional Class Shares (MIPIX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
23	Microsoft Corporation (MSFT)	N/A	\$5,000,001 - \$25,000,000	Dividends Capital Gains	\$50,001 - \$100,000
24	Microsoft Corporation (MSFT)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
25	ML Bank Deposit Program	No			
25.1	U.S. cash account	N/A	\$50,001 - \$100,000		None (or less than \$201)
26	Montauk TriGuard Fund VIII	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
27	Pacific Co B1 Bond - Garden Grove	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
28	Pacific Co B1 Bond - Gilroy/Glen Loma	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
29	Pacific Co B1 Bond - Oakland	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
30	Pacific Co B1 Bond - Santa Ana First Point I	N/A	\$250,001 - \$500,000	Interest	\$50,001 - \$100,000
31	Pacific Co B1 Bond - Santa Ana First Point II	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
32	Pacific Co B1 Bond - Santa Ana ME	N/A	\$250,001 - \$500,000	Interest	\$50,001 - \$100,000
33	Pacific West Real Estate Income LLC	See Endnote	Yes	\$1,000,001 - \$5,000,000	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	Parametric Emerging Markets Fund	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
35	PIMCO Unconstrained Bond-Ins	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
36	U.S. Cash Account	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
37	Redwood Kairos Real Estate Fund IV	See Endnote	Yes	\$500,001 - \$1,000,000	\$100,001 - \$1,000,000
38	Redwood Kairos Real Estate Fund VI	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
39	Security Properties 5819 Glisan Fund, LLC	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	Security Properties Augusta Fund, LLC	Yes	\$250,001 - \$500,000		None (or less than \$201)
41	Security Properties FountainGlen Venture Fund, LLC	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
42	Security Properties Multi-Family Fund II, LLC	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
43	Security Properties Nashville Fund, LLC	Yes	\$100,001 - \$250,000		\$201 - \$1,000
44	SPDR S&P 500 (SPY)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
45	SPDR S&P 500 (SPY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
46	Structure Duomo GP, LLC	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
47	TCG Private Select Partners I	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	TCG Private Select Partners II	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
49	TCG Private Select Partners III	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
50	TriLinc Global Impact Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
51	Vanguard 1-5 Year US Bond Index	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
52	Vanguard FTSE All-World Ex-US Index Fund Institutional	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
53	Vanguard FTSE Emerging Markets ETF (VWO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
54	Vanguard IS S&P 500 Index Tracker	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
55	Vanguard Mid-Cap Index Fund Admiral Shares	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
56	Vanguard S&P 500 Index	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
57	Vanguard Small-Cap Index Fund Admiral Shares	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
58	Redwood Kairos RE Fund VIII	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
59	SP TriRock	Yes	\$250,001 - \$500,000		None (or less than \$201)
60	Crosslink Capital IX	Yes	\$100,001 - \$250,000		None (or less than \$201)
61	Goodwater Capital IV	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	IVP XVII	Yes	\$100,001 - \$250,000		None (or less than \$201)
63	Madrona Investment Partners V, LP	Yes	\$100,001 - \$250,000		None (or less than \$201)
64	Reach III	Yes	\$100,001 - \$250,000		None (or less than \$201)
65	Invesco Limited Term Fund (Seperately Managed Account)	No			
65.1	Arizona Indl Dev Auth Rev BDS Lincoln 5.00% Due 5/1/26	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
65.2	Colorado Health Fac 5% Hosp HLTH Due 08/01/49	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.3	CT ST HSG Fa HSG 2.75%26 2.75 % Due May 15, 2026	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.4	District Columbia Go BDS Ser. 2017D 5.00 % Due Jun 1, 2027	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
65.5	Energy Northwest Wash Elec 5.00% Due 7/1/2021	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.6	Fidelity Treasury Money Fund	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
65.7	Charleston CNTY S C SCH Dist 4.00% Due 05/13/20	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
65.8	Florida HSG Fin Corp Homeowner MTG Rev 2.15% Due 07/01/2029	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
65.9	Florida ST Constr Ref BDS Ser.2016 A 5.00 % Due Jul 1, 2021	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.10	ID St Bldg Auth 5.00% Due 9/01/23	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
65.11	Illinois HSG Dev Auth Rev BDS Ser. 1.7% Due 04/01/31	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
65.12	Kentucky Economic Dev Fin Auth Rev Rev 2.70 % Due May 1, 2039	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
65.13	Los Angeles CNTY Calif Tax And Rev 4.00% Due 6/30/2021	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
65.14	Maricopa CNTY Ariz Uni Sch Dist No 69 5.00% Due 07/01/27	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.15	Maryland ST Dept TR 5.00% Due 10/01/26	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
65.16	Maryland ST Go ST Local Facs BDS 5.00% Due 03/15/27	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
65.17	Massachusetts ST Go Rans Ser 4.00% Due 5/21/2020	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
65.18	Michigan ST HSG Dev Auth Single Family 1.25% Due 6/1/2027	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.19	Minnesota ST Go ST Var Purp BDS Ser. 5.00 % Due 08/01/21	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
65.20	Montgomery CN MD Go 5% Due 10/01/25	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
65.21	N East Isd TX 5.00% Due 08/01/23	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
65.22	New Jersey ST HSG & MTG Fin Agy 0.75% Due 5/1/2023	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
65.23	New York N Y Go BDS Ser. 2018 D 5.00% Due Aug 1, 2021	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
65.24	New York NY Go BDS Ser. Fiscal 2008J 5.00% Due 08/01/26	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
65.25	New York ST Dorm Auth Sales Tax Rev 5.00% Due 03/15/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.26	New York ST Dorm Auth ST Pers Income 5.00% Due 03/15/27	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
65.27	Pasco CNTY FL SB CO 0.00% Due 08/01/2032	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
65.28	Philadelphia PA SCH Dist Go BDS 5.00% Due 09/01/2027	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
65.29	Round Rock Tex Indpt Sch Dist 5.00% Due 8/1/2025	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
65.30	Sales Tax Securitization Corp 5.00% Due 01/01/27	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
65.31	Sales Tax Securitization Corp 5.00% Due 01/01/31	N/A	\$250,001 - \$500,000		None (or less than \$201)
65.32	Somerset CNTY N J Bans Ser. 2020 4.00 % Due 09/09/21	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.33	Tennessee HSG Dev Agy Residential Fin 2.80% Due Jan 1, 2026	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
65.34	Texas ST Tax Rev Antic NTS 4.00% Due 08/26/21	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.35	University Minn GO Ref BDS Regents Ser. 5.00% Due 10/01/25	N/A	\$250,001 - \$500,000	Capital Gains Interest	\$15,001 - \$50,000
65.36	Connecticut ST Go BDS 2018 5.00% Due 06/15/2030	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.37	Berkeley Heights TWP NJ BD NTS 2.00% Due 07/08/22	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
65.38	Los Angeles CNTY Calif Tax and Rev 4.00% Due 06/30/22	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Equilibrium WWOFF	Unfunded Commitment	\$500,001 - \$1,000,000	2020	0	On Demand
2	Redwood Kairos Real Estate Fund VIII	Unfunded Commitment	\$1,000,001 - \$5,000,000	2020	0	On Demand
3	Security Properties 5819 Glisan Fund, LLC	Unfunded Commitment	\$15,001 - \$50,000	2017	0	On Demand
4	Security Properties Multi-Family Fund VI, LLC	Unfunded Commitment	\$1,000,001 - \$5,000,000	2021	0	On Demand
5	Vision Ridge Sustainable Asset Fund III, LP	Unfunded Commitment	\$1,000,001 - \$5,000,000	2021	0	On Demand
6	Crosslink Ventures IX, LP	Unfunded Commitment	\$500,001 - \$1,000,000	2020	0	On Demand
7	EJF Specialty Finance Solutions Fund	Unfunded Commitment	\$250,001 - \$500,000	2015	0	On Demand
8	Goodwater Capital IV, LP	Unfunded Commitment	\$250,001 - \$500,000	2021	0	On Demand
9	Goodwater Infinity II, LP	Unfunded Commitment	\$500,001 - \$1,000,000	2021	0	On Demand
10	Gryphon Partners Fund IV	Unfunded Commitment	\$15,001 - \$50,000	2016	0	On Demand

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
11	Gryphon Partners Fund V	Unfunded Commitment	\$100,001 - \$250,000	2018	0	On Demand
12	Gryphon Partners Fund VI	Unfunded Commitment	\$500,001 - \$1,000,000	2020	0	On Demand
13	Gryphon Mezzanine Partners	Unfunded Commitment	\$15,001 - \$50,000	2017	0	On Demand
14	Gryphon Mezzanine Partners II	Unfunded Commitment	\$100,001 - \$250,000	2019	0	On Demand
15	Huntington Capital Fund III	Unfunded Commitment	\$15,001 - \$50,000	2014	0	On Demand
16	Institutional Venture Partners XVII, L.P.	Unfunded Commitment	\$500,001 - \$1,000,000	2020	0	On Demand
17	Montauk TriGuard Fund VIII LP	Unfunded Commitment	\$500,001 - \$1,000,000	2018	0	On Demand
18	Reach III, LP	Unfunded Commitment	\$500,001 - \$1,000,000	2020	0	On Demand
19	Structure Duomo GP, LLC	Unfunded Commitment	\$100,001 - \$250,000	2019	0	On Demand
20	TCG Private Select Partners II	Unfunded Commitment	\$50,001 - \$100,000	2017	0	On Demand
21	TCG Private Select Partners III	Unfunded Commitment	\$1,000,001 - \$5,000,000	2019	0	On Demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Microsoft position ended in 9/2021
2.	3	This is salary for the period 1/1/2020 through August 3, 2021 and a close estimate of my bonus from September of 2020.
2.	8	Bonus was received after the filer's signature date.
3.	3	This payment has been received
6.	2	Co-investment in multi-family housing building located in Seattle, Washington.
6.	3	Commercial real estate lending fund
6.	4	European focused specialty finance fund
6.	33	Opportunistic real-estate strategy with income focus
6.	37	Real estate fund

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
