Annual Report 2023 for Calendar Year 2022 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Noyes, Julieta V

Assistant Secretary, Department of State

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

Deputy Director (1/2018 - 3/2022)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Noyes, Julieta V [electronically signed on 01/25/2023 by Noyes, Julieta V in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Owusu, Theresa, Certifying Official [electronically signed on 04/11/2023 by Owusu, Theresa in Integrity.gov]

Other review conducted by

/s/ Owusu, Theresa, Ethics Official [electronically signed on 04/11/2023 by Owusu, Theresa in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/11/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	то
1	Trustee for Family Revocable Trust 1	Vienna, Virginia	Trust	Trustee	2/2008	Present
2	Trustee for Family Revocable Trust 2	Vienna, Virginia	Trust	Trustee	2/2008	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Synaptics Inc (SYNA)	N/A	\$15,001 - \$50,000		
1.2	TEM WX - Templeton World A	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	USSPX - USAA S&P 500 Index Fund	Yes	\$1,001 - \$15,000	\$1,001 - \$15,000	
1.4	FCNTX - Fidelity Contrafund	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

5. Spouse's Employment Assets & Income and Retirement Accounts

	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
RA	No	-		-
.S. brokerage Money Market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
MZN - Amazon	N/A	\$1,001 - \$15,000		None (or less than \$201)
APL - Apple	N/A	\$15,001 - \$50,000	None (or less than \$201)	
NET - Arista Networks	N/A	\$1,001 - \$15,000	None (or less than \$201)	
MN - Ilumina Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
IA - Mastercard Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
VDA - NVIDIA Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
YPL - Paypal Hldgs Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
Q - Square Block Inc CL	N/A	\$15,001 - \$50,000		None (or less than \$201)
LCA - U.S. Silica	N/A	\$1,001 - \$15,000		None (or less than \$201)
NWPX - American New Perspective Class A	Yes	\$15,001 - \$50,000		None (or less than \$201)
	.S. brokerage Money Market account (cash) MZN - Amazon APL - Apple NET - Arista Networks MN - Ilumina Inc A - Mastercard Inc VDA - NVIDIA Corp YPL - Paypal Hldgs Inc Q - Square Block Inc CL LCA - U.S. Silica	S. brokerage Money Market account (cash)N/AMZN - AmazonN/AAPL - AppleN/ANET - Arista NetworksN/AMN - Ilumina IncN/AA - Mastercard IncN/AVDA - NVIDIA CorpN/AYPL - Paypal Hldgs IncN/AQ - Square Block Inc CLN/ALCA - U.S. SilicaN/A	S. brokerage Money Market account (cash) N/A \$15,001 - \$50,000 MZN - Amazon N/A \$1,001 - \$15,000 APL - Apple N/A \$15,001 - \$50,000 NET - Arista Networks N/A \$15,001 - \$50,000 MN - Ilumina Inc N/A \$15,001 - \$50,000 A - Mastercard Inc N/A \$15,001 - \$50,000 VDA - NVIDIA Corp N/A \$15,001 - \$50,000 YPL - Paypal Hidgs Inc N/A \$15,001 - \$50,000 Q - Square Block Inc CL N/A \$15,001 - \$50,000 LCA - U.S. Silica N/A \$1,001 - \$15,000 NWPX - American New Perspective Class A Yes \$15,001 - \$15,001 - \$15,000	S. brokerage Money Market account (cash) N/A \$15,001 - \$50,000 MZN - Amazon N/A \$1,001 - \$15,000 APL - Apple N/A \$15,001 - \$50,000 NET - Arista Networks N/A \$11,001 - \$15,000 MN - Ilumina Inc N/A \$15,001 - \$50,000 A - Mastercard Inc N/A \$15,001 - \$50,000 VDA - NVIDIA Corp N/A \$15,001 - \$50,000 YPL - Paypal Hidgs Inc N/A \$15,001 - \$50,000 Q - Square Block Inc CL N/A \$15,001 - \$50,000 LCA - U.S. Silica N/A \$1,001 - \$15,000 NWPX - American New Perspective Class A Yes \$15,001 - \$15,001 - \$15,000

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
1.12	USSPX - USAA S&P 500 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.13	RSPFX - Victory Partners Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	SNPS - Synopsis Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.15	ADSK - Autodesk, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.16	ACCBX - Invesco Corporate Bond Fund CI A Shs	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.17	MAA - Mid-America Apartment Communities, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.18	DOCN - Digital Ocean	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.19	DXCM = Dexcom	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.20	HON - Honeywell International, Inc.	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.21	LNG - Cheniere Energy	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.22	MDB - Mongodb Inc	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.23	OXY - Occidental Petroleum Corp.	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.24	PAYC - Paycom	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
1.25	QCOM - Qualcomm	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
1.26	ZBRA - Zebra technologies	No	\$1,001 - \$15,000	\$1,001 - \$15,000 Capital Gains	
1.27	XLE - Energy Select Fund	N/A	\$1,001 - \$15,000	\$1,001 - \$15,000 Capital Gains	
2	ANWPX - American New Perspective American Fund A (L)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Northwest Mutual Whole Life Insurance Policy	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	Virginia 529 Account	No			
4.1	Invest 529 2030 portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	PJT Partners Inc		\$1,001 - \$15,000		None (or less than \$201)
6	U.S. bank account #2 (cash)		\$1,001 - \$15,000	_	None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TGH -Textainer Group Holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	IDEXX Laboratories, Inc. (IDXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	INFU - Infusystems	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	ALLE - Allegion Public Limited Co	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
5	CGNX - COGNEX Corp	N/A	\$15,001 - Dividends \$50,000		None (or less than \$201)
6	ROL - Rollins Inc Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	VFIAX - Vanguard 500 Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	EXPD - Expeditors International	N/A	\$15,001 - \$50,000		
9	PEP - Pepsico Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10	U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	ATVI - Activision Blizzard Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	IR - Ingersoll Rand Public	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	TEX - Terex Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	PAYC - Paycom Software	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Protective Whole Life Insurance Policy	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
16	SIVB - SVB Financial Group	N/A	\$1,001 - \$15,000	\$1,001 - \$15,000	
17	VBIAX - Vanguard Balanced Index Fund ADM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18	SHOP - Shopify Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	TT - Trane Technologies	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
20	BCSAX - BlackRock Commodity Strategies Fund Investor A Shares	Yes	\$1,001 - \$15,000	\$1,001 - \$15,000	
21	XLE - Energy Select Sector SPDR Fund	N/A	\$1,001 - \$15,000	\$1,001 - \$15,000	
22	U.S. Bank account #3 (cash)	No	None (or less than \$1,001)		None (or less than \$201)
23	GLW - Corning	No	None (or less than \$1,001)		None (or less than \$201)
24	BX - Blackstone Inc	No	\$1,001 - \$15,000	\$1,001 - \$15,000 Capital Gains Dividends	
25	ADBE - Adobe Inc	No	\$15,001 - \$50,000		
26	AYX - Alteryx Inc Class A	No	\$1,001 - \$15,000	\$1,001 - \$15,000 Capital Gains	
27	ADSK - Autodesk Inc	No	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
28	WSO - Watsco, Inc.	No	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	SolarEdge Technologies, Inc. (SEDG)		Sale	01/07/2022	\$1,001 - \$15,000
2	Energy Select Sector SPDR Fund (XLE)		Purchase	01/19/2022	\$1,001 - \$15,000
3	Corning, Inc. (GLW) 100 Shs		Sale	01/26/2022	\$1,001 - \$15,000
4	Zebra Technologies Corp. (ZBRA)	See Endnote	Purchase	02/04/2022	\$1,001 - \$15,000
5	QUALCOMM, Inc. (QCOM)	See Endnote	Purchase	02/16/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
6	Watsco, Inc. (WSO)		Sale	03/17/2022	\$1,001 - \$15,000
7	Proctor and Gamble (PG)	See Endnote	Sale	04/08/2022	\$1,001 - \$15,000
8	Johnson & Johnson (JNJ)	See Endnote	Sale	04/08/2022	\$15,001 - \$50,000
9	Corning, Inc. (GLW)		Sale	07/21/2022	\$1,001 - \$15,000
10	Cheniere Energy, Inc. (LNG)		Purchase	07/06/2022	\$1,001 - \$15,000
11	Citigroup, Inc. (C)		Sale	08/10/2022	\$15,001 - \$50,000
12	Vanguard Balanced Index Fund Admiral Shares (VBIAX)		Sale	08/24/2022	\$1,001 - \$15,000
13	Intel Corp. (INTC)		Sale	08/18/2022	\$1,001 - \$15,000
14	Adobe, Inc. (ADBE)		Purchase	09/06/2022	\$1,001 - \$15,000
15	Alteryx, Inc. (AYX)		Purchase	09/06/2022	\$1,001 - \$15,000
16	Adobe, Inc. (ADBE)		Purchase	09/15/2022	\$1,001 - \$15,000
17	Adobe, Inc. (ADBE)		Purchase	09/16/2022	\$1,001 - \$15,000
18	Adobe, Inc. (ADBE)		Purchase	09/30/2022	\$1,001 - \$15,000
19	Adobe, Inc. (ADBE)		Purchase	09/30/2022	\$1,001 - \$15,000
20	Invesco Oppenheimer Rochester Hi Yld Municipal Fd Class A Shs (ORNAX)		Sale	10/13/2022	\$1,001 - \$15,000
21	Zebra Technologies Corp. (ZBRA)		Purchase	10/04/2022	\$1,001 - \$15,000
22	PayPal Holdings, Inc. (PYPL)		Purchase	10/07/2022	\$1,001 - \$15,000
23	DexCom, Inc. (DXCM)		Purchase	10/11/2022	\$1,001 - \$15,000
24	U.S. Silica Holdings, Inc. (SLCA)		Sale	10/27/2022	\$1,001 - \$15,000
25	Citigroup, Inc. (C)		Sale	11/10/2022	\$1,001 - \$15,000

#	DESCRIPTION	-	TYPE	DATE	AMOUNT
26	Watsco, Inc. (WSO)		Sale	11/10/2022	\$1,001 - \$15,000
27	U.S. Silica Holdings, Inc. (SLCA)		Purchase	11/09/2022	\$1,001 - \$15,000
28	U.S. Silica Holdings, Inc. (SLCA)		Purchase	11/22/2022	\$1,001 - \$15,000
29	U.S. Silica Holdings, Inc. (SLCA)		Purchase	11/23/2022	\$1,001 - \$15,000
30	MongoDB, Inc. (MDB)		Purchase	12/07/2022	\$1,001 - \$15,000
31	Autodesk, Inc. (ADSK)		Purchase	12/07/2022	\$1,001 - \$15,000
32	Anika Therapeutics, Inc. (ANIK)		Sale	06/07/2022	\$1,001 - \$15,000
33	Cheniere Energy, Inc. (LNG)		Purchase	06/06/2022	\$1,001 - \$15,000
34	Paycom Software, Inc. (PAYC)		Purchase	06/09/2022	\$1,001 - \$15,000
35	U.S. Silica Holdings, Inc. (SLCA)		Purchase	06/09/2022	\$1,001 - \$15,000
36	Anika Therapeutics, Inc. (ANIK)		Sale	06/28/2022	\$1,001 - \$15,000
37	Autodesk, Inc. (ADSK)		Purchase	05/23/2022	\$1,001 - \$15,000
38	Shopify		Purchase	05/23/2022	\$1,001 - \$15,000
39	Citibank		Sale	05/23/2022	\$1,001 - \$15,000
40	Zebra Technologies Corp. (ZBRA)		Purchase	05/03/2022	\$1,001 - \$15,000
41	Occidental Petroleum Corp. (OXY)		Purchase	05/03/2022	\$1,001 - \$15,000
42	Digital Ocean Hldgs		Purchase	05/05/2022	\$1,001 - \$15,000
43	Cheniere Energy, Inc. (LNG)		Purchase	05/06/2022	\$1,001 - \$15,000
44	Honeywell International, Inc. (HON)	See Endnote	Purchase	01/24/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Suntrust Financial	Mortgage on Personal Residence	\$100,001 - \$250,000	2020	2.8750%	15 years
2	SDFCU - State Department Federal Credit Union	Exercised Line of Credit	\$15,001 - \$50,000	2021	4% - variable home equity line	10 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	4	IRA
7.	5	IRA
7.	7	This sale completes requirement in my OGE ethics letter upon confirmation as PRM A/S.
7.	8	The transaction completes requirement in OGE ethics letter following my confirmation as PRM A/S.
7.	44	In the course of preparing 2022 Annual Statement I discovered that I overlooked and failed to report the subject transaction approx 12 months earlier.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub, L, 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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