

Contact

www.linkedin.com/in/prashant-yerramalli-5735a44 (LinkedIn)

Top Skills

Relationship Development

Interpersonal Skills

High-Frequency Trading

Honors-Awards

Rising Star

Prashant Yerramalli

Financial Services Executive; Former Chief of Staff at U.S. Securities & Exchange Commission

New York, New York, United States

Experience

Public

Vice President

June 2023 - Present (5 months)

New York, United States

Institute for Corporate Governance & Finance at NYU School of Law

Senior Research Fellow

January 2023 - June 2023 (6 months)

New York City Metropolitan Area

U.S. Securities and Exchange Commission

Chief of Staff and Counselor to the Chair

January 2021 - December 2022 (2 years)

Washington, District of Columbia, United States

Served as a senior advisor to SEC Chair Gensler on all aspects of the agency's mission, including enforcement, rulemaking, examinations, policy, and agency operations.

Brookfield Asset Management

Senior Vice President

May 2020 - January 2021 (9 months)

New York, New York, United States

Lead lawyer on SEC and regulatory compliance for a \$100 billion AUM business at a large alternative asset manager.

U.S. Securities and Exchange Commission

5 years 10 months

Counsel to Commissioner Robert J. Jackson, Jr.

January 2018 - May 2020 (2 years 5 months)

Washington D.C. Metro Area and Greater New York City Area

- Principal advisor on recommendations from the Division of Enforcement and the Office of the General Counsel, including advising the Commissioner on hundreds of enforcement actions and dozens of Commission opinions.

- Provide strategic advice on rule-makings from the Divisions of Investment Management, Trading and Markets, and Corporation Finance.
- Draft speeches, blog posts, public statements, and opinion pieces in major publications, including the New York Times and Wall Street Journal.

Senior Counsel, Division of Enforcement, Asset Management Unit
September 2015 - December 2017 (2 years 4 months)

Greater New York City Area

- Investigated possible securities law violations, including accounting fraud, insider trading, market manipulation, and compliance failures by broker-dealers and investment advisers.
- Responsible for generating ideas for investigations, interviewing witnesses, conducting document discovery, and developing and implementing investigative strategy.
- Member of the Asset Management Unit.

Counsel, Division of Enforcement
August 2014 - August 2015 (1 year 1 month)

Washington D.C. Metro Area

- Investigated possible securities law violations, including accounting fraud, insider trading, market manipulation, and compliance failures by broker-dealers and investment advisers.
- Responsible for generating ideas for investigations, interviewing witnesses, conducting document discovery, and developing and implementing investigative strategy.

Jenner & Block

Associate

October 2009 - August 2014 (4 years 11 months)

New York City

Senior Complex Commercial Litigation Associate with Investigations

Experience:

- Named a "Rising Star" in Business & Securities Litigation by Super Lawyers Magazine.
- Drafted complaints, dispositive motions, appellate briefs, and trial submissions.
- Conducted and defended multiple depositions and witness interviews.
- First chaired sentencing hearing in Federal Court.
- Argued discovery motions in Federal Court in a \$1.5 billion dollar swap litigation.

- Participated in strategic decision-making in high-stakes litigation and internal investigations.
- Supervised all aspects of discovery process including document production and requests.
- Led negotiations with adversaries and third parties.

Management Experience:

- Led large associate teams across multiple offices in complex billion-dollar matters.
- Worked with dozens of expert witnesses to develop reports on complex financial topics.
- Managed workflow on large cases and investigations involving dozens of lawyers.
- Coordinated all aspects of a multi-day bench trial.
- Supervised work of junior associates and provided guidance and feedback.

U.S. District Court

Law Clerk to the Hon. Naomi Reice Buchwald

August 2008 - August 2009 (1 year 1 month)

- Law clerk to a District Judge for the Southern District of New York.
- Drafted opinions in numerous Federal civil and criminal cases on topics, including securities law, patent law, bankruptcy law, class actions, and complex contract disputes.
- Assisted in the day-to-day management of over 100 cases at any time.

WilmerHale

Associate

October 2007 - August 2008 (11 months)

- Drafted dispositive motions in a complex bank and securities fraud matter.
- Worked on internal investigations examining alleged violations of the Foreign Corrupt Practices Act.

Education

Harvard Law School

Doctor of Law (JD) · (2004 - 2007)

Brown University

AB, Public Policy · (2000 - 2004)

Pingry

· (1996 - 2000)