

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Levi, Addar

Deputy General Counsel, Department of the Treasury

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Levi, Addar [electronically signed on 05/16/2022 by Levi, Addar in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Vetter, Mark, Certifying Official [electronically signed on 10/25/2022 by Vetter, Mark in Integrity.gov]

Other review conducted by

/s/ O'Dell, Kerry, Ethics Official [electronically signed on 10/24/2022 by O'Dell, Kerry in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 10/25/2022

Data Revised 10/24/2022

Data Revised 10/21/2022

Data Revised 10/20/2022

Data Revised 10/19/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/24/2022, O'Dell, Kerry): Waiver of late fee for transactions granted by DAEO.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Barack Obama Foundation	Washington, District of Columbia	Non-Profit	General Counsel	1/2017	2/2021
2	Personal Office of Barack and Michelle Obama	Washington, District of Columbia	Corporation	General Counsel	4/2019	2/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Barack Obama Foundation	N/A		Salary	\$29,275
2	Personal Office of Barack and Michelle Obama (Family office for former president and family.)	N/A		Salary/Bonus	\$3,400
3	Covington & Burling, defined contribution plan	No			
3.1	Schwab Indexed Ret Tr Fnd 2050	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	Schwab US Treasury Money Fund Investor Class Shares (SNSXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
4	The Barack Obama Foundation, defined contribution plan	No			
4.1	BlackRock LifePath Index 2050 K	Yes	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Covington & Burling	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2014
2	The Barack Obama Foundation	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sidley Austin LLP, defined contribution plan	No			
1.1	Harbor Capital Appreciation Fund Institutional Class Shares (HACAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	State Street S&P 500 Index Non-Lending Series CI K	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Vanguard Extended Market Index Institutional	Yes	None (or less than \$1,001)		None (or less than \$201)
1.4	American Funds Europacific Growth R6	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Vanguard Developed Markets Index Institutional	Yes	None (or less than \$1,001)		None (or less than \$201)
1.6	PIMCO total return A	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.8	Vanguard Instl Trgt Retire 2050 Ins	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Firm Directed Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Sidley Austin LLP	N/A		Salary and Partnership Share	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Vanguard Target Retirement 2060 Mutual Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	PayPal Holdings Inc Common Stock	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
5	Northern Trust Common Stock	See Endnote	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Thermo Fisher Scientific Inc. Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Schwab US SmallCap ETF Equity Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Schwab US Large Growth ETF Equity Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Schwab US MidCap ETF Equity Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Accenture Plc Class A Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	Schwab US Large Value ETF Equity Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	Starwood Property Trust REIT Real Estate Investment Trust	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	Flaherty & Crumrine Fixed Income Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14	Vanguard Target Retirement 2060 Mutual Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
15	Vanguard FTSE All World Ex US Index Mutual Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
16	Shift Technologies Common Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Lockheed Martin Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
18	Constellation Brands Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
19	Duke Energy Corp Preferred Security	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
20	Digital Realty Trust Preferred Security	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	Shopify Inc Common Stock	N/A	\$50,001 - \$100,000		None (or less than \$201)
22	Fortive Corporation Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
23	Duke Energy Corp Preferred Security	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
24	Xilinx Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
25	Raytheon Technologies Corp Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
26	Workday Inc Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
27	Abbott Labs Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
28	Danaher Corp Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
29	Salesforce Inc. Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
30	Chevron Corp Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
31	Stryker Corp Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
32	Home Depot Inc Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
33	Pepsico Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
34	CVS Health Corp Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	Honeywell Intl Inc Common Stock	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
36	Apple Inc Common Stock	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
37	Accenture PLC Cl A Common Stock	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
38	Walt Disney Co Common Stock	N/A	\$250,001 - \$500,000		None (or less than \$201)
39	IShr S&P Sm Cap Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
40	Blackrock Treasury Trust Fd Money Market Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
41	Amazon Com Inc Common Stock	N/A	\$250,001 - \$500,000		None (or less than \$201)
42	Calamos Conv & High Income Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
43	Costco Whsl Corp Common Stock	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
44	Thermo Fisher Scientific Common Stock	N/A	\$500,001 - \$1,000,000	Dividends	\$201 - \$1,000
45	IShr Rus I000 Growth Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
46	Zebra Technologies Common Stock	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
47	FFC Preferred Port Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
48	IShr US Pfd Stk Index Fund	Yes	Over \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	MM LaFleur Private Investment	N/A	\$15,001 - \$50,000		None (or less than \$201)
50	Vanguard Federal Money Market Fund Investor Shares (VMFXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
51	Bank of America Corp Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
52	JPMorgan Chase & Co. Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
53	PayPal Holdings Inc Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
54	PNC Financial Services Group, Inc. Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
55	Mastercard Incorporated Class A Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
56	Northern Trust Corporation Common Stock	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
57	KeyCorp Preferred Security	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
58	WinTrust Financial Preferred Security	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
59	Regions Financial Preferred Security	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
60	AGNC Investment Corp. Preferred Security	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
61	Citigroup Inc. Preferred Security xxxxxxKM2	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
62	Morgan Stanley Preferred Security xxxxxxAA9	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	Morgan Stanley Preferred Security xxxxxx406	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
64	Morgan Stanley Preferred Security xxxxxx200	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
65	Bank of America Corp. Preferred Security xxxxxxEL4	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
66	Bank of America Corp. Preferred Security xxxxxx229	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
67	Associated Bank Preferred Security	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Bank of America Common Stock	Sale	04/14/2021	\$100,001 - \$250,000
2	Bank of America Preferred Security xxxxxxEL4	Sale	04/14/2021	\$50,001 - \$100,000
3	JPMorgan Chase Common Stock	Sale	04/14/2021	\$250,001 - \$500,000
4	PayPal Holdings Inc Common Stock	Sale	04/14/2021	\$500,001 - \$1,000,000
5	PNC Finl Services Grp Common Stock	Sale	04/14/2021	\$100,001 - \$250,000
6	Mastercard Inc Common Stock	Sale	04/14/2021	\$500,001 - \$1,000,000
7	Northern Trust Common Stock	Sale	04/14/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	CitiGroup Inc Preferred Security xxxxxxKM2	Sale	04/14/2021	\$50,001 - \$100,000
9	Bank of America Preferred Security xxxxxx229	Sale	04/14/2021	\$100,001 - \$250,000
10	KeyCorp Preferred Security xxxxxx876	Sale	04/14/2021	\$100,001 - \$250,000
11	Morgan Stanley Preferred Security xxxxxx406	Sale	04/14/2021	\$50,001 - \$100,000
12	WinTrust Financial Preferred Security xxxxxx504	Sale	04/14/2021	\$100,001 - \$250,000
13	Regions Financial Preferred Security xxxxxx506	Sale	04/14/2021	\$50,001 - \$100,000
14	AGNC Investment Corp Preferred Security xxxxxx500	Sale	04/14/2021	\$100,001 - \$250,000
15	IShr Rus I000 Growth Equity Index Fund	Purchase	04/16/2021	\$250,001 - \$500,000
16	FFC Preferred Port Fixed Income Fund	Purchase	04/16/2021	\$250,001 - \$500,000
17	FFC Preferred Port Fixed Income Fund	Purchase	04/20/2021	\$250,001 - \$500,000
18	FFC Preferred Port Fixed Income Fund	Purchase	04/19/2021	\$100,001 - \$250,000
19	IShr S&P Sm Cap Equity Index Fund	Purchase	04/20/2021	\$100,001 - \$250,000
20	IShr US Pfd Stk Index Fixed Income Fund	Purchase	04/20/2021	Over \$1,000,000
21	Calamos Conv & High Income Fixed Income Fund	Purchase	04/21/2021	\$50,001 - \$100,000
22	Calamos Conv & High Income Fixed Income Fund	Purchase	04/22/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Calamos Conv & High Income Fixed Income Fund	Purchase	04/23/2021	\$50,001 - \$100,000
24	Constellation Brands Common Stock	Purchase	04/26/2021	\$50,001 - \$100,000
25	Teladoc Inc Common Stock	Purchase	04/29/2021	\$15,001 - \$50,000
26	Lockheed Martin Common Stock	Purchase	04/28/2021	\$50,001 - \$100,000
27	Workday Inc Common Stock	Purchase	04/29/2021	\$100,001 - \$250,000
28	Shopify Inc Common Stock	Purchase	04/29/2021	\$50,001 - \$100,000
29	Raytheon Technologies Corp Common Stock	Purchase	04/29/2021	\$100,001 - \$250,000
30	Danaher Corp Common Stock	Purchase	04/29/2021	\$100,001 - \$250,000
31	Teladoc Inc Common Stock	Purchase	04/30/2021	\$15,001 - \$50,000
32	Apple Inc Common Stock	Purchase	04/30/2021	\$100,001 - \$250,000
33	Pepsico Common Stock	Purchase	04/30/2021	\$100,001 - \$250,000
34	Chevron Corp Common Stock	Purchase	04/30/2021	\$50,001 - \$100,000
35	Xilinx Common Stock	Purchase	05/05/2021	\$50,001 - \$100,000
36	Teledoc Common Stock	Sale	11/19/2021	\$15,001 - \$50,000
37	State Street S&P 500 Index Mutual Fund	Sale	12/31/2021	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
38	Harbor Capital Appreciation Mutual Fund		Sale	12/31/2021	\$15,001 - \$50,000
39	American Funds Europacific Growth Mutual Fund		Sale	12/31/2021	\$1,001 - \$15,000
40	Vanguard Extended Market Index Mutual Fund		Sale	12/31/2021	\$15,001 - \$50,000
41	Vanguard Federal Money Market Mutual Fund		Sale	12/31/2021	\$1,001 - \$15,000
42	Vanguard Developed Markets Index Mutual Fund		Sale	12/31/2021	\$1,001 - \$15,000
43	Vanguard Institutional Target Retire 2050 Mutual Fund		Sale	12/31/2021	\$15,001 - \$50,000
44	Firm Directed Portfolio		Purchase	12/31/2021	\$50,001 - \$100,000
45	AT&T Preferred Security	See Endnote	Sale	03/09/2021	\$15,001 - \$50,000
46	AT&T Preferred Security	See Endnote	Sale	03/11/2021	\$15,001 - \$50,000
47	PNC Financial Services Preferred Security		Sale	03/24/2021	\$50,001 - \$100,000
48	Prudential Financial Preferred Security		Sale	03/24/2021	\$50,001 - \$100,000
49	Associated Bank Preferred Security		Sale	03/24/2021	\$50,001 - \$100,000
50	Truist Financial Preferred Security		Sale	03/24/2021	\$100,001 - \$250,000
51	Wells Fargo Preferred Security		Sale	03/24/2021	\$100,001 - \$250,000
52	National General Preferred Security		Sale	03/24/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	JPMorgan Chase Preferred Security	Sale	03/24/2021	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	4	Asset sold in January 2022.
6.	5	Asset sold in January 2022.
7.	45	Sale occurred before filer's appointment. Including on this Report because asset was inadvertently listed on the New Entrant 278.
7.	46	Sale occurred before filer's appointment. Including on this Report because asset was inadvertently listed on the New Entrant 278.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
