Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kenna, Lisa D

AMBASSADOR, Department of State

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

Executive Secretary of the Department of State (6/2017 - 1/2021)

U.S. Ambassador to the Republic of Peru (1/2021 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kenna, Lisa D [electronically signed on 03/09/2023 by Kenna, Lisa D in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Lodhi, Tahura, Certifying Official [electronically signed on 05/08/2023 by Lodhi, Tahura in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 05/09/2023 by Granahan, Megan in Integrity.gov]

Data Revised 05/08/2023

Data Revised 04/04/2023

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	SPDR S&P 500 ETF Trust	Yes	$1,001 - $15,000		None (or less than $201)
1.2	iShares Trust Cohen Steers REIT	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Vanguard FTSE All-World Ex-US ETF	Yes	$1,001 - $15,000		None (or less than $201)
1.4	US Bank #1 Acct (Cash)	N/A	$1,001 - $15,000		None (or less than $201)
2	Traditional IRA	No			
2.1	Invesco S&P 500 Equal Weight ETF	Yes	$15,001 - $50,000		None (or less than $201)
2.2	U.S. Bank #2 (Cash)	N/A	$15,001 - $50,000		None (or less than $201)
2.3	iShares Trust Russell Mid-Cap ETF	Yes	$15,001 - $50,000		None (or less than $201)
2.4	iShares Trust Core US Aggregate Bond ETF	Yes	$1,001 - $15,000		None (or less than $201)
2.5	Vanguard FTSE Emerging Market ETF	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Treasury Bond	N/A	$1,001 - $15,000	Interest	$201 - $1,000
2	Berkeley WR Corp Preferred	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
3	Union Pacific Corp	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
4	Verizon	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5	Intel	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
6	Honeywell	N/A	None (or less than $1,001)	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Newmarket Corp Sr Note	N/A	None (or less than $1,001)		None (or less than $201)
8	AT&T Preferred	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
9	JP Morgan Chase and Co.	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
10	Verizon Comms Inc Bond	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
11	Fidelity National Finance	N/A	None (or less than $1,001)	Interest	$1,001 - $2,500
12	American Tower Corporation	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
13	iShares S&P Mid Capital 400/B	Yes	$50,001 - $100,000		$201 - $1,000
14	i Shares Russel 2000 Index	Yes	$100,001 - $250,000		$201 - $1,000
15	SPDR S&P 500	Yes	$15,001 - $50,000		$201 - $1,000
16	Apple Inc. (AAPL)	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
17	Visa Inc	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
18	Berkshire Hathaway Inc	N/A	$50,001 - $100,000		None (or less than $201)
19	United Health Group Inc	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
20	Pfizer Inc	N/A	None (or less than $1,001)	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	TJX Corp	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
22	Lowes Companies Inc	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
23	NIKE	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
24	Church and Dwight	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
25	Roper Industries	N/A	$50,001 - $100,000		None (or less than $201)
26	Danaher Corporation	N/A	$50,001 - $100,000	Dividends	None (or less than $201)
27	O'Reilly Automotive Bond	N/A	$15,001 - $50,000	Interest	$201 - $1,000
28	Anne Arundel Cnty MD	N/A	$15,001 - $50,000	Interest	$201 - $1,000
29	iShares iBox $ Inv Grade Corporate Bond ETF (LQD)	Yes	$100,001 - $250,000		$2,501 - $5,000
30	iShares Core US Aggregate Bond ETF (AGG)	Yes	$100,001 - $250,000		$1,001 - $2,500
31	Accenture Ltd	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
32	Citigroup Bond	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
33	Kroger Company	N/A	$15,001 - $50,000	Interest	$201 - $1,000
34	United States Treas Bills	N/A	None (or less than $1,001)	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	United States Treas Bills	N/A	None (or less than $1,001)	Interest	$201 - $1,000
36	iShares Barclays TIPS Bond Fund	Yes	$50,001 - $100,000		$201 - $1,000
37	Lauder Estee Cos Inc Class A	N/A	$15,001 - $50,000		None (or less than $201)
38	Eli Lilly and Company	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
39	Raytheon Company	N/A	$15,001 - $50,000		None (or less than $201)
40	SPDR Short Term High Yield Bond ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
41	Flowers Food Inc Note	N/A	$15,001 - $50,000		None (or less than $201)
42	Humana Inc Bond	N/A	$15,001 - $50,000		None (or less than $201)
43	Lincoln National Corp Bond	N/A	$15,001 - $50,000		None (or less than $201)
44	US Brokerage Account (Cash acct)	N/A	$250,001 - $500,000	Interest	$201 - $1,000
45	U.S. Treasury Bill	N/A	$15,001 - $50,000		$201 - $1,000
46	U.S. Treasury Bill	N/A	None (or less than $1,001)		$201 - $1,000
47	U.S. Treasury Bill	N/A	None (or less than $1,001)		$201 - $1,000
48	AbbVie, Inc. (ABBV)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	salesforce.com, inc. (CRM)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
50	H&R Block, Inc. (HRB)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
51	iShares National Muni Bond ETF (MUB)		Yes	$50,001 - $100,000		$201 - $1,000
52	iShares S&P 500 Index		Yes	$250,001 - $500,000		$2,501 - $5,000
53	Broadridge Financial Solutions, Inc. (BR)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
54	VeriSign, Inc. (VRSN)		N/A	$15,001 - $50,000	Dividends	$201 - $1,000
55	Albemarle Corp. (ALB)		N/A	$1,001 - $15,000		None (or less than $201)
56	Texas Instruments Incorporated (TXN)		N/A	$15,001 - $50,000		None (or less than $201)
57	Invesco QQQ Trust		Yes	None (or less than $1,001)		None (or less than $201)
58	AON PLC Sr Note Bond 3.875%			$15,001 - $50,000		None (or less than $201)
59	Anthem Inc. 2.375%	See Endnote		$15,001 - $50,000		None (or less than $201)
60	Capital One Bond 3.900%			$50,001 - $100,000		None (or less than $201)
61	Dell Tech Bond 4.900%			$50,001 - $100,000		None (or less than $201)
62	eBay Inc Bond 3.450%	See Endnote		$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	Goldman Sachs Bond 3.000%			$50,001 - $100,000		None (or less than $201)
64	Lockheed Bond 5.100%			$50,001 - $100,000		None (or less than $201)
65	Marriott Intl Inc New Bond 3.125%	See Endnote		$15,001 - $50,000		None (or less than $201)
66	Microchip Tech Bond 4.333%			$15,001 - $50,000		None (or less than $201)
67	Southwest Airlines Co. Bond 2.625%	See Endnote		$15,001 - $50,000		None (or less than $201)
68	U.S. Treasury Note 3.000%			$100,001 - $250,000		None (or less than $201)
69	Miami-Dade Cnty Fla Bond 5.000%			$50,001 - $100,000		None (or less than $201)
70	FHL Banks Bond 5.000%			$50,001 - $100,000		None (or less than $201)
71	iShares Muni Trust (MUB)			$15,001 - $50,000		None (or less than $201)
72	iShares iBonds Dec 2023 Term Corporate ETF (IBDO)			$15,001 - $50,000		None (or less than $201)
73	iShares iBonds Dec 2024 Term Corporate ETF (IBDP)			$15,001 - $50,000		None (or less than $201)
74	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)			$50,001 - $100,000		None (or less than $201)
75	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)			$50,001 - $100,000		None (or less than $201)
76	iShares iBonds DEC 2027 Corp ETF			$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
77	iShares Barclays 7-10 Year Treasury Bond Fund (IEF)		$15,001 - $50,000		None (or less than $201)
78	SPDR Conv Securities (CWB)		$50,001 - $100,000		None (or less than $201)
79	Amazon.com, Inc. (AMZN)		$15,001 - $50,000		None (or less than $201)
80	McDonald's Corporation (MCD)		$50,001 - $100,000		None (or less than $201)
81	Tesla Motors Inc (TSLA)		$1,001 - $15,000		None (or less than $201)
82	Diageo Plc (DEO)		$15,001 - $50,000		None (or less than $201)
83	Chevron Corporation (CVX)		$50,001 - $100,000		None (or less than $201)
84	H&R Block (HRB)		$50,001 - $100,000	Dividends	$1,001 - $2,500
85	Progressive Corporation (PGR)		$100,001 - $250,000		None (or less than $201)
86	PNC Financial Services Group (PNC)		$50,001 - $100,000	Dividends	$1,001 - $2,500
87	U.S. Bancorp (USB)		$50,001 - $100,000	Dividends	$201 - $1,000
88	UnitedHealth Group, Inc. (UNH)		$100,001 - $250,000	Dividends	$1,001 - $2,500
89	Albemarle Corp. (ALB)		$15,001 - $50,000		None (or less than $201)
90	Adobe Systems Incorporated (ADBE)		$15,001 - $50,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
91	Microsoft Corporation (MSFT)		$100,001 - $250,000	Dividends	$201 - $1,000
92	Taiwan Semi Mfg. ADR (TSM)		$15,001 - $50,000		None (or less than $201)
93	NextEra Energy, Inc. (NEE)		$50,001 - $100,000	Dividends	$1,001 - $2,500
94	Xcel Energy, Inc. (XEL)		$50,001 - $100,000	Dividends	None (or less than $201)
95	Extra Space Storage, Inc. (EXR)		$1,001 - $15,000		None (or less than $201)
96	iShares S&P MidCap 400 Index (IJH)		$100,001 - $250,000	Dividends	$201 - $1,000
97	iShares Russell 2000 Index (IWM)		$100,001 - $250,000		None (or less than $201)
98	Powershares QQQ (QQQ)		$50,001 - $100,000		None (or less than $201)
99	BAC PRF		$50,001 - $100,000		None (or less than $201)
100	US Bancorp PRF		$50,001 - $100,000	Dividends	$201 - $1,000
101	Wells Fargo A PRF		$15,001 - $50,000	Dividends	$201 - $1,000
102	iShares US Preferred Index (PFF)		$50,001 - $100,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)	Purchase	01/06/2022	$50,001 - $100,000
2	iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/06/2022	$15,001 - $50,000
3	iShares iBonds Dec 2025 Term Corporate ETF (IBDQ)	Purchase	01/06/2022	$15,001 - $50,000
4	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/10/2022	$1,001 - $15,000
5	iShares Russell 2000 ETF (IWM)	Purchase	01/10/2022	$15,001 - $50,000
6	Brown Capital Management International Small Company Fund Inst Shares (BCSFX)	Purchase	01/10/2022	$1,001 - $15,000
7	Texas Instruments Incorporated (TXN)	Purchase	01/24/2022	$1,001 - $15,000
8	salesforce.com, inc. (CRM)	Purchase	01/24/2022	$1,001 - $15,000
9	Roper Technologies, Inc. (ROP)	Purchase	01/24/2022	$1,001 - $15,000
10	The PNC Financial Services Group, Inc. (PNC)	Purchase	01/24/2022	$1,001 - $15,000
11	PayPal Holdings, Inc. (PYPL)	Purchase	01/24/2022	$1,001 - $15,000
12	NVIDIA Corp. (NVDA)	Purchase	01/24/2022	$1,001 - $15,000
13	The Este Lauder Companies, Inc. (EL)	Purchase	01/24/2022	$1,001 - $15,000
14	iShares Russell 2000 ETF (IWM)	Purchase	01/24/2022	$1,001 - $15,000
15	H&R Block, Inc. (HRB)	Purchase	01/24/2022	$1,001 - $15,000
16	Facebook, Inc. (FB)	Purchase	01/24/2022	$1,001 - $15,000
17	Amazon.com, Inc. (AMZN)	Purchase	01/24/2022	$1,001 - $15,000
18	Albemarle Corp. (ALB)	Purchase	01/24/2022	$1,001 - $15,000
19	Adobe, Inc. (ADBE)	Purchase	01/24/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	salesforce.com, inc. (CRM)	Purchase	01/25/2022	$1,001 - $15,000
21	Bank of America Preferred	Purchase	01/27/2022	$100,001 - $250,000
22	Tesla, Inc. (TSLA)	Purchase	01/28/2022	$1,001 - $15,000
23	US Bancorp PF	Purchase	02/02/2022	$50,001 - $100,000
24	Netflix, Inc. (NFLX)	Purchase	02/02/2022	$15,001 - $50,000
25	SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	02/08/2022	$1,001 - $15,000
26	SPDR Bloomberg Barclays Convertible Securities ETF (CWB)	Purchase	02/08/2022	$1,001 - $15,000
27	Powershares QQQ	Purchase	02/08/2022	$15,001 - $50,000
28	iShares Preferred and Income Securities ETF (PFF)	Purchase	02/08/2022	$1,001 - $15,000
29	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	02/08/2022	$15,001 - $50,000
30	iShares Russell 2000 ETF (IWM)	Purchase	02/08/2022	$15,001 - $50,000
31	iShares TIPS Bond ETF (TIP)	Purchase	02/08/2022	$1,001 - $15,000
32	Berkshire Hathaway Inc. (BRKB)	Purchase	02/08/2022	$1,001 - $15,000
33	Broadridge Financial Solutions, Inc. (BR)	Purchase	02/10/2022	$1,001 - $15,000
34	U.S. Bancorp (USB)	Purchase	02/17/2022	$1,001 - $15,000
35	Powershares QQQ	Purchase	02/17/2022	$15,001 - $50,000
36	The PNC Financial Services Group, Inc. (PNC)	Purchase	02/17/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	PayPal Holdings, Inc. (PYPL)	Purchase	02/17/2022	$1,001 - $15,000
38	Netflix, Inc. (NFLX)	Purchase	02/17/2022	$1,001 - $15,000
39	iShares iBonds Dec 2027 Term Corporate ETF (IBDS)	Purchase	02/17/2022	$15,001 - $50,000
40	Facebook, Inc. (FB)	Purchase	02/17/2022	$1,001 - $15,000
41	Sony Group Corp	Purchase	02/24/2022	$1,001 - $15,000
42	salesforce.com, inc. (CRM)	Purchase	02/24/2022	$1,001 - $15,000
43	Powershares QQQ	Purchase	02/24/2022	$15,001 - $50,000
44	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	02/24/2022	$1,001 - $15,000
45	iShares Core S&P 500 ETF (IVV)	Purchase	02/24/2022	$15,001 - $50,000
46	The Goldman Sachs Group, Inc. (GS)	Purchase	02/24/2022	$100,001 - $250,000
47	Facebook, Inc. (FB)	Purchase	02/24/2022	$1,001 - $15,000
48	Danaher Corp. (DHR)	Purchase	02/24/2022	$1,001 - $15,000
49	Broadridge Financial Solutions, Inc. (BR)	Purchase	02/24/2022	$1,001 - $15,000
50	Berkshire Hathaway Inc. (BRKB)	Purchase	02/24/2022	$1,001 - $15,000
51	NVIDIA Corp. (NVDA)	Purchase	02/25/2022	$15,001 - $50,000
52	Raytheon Technologies Ord	Sale	02/28/2022	$1,001 - $15,000
53	PayPal Holdings, Inc. (PYPL)	Purchase	02/28/2022	$1,001 - $15,000
54	Albemarle Corp. (ALB)	Purchase	02/28/2022	$1,001 - $15,000
55	Dell Technologies, Inc. (DELL)	Purchase	03/18/2022	$100,001 - $250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
56	US Treasury Bill	Purchase	03/30/2022	$15,001 - $50,000
57	US Treasury Bill	Purchase	03/30/2022	$15,001 - $50,000
58	US Treasury Bill	Purchase	03/30/2022	$15,001 - $50,000
59	Eli Lilly & Co. (LLY)	Sale	04/27/2022	$1,001 - $15,000
60	Roper Technologies, Inc. (ROP)	Sale	04/27/2022	$1,001 - $15,000
61	Newmarket Corp Sr Note	Sale	04/18/2022	$15,001 - $50,000
62	Lowes Corp	Purchase	04/06/2022	$100,001 - $250,000
63	Eli Lilly & Co. (LLY)	Sale	04/27/2022	$1,001 - $15,000
64	Roper Technologies, Inc. (ROP)	Sale	04/27/2022	$1,001 - $15,000
65	Newmarket Corp Sr Note	Sale	04/18/2022	$15,001 - $50,000
66	Lowes Corp	Purchase	04/06/2022	$100,001 - $250,000
67	Facebook Inc Class A	Sale	08/03/2022	$15,001 - $50,000
68	Amazon.com, Inc. (AMZN)	Purchase	08/04/2022	$1,001 - $15,000
69	H&R Block, Inc. (HRB)	Sale	08/19/2022	$15,001 - $50,000
70	Taiwan Semi Mfg. ADR	Purchase	08/19/2022	$1,001 - $15,000
71	Progressive Corporation	Sale	08/31/2022	$1,001 - $15,000
72	PayPal Holdings, Inc. (PYPL)	Sale	08/31/2022	$1,001 - $15,000
73	NVIDIA Corp. (NVDA)	Purchase	12/09/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
74	PayPal Holdings, Inc. (PYPL)	Purchase	12/09/2021	$1,001 - $15,000
75	iShares Russel 2000 Index	Purchase	12/06/2021	$1,001 - $15,000
76	Microsoft Corp. (MSFT)	Purchase	12/06/2021	$1,001 - $15,000
77	Powershares QQQ	Purchase	12/06/2021	$1,001 - $15,000
78	Baxter International, Inc. (BAX)	Purchase	12/02/2021	$1,001 - $15,000
79	Broadridge Financial Solutions, Inc. (BR)	Purchase	12/02/2021	$1,001 - $15,000
80	Facebook, Inc. (FB)	Purchase	12/02/2021	$1,001 - $15,000
81	iShares Russell 2000 Index	Purchase	12/02/2021	$1,001 - $15,000
82	iShares S&P MidCap 400 Index	Purchase	12/02/2021	$15,001 - $50,000
83	Microsoft Corp. (MSFT)	Purchase	12/02/2021	$1,001 - $15,000
84	Powershares QQQ	Purchase	12/02/2021	$1,001 - $15,000
85	US Treasury Bill	Sale	12/02/2021	$15,001 - $50,000
86	Volkswagen AG	Purchase	12/01/2021	$15,001 - $50,000
87	Volkswagen AG	Purchase	12/01/2021	$1,001 - $15,000
88	NVIDIA Corp. (NVDA)	Purchase	12/09/2021	$1,001 - $15,000
89	PayPal Holdings, Inc. (PYPL)	Purchase	12/09/2021	$1,001 - $15,000
90	iShares Russel 2000 Index	Purchase	12/06/2021	$1,001 - $15,000
91	Microsoft Corp. (MSFT)	Purchase	12/06/2021	$1,001 - $15,000
92	Powershares QQQ	Purchase	12/06/2021	$1,001 - $15,000
93	Baxter International, Inc. (BAX)	Purchase	12/02/2021	$1,001 - $15,000
94	Broadridge Financial Solutions, Inc. (BR)	Purchase	12/02/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	Facebook, Inc. (FB)	Purchase	12/02/2021	$1,001 - $15,000
96	iShares Russell 2000 Index	Purchase	12/02/2021	$1,001 - $15,000
97	iShares S&P MidCap 400 Index	Purchase	12/02/2021	$15,001 - $50,000
98	Microsoft Corp. (MSFT)	Purchase	12/02/2021	$1,001 - $15,000
99	Powershares QQQ	Purchase	12/02/2021	$1,001 - $15,000
100	US Treasury Bill	Sale	12/02/2021	$15,001 - $50,000
101	Volkswagen AG	Purchase	12/01/2021	$15,001 - $50,000
102	Volkswagen AG	Purchase	12/01/2021	$1,001 - $15,000
103	NVIDIA Corp. (NVDA)	Purchase	12/09/2021	$1,001 - $15,000
104	PayPal Holdings, Inc. (PYPL)	Purchase	12/09/2021	$1,001 - $15,000
105	iShares Russel 2000 Index	Purchase	12/06/2021	$1,001 - $15,000
106	Microsoft Corp. (MSFT)	Purchase	12/06/2021	$1,001 - $15,000
107	Powershares QQQ	Purchase	12/06/2021	$1,001 - $15,000
108	Baxter International, Inc. (BAX)	Purchase	12/02/2021	$1,001 - $15,000
109	Broadridge Financial Solutions, Inc. (BR)	Purchase	12/02/2021	$1,001 - $15,000
110	Facebook, Inc. (FB)	Purchase	12/02/2021	$1,001 - $15,000
111	iShares Russell 2000 Index	Purchase	12/02/2021	$1,001 - $15,000
112	iShares S&P MidCap 400 Index	Purchase	12/02/2021	$15,001 - $50,000
113	Microsoft Corp. (MSFT)	Purchase	12/02/2021	$1,001 - $15,000
114	Powershares QQQ	Purchase	12/02/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
115	US Treasury Bill	Sale	12/02/2021	$15,001 - $50,000
116	Volkswagen AG	Purchase	12/01/2021	$15,001 - $50,000
117	Volkswagen AG	Purchase	12/01/2021	$1,001 - $15,000
118	NVIDIA Corp. (NVDA)	Purchase	12/09/2021	$1,001 - $15,000
119	PayPal Holdings, Inc. (PYPL)	Purchase	12/09/2021	$1,001 - $15,000
120	iShares Russel 2000 Index	Purchase	12/06/2021	$1,001 - $15,000
121	Microsoft Corp. (MSFT)	Purchase	12/06/2021	$1,001 - $15,000
122	Powershares QQQ	Purchase	12/06/2021	$1,001 - $15,000
123	Baxter International, Inc. (BAX)	Purchase	12/02/2021	$1,001 - $15,000
124	Broadridge Financial Solutions, Inc. (BR)	Purchase	12/02/2021	$1,001 - $15,000
125	Facebook, Inc. (FB)	Purchase	12/02/2021	$1,001 - $15,000
126	iShares Russell 2000 Index	Purchase	12/02/2021	$1,001 - $15,000
127	iShares S&P MidCap 400 Index	Purchase	12/02/2021	$15,001 - $50,000
128	Microsoft Corp. (MSFT)	Purchase	12/02/2021	$1,001 - $15,000
129	Powershares QQQ	Purchase	12/02/2021	$1,001 - $15,000
130	US Treasury Bill	Sale	12/02/2021	$15,001 - $50,000
131	Volkswagen AG	Purchase	12/01/2021	$15,001 - $50,000
132	Volkswagen AG	Purchase	12/01/2021	$1,001 - $15,000
133	Domtar Corp Bond	Sale	04/08/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
134	St Petersburg FLA Public Utilities	Sale	10/03/2022	$15,001 - $50,000
135	James City County VA	Sale	06/15/2021	$15,001 - $50,000
136	Cigna Corp	Sale	04/05/2021	$15,001 - $50,000
137	Iberdrola SA	Sale	10/09/2021	$15,001 - $50,000
138	Baxter International, Inc. (BAX)	Sale	07/13/2021	$15,001 - $50,000
139	Sony Corporation ADR	Sale	10/18/2022	$15,001 - $50,000
140	NVIDIA Corp. (NVDA)	Sale	09/21/2022	$1,001 - $15,000
141	Volkswagon AG	Sale	05/12/2022	$15,001 - $50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	59	Filer indicated this asset was reported last year as "Anthem Inc"
6.	62	Filer indicated this asset was reported last year as "ebay inc"
6.	65	Filer indicated this asset was reported last year as "Mariott International Inc"
6.	67	Filer indicated this asset was reported last year as "Southwest airlines"

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
