

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Tsunis, George James

Ambassador to the Hellenic Republic, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Tsunis, George James [electronically signed on 06/10/2021 by Tsunis, George James in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 10/27/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/02/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Arbor Realty Trust	Uniondale, New York	Corporation	Independent Director	7/2016	Present
2	Chartwell Hotels	Williamsport, Pennsylvania	Corporation	Chairman & CEO	1/2006	Present
3	Spring Bank NY	New York, New York	Corporation	Independent Director	11/2018	3/2021
4	Signature Bank	New York, New York	Corporation	Independent Director	4/2021	Present
5	Paul G. Enterprises Inc.	Williamsport, Pennsylvania	Corporation	President	3/2004	Present
6	Chartwell Lodging LLC	Williamsport, Pennsylvania	Corporation	Managing Member	4/2010	Present
7	Chartwell Church Street LLC	Williamsport, Pennsylvania	Corporation	Managing Member	7/2010	Present
8	Chartwell Laurel Street LLC	Williamsport, Pennsylvania	Corporation	Managing Member	10/2011	Present
9	Chartwell Third Street LLC	Williamsport, Pennsylvania	Corporation	Managing Member	7/2014	11/2020
10	Poco Farms LLC	Williamsport, Pennsylvania	Corporation	Managing Member	4/2013	12/2020
11	Chartwell William Street LLC	Williamsport, Pennsylvania	Corporation	Managing Member	10/2014	Present
12	Warwick CHI LLC	West Warwick, Rhode Island	Corporation	Managing Member	2/2008	Present
13	3708 Vestal Pkwy S. LLC	Vestal, New York	Corporation	Managing Member	3/2017	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
14	Commack CHI LLC	Commack, New York	Corporation	Managing Member	5/2008	Present
15	Chartwell Innkeepers LLC	Matinecock, New York	Corporation	Managing Member	9/2007	Present
16	Chartwell Associates LLC	Matinecock, New York	Corporation	Managing Member	6/2006	Present
17	Tsunis Huntington LLC	Matinecock, New York	Corporation	Managing Member	6/2009	Present
18	Platanos Capital LLC	Matinecock, New York	Corporation	Managing Member	6/2020	Present
19	Huntington Village Hotel Partners LLC	Huntington, New York	Corporation	Managing Member	11/2017	Present
20	500 Broadhollow LLC	Huntington, New York	Corporation	Managing Member	7/2020	Present
21	Long Island Grand	Babylon, New York	Corporation	Member	3/2018	7/2020
22	NuHealth/NUMC	East Meadow, New York	Non-Profit	Chairman	2/2018	1/2020
23	Hofstra University	Uniondale, New York	Non-Profit	Independent Director	6/2015	6/2019
24	NYCCOC/NYCCDC/Javits Center	New York, New York	Non-Profit	Independent Director	6/2015	Present
25	Family Trust # 1	Locust Valley, New York	Trust	Trustee	10/2016	Present
26	Family Generation Skipping Trust #1	Locust Valley, New York	Trust	Trustee	10/2016	Present
27	Family Trust # 2	Locust Valley, New York	Trust	Trustee	10/2016	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
28	Family Generation Skipping Trust # 2	Locust Valley, New York	Trust	Trustee	10/2016	Present
29	Family Trust # 3	Locust Valley, New York	Trust	Trustee	10/2016	Present
30	Family Generation Skipping Trust # 3	Locust Valley, New York	Trust	Trustee	10/2016	Present
31	Battery Park City Authority	New York, New York	Non-Profit	Chairman	6/2018	Present
32	The Don Monti Memorial Research Foundation	New York, New York	Non-Profit	Director/Trustee	6/2010	Present
33	The Hellenic Initiative	New York, New York	Non-Profit	Director/Trustee	6/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spring Bank NY	N/A		Directors Fees	\$13,000
2	Signature Bank (New York, New York) (SBNY)	N/A		Directors Fees	\$9,500
3	IRA #1	See Endnote		Distribution	\$19,000
3.1	Arbor Realty Trust Inc. (ABR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	Signature Bank (SBNY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.3	Palantir Technologies (PLTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Immunitybio Inc. (IBRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	U.S. Brokerage Account (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Arbor Realty Trust, Inc. (ABR)		N/A		Directors fees	\$366,250
5	Chartwell Laurel Street LLC	See Endnote	N/A	\$5,000,001 - \$25,000,000	Distributions	\$537,455
6	Chartwell Church Street LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000	Distributions	\$256,737
7	Commack CHI LLC	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
8	Warwick CHI LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
9	3708 Vestal Pkwy S. LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
10	Paul G. Enterprises Inc.	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
11	Signature Bank, unvested restricted stock		N/A	\$250,001 - \$500,000		None (or less than \$201)
12	Chartwell Hotels (Hotel Management business)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Distributions	\$150,000
13	Chartwell Innkeepers LLC (Shell entity)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
14	Tsunis Huntington LLC		No			
14.1	Huntington Village Hotel Partners LLC	See Endnote	N/A		2% Development Fee on total project costs estimated at \$23,000,000.00 or \$460,000.00 total fee (hotel development)	\$270,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.2	Huntington Village Hotel Partners LLC	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
14.3	500 Broadhollow LLC	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
14.4	Huntington Village Hotel Partners LLC, anticipated payment		N/A	\$100,001 - \$250,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Huntington Village Hotel Partners LLC	Huntington, New York	I will receive a development Fee of 2% of total project costs which is budgeted at \$23,000,000 to build a Hampton Inn & Suites. I can direct the payment to myself at any time.	9/2020
2	Signature Bank	New York, New York	I will forfeit any unvested restricted stock upon my separation.	4/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Huntington Village Hotel Partners LLC	Huntington, New York	Managing Partner and Developer
2	Chartwell Hotels LLC	Locust Valley, New York	CEO
3	Signature Bank	New York, New York	Independent director
4	Arbor Realty Trust	Uniondale, New York	Independent director

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
5	Spring Bank	Bronx, New York	Independent director
6	Chartwell Church Street LLC	Williamsport, Pennsylvania	Managing Member
7	Chartwell Laurel Street LLC	Williamsport, Pennsylvania	Managing Member

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #2	No		Distribution	\$27,000
1.1	Arbor Realty Trust Inc (ABR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	Pfizer Inc. (PFE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	U.S. Brokerage Account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage Account #1	No			
1.1	Arbor Realty Trust, Inc. (ABR)	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	US bank #1 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
3	US bank #2 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
4	US bank #3 (cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
5	UGMA account #1		No			
5.1	Arbor Realty Trust, Inc. (ABR)		N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6	Family Trust # 1		No			
6.1	Palantir Technologies Inc. (PLTR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Immunitybio Inc. (IBRX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Family Generation Skipping Trust # 1		No			
7.1	Misonix, Inc. (MSON)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.2	Chartwell Lodging LLC	See Endnote	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
7.3	Chartwell Church Street LLC		N/A	\$100,001 - \$250,000		None (or less than \$201)
7.4	Paul G. Enterprises Inc.		N/A	\$100,001 - \$250,000		None (or less than \$201)
8	UGMA account #2		No			
8.1	Arbor Realty Trust, Inc. (ABR)		N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.2	Regeneron Pharmaceuticals, Inc. (REGN)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Family Trust # 2		No			
9.1	Palantir Technologies Inc. (PLTR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.2	Immunitybio Inc. (IBRX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Family Generation Skipping Trust # 2		No			
10.1	Misonix, Inc. (MSON)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.2	Chartwell Church Street LLC		N/A	\$100,001 - \$250,000		None (or less than \$201)
10.3	Chartwell Lodging LLC	See Endnote	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
10.4	Paul G. Enterprises Inc.		N/A	\$100,001 - \$250,000		None (or less than \$201)
11	UGMA account #3		No			
11.1	Arbor Realty Trust, Inc. (ABR)		N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
12	Family Trust # 3		No			
12.1	Palantir Technologies, Inc. (PLTR)		N/A	\$1,001 - \$15,000		None (or less than \$201)
12.2	Immunitybio Inc. (IBRX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Family Generation Skipping Trust # 3		No			
13.1	Misonix, Inc. (MSON)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.2	Chartwell Lodging LLC	See Endnote	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3	Chartwell Church Street LLC		N/A	\$100,001 - \$250,000		None (or less than \$201)
13.4	Paul G. Enterprises Inc.		N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Chartwell Lodging LLC		No		Distributions	\$181,290
14.1	Two Office Buildings, Williamsport, Pennsylvania		N/A	\$1,000,001 - \$5,000,000		
15	Admiral 12 Fund		No			None (or less than \$201)
15.1	Two Apartment Complexes comprising approx. 1100 units in Tampa, Fla.		N/A	\$250,001 - \$500,000		
16	Antzoulis Family Partnership LLC		No		Dividends	\$5,001 - \$15,000
16.1	Commercial Real Estate, Maspeth, NY		N/A	\$500,001 - \$1,000,000		
17	3708 Vestal Pkwy S. LLC	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
18	Commack CHI LLC	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
19	Paul G. Enterprises Inc.	See Endnote	N/A	\$500,001 - \$1,000,000	Dividends	None (or less than \$201)
20	Chartwell Laurel Street LLC	See Endnote	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
21	Chartwell Church Street LLC	See Endnote	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
22	Platanos Capital LLC	See Endnote	No			
22.1	Loan receivable from 3708 Vestal Pkwy S. LLC.		N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Chartwell Third Street LLC	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
24	Poco Farms LLC	See Endnote	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
25	Chartwell William Street LLC		No			None (or less than \$201)
25.1	Commercial Property, Williamsport, Pennsylvania		N/A	\$500,001 - \$1,000,000		
26	Arbor Crowd Investment I LLC (York Avenue)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
26.1	1413 York Avenue LLC	See Endnote	N/A			
27	Arbor Crowd Investment II LLC (Clinton Heights)	See Endnote	No	\$15,001 - \$50,000		None (or less than \$201)
27.1	250 Washington Stone LLC	See Endnote	N/A			
27.2	260 Washington Stone LLC	See Endnote	N/A			
27.3	309-311 Washington Stone LLC	See Endnote	N/A			

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	First Republic Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2014	3%	43 months

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	3	During the pandemic, the filer withdrew funds from this IRA as permitted by the CARES Act, which permitted non-taxable and non-penalized withdrawals. Filer subsequently redeposited these funds in the IRA.
2.	5	Hotel business, Marriott Residence Inn, Williamsport PA
2.	6	Hotel business, Marriott Towne Place Suites, Williamsport, PA
2.	7	Hotel business, Hampton Inn, Commack NY
2.	8	Hotel business, Marriott Springhill Suites, Warwick, RI
2.	9	Hotel business, Hampton Inn & Suites, Vestal, NY
2.	10	Hotel business, Holiday Inn and Holiday Inn Express & Suites, Williamsport, PA
2.	12	Chartwell Hotels manages the various hotel businesses held by the filer. These include the entities listed in Section 2, Lines 5-10, 14.1-14.4 and Part 6, Lines 7.3-7.4, 10.2, 10.4, 13.3-13.4 and 17-21. The various hotel businesses are not subordinate entities under Chartwell Hotels but are rather each separately held.
2.	13	This is a shell entity originally formed for asset management purposes but it currently holds no assets and manages nothing.
2.	14.1	See Line 14.2 below.

PART	#	ENDNOTE
2.	14.2	Hotel business, Hampton Inn & Suites, Huntington, NY (under construction)
2.	14.3	Hotel business, Marriott Residence Inn, Melville, NY (under development)
5.	1	During the pandemic, the filer's spouse withdrew funds from this IRA as permitted by the CARES Act, which permitted non-taxable and non-penalized withdrawals. Filer's spouse subsequently redeposited these funds in the IRA.
6.	7.1	Acting as trustee of the Family Generation Skipping Trust # 1, the filer has subsequently caused this investment to be sold.
6.	7.2	The underlying assets of this entity are listed in Part 6, Line 14.1.
6.	10.1	Acting as trustee of the Family Generation Skipping Trust # 2, the filer has subsequently caused this investment to be sold.
6.	10.3	The underlying holdings of this entity are listed in Part 6, Line 14.1.
6.	13.1	Acting as trustee of the Family Generation Skipping Trust # 3, the filer has subsequently caused this investment to be sold.
6.	13.2	The underlying holdings of this entity are reported in Part 6, Line 14.1.
6.	17	Hotel business, Hampton Inn & Suites, Vestal, NY
6.	18	Hotel business, Hampton Inn, Commack NY
6.	19	Hotel business, Holiday Inn and Holiday Inn Express & Suites, Williamsport, PA
6.	20	Hotel business, Marriott Residence Inn, Williamsport PA
6.	21	Hotel business, Marriott Towne Place Suites, Williamsport, PA
6.	22	Filer owns 1/3 of this entity, which was formed for the purpose of making a loan for construction of a hotel in the amount of \$310,000.
6.	23	Real Estate previously held during the reporting period but sold in September, 2020. Mixed-use Building, Williamsport, Pennsylvania.
6.	24	Undeveloped real estate in Loyal Sock Township, Lycoming County, Pennsylvania. Filer sold this entity in November, 2020.
6.	26	Crowd Investment for multi family building on York Avenue in New York City

PART	#	ENDNOTE
6.	26.1	This is the entity which holds the real estate in New York City.
6.	27	Crowd Investment in 3 residential buildings totaling 88 apartment units in Clinton Heights, Brooklyn, New York.
6.	27.1	This is the entity that holds the real estate in Brooklyn, New York.
6.	27.2	This is the entity that holds the real estate in Brooklyn, New York.
6.	27.3	This is the entity that holds the real estate in Brooklyn, New York.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
