

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

LaVigne, Nancy

Director, National Institute of Justice, Department of Justice

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ LaVigne, Nancy [electronically signed on 05/18/2024 by LaVigne, Nancy in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Solomon, Amy L, Certifying Official [electronically signed on 07/22/2024 by Solomon, Amy L in Integrity.gov]

Other review conducted by

/s/ Slaughter, Chandria L, Ethics Official [electronically signed on 07/15/2024 by Slaughter, Chandria L in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SEP IRA	No	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
2	TIAA CREF Retirement Account	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3	TransAmerica 401k	Yes	\$1,000,001 - \$5,000,000		\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Urban Institute	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2001

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Marriott International, Inc. Defered Compensation	N/A		Cash payment	
2	Merrill Lynch IRA	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3	Morgan Stanley IRA	No	Over \$1,000,000	Dividends Interest	\$15,001 - \$50,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity 529 plan	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	SunTrust Bank Account	No	\$15,001 - \$50,000		None (or less than \$201)
3	Maryland 529 Plan	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Franklin Templeton (FAROX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Morgan Stanley Portfolio Management Acct - NLV	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
5.1	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
5.2	AT&T	No	\$1,001 - \$15,000		None (or less than \$201)
5.3	Chevron Corp. (CVX)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	Crown Castle International Corp. (CCI)	No	\$1,001 - \$15,000		None (or less than \$201)
5.5	CVS Health Corp. (CVS)	No	\$1,001 - \$15,000		None (or less than \$201)
5.6	Mastercard, Inc. (MA)	No	\$1,001 - \$15,000		None (or less than \$201)
5.7	Microsoft Corp. (MSFT)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.8	NESTLE SPON ADR REP RED SHR (NSRGY)	No	\$1,001 - \$15,000		None (or less than \$201)
5.9	First Trust NASDAQ Cybersecurity ETF (CIBR)	No	\$1,001 - \$15,000		None (or less than \$201)
5.10	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
5.11	Invesco QQQ Trust, Series 1 (QQQ)	No	\$1,001 - \$15,000		None (or less than \$201)
5.12	Health Care Select Sector SPDR Fund (XLV)	No	\$1,001 - \$15,000		None (or less than \$201)
5.13	iShares Gold Trust (IAU)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.14	iShares S&P 500 Value ETF (IVE)	No	\$1,001 - \$15,000		None (or less than \$201)
5.15	JPMorgan Ultra-Short Income ETF (JPST)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.16	Financial Select Sector SPDR Fund (XLF)	No	\$1,001 - \$15,000		None (or less than \$201)
5.17	Utilities Select Sector SPDR Fund (XLU)	No	\$1,001 - \$15,000		None (or less than \$201)
5.18	Utilities Select Sector SPDR Fund (XLU)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.19	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.20	Vanguard Extended Market Index Fund ETF Shares (VXF)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.21	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.22	Angel Oak Multi-Strategy Income Fund Institutional Class Shares (ANGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.23	First Eagle Overseas Fund Class I Shares (SGOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.24	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.25	Polen Growth Fund Institutional Class Shares (POLIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	Wells Fargo bank account	No	\$15,001 - \$50,000		None (or less than \$201)
7	TRUIST bank account	No	\$1,001 - \$15,000		None (or less than \$201)
8	iShares Core S&P Small-Cap ETF (IJR)	No	\$1,001 - \$15,000		None (or less than \$201)
9	iShares Edge MSCI USA Quality Factor ETF (QUAL)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
10	SPDRDoubleLine Total Return Tactical ETF (TOTL)	No	\$1,001 - \$15,000		None (or less than \$201)
11	Angel Oak Multi-Strategy Income Fund Institutional Class Shares (ANGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	MORGAN STANLEY PORTFOLIO ACCOUNT - TOM	No	Over \$1,000,000	Capital Gains Dividends Interest Rent or Royalties	\$100,001 - \$1,000,000
13.1	Accenture Plc (ACN)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.2	Alphabet, Inc. (GOOG)	No	\$1,001 - \$15,000	Capital Gains	\$15,001 - \$50,000
13.3	Amazon.com, Inc. (AMZN)	No	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
13.4	American Tower Corporation (REIT) Common Stock (AMT)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.5	Amgen Inc. (AMGN)	No	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
13.6	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$50,001 - \$100,000
13.7	AT&T	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.8	Berkshire Hathaway Inc. (BRKB)	No	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
13.9	Ball Corporation (BLL)	No	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
13.10	Ball Corporation (BLL)	No	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
13.11	BlackRock Health Sciences Trust II (BMEZ)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
13.12	Blackstone Inc. Common Stock (BX)	No	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.13	"BNP PARIBAS SA FXD TO 062037 VAR THRAFTR 7.1950% REGS ACCRUED BOND INTEREST RATE:BA1/BBB- 7.195% DUE:2062-01-03"	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.14	Chevron Corp. (CVX)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
13.15	CVS Health Corp. (CVS)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
13.16	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Yes	None (or less than \$1,001)		None (or less than \$201)
13.17	First EagleGlobal Fund Class I Shares (SGIIX)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
13.18	First Eagle Overseas Fund Class I Shares (SGOIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.19	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.20	GENERAL MOTORS FINANCE BOND	No	\$15,001 - \$50,000	Capital Gains Dividends	\$15,001 - \$50,000
13.21	GBIL	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
13.22	Goldman Sachs Dynamic Municipal Income Fund Class A Shares (GSMIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.23	Goldman Sachs Equal Weight US Large Cap Equity ETF (GSEW)	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.24	Health Care Select Sector SPDR Fund (XLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.25	Invesco Conservative Income Fund Institutional Class Shares (ICIFX)	Yes	None (or less than \$1,001)		None (or less than \$201)
13.26	Invesco QQQ Trust, Series 1 (QQQ)	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.27	Invesco Oppenheimer Short Term Muni Fd Class Y Sh (ORSYX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
13.28	iShares 20+ Year Treasury Bond ETF (TLT)	No	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
13.29	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
13.30	iShares Edge MSCI USA Quality Factor ETF (QUAL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.31	iShares S&P 500 Value ETF (IVE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.32	iShares Gold Trust (IAU)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
13.33	JPMorgan Ultra-Short Income ETF (JPST)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
13.34	Knight-Swift Transportation Holdings, Inc. (KNX)	No	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
13.35	Liberty Media Corporation Series B Liberty SiriusXM Common Stock (LSXMB)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
13.36	Marriott International, Inc. (MAR)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$1,001 - \$2,500
13.37	Mastercard, Inc. (MA)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
13.38	Materials Select Sector SPDR Fund (XLB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.39	METLIFE CORP	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13.40	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
13.41	MORGAN STANLEY PRIVATE BANK	No	\$50,001 - \$100,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.42	NESTLE (NSRGY)	No	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
13.43	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Yes	None (or less than \$1,001)		None (or less than \$201)
13.44	Polen Growth Fund Institutional Class Shares (POLIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.45	Putnam Ultra Short Duration Income Fund Class Y Shares (PSDYX)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
13.46	QUALCOMM, Inc. (QCOM)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.47	RHHBY ROCHE HOLDINGS	No	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
13.48	Shopify Inc. Class A Subordinate Voting Shares (SHOP)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
13.49	Financial Select Sector SPDR Fund (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.50	Thermo Fisher Scientific, Inc. (TMO)	No	\$15,001 - \$50,000		None (or less than \$201)
13.51	The TJX Cos., Inc. (TJX)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$2,501 - \$5,000
13.52	UnitedHealth Group, Inc. (UNH)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.53	Utilities Select Sector SPDR Fund (XLU)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13.54	Vanguard Extended Market Index Fund ETF Shares (VXF)	No	\$50,001 - \$100,000	Capital Gains Dividends	\$2,501 - \$5,000
13.55	Vanguard Health Care Index Fund ETF Class Shares (VHT)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.56	Vanguard Industrials Index Fund ETF Class Shares (VIS)	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.57	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.58	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	None (or less than \$1,001)		None (or less than \$201)
13.59	Verizon Communications, Inc. (VZ)	No	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
13.60	Visa, Inc. (V)	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
13.61	Walmart, Inc. (WMT)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
13.62	Xylem, Inc. (XYL)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.63	AIPO1 AIP ABSOLUTE RETURN FUND	No	\$100,001 - \$250,000	Dividends Rent or Royalties	\$15,001 - \$50,000
13.64	HPD3S HP MILLENIUM USA	No	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
13.65	BBF38 BLACKSTONE REAL ESTATE INVESTMENT TRUST	No	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
13.66	CARLISLE ENERGY OFFSHORE FEEDER	No	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
13.67	Goldman Sachs Access Treasury 0-1 Year ETF (GBIL)	No	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
13.68	iShares Intermediate Government/Credit Bond ETF (GVI)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
13.69	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	No	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
13.70	Axonix Strategic Income Fund (AXSIX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
13.71	Baron Real Estate Fund Institutional Shares (BREIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.72	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
13.73	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.74	Eaton Vance Emerging Markets Debt Opportunities Fund Class I Shares (EIDOX)	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
14	3M Company	No	\$1,001 - \$15,000		\$201 - \$1,000
15	Apple	No	\$15,001 - \$50,000		\$201 - \$1,000
16	Archer Daniels Midland	No	\$1,001 - \$15,000		\$1,001 - \$2,500
17	AT&T	No	\$1,001 - \$15,000		\$201 - \$1,000
18	Bell Canada	No	\$1,001 - \$15,000		\$201 - \$1,000
19	BlackHills Corp BKH	No	\$15,001 - \$50,000		\$201 - \$1,000
20	BlackRock National Muni	No	\$15,001 - \$50,000		None (or less than \$201)
21	Bristol Myers Squibb	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22	Cisco	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
23	Consumer Discretionary Select SPDR	No	\$15,001 - \$50,000		None (or less than \$201)
24	Corning Inc.	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	CVS Health Corp	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26	Dominion Energy Inc.	No	\$1,001 - \$15,000		\$201 - \$1,000

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27	Duke Energy	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28	Eaton Corp PLC	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
29	Exxon-Mobil	No	\$1,001 - \$15,000		\$1,001 - \$2,500
30	First Trust NASDAQ Tech. Index Fuind	No	\$1,001 - \$15,000		None (or less than \$201)
31	Franklin Federal Tax Free Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
32	General Dynamics	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
33	General Mills	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
34	Honda Motor Company ADR	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
35	Industrial Select Sector SPDR Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Ingredion Inc.	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
37	Intel Corp.	No	None (or less than \$1,001)		None (or less than \$201)
38	International Paper Corp.	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
39	INVESCO Bullet Shares 2024 Corp. Bnd ETF	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
40	INVESCO Bullet Shares 2025	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
41	INVESCO Bullet Shares 2026	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
42	INVESCO National AMT Free Municipal Bond ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Ishares 5-10 Yr. Investment Grade Corp Bond ETF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
44	Ishares Core US Aggregate Bond ETF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
45	Ishares Global Energy ETF	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
46	Ishares IBOXX Investment Grade Corp. Bond ETF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
47	Ishares EAFE Value ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
48	Ishares National Muni Bond ETF	Yes	None (or less than \$1,001)		None (or less than \$201)
49	Ishares Preferred Securities ETF	No	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
50	Ishares TIPS Bond ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
51	Johnson & Johnson	No	\$15,001 - \$50,000		\$201 - \$1,000
52	JPMorgan Equity Premium Income ETF JPMorgan Equity Premium Income ETF (JEPI)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
53	Kellogg Co.	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
54	Kimberly Clark	No	\$1,001 - \$15,000		None (or less than \$201)
55	Kraft-Heinz	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
56	Lockheed Martin	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
57	Lord Abbot Short Duration Income	Yes	None (or less than \$1,001)		None (or less than \$201)
58	Lord Abbot Ultra Short Bond Fund	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
59	Lyondell Basell Industries	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
60	Marathon Petroleum	No	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
61	Merck	No	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
62	MDU Resources	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
63	Medtronic PLC	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
64	MFS Municipal Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
65	Newmont Corp.	No	\$1,001 - \$15,000		None (or less than \$201)
66	OakTree	No	\$15,001 - \$50,000	Dividends Capital Gains Interest Rent or Royalties	\$2,501 - \$5,000
67	OGE Energy Corp.	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
68	Pfizer	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
69	PNC Financial Services Group	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
70	PPL	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
71	Procter & Gamble	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
72	Royal Bank of Canada	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
73	Shell PLC ADR	No	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
74	SPDR Portfolio Europe ETF	No	\$1,001 - \$15,000		None (or less than \$201)
75	State Street Corp.	No	\$1,001 - \$15,000		\$201 - \$1,000
76	Total Energies SA ADR	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
77	US Bancorp	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
78	Unilever PLC	No	\$1,001 - \$15,000		None (or less than \$201)
79	United Parcel Service	No	\$1,001 - \$15,000		\$201 - \$1,000
80	VanEck Long Muni ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
81	Vanguard Communications Services ETF	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
82	Vanguard Intermediate Term Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
83	Vanguard Intermediate Term Corporate Bond ETF	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
84	Vanguard Short term Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
85	Verizon	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
86	Walmart	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
87	Whirlpool	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
88	Zimmer Biomet Holdings	No	None (or less than \$1,001)		None (or less than \$201)
89	Merrill Lynch Bank Deposits	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
90	Alphabet, Inc. (GOOG)	No	\$1,001 - \$15,000		None (or less than \$201)
91	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000		None (or less than \$201)
92	Berkshire Hathaway Inc. (BRKB)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
93	iShares Intermediate Government/Credit Bond ETF (GVI)	No	\$1,001 - \$15,000		None (or less than \$201)
94	JPMorgan Equity Premium Income ETF JPMorgan Equity Premium Income ETF (JEPI)	No	\$1,001 - \$15,000		None (or less than \$201)
95	VPU	No	\$1,001 - \$15,000		None (or less than \$201)
96	Axonic Strategic Income Fund (AXSIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
97	Baron Real Estate Fund Institutional Shares (BREIX)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
98	DoubleLine Total Return Bond Fund Class I Shares (DBLTX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
99	Eaton Vance Atlanta Capital SMID-Cap Fund Class I Shares (EISMX)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
100	AMN ELEC POWER CO	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
101	NorthWestern Corp. (NWE)	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
102	United Parcel Service, Inc. (UPS)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
103	BCE Inc. Common Stock (BCE)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
104	Linde Plc (LIN)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
105	Northrop Grumman Corp. (NOC)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
106	The Walt Disney Co. (DIS)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000
107	3M Co. (MMM)	No	None (or less than \$1,001)	Dividends	\$201 - \$1,000

7. Transactions

None

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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