

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Berger, William

U.S. Marshal, Middle District of Florida, Department of Justice - U.S. Marshals Service

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Berger, William [electronically signed on 04/18/2017 by Berger, William in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Auerbach, Gerald, Certifying Official [electronically signed on 07/13/2017 by Auerbach, Gerald in Integrity.gov]

Other review conducted by

/s/ Marcovici, Robert, Ethics Official [electronically signed on 07/13/2017 by Marcovici, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 07/18/2017 by Skalla, Daniel L in Integrity.gov]

Data Revised 07/13/2017

Data Revised 06/14/2017

Data Revised 06/13/2017

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Miami Fire and Police Retirement Trust defined benefit (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$2,000
2	Palm Bay Police and Firefighter Pension Fund (defined benefit - value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$1,600
3	North Miami Beach Police Pension (defined benefit - value not readily ascertainable)	N/A		Retirement Payments(monthly)	\$5,700
4	ICMA RHS Premier Services Program (457 account through Palm Bay Police)	See Endnote	Yes	\$15,001 - \$50,000	
4.1	Vantagepoint Milestone 2015		Yes	\$15,001 - \$50,000	\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Miami Fire and Police Retirement Trust	Miami , Florida	I will continue to participate in this defined benefit plan.	1/1974
2	North Miami Beach Police Pension	North Miami Beach, Florida	I will continue to participate in this defined benefit plan..	11/1989
3	Palm Bay Police and Firefighters Pension Fund	Palm Bay, Florida	I will continue to participate in this defined benefit plan.	4/2004

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	ICMA RC account through the city of Palm Bay	Palm Bay, Florida	I will continue to participate in this defined contribution plan. The plan sponsor has not made further contributions since my separation.	4/2004

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Intel Corp		\$1,001 - \$15,000		None (or less than \$201)
2	Altria Group Inc		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3	Cisco systems inc.com		\$1,001 - \$15,000		None (or less than \$201)
4	Philip Morris Int'l		\$1,001 - \$15,000		None (or less than \$201)
5	BHP Billiton LTD ADR		\$1,001 - \$15,000		None (or less than \$201)
6	Boeing Co		\$1,001 - \$15,000		None (or less than \$201)
7	Merrill-Lynch Bank Deposit Program (cash account)		\$1,001 - \$15,000		None (or less than \$201)
8	New England Life Insurance Co (Annuity-MetLife)	See Endnote			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	American Funds Growth-Income Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.2	Bailie Gifford INT Services	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3	Frontier Mid Cap Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.4	Clarion Global Real Estate Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.5	MetLife Fixed Account		\$15,001 - \$50,000	Interest	\$201 - \$1,000
8.6	PIMCO Total Return B	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.7	iShares Russell 2000 Value Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.8	SSga Growth IncomeETF Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	MetLife Performance Premier B Class Qualified IRA				
9.1	American Funds Global Capitalization Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.2	American Funds Growth-Income Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.3	Fixed Interest Account		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
9.4	Harris Oakmark International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.5	JPMorgan Core Bond Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.6	Metlife Mid Cap Stock Index Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.7	Metlife Stock Index Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.8	MFS Research International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.9	PIMCO Total Return Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.10	T. ROWE Price Large Cap Growth Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.11	Western Asset Management US Government Port	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.12	WMC Core Equity Opportunities Portfolio	See Endnote	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.13	Clarion Global Real Estate Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10	Lincoln American Legacy C. Shares (variable Annuity through Merrill Lynch)				
10.1	American Blue Chip Income and Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.2	American Growth Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.3	American Growth and Income	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.4	American New World Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	American Global Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	American International Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.7	Global Growth -INC		\$1,001 - \$15,000		None (or less than \$201)
10.8	AmGlobal Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.9	AM Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.10	AM Global Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.11	Gov't /AAA sec	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.12	High Income Bond	See Endnote		\$1,001 - \$15,000		None (or less than \$201)
10.13	BH/Wellington Core Equity Portfolio	See Endnote	Yes	\$100,001 - \$250,000		\$201 - \$1,000
11	Genworth Financial Inc Commonwealth extra (variable annuity-through Merrill Lynch)					
11.1	ClearBridge Variable Equity Income Part I	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.2	Alger Sm cap growth Part C1 1-2	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.3	Fidelity VIP Eq-inc Port -int Cl		Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.4	Fidelity VIP Contra Part-Init C1		Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.5	Janus Aspen Series Int shs		Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.6	GE Invest Funds S&P 500 Index Fund		Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.7	GE Invest Funds RI est sec Fd C1 1		Yes	\$1,001 - \$15,000		None (or less than \$201)
11.8	Goldman sachs VIT Money MKT SER SH	See Endnote	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.9	GE Invest Funds Sm cap Eqty FD C		Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.10	Templeton Foreign VIP FND C1 1 SHS		Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	Merrill Lynch mutual Funds /closed end funds				Dividends	
12.1	Blackrock Eqty Dividend		Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.2	Clearbridge Sm Cap Growth Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.3	Deutsche Global/DWSRREEF		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.4	Dreyfus Appreciation FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.5	Franklin Rising Dividends Adv	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
12.6	IVY Global Natural	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.7	John Hancock Classic	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.8	Lazard Emerging Mkts	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.9	Mainstay Large Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.10	MFS Research Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.11	Neuberger German High Income Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.12	Oppenheimer Rising Dividends Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.13	PIMCO Total Return P	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.14	TCW TOTAL RETURN Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.15	THE OAKMARK Intl Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
12.16	Vanguard Information	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.17	Victory Small Company Oppunity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Space Coast Credit Union	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	State Farm Life Insurance (cash value)		\$1,001 - \$15,000	Interest	\$201 - \$1,000
15	Florida Pre paid College Plan for dependent child		\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Florida Pre Paid College Plan for minor grandchild	See Endnote	\$1,001 - \$15,000	Interest	\$201 - \$1,000
17	WE Florida Finanical Credit Union	See Endnote	\$50,001 - \$100,000		None (or less than \$201)
18	Provident Life Insurance (whole life)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19	American Euro Pacific Growth Fund CL F2	Yes	\$1,001 - \$15,000		
20	Lockheed Martin	N/A	\$1,001 - \$15,000		
21	Microsoft Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Merck & Co Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
23	Pfizer Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Cohen & Steers International Realty I	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	mfs value fd cl 1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
26	General Electric Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
27	AT&T	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	United Police CU (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Ford Motor Co.	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Sector Spider Energy	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	General Electric Co	Purchase	01/25/16	\$1,001 - \$15,000
2	Pfizer Inc	Purchase	07/14/16	\$1,001 - \$15,000
3	Microsoft Corp	Purchase	07/14/16	\$1,001 - \$15,000
4	Lookheed Martin corp	Purchase	07/14/16	\$1,001 - \$15,000
5	Intl Business Machines Corp	Purchase	07/14/16	\$1,001 - \$15,000
6	Merck & Co Inc shs	Purchase	07/14/16	\$1,001 - \$15,000
7	The Oakmark Intl Fund	Sale	07/14/16	\$1,001 - \$15,000
8	AT&T	Purchase	07/14/16	\$1,001 - \$15,000
9	Corning Inc	Sale	07/14/16	\$1,001 - \$15,000
10	Dreyfus Appreciation Fd	Sale	07/14/16	\$1,001 - \$15,000
11	Cohen & Steers International Realty I	Purchase	07/14/16	\$1,001 - \$15,000
12	MFS Value Fund	Purchase	07/14/16	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage on Personal Residence	\$50,001 - \$100,000	2012	3.05	10 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	4	Health care reimbursement investment
6.	8	Annuity consisting of various funds listed immediately after this listing
6.	9.12	Formerly Davis Venture.
6.	10.11	Filer inadvertently left asset off of earlier reports.
6.	10.12	Filer inadvertently left off earlier reports.
6.	10.13	Formerly WMC Core Equity Opportunities Portfolio.
6.	11.1	Filer inadvertently left off earlier forms.
6.	11.2	Filer inadvertently left off prior forms.
6.	11.8	Filer inadvertently left off prior forms.
6.	16	Filer inadvertently left off prior forms.
6.	17	Peoples Credit Union was acquired by We Financial services on October1,2015

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
