# Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020) <br> Executive Branch Personnel <br> Public Financial Disclosure Report (OGE Form 278e) 

## Filer's Information

## Fonzone, Christopher

General Counsel, Office of the Director of National Intelligence

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Select Committee on Intelligence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Fonzone, Christopher [electronically signed on 02/03/2021 by Fonzone, Christopher in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Brooker, Bradley A, Certifying Official [electronically signed on 03/17/2021 by Brooker, Bradley A in Integrity.gov]

Other review conducted by
U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

| \# | ORGANIZATION NAME |  | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Sidley Austin LLP | See Endnote | Washington, District of Columbia | Law Firm | Partner | 11/2017 | Present |
| 2 | PT Fund, Inc. | See Endnote | Washington, District of Columbia | Non-Profit | Volunteer <br> Lawyer on National Security Policy Team | 8/2020 | 1/2021 |
| 3 | NYU Reiss Center on Law and Security |  | New York, New York | University/Colleg e | Non-Resident Senior Fellow | 9/2018 | Present |

2. Filer's Employment Assets \& Income and Retirement Accounts

| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | IRA (Roth) | No |  |  |  |
| 1.1 | Vanguard Lifestrategy Growth Fund (VASGX) | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | None (or less than \$201) |
| 2 | IRA (Traditional) | No |  |  |  |
| 2.1 | Vanguard Lifestrategy Growth Fund (VASGX) | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | None (or less than \$201) |
| 3 | Novantas LLC 401(k) | No |  |  |  |
| 3.1 | T. Rowe Price Retirement 2040 Fund (TRRDX) | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |
| 3.2 | Alger MidCap Growth Institutional Class I (ALMRX) | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | None (or less than \$201) |


| \# | DESCRIPTION |  | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3.3 | Fidelity Intermediate Bond Fund (FTHRX) |  | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |
| 3.4 | Fidelity Managed Income Portfolio - Class 1 |  | Yes | \$1,001-\$15,000 |  | None (or less than \$201) |
| 3.5 | Fidelity Balanced Fund -- Class K (FBAKX) |  | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | None (or less than \$201) |
| 3.6 | Fidelity Overseas Fund -- Class K (FOSKX) |  | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |
| 4 | Sidley Austin LLP (law firm) | See Endnote | N/A |  | Partnership Share | \$432,482 |
| 5 | Sidley Austin LLP Savings and Investment Plan |  | No |  |  |  |
| 5.1 | Vanguard Institutional Target Retirement 2040 Fund Institutional Class Shares (VIRSX) |  | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | None (or less than \$201) |
| 6 | Sidley Austin LLP Retirement Plan for Partners |  | No |  |  |  |
| 6.1 | Vanguard Institutional Target Retirement 2040 Fund Institutional Class Shares (VIRSX) |  | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | None (or less than \$201) |
| 7 | Sidley Austin Law Partnership Capital Account |  | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |
| 8 | Sidley Austin LLP Cash Balance Retirement Plan for Partners (Defined Benefit Plan) |  | N/A | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | None (or less than \$201) |
| 9 | Sidley Austin LLP Consolidated Retirement Differential Account (cash account) | See Endnote | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |
| 10 | Sidley Austin LLP, anticipated partnership share |  | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | None (or less than \$201) |

## 3. Filer's Employment Agreements and Arrangements

| \# | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS |
| :--- | :--- | :--- | :--- |

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| \# | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
| :---: | :---: | :---: | :---: |
| 1 | Sidley Austin LLP | Washington, District of Columbia | Salary for legal work |
| 2 | Ancient Management LP (Sidley Austin LLP client) | New York, New York | Legal services |
| 3 | Anchorage Capital Group, LLC (Sidley Austin LLP client) | New York, New York | Legal services |
| 4 | Angeles Investment Advisors, LLC (Sidley Austin LLP client) | Santa Monica, California | Legal services |
| 5 | Basecamp, LLC (Sidley Austin LLP client) | Chicago, Illinois | Legal services |
| 6 | CIT Group, Inc. (Sidley Austin LLP client) | Livingston, New Jersey | Legal services |
| 7 | Eurazeo SE (Sidley Austin LLP client) | Paris, Outside U.S. | Legal services |
| 8 | Expanse, Inc. (Sidley Austin LLP client) | San Francisco, California | Legal services |
| 9 | Goldman Sachs International (Sidley Austin LLP client) | London, Outside U.S. | Legal services |
| 10 | Hong Kong Trade Development Council (Sidley Austin LLP client) | Hong Kong, Outside U.S. | Legal services |
| 11 | The Incentrum Group (Sidley Austin LLP client) | New York, New York | Legal services |
| 12 | In-Q-Tel, Inc. (Sidley Austin LLP client) | Arlington, Virginia | Legal services |
| 13 | Lone Pine Capital (Sidley Austin LLP client) | Greenwich, Connecticut | Legal services |
| 14 | OMERS Private Equity Inc. (Sidley Austin LLP client) | Toronto, Outside U.S. | Legal services |
| 15 | The Options Clearing Corporation (Sidley Austin LLP client) | Chicago, Illinois | Legal services |



| $\#$ | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
| :--- | :--- | :--- | :--- | :--- |
| 32 | Freedom Financial Network, LLC (Sidley <br> Austin LLP client) | San Mateo, <br> California | Legal services |
| 33 | Sixth Street Partners, LLC (Sidley Austin LLP <br> client) | San Francisco, <br> California | Legal services |
| 34 | Archer Daniels Midland Company (Sidley <br> Austin LLP client) | Chicago, Illinois | Legal services |
| 35 | King Street Capital Management, L.L.C. <br> (Sidley Austin LLP client) | New York, New <br> York | Legal services |
| 36 | News UK \& Ireland Limited (Sidley Austin LLP <br> client) | London, Outside | Legal services |
| 37 | Taconic Capital Advisors LP (Sidley Austin <br> LLP client) | New York, New | Legal services |
| 38 | Waterfall Asset Management, LLC (Sidley <br> Austin LLP client) | York |  |
| 39 | AT\&T, Inc. (Sidley Austin LLP client) | York |  |


| \# | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
| :---: | :---: | :---: | :---: |
| 47 | Recorded Future (Sidley Austin LLP client) | Somerville, Massachusetts | Legal services |
| 48 | Spectraforce Technologies, Inc. (Sidley Austin LLP client) | Raleigh, North Carolina | Legal services |
| 49 | Twitter, Inc. (Sidley Austin LLP client) | San Francisco, California | Legal services |
| 50 | Vitalize LLC (Sidley Austin LLP client) | Boise, Idaho | Legal services |
| 51 | American College of Medical Genetics and Genomics (Sidley Austin LLP client) | Bethesda, Maryland | Legal services |
| 52 | Atrium Health (Sidley Austin LLP client) | Charlotte, North Carolina | Legal services |
| 53 | Best Buy Co. Inc. (Sidley Austin LLP client) | Richfield, Minnesota | Legal services |
| 54 | Charter Communications, Inc. (Sidley Austin LLP client) | Stamford, Connecticut | Legal services |
| 55 | LPL Financial, LLC (Sidley Austin LLP client) | Boston, Massachusetts | Legal services |
| 56 | ExodusPoint Capital Management, LP (Sidley Austin LLP client) | New York, New York | Legal services |
| 57 | Cadian Capital Management, LLC (Sidley Austin LLP client) | New York, New York | Legal services |

## 5. Spouse's Employment Assets \& Income and Retirement Accounts

| $\#$ | DESCRIPTION | EIF | VALUE |
| :--- | :--- | :--- | :--- |


| $\#$ | DESCRIPTION | EIF | VALUE | INCOME TYPE |
| :--- | :--- | :--- | :--- | :--- |
| 2 | National Board of Medical Examiners (Non- <br> Profit Organization) | N/A | consulting fees |  |
| 3 | IRA (Traditional) | No |  |  |
| 3.1 | Vanguard Target Retirement 2045 Fund <br> (VTIVX) | Yes | None (or less <br> than \$201) |  |
| 3.2 | Vanguard Federal Money Market Fund <br> (Settlement Fund) | Yes | None (or less <br> than $\$ 201)$ |  |
| 4 | IRA (Roth) | \$250,001-00 |  |  |

## 6. Other Assets and Income

| $\#$ | DESCRIPTION | EIF | VALUE | INCOME TYPE |
| :--- | :--- | :--- | :--- | :--- |
| 1 | U.S. Bank \#1 (cash) | INCOME |  |  |
| AMOUNT |  |  |  |  |


| \# | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | Calamos Growth \& Income A (CVTRX) | Yes | \$1,001-\$15,000 |  | \$201-\$1,000 |
| 5 | Calamos Growth Fund Class A (CVGRX) | Yes | \$1,001-\$15,000 |  | \$201-\$1,000 |
| 6 | Invesco Global Growth A (AGGAX) | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 7 | Invesco Global Growth C (AGGCX) | Yes | \$1,001-\$15,000 |  | \$201-\$1,000 |
| 8 | PIMCO Income Fund Class A (PONAX) | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 9 | Schwab U.S. Aggregate Bond Index Fund (SWAGX) | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 10 | Parnassus Core Equity Fund -- Investor Shares (PRBLX) | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 11 | Schwab International Index Fund - Select Shares (SWISX) | Yes | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ |  | \$201-\$1,000 |
| 12 | Schwab S\&P 500 Index Fund (SWPPX) | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 13 | USAA Mutual Funds Trust Tax Exempt Intermediate Term Fund (USATX) | Yes | $\begin{aligned} & \$ 50,001- \\ & \$ 100,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 14 | Schwab Total Stock Market Index Fund -Select Shares (SWTSX) | Yes | $\begin{aligned} & \$ 100,001- \\ & \$ 250,000 \end{aligned}$ |  | \$1,001-\$2,500 |
| 15 | U.S. Treasury Bonds -- HH Class | N/A | $\begin{aligned} & \$ 15,001- \\ & \$ 50,000 \end{aligned}$ | Interest | \$201-\$1,000 |
| 16 | U.S. Treasury Bonds -- EE Class | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |
| 17 | U.S. Treasury Bonds -- I Class | N/A | \$1,001-\$15,000 |  | None (or less than \$201) |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| $\#$ | CREDITOR NAME |  | TYPE | AMOUNT | YEAR | RATE |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | Nelnet, Omaha, NE | See Endnote | Student Loan | $\$ 15,001-$ | 2000 | $1.625 \%$ |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

| PART | $\#$ | ENDNOTE |
| :--- | :--- | :--- |
| 1. | 1 | I was on leave from my position at Sidley Austin LLP from September 2020 until the present. |
| 1. | 2 | I served as a volunteer treated as staff. |
| 2. | My compensation at Sidley is a combination of equity-based and non-equity-based income, which are not <br> split that way when I receive them and which I report together here. |  |
| 2. | As of the date of filing, I am not yet vested in this account and would thus forfeit the interest if I leave Sidley <br> Austin LLP. |  |
| 4. | The company was called INTL FC Stone when I provided legal services. |  |
| 8. | 18 | As of the date of filing, this loan has been paid off. |

## Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or nonmanaging member of a limited liability company.

## 2. Filer's Employment Assets \& Income and Retirement Accounts

## Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $\$ 200$ during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $\$ 1,000$ or (2) from which more than $\$ 200$ in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $\$ 0-\$ 200$ or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

Part 4 discloses sources (except the United States Government) that paid more than $\$ 5,000$ in a calendar year for the filer's services during any year of the reporting period.
The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## 5. Spouse's Employment Assets \& Income and Retirement Accounts

## Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $\$ 1,000$ during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $\$ 200$ during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $\$ 1,000$ or (2) from which more than $\$ 200$ in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $\$ 0$ - $\$ 200$ or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $\$ 1,000$ or (2) from which more than $\$ 200$ in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $\$ 5,000$ or less (unless more than $\$ 200$ in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $\$ 0-\$ 200$ or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $\$ 1,000$ made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over $\$ 10,000$ that the filer, the filer's spouse or dependent child owed at any time during the reporting period.
This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $\$ 10,000$ at the end of the reporting period. Additional exceptions apply
9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $\$ 415$ that the filer, the filer's spouse, and dependent children received from any one source during the reporting period
- Travel reimbursements totaling more than $\$ 415$ that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $\$ 166$ or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and $402(\mathrm{~b})(1)$ of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

