

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Kearns, Jason

Commissioner, U.S. International Trade Commission

Report Year: 2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kearns, Jason [electronically signed on 05/08/2022 by Kearns, Jason in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bianchi, Dominic, Certifying Official [electronically signed on 06/13/2022 by Bianchi, Dominic in Integrity.gov]

---

Other review conducted by

/s/ Enge, Todd, Ethics Official [electronically signed on 06/13/2022 by Enge, Todd in Integrity.gov]

---

U.S. Office of Government Ethics Certification

Data Revised 06/13/2022

Data Revised 06/10/2022

Data Revised 06/09/2022

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	WilmerHale	No			
1.1	American Cent Mid Cap	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.2	Parnassus Core Equity	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3	BlackRock Equ Idx	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.4	Loomis Sayles Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.5	Vanguard Tax Small Cap (VTMSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Oakmark Int'l (OAKIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	MayerBrown	No			
2.1	Vanguard Target 2035	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Dodge&Cox stock	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.3	ClearBridge Small cap growth	Yes	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WilmerHale	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2000
2	MayerBrown	Chicago, Illinois	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/1996

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The New England School of English	N/A		salary	
2	Villere Balanced Fund IRA	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
3	Villere Balanced Fund TMS IRA	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Washington Mutual Investor Fund FWMIX Capital Group	Yes	Over \$1,000,000		\$50,001 - \$100,000
2	New Economy Fund FNEFX Capital Group	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
3	Europacific Growth Fund FEUPX Capital Group	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4	U.S. Bank #1 (Cash) (LKITC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Vanguard Total International Stock ETF (VXUS) (LKITC)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
6	Vanguard Total Stock (VTI) (LKITC)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
7	Vanguard Total World (VT) (LKITC)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
8	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (LKITC)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9	U.S. Bank #1 Cash (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
10	Pool Corporation (POOL) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
11	First Hawaiian (FHB) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
12	Kearny Fin Corp (KARNY) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
13	Visa Inc CL A (V) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
14	Steris Plc (STE) (VJ)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Telefax Inc (TFX) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
16	Roper Technologies (ROP) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
17	EBIX (EBIX) (VJ)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
18	Euronet Worldwide (EEFT) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
19	On Semiconductor Corp (ON) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
20	Progressive Corp (PGR) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
21	Vanguard Star FD TL Int Stk (VTIAX) (LAKS)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
22	Vanguard Index Growth (VUG) (LAKS)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
23	Vanguard Index Total Stock Mkt (VTI) (LAKS)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
24	Vanguard Index Fds Value (VTV) (LAKS)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
25	US Bank #2 (Cash) (Jt)	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
26	US Bank #2 (Cash) (E)	N/A	\$15,001 - \$50,000		None (or less than \$201)
27	US Bank #2 (Cash) (K)	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	US Bank #2 (Cash) (S)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Sarofim Equity Fund (E)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30	Sarofim Equity Fund (K)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
31	Sarofim Equity Fund (S)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
32	Villere Balanced Fund (E)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
33	Villere Balanced Fund (K)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
34	Villere Balanced Fund (S)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
35	Villere Balanced Fund (JT1)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
36	Villere Balanced Fund (JEF)	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
37	Family Trust #1 (IAFT FBOLK)				
37.1	US Bank #1 (Cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
37.2	Eaton Vance Floating-Rate Fund Institutional Class Shares (EIBLX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
38	Family Trust #2 (IAT FBOLK)				
38.1	US Bank #1 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38.2	Eaton Vance Floating-Rate Fund Institutional Class Shares (EIBLX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
38.3	Vanguard Total Stock (VTI)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
38.4	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
39	Family Trust #3 (LKGSTEXSH)				
39.1	US Bank #3 (Cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
39.2	AXON Enterprise (AAXN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
39.3	Kearny Finl Corp (KRNY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
39.4	Visa Inc (V)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
39.5	Vanguard Total Stock (VTI)	Yes	Over \$1,000,000		\$15,001 - \$50,000
39.6	Vanguard Short Term Tax (VWSUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
39.7	Schwab Treasury Obligations Money Fund Investor Class Shares (SNOXX)	Yes	Over \$1,000,000		\$201 - \$1,000
39.8	Financial Technology Ventures II	No	\$50,001 - \$100,000		
39.8.1	Cloudify	N/A			
39.9	Financial Technology Ventures III	No	\$100,001 - \$250,000		
39.9.1	Centro	N/A			
39.9.2	Company.com	N/A			
39.9.3	eBaoTech	N/A			
39.10	Financial Technology Ventures IV	No	Over \$1,000,000		
39.10.1	Credorax	N/A			



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39.10.2	Edgewater Markets	N/A			
39.10.3	Enfusion	N/A			
39.10.4	ID.me	N/A			
39.10.5	LiveIntent	N/A			
39.10.6	Swan Global Holdings	N/A			
39.10.7	Swan Global Management	N/A			
39.10.8	True Potential	N/A			
40	Family Trust #4 (Mel 78)				
40.1	Ishares MSCI Emerging (EEM)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
40.2	Schwab Treasury Oblig Market Fund (SNOXX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
40.3	Exempt Fd Admiral Share (VWIUX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
40.4	US Bank (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Family Trust #5 (Mel 84)				
41.1	US Bank #3 (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
41.2	ISHS MSCI Emerg Mrkt Min (EEMV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
41.3	Harding Loevner Internat (HLMIX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
41.4	Ishares MSCI Emerging (EEM)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
42	Family Trust #6 (Mel 86/87 GSTNE)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42.1	Us Bank #3 (cash)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
42.2	ISHARES MSCI EAFE Value (EFV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
42.3	Harding Loevner Intern (HLMIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
42.4	Vanguard Interm Term Tax (VWIUX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
43	Family Trust #7 (Mel 86/87 GSTEX)				
43.1	Ishares MSCI EAFE (EFV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
43.2	Us Bank #3 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
43.3	ISHS MSCI Emerg Mrkt Min (EEMV)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
43.4	Vanguard Total International Stock Index Fund ETF Shares (VXUS)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
43.5	Harding Loevner Internat (HLMIX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
43.6	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
44	Family Trust #8 (AWAT)	See Endnote			
44.1	Truist Bk Trust Dep Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
44.2	Truist Bk Trust Dep Account	N/A	\$50,001 - \$100,000		None (or less than \$201)
44.3	Bernalillo Cnty New Mexico Gross Revenue Bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
44.4	Dublin Ohio General Obligation	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.5	Kingsport Tennessee General Obligation	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.6	Knoxville Tennessee Gas Revenue Utilities	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.7	Minneapolis Minnesota General Oblig	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.8	Minnesota State General Obligation	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.9	New York State Dorm Auth Pers	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.10	Norfolk Virginia General Obligation	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.11	Triborough New York Bridge and Tunnel	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.12	Wisconsin State General Obligation	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	
44.13	Vanguard Short Term Tax-exempt Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000	
44.14	Visa Inc	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	
44.15	Ishares MSCI ACWI ETF	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000	
44.16	Blackstone Alternative Multi-strategy Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500	
45	Family Trust #9 (A Bros)					
45.1	Woddruff County Arkansas Farmland	See Endnote	N/A	Over \$1,000,000	Rent or Royalties	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Ceasars Entertainment (CZR) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
47	Ligand Pharmaceuticals, Inc. (LGND) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
48	US Bank (cash) (LAKS)	N/A	\$250,001 - \$500,000		None (or less than \$201)
49	Schwab Value Advantage (SWVXX) (LAKS)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
50	Little Annies Conundrum (Aspen, CO)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
51	US Bank (cash) LAC	N/A	\$50,001 - \$100,000		None (or less than \$201)
52	Viacomcbs, Inc. (VIAV) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
53	Open Lending Corp (LPRO) (VJ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
54	Palomar (PLMR) (VJ)	N/A	\$100,001 - \$250,000		None (or less than \$201)
55	PAYA Holdings (PAYA) (VJ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
56	Porch Group (PRCH) (VJ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
57	TTEC Hldgs (TTEC) (VJ)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
58	Freeport-McMoRan, Inc. (FCX) (VJ)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Palomar (PLMR) (VJ)	Purchase	01/04/2021	\$50,001 - \$100,000
2	ON Semiconductor Corp. (ON) (VJ)	Sale	01/21/2021	\$100,001 - \$250,000
3	Palomar (PLMR) (VJ)	Purchase	01/21/2021	\$50,001 - \$100,000
4	New Economy Fund Class F3 Shares (FNEFX) (CG)	Sale	01/21/2021	\$1,001 - \$15,000
5	Eaton Vance Floating-Rate Fund Institutional Class Shares (EIBLX) (IAFT)	Purchase	03/05/2021	\$500,001 - \$1,000,000
6	Eaton Vance Floating-Rate Fund Institutional Class Shares (EIBLX) (IATFBLK)	Purchase	03/05/2021	\$250,001 - \$500,000
7	MetWest Total Return Bond Fund Class I Shares (MWTIX) (IATFBLK)	Sale	03/05/2021	\$100,001 - \$250,000
8	Paya Holdings Inc (PAYA) (VJ)	Purchase	03/05/2021	\$50,001 - \$100,000
9	Washington Mutual Investors Fund Class F3 Shares (FWMIX) (CG)	Purchase	03/18/2021	\$1,001 - \$15,000
10	International Equity Portfolio Institutional Class Shares (HLMIX) (Mor84)	Sale	03/19/2021	\$15,001 - \$50,000
11	Viacombs, Inc. (PARA) (VJ)	Purchase	04/06/2021	\$100,001 - \$250,000
12	STERIS Plc (Ireland) (STE) (VJ)	Sale	04/06/2021	\$50,001 - \$100,000
13	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (IAFT)	Sale	04/09/2021	\$100,001 - \$250,000
14	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (MORGSTNE)	Sale	04/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	New Economy Fund Class F3 Shares (FNEFX) (CG)	Sale	04/20/2021	\$1,001 - \$15,000
16	Palomar Hldgs (PLMR) (VJ)	Purchase	04/21/2021	\$15,001 - \$50,000
17	Caesars Entertainment Corp. (CZR) (VJ)	Sale	05/06/2021	\$50,001 - \$100,000
18	Paya Holdings (PAYA) (VJ)	Purchase	05/19/2021	\$15,001 - \$50,000
19	Eaton Vance Floating-Rate Fund Institutional Class Shares (EIBLX) (IAFT)	Sale	06/14/2021	\$50,001 - \$100,000
20	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (IAFT)	Sale	06/14/2021	\$15,001 - \$50,000
21	EuroPacific Growth Fund Class F3 Shares (FEUPX) (CG)	Purchase	06/15/2021	\$15,001 - \$50,000
22	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (IAFT)	Sale	06/16/2021	\$100,001 - \$250,000
23	Washington Mutual Investors Fund Class F3 Shares (FWMIX) (CG)	Purchase	06/17/2021	\$15,001 - \$50,000
24	eHealth, Inc. (EHTH) (VJ)	Purchase	06/18/2021	\$15,001 - \$50,000
25	Visa, Inc. (V) (VJ)	Sale	07/08/2021	\$100,001 - \$250,000
26	New Economy Fund Class F3 Shares (FNEFX) (CG)	Sale	06/15/2021	\$1,001 - \$15,000
27	TTEC Holdings, Inc. (TTEC) (VJ)	Purchase	07/21/2021	\$50,001 - \$100,000
28	Pool Corp. (POOL) (VJ)	Sale	07/22/2021	\$50,001 - \$100,000
29	Porch Group Inc (PRCH) (VJ)	Purchase	07/23/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	ABIOMED, Inc. (ABMD) (VJ)	Sale	08/04/2021	\$100,001 - \$250,000
31	Open Lending Corp (LPRO) (VJ)	Purchase	08/23/2021	\$100,001 - \$250,000
32	Freeport-McMoRan, Inc. (FCX) (VJ)	Purchase	08/25/2021	\$50,001 - \$100,000
33	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX) (MORGSTNE)	Sale	09/10/2021	\$50,001 - \$100,000
34	Washington Mutual Investors Fund Class F3 Shares (FWMIX) (CG)	Purchase	09/16/2021	
35	Freeport-McMoRan, Inc. (FCX) (VJ)	Purchase	09/21/2021	\$50,001 - \$100,000
36	New Economy Fund Class F3 Shares (FNEFX) (CG)	Sale	10/20/2021	\$1,001 - \$15,000
37	eHealth, Inc. (EHTH) (VJ)	Sale	11/08/2021	\$50,001 - \$100,000
38	Paya Holdings (PAYA) (VJ)	Purchase	12/16/2021	\$1,001 - \$15,000
39	New Economy Fund Class F3 Shares (FNEFX) (CG)	Purchase	12/18/2021	\$100,001 - \$250,000
40	EuroPacific Growth Fund Class F3 Shares (FEUPX) (CG)	Purchase	12/17/2021	\$15,001 - \$50,000
41	Washington Mutual Investors Fund Class F3 Shares (FWMIX) (CG)	Purchase	12/20/2021	\$15,001 - \$50,000
42	Blackstone Alternative Multi-Strategy Fund (AA)	Sale	01/04/2021	\$50,001 - \$100,000
43	Salt River AZ Proj (AA)	Sale	01/04/2021	\$15,001 - \$50,000
44	PWM Fiduciary Master Dep (AA)	Purchase	01/04/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
45	PWM Fiduciary Master Dep (AA)	Purchase	01/04/2021	\$100,001 - \$250,000
46	PWM Fiduciary Master Dep (AA)	Sale	01/13/2021	\$100,001 - \$250,000
47	PWM Fiduciary Master Dep Acct (AA)	Purchase	02/01/2021	\$1,001 - \$15,000
48	Blackstone Alt Multi Strategy Fund (AA)	Sale	02/03/2021	\$50,001 - \$100,000
49	PWM Fiduciary Master Dep (AA)	Sale	02/03/2021	\$50,001 - \$100,000
50	Visa Inc (AA)	Sale	02/04/2021	\$1,001 - \$15,000
51	PWM Fiduciary Master Dep (AA)	Purchase	02/04/2021	\$1,001 - \$15,000
52	PWM Fiduciary Master Dep (AA)	Purchase	03/01/2021	\$1,001 - \$15,000
53	PWM Fiduciary Master Dep (AA)	Purchase	05/17/2021	\$1,001 - \$15,000
54	Visa Inc (AA)	Sale	05/25/2021	\$1,001 - \$15,000
55	PWM Fiduciary Master Dep (AA)	Purchase	05/25/2021	\$1,001 - \$15,000
56	PWM Fiduciary Master Dep (AA)	Purchase	06/01/2021	\$1,001 - \$15,000
57	PWM Fiduciary Master Dep (AA)	Purchase	06/15/2021	\$1,001 - \$15,000
58	PWM Fiduciary Master (AA)	Purchase	06/16/2021	\$1,001 - \$15,000
59	Phoenix Az Civic (AA)	Sale	07/01/2021	\$15,001 - \$50,000
60	PWM Fiduciary Master (AA)	Purchase	07/01/2021	\$1,001 - \$15,000
61	PWM Fiduciary Master (AA)	Purchase	07/01/2021	\$15,001 - \$50,000
62	PWM Fiduciary Master (AA)	Sale	07/12/2021	\$50,001 - \$100,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
63	PWM Fiduciary Master (AA)	Purchase	07/12/2021	\$50,001 - \$100,000
64	PWM Fiduciary Master (AA)	Sale	07/12/2021	\$15,001 - \$50,000
65	PWM Fiduciary Master (AA)	Purchase	07/12/2021	\$15,001 - \$50,000
66	PWM Fiduciary Master (AA)	Purchase	08/02/2021	\$1,001 - \$15,000
67	PWM Fiduciary Master (AA)	Sale	08/30/2021	\$50,001 - \$100,000
68	Truist Bank Dep Program (AA)	Purchase	08/30/2021	\$50,001 - \$100,000
69	PWM Fiduciary Master (AA)	Sale	08/30/2021	\$15,001 - \$50,000
70	Truist Trust Dep Program (AA)	Purchase	08/30/2021	\$15,001 - \$50,000
71	PWM Fiduciary Master (AA)	Purchase	09/01/2021	\$1,001 - \$15,000
72	Truist Bank Dep Program (AA)	Purchase	09/27/2021	\$1,001 - \$15,000
73	Truist Bank Dep Account (AA)	Purchase	11/15/2021	\$1,001 - \$15,000
74	Truist Bk Trust Dep (AA)	Purchase	12/01/2021	\$1,001 - \$15,000
75	Truist Bk Trust Bk Dep (AA)	Purchase	12/15/2021	\$1,001 - \$15,000
76	Truist Bk Trust Dep (AA)	Purchase	12/17/2021	\$1,001 - \$15,000
77	Truist Bk Trust Dep (AA)	Purchase	12/20/2021	\$1,001 - \$15,000

## 8. Liabilities

None

---

## 9. Gifts and Travel Reimbursements

None

---

## Endnotes

PART	#	ENDNOTE
6.	44	This is a trust for my wife's ex-step mother, but the ethics committee has asked us to report this trust as my wife is a remainder beneficiary should there be anything left at the ex-step mother's death.
6.	45.1	Income derived from one-quarter share of the crops.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---