

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Siegel, Julia B

Commissioner, Commodity Futures Trading Commission

---

Other Federal Government Positions Held During the Preceding 12 Months:

Deputy Chief of Staff, Department of the Treasury (1/2021 - 6/2023)

Senior Coordinator for Management, Office of Management and Budget (6/2023 - Present)

---

Names of Congressional Committees Considering Nomination:

- Committee on Agriculture, Nutrition, and Forestry
- 

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Siegel, Julia B [electronically signed on 05/23/2024 by Siegel, Julia B in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Walsh, Frank, Certifying Official [electronically signed on 07/12/2024 by Walsh, Frank in Integrity.gov]

---

Other review conducted by

---

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 07/16/2024 by Apol, David in Integrity.gov]

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

None

---

## 3. Filer's Employment Agreements and Arrangements

None

---

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

---

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	District of Columbia 457(b) Plan	No			
1.1	Vanguard Target Retire 2050 Tr	Yes	\$1,001 - \$15,000		None (or less than \$201)

---

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	DC College Savings Plan 2037 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	DC College Savings Plan 2040 Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Family Trust	See Endnote	No		None (or less than \$201)
4.1	PDD HOLDINGS INC AMERICAN DEPOSITARY	N/A	\$1,001 - \$15,000		
4.2	SUN COMMUNITIES INC SUI	N/A	\$1,001 - \$15,000		
4.3	HOME DEPOT INC	N/A	\$1,001 - \$15,000		
4.4	EXPONENT INC EXPO	N/A	\$1,001 - \$15,000		
4.5	FIVE BELOW INC	N/A	\$1,001 - \$15,000		
4.6	NETEASE INC ADS (NTES)	N/A	\$1,001 - \$15,000		
4.7	GILDAN ACTIVEWEAR INC COM ISIN	N/A	\$1,001 - \$15,000		
4.8	IDACORP INC (IDA)	N/A	\$1,001 - \$15,000		
4.9	The Toro Co. (TTC)	N/A	\$1,001 - \$15,000		
4.10	STMicroelectronics N.V. Common Stock (STM)	N/A	\$1,001 - \$15,000		
4.11	Gibraltar Industries, Inc. (ROCK)	N/A	\$1,001 - \$15,000		
4.12	Columbus McKinnon Corp. (CMCO)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.13	NITORI HOLDINGS CO LTD (NCLTY)	N/A	\$1,001 - \$15,000		
4.14	Magnolia Oil & Gas Corp. (MGY)	N/A	\$1,001 - \$15,000		
4.15	Burlington Stores, Inc. (BURL)	N/A	\$1,001 - \$15,000		
4.16	MEITUAN UNSPON ADS (MPNGY)	N/A	\$1,001 - \$15,000		
4.17	Ryder System, Inc. (R)	N/A	\$1,001 - \$15,000		
4.18	Lincoln Electric Holdings, Inc. (LECO)	N/A	\$1,001 - \$15,000		
4.19	Stifel Financial Corp. (SF)	N/A	\$1,001 - \$15,000		
4.20	Covestro AG ADR (1COV.VI)	N/A	\$1,001 - \$15,000		
4.21	Grupo Financiero Banorte ADR (GBOOY)	N/A	\$1,001 - \$15,000		
4.22	Lancaster Colony Corp. (LANC)	N/A	\$1,001 - \$15,000		
4.23	Globus Medical, Inc. (GMED)	N/A	\$1,001 - \$15,000		
4.24	Rio Tinto Plc Common Stock (RIO)	N/A	\$1,001 - \$15,000		
4.25	Wintrust Financial Corp. (WTFC)	N/A	\$1,001 - \$15,000		
4.26	RECRUIT HOLDINGS CO LTD UNSPON ADR	N/A	\$1,001 - \$15,000		
4.27	Zions Bancorporation NA (ZION)	N/A	\$1,001 - \$15,000		
4.28	SK Telecom Co. Ltd. Common Stock (SKM)	N/A	\$1,001 - \$15,000		
4.29	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		
4.30	DBS GROUP HLDGS LTD SPONS ADR (DBSDY)	N/A	\$1,001 - \$15,000		
4.31	IMCD NV UNSP ADR (IMCDY)	N/A	\$1,001 - \$15,000		
4.32	MACOM Technology Solutions Holdings, Inc. (MTSI)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.33	Ovintiv Inc. (OVV)	N/A	\$1,001 - \$15,000		
4.34	Paylocity Holding Corp. (PCTY)	N/A	\$1,001 - \$15,000		
4.35	Tandem Diabetes Care, Inc. (TNDM)	N/A	\$1,001 - \$15,000		
4.36	Zebra Technologies Corp. (ZBRA)	N/A	\$1,001 - \$15,000		
4.37	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		
4.38	PACCAR, Inc. (PCAR)	N/A	\$1,001 - \$15,000		
4.39	RENESAS ELECTRONICS CORP UNSPONSORD ADR	N/A	\$1,001 - \$15,000		
4.40	Petroleo Brasileiro SA Petrobras Spon	N/A	\$1,001 - \$15,000		
4.41	Intra-Cellular Therapies, Inc. (ITCI)	N/A	\$1,001 - \$15,000		
4.42	GE AEROSPACE COM NEW	N/A	\$1,001 - \$15,000		
4.43	Madison Square Garden Sports Corp. Class A Common Stock (New) (MSGS)	N/A	\$1,001 - \$15,000		
4.44	QUAKER HOUGHTON COM	N/A	\$1,001 - \$15,000		
4.45	nCino Inc. Common Stock (NCNO)	N/A	\$1,001 - \$15,000		
4.46	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		
4.47	MTU AERO ENGINES AG UNSPONSORD ADR MTUAY	N/A	\$1,001 - \$15,000		
4.48	EXPEDITORS INTERNATIONAL OF WASHINGTON EXPD	N/A	\$1,001 - \$15,000		
4.49	CONTINENTAL AG SPON ADS	N/A	\$1,001 - \$15,000		
4.50	HALMA PLC ADR (HALMY)	N/A	\$1,001 - \$15,000		
4.51	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		
4.52	DuPont de Nemours, Inc. (DD)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.53	UNIVERSAL MUSIC GROUP N.V UNSPON ADR	N/A	\$1,001 - \$15,000		
4.54	RPM International, Inc. (RPM)	N/A	\$1,001 - \$15,000		
4.55	Gates Industrial Corp. Plc (GTES)	N/A	\$1,001 - \$15,000		
4.56	RENTOKIL INITIAL SPON ADS (RTO)	N/A	\$1,001 - \$15,000		
4.57	Cognex Corp. (CGNX)	N/A	\$1,001 - \$15,000		
4.58	ITT, Inc. (ITT)	N/A	\$1,001 - \$15,000		
4.59	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000		
4.60	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		
4.61	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000		
4.62	TECHTRONIC INDUSTRIES COMPANY ADR	N/A	\$1,001 - \$15,000		
4.63	Bright Horizons Family Solutions Inc. (BFAM)	N/A	\$1,001 - \$15,000		
4.64	Kinsale Capital Group, Inc. (KNSL)	N/A	\$1,001 - \$15,000		
4.65	Diploma PLC	N/A	\$1,001 - \$15,000		
4.66	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		
4.67	NOMURA RESEARCH INSTITUTE UNSP ADR (NRILY)	N/A	\$1,001 - \$15,000		
4.68	Booz Allen Hamilton Holding Corp. (BAH)	N/A	\$1,001 - \$15,000		
4.69	Element Solutions, Inc. (ESI)	N/A	\$1,001 - \$15,000		
4.70	SUZUKI MOTOR CORP UNSPONSORD ADR (SZKMY)	N/A	\$1,001 - \$15,000		
4.71	GALP ENERGIA SGPS SA UNSP ADR	N/A	\$1,001 - \$15,000		
4.72	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.73	NXP Semiconductors N.V. Common Stock (NXPI)	N/A	\$1,001 - \$15,000		
4.74	LONDON STOCK EXCHANGE GROUP UNSPN ADR	N/A	\$1,001 - \$15,000		
4.75	Federal Signal Corp. (FSS)	N/A	\$1,001 - \$15,000		
4.76	CRH PLC American Depositary Shares (CRH)	N/A	\$1,001 - \$15,000		
4.77	Castle Biosciences Inc. Common Stock (CSTL)	N/A	\$1,001 - \$15,000		
4.78	SHIN ETSU CHEMICAL CO LTD ADR SHECY	N/A	\$1,001 - \$15,000		
4.79	Experian PLC (EXPGY)	N/A	\$1,001 - \$15,000		
4.80	GXO Logistics Inc. Common Stock (GXO)	N/A	\$1,001 - \$15,000		
4.81	Hologic, Inc. (HOLX)	N/A	\$1,001 - \$15,000		
4.82	First American Financial Corp. (FAF)	N/A	\$1,001 - \$15,000		
4.83	Invitation Homes, Inc. (INVH)	N/A	\$1,001 - \$15,000		
4.84	Chunghwa Telecom Co. Ltd. (CHT)	N/A	\$1,001 - \$15,000		
4.85	CCC Intelligent Solutions Holdings Inc. Common Stock (CCCS)	N/A	\$1,001 - \$15,000		
4.86	Manhattan Associates, Inc. (MANH)	N/A	\$1,001 - \$15,000		
4.87	Neurocrine Biosciences, Inc. (NBIX)	N/A	\$1,001 - \$15,000		
4.88	Marriott International, Inc. (MAR)	N/A	\$1,001 - \$15,000		
4.89	Coca-Cola Europacific Partners plc Ordinary Shares (CCEP)	N/A	\$1,001 - \$15,000		
4.90	Diageo plc Common Stock (DEO)	N/A	\$1,001 - \$15,000		
4.91	Donaldson Co., Inc. (DCI)	N/A	\$1,001 - \$15,000		



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.92	Toll Brothers, Inc. (TOL)	N/A	\$1,001 - \$15,000		
4.93	Intercontinental Exchange, Inc. (ICE)	N/A	\$1,001 - \$15,000		
4.94	Integer Holdings Corp. (ITGR)	N/A	\$1,001 - \$15,000		
4.95	EPIROC AB UNSPON ADS	N/A	\$1,001 - \$15,000		
4.96	Performance Food Group Co. (PFGC)	N/A	\$1,001 - \$15,000		
4.97	Voya Financial, Inc. (VOYA)	N/A	\$1,001 - \$15,000		
4.98	SAMPO PLC UNSP ADR	N/A	\$1,001 - \$15,000		
4.99	VAT GROUP AG ADR	N/A	\$1,001 - \$15,000		
4.100	Sonoco Products Co. (SON)	N/A	\$1,001 - \$15,000		
4.101	HOYA ADR	N/A	\$1,001 - \$15,000		
4.102	Eagle Materials, Inc. (EXP)	N/A	\$1,001 - \$15,000		
4.103	Piper Sandler Companies Common Stock (PIPR)	N/A	\$1,001 - \$15,000		
4.104	IDEX Corp. (IEX)	N/A	\$1,001 - \$15,000		
4.105	Fanuc Corp. ADR (FANUY)	N/A	\$1,001 - \$15,000		
4.106	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		
4.107	Nordson Corp. (NDSN)	N/A	\$1,001 - \$15,000		
4.108	Bureau Veritas ADR (BVVBY)	N/A	\$1,001 - \$15,000		
4.109	Cavco Industries, Inc. (CVCO)	N/A	\$1,001 - \$15,000		
4.110	KBC GROUP NV ADR	N/A	\$1,001 - \$15,000		
4.111	Suncor Energy Inc. Common Stock (SU)	N/A	\$1,001 - \$15,000		
4.112	Thales S.A.	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.113	Shinhan Financial Group Co Ltd American Depository Shares (SHG)	N/A	\$1,001 - \$15,000		
4.114	Comfort Systems USA, Inc. (FIX)	N/A	\$1,001 - \$15,000		
4.115	Entegris, Inc. (ENTG)	N/A	\$1,001 - \$15,000		
4.116	ING Group N.V. Common Stock (ING)	N/A	\$1,001 - \$15,000		
4.117	CyberArk Software Ltd. Ordinary Shares (CYBR)	N/A	\$1,001 - \$15,000		
4.118	CAPGEMINI UNSPON ADR	N/A	\$1,001 - \$15,000		
4.119	Kenvue Inc. (KVUE)	N/A	\$1,001 - \$15,000		
4.120	Globant S.A. Common Shares (GLOB)	N/A	\$1,001 - \$15,000		
4.121	Siemens AG (SIEGY)	N/A	\$1,001 - \$15,000		
4.122	Jones Lang LaSalle, Inc. (JLL)	N/A	\$1,001 - \$15,000		
4.123	HDFC Bank Limited Common Stock (HDB)	N/A	\$1,001 - \$15,000		
4.124	Utz Brands Inc Class A Common Stock (UTZ)	N/A	\$1,001 - \$15,000		
4.125	KLA Corp. (KLAC)	N/A	\$1,001 - \$15,000		
4.126	ICON plc Ordinary Shares (ICLR)	N/A	\$1,001 - \$15,000		
4.127	Tokyo Electron ADR (TOELY)	N/A	\$1,001 - \$15,000		
4.128	MakeMyTrip Limited Ordinary Shares (MMYT)	N/A	\$1,001 - \$15,000		
4.129	Equinix, Inc. (EQIX)	N/A	\$1,001 - \$15,000		
4.130	Goldman Sachs Group Inc (GS)	N/A	\$1,001 - \$15,000		
4.131	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		
4.132	KKR & Co., Inc. (KKR)	N/A	\$1,001 - \$15,000		
4.133	Nasdaq, Inc. (NDAQ)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.134	Compagnie Financiere Richemont SA SPON	N/A	\$1,001 - \$15,000		
4.135	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		
4.136	FirstService Corporation Common Shares (FSV)	N/A	\$1,001 - \$15,000		
4.137	Dominion Energy, Inc. (D)	N/A	\$1,001 - \$15,000		
4.138	Texas Roadhouse, Inc. (TXRH)	N/A	\$1,001 - \$15,000		
4.139	Nestle ADR (NSRGY)	N/A	\$1,001 - \$15,000		
4.140	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		
4.141	FTI Consulting, Inc. (FCN)	N/A	\$1,001 - \$15,000		
4.142	SAP SE ADS (SAP)	N/A	\$1,001 - \$15,000		
4.143	CHINA CONSTRUCTION BANK CORP ADR (CICHY)	N/A	\$1,001 - \$15,000		
4.144	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		
4.145	Northrop Grumman Corp. (NOC)	N/A	\$1,001 - \$15,000		
4.146	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		
4.147	Virtu Financial, Inc. (VIRT)	N/A	\$1,001 - \$15,000		
4.148	Compass Group PLC (CMPGY)	N/A	\$1,001 - \$15,000		
4.149	L AIR LIQUIDE ORD ADR	N/A	\$1,001 - \$15,000		
4.150	Evercore, Inc. (EVR)	N/A	\$1,001 - \$15,000		
4.151	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		
4.152	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$1,001 - \$15,000		
4.153	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.154	Guidewire Software, Inc. (GWRE)	N/A	\$1,001 - \$15,000		
4.155	Stevanato Group S.p.A. Ordinary Shares (STVN)	N/A	\$1,001 - \$15,000		
4.156	Roche Holding AG (RHHBY)	N/A	\$1,001 - \$15,000		
4.157	Corpay, Inc. (CPAY)	N/A	\$1,001 - \$15,000		
4.158	Ryanair Holdings plc American Depository Shares (RYAAY)	N/A	\$1,001 - \$15,000		
4.159	The Timken Co. (TKR)	N/A	\$1,001 - \$15,000		
4.160	IQVIA Holdings, Inc. (IQV)	N/A	\$1,001 - \$15,000		
4.161	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		
4.162	Southern Company (SO)	N/A	\$1,001 - \$15,000		
4.163	Pool Corp. (POOL)	N/A	\$1,001 - \$15,000		
4.164	Waste Management, Inc. (WM)	N/A	\$1,001 - \$15,000		
4.165	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		
4.166	BP PLC ADR (BP)	N/A	\$1,001 - \$15,000		
4.167	The Sherwin-Williams Co. (SHW)	N/A	\$1,001 - \$15,000		
4.168	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		
4.169	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		
4.170	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		
4.171	Citigroup, Inc. (C)	N/A	\$1,001 - \$15,000		
4.172	Lowe's Companies, Inc. (LOW)	N/A	\$1,001 - \$15,000		
4.173	Casey's General Stores, Inc. (CASY)	N/A	\$1,001 - \$15,000		
4.174	Pinnacle Financial Partners, Inc. (PNFP)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.175	MSCI, Inc. (MSCI)	N/A	\$1,001 - \$15,000		
4.176	Catalent Inc. (CTLT)	N/A	\$1,001 - \$15,000		
4.177	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		
4.178	Boeing Co (BA)	N/A	\$1,001 - \$15,000		
4.179	General Dynamics Corp. (GD)	N/A	\$1,001 - \$15,000		
4.180	ServiceNow, Inc. (NOW)	N/A	\$1,001 - \$15,000		
4.181	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		
4.182	Watsco, Inc. (WSO)	N/A	\$1,001 - \$15,000		
4.183	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		
4.184	ICICI Bank Limited Common Stock (IBN)	N/A	\$1,001 - \$15,000		
4.185	Workday, Inc. (WDAY)	N/A	\$1,001 - \$15,000		
4.186	Unilever PLC Common Stock (UL)	N/A	\$1,001 - \$15,000		
4.187	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		
4.188	S&P Global, Inc. (SPGI)	N/A	\$1,001 - \$15,000		
4.189	RTX Corporation (RTX)	N/A	\$1,001 - \$15,000		
4.190	Canadian Pacific Kansas City LTD (CP)	N/A	\$1,001 - \$15,000		
4.191	PJT Partners, Inc. (PJT)	N/A	\$1,001 - \$15,000		
4.192	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		
4.193	Procore Technologies Inc. Common Stock (PCOR)	N/A	\$1,001 - \$15,000		
4.194	Coastal Financial Corporation Common Stock (CCB)	N/A	\$1,001 - \$15,000		
4.195	Tencent Holdings Limited (TCEHY)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.196	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		
4.197	Micron Technology, Inc. (MU)	N/A	\$1,001 - \$15,000		
4.198	Owens Corning (OC)	N/A	\$1,001 - \$15,000		
4.199	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		
4.200	RELX PLC (RELX)	N/A	\$1,001 - \$15,000		
4.201	Ecolab, Inc. (ECL)	N/A	\$1,001 - \$15,000		
4.202	McKesson Corp. (MCK)	N/A	\$1,001 - \$15,000		
4.203	Intuit, Inc. (INTU)	N/A	\$1,001 - \$15,000		
4.204	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$1,001 - \$15,000		
4.205	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		
4.206	Marsh & McLennan Companies Inc. (MMC)	N/A	\$1,001 - \$15,000		
4.207	salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		
4.208	RBC Bearings, Inc. (ROLL)	N/A	\$1,001 - \$15,000		
4.209	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		
4.210	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		
4.211	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		
4.212	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		
4.213	Progressive Corp. (PGR)	N/A	\$1,001 - \$15,000		
4.214	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		
4.215	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		
4.216	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.217	Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	N/A	\$1,001 - \$15,000		
4.218	Novo Nordisk A/S Common Stock (NVO)	N/A	\$1,001 - \$15,000		
4.219	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		
4.220	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		
4.221	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		
4.222	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		
4.223	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		
4.224	Acadia Healthcare Co., Inc. (ACHC)	N/A	\$1,001 - \$15,000		
4.225	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		
4.226	Adidas ADR (ADDYY)	N/A	\$1,001 - \$15,000		
4.227	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		
4.228	AIA Group ADR (AAGIY)	N/A	\$1,001 - \$15,000		
4.229	Airbus SE ADR (EADSY)	N/A	\$1,001 - \$15,000		
4.230	Alibaba Group Holding LTD ADS (BABA)	N/A	\$1,001 - \$15,000		
4.231	Allegion Plc (ALLE)	N/A	\$1,001 - \$15,000		
4.232	Alphabet Inc. Class A (GOOGL)	N/A	\$1,001 - \$15,000		
4.233	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		
4.234	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		
4.235	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		
4.236	AMETEK, Inc. (AME)	N/A	\$1,001 - \$15,000		
4.237	Amphenol Corporation (APH)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.238	Analog Devices, Inc. (ADI)	N/A	\$1,001 - \$15,000		
4.239	Aon Plc (AON)	N/A	\$1,001 - \$15,000		
4.240	APi Group Corporation Common Stock (APG)	N/A	\$1,001 - \$15,000		
4.241	AppFolio, Inc. (APPF)	N/A	\$1,001 - \$15,000		
4.242	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		
4.243	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		
4.244	Ashland Global Holdings, Inc. (ASH)	N/A	\$1,001 - \$15,000		
4.245	ASICS Corp ADR (ASCCY)	N/A	\$1,001 - \$15,000		
4.246	ASM International ADR (ASMIY)	N/A	\$1,001 - \$15,000		
4.247	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$1,001 - \$15,000		
4.248	AT&T Inc.	N/A	\$1,001 - \$15,000		
4.249	Atkore International Group, Inc. (ATKR)	N/A	\$1,001 - \$15,000		
4.250	Atlanta Braves Holdgs Inc. (BATRK)	N/A	\$1,001 - \$15,000		
4.251	AtriCure, Inc. (ATRC)	N/A	\$1,001 - \$15,000		
4.252	Autodesk, Inc. (ADSK)	N/A	\$1,001 - \$15,000		
4.253	Avantor, Inc. (AVTR)	N/A	\$1,001 - \$15,000		
4.254	Azenta Inc. (AZTA)	N/A	\$1,001 - \$15,000		
4.255	Ball Corporation Common Stock (BALL)	N/A	\$1,001 - \$15,000		
4.256	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		
4.257	Barrick Gold Corporation Common Stock (BC) (GOLD)	N/A	\$1,001 - \$15,000		
4.258	BDO Unibank Inc. ADR (BSY)	N/A	\$1,001 - \$15,000		



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.259	Bentley Systems Incorporated Class B Common Stock (BSY)	N/A	\$1,001 - \$15,000		
4.260	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		
4.261	BJ's Wholesale Club Holdings, Inc. (BJ)	N/A	\$1,001 - \$15,000		
4.262	BlackLine, Inc. (BL)	N/A	\$1,001 - \$15,000		
4.263	ABB Ltd (ABBNY)	N/A	\$1,001 - \$15,000		
4.264	NORTH FT BEND WTR AUTH TEX WTR SYS REV	N/A	\$15,001 - \$50,000		
4.265	ISHARES MSCI SAUDI ARABIA ETF	Yes	\$1,001 - \$15,000		
4.266	INVESCO INDIA EXCHANGE-TRADED INDIA ETF	Yes	\$1,001 - \$15,000		
4.267	ISHARES TRUST CORE MSCI EAFE ETF	Yes	\$50,001 - \$100,000		
5	Massachusetts Mutual Life Insurance Co., whole life	N/A	\$250,001 - \$500,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	U.S. Department of Education	Student Loan	\$15,001 - \$50,000	2011	6.550%	95 months

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	U.S. Department of Education	Student Loan	\$15,001 - \$50,000	2012	6.550%	95 months
3	U.S. Department of Education	Student Loan	\$10,001 - \$15,000	2012	7.650%	95 months
4	U.S. Department of Education	Student Loan	\$15,001 - \$50,000	2013	0	95 months
5	Pennymac Loan Services, LLC	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.625	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
6.	4	I have a 1/4 remainder interest in the trust. The current value of the trust is reported.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---