Annual Report 2023 for Calendar Year 2022 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Barrett, Thomas M

Ambassador to the Grand Duchy of Luxembourg, Department of State

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Barrett, Thomas M [electronically signed on 05/08/2023 by Barrett, Thomas M in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Green, Marcella, Certifying Official [electronically signed on 07/03/2023 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 07/03/2023 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/26/2023 by Granahan, Megan in Integrity.gov]

Data Revised 07/03/2023

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	City of Milwaukee	N/A		Salary	\$17,638
2	Roth IRA	No			
2.1	W.R. Berkley Corporation (WRB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	J & J Snack Food Corp (JJSF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	Technology Select Sector SPDR Trust (XLK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	Brown Advisory - Beutel Goodman Large-Cap Value Fund Institutional Class Shares (BVALX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	T. Rowe Price Global Stock Fund (PRGSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	REV Group, Inc. (REVG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	MFS International Growth Fund Class I Shares (MQGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	Pax Global Environmental Markets Fund Institutional Class Shares (PGINX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	IRA	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	The J.M.Smucker Company (SJM)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	T Rowe Price Global Stock (PRGSX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Canadian Pacific Railway Limited Common Stock (CP)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	MFS International Growth Fund Class I Shares (MQGIX)		Yes			None (or less than \$201)
3.6	Technology Select Sector SPDR Fund (XLK)		No	\$1,001 - \$15,000		None (or less than \$201)
3.7	T Rowe Price Health Sciences Fund, Inc (PRHSX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	First Trust NASDAQ Cybersecurity ETF (CIBR)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	AllianzGI Convertible Fund Institutional Class Shares (ANNPX)	ee Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	City of Milwaukee defined contribution plan		No			
4.1	Passive US Equity		Yes	\$250,001 - \$500,000		None (or less than \$201)
4.2	Actively Managed Equity		Yes	\$250,001 - \$500,000		None (or less than \$201)
4.3	Passive International Equity		Yes	\$250,001 - \$500,000		None (or less than \$201)
5	State of Wisconsin, defined benefit plan		N/A	\$100,001 - \$250,000	retirement payments (annual)	\$11,950

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	City of Milwaukee, defined benefit plan (value not readily ascertainable)	N/A		retirement payments (annual)	\$43,055
7	State of Wisconsin defined contribution plan	No			
7.1	Fidelity Contrafund Commingled Pool Cl 2	Yes	\$250,001 - \$500,000		None (or less than \$201)
7.2	BlackRock Mid Cap Equity Index- Coll F	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.3	Vanguard Wellington Adm	Yes	\$100,001 - \$250,000		None (or less than \$201)
7.4	DFA US Micro Cap I	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.5	Stable Value Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.6	Vanguard Target 2015 Trust 1	Yes	None (or less than \$1,001)		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	City of Milwaukee	Milwaukee, Wisconsin	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	4/2004
2	State of Wisconsin	Madison, Wisconsin	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	5/1984
3	State of Wisconsin	Madison, Wisconsin	I will continue to participate in this defined benefit plan.	4/2004

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	City of Milwaukee	Milwaukee, Wisconsin	I will continue to participate in this defined benefit plan.	4/2004

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wisconsin Retirement System, defined benefit plan	N/A	\$100,001 - \$250,000	Monthly Retirement Payment	
2	Roth	No			
2.1	Badger Meter, Inc. (BMI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	The Kraft Heinz Company (KHC)	N/A	\$1,001 - \$15,000)	None (or less than \$201)
2.3	REV Group, Inc. (REVG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	T-Mobile US, Inc. (TMUS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Xeris Pharmaceuticals, Inc. (XERS)	N/A	\$1,001 - \$15,000)	None (or less than \$201)
2.6	John Hancock Funds III Disciplined Value Mid Cap Fund Institutional Class Shares (JVMIX)	Yes	\$1,001 - \$15,000)	None (or less than \$201)
2.7	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCO	ME TYPE INCOME AMOUNT
2.8	Pax Global Environmental Markets Fund Institutional Class Shares (PGINX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.9	T Rowe Price Health Sciences Fund, Inc (PRHSX)	Yes	\$15,001 - \$50,000	None (or less than \$201)
2.10	U.S. brokerage account (cash)	N/A	\$50,001 - \$100,000	None (or less than \$201)
2.11	MFS International Growth Fund Class I Shares (MQGIX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
2.12	First Trust NASDAQ Cybersecurity ETF (CIBR)	Yes	\$1,001 - \$15,000	None (or less than \$201)
3	IRA	No		
3.1	Brown Advisory - Beutel Goodman Large-Cap Value Fund Institutional Class Shares (BVALX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
3.2	Jensen Quality Growth Fund Class I Shares (JENIX)	Yes	\$1,001 - \$15,000	None (or less than \$201)
3.3	T. Rowe Price Global Stock (PRGSX)	Yes	\$1,001 - \$15,000	None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Northwestern Mutual whole life	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
2	U.S. credit union accounts (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	U.S. brokerage account #1 (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	BCKDEA, LLC. (note receivable from family member)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	Joint brokerage	No			
5.1	Alps Etf Tr (DTEC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.2	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.3	Badger Meter, Inc. (BMI)	N/A	\$100,001 - \$250,000	\$100,001 - Dividends \$250,000	
5.4	W.R. Berkley Corp. (WRB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.5	Cable One, Inc. (CABO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.6	Howmet Aerospace Inc (HWM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.7	Public Storage (PSA)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.8	American Funds Capital World Growth & Income Fund Class A Shares (CWGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.9	Carlisle Cos., Inc. (CSL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.10	iShares Core S&P 500 ETF (IVV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.11	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.12	SPDR S&P Dividend ETF (SDY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.13	United States Treasury Note	N/A	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	U.S. brokerage #2	No			
6.1	U.S. brokerage (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.1.1	Blackhawk Bancorp Inc (BHWB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.1.2	Exelon Corp. (EXC)	N/A	\$1,001 - \$15,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Cable One, Inc. (CABO)	Purchase	01/05/2022	\$1,001 - \$15,000
2	iShares Russell 2000 Value ETF (IWM)	Purchase	01/07/2022	\$15,001 - \$50,000
3	Thermo Fisher Scientific, Inc. (TMO)	Sale	01/25/2022	\$1,001 - \$15,000
4	Neogen Corp. (NEOG)	Sale	01/25/2022	\$15,001 - \$50,000
5	Hewlett-Packard Enterprise Co. (HPE)	Sale	01/04/2022	\$1,001 - \$15,000
6	Technology Select Sector SPDR Fund (XLK)	Purchase	01/04/2022	\$1,001 - \$15,000
7	Microsoft Corp. (MSFT)	Sale	01/04/2022	\$15,001 - \$50,000
8	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	01/06/2022	\$1,001 - \$15,000
9	Fidelity AdvisorEmerging Asia Fund Class I Shares (FERIX)	Sale	01/06/2022	\$1,001 - \$15,000
10	Technology Select Sector SPDR Fund (XLK)	Purchase	01/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	T Rowe PriceGlobal Technology Fund, Inc (PRGTX)	Purchase	01/25/2022	\$1,001 - \$15,000
12	REV Group, Inc. (REVG)	Purchase	01/25/2022	\$1,001 - \$15,000
13	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	03/03/2022	\$1,001 - \$15,000
14	Invesco Oppenheimer Developing Markets Fund Class Y Shares (ODVYX)	Sale	03/03/2022	\$1,001 - \$15,000
15	Cable One, Inc. (CABO)	Purchase	06/01/2022	\$1,001 - \$15,000
16	Carlisle Cos., Inc. (CSL)	Purchase	06/02/2022	\$50,001 - \$100,000
17	Cable One, Inc. (CABO)	Purchase	03/30/2022	\$15,001 - \$50,000
18	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	03/30/2022	\$15,001 - \$50,000
19	SPDR S&P 500 ETF Trust (SPY)	Purchase	03/30/2022	\$15,001 - \$50,000
20	SPDR S&P MidCap 400 ETF (MDY)	Purchase	03/30/2022	\$15,001 - \$50,000
21	Fidelity Advisor New Insights Fund Class I Shares (FINSX)	Sale	05/10/2022	\$15,001 - \$50,000
22	REV Group, Inc. (REVG)	Purchase	05/10/2022	\$1,001 - \$15,000
23	T Rowe PriceGlobal Technology Fund, Inc (PRGTX)	Sale	05/10/2022	\$1,001 - \$15,000
24	Invesco OppenGlobal Opptys Fd Class Y Sh (OGIYX)	Sale	10/21/2022	\$1,001 - \$15,000
25	MFS International Growth Fund Class I Shares (MQGIX)	Purchase	10/21/2022	\$1,001 - \$15,000
26	T Rowe PriceGlobal Technology Fund, Inc (PRGTX)	Sale	10/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	T Rowe Price Health Sciences Fund, Inc (PRHSX)	Purchase	01/04/2022	\$1,001 - \$15,000
28	JPMorgan Hedged Equity Fund Class I Shares (JHEQX)	Purchase	03/30/2022	\$1,001 - \$15,000
29	First Trust Dow Jones International Internet ETF (FDNI)	Sale	05/10/2022	\$15,001 - \$50,000
30	Consumer Discretionary Select Sector SPDR Fund (XLY)	Sale	05/10/2022	\$1,001 - \$15,000
31	REV Group, Inc. (REVG)	Purchase	06/01/2022	\$1,001 - \$15,000
32	Invesco OppenGlobal Opptys Fd Class Y Sh (OGIYX)	Sale	10/21/2022	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION	VALUE
1	Government of Luxembourg	Luxembourg, Outside U.S.	On June 9,2022 I accompanied the Luxembourg Minister of Defense on a plane trip to Albacete Spain for the announcement of a letter of intent between Luxembourg and two companies pertaining to Luxembourg paying for the transportation of solar power equipment to Puerto Rico. The government provided the transportation.	

Endnotes

PART	#	ENDNOTE
2.	3.9	This was listed in my previous report as AllanGI Convertible Fund Class P Shares (ANCMX). This is the new listing.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGÉ Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).