

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Donaghy, Maryanne

Assistant Secretary, Office of Accountability and Whistleblower Protection, Department of Veterans Affairs

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Veterans' Affairs
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Donaghy, Maryanne [electronically signed on 03/15/2021 by Donaghy, Maryanne in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hogan, Michael R, Certifying Official [electronically signed on 04/22/2021 by Hogan, Michael R in Integrity.gov]

Other review conducted by

/s/ Hogan, Michael R, Ethics Official [electronically signed on 04/21/2021 by Hogan, Michael R in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 04/27/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Self Employment	Wallingford, Pennsylvania	Solo legal practice and solo consulting practice	Self employed; no title	5/2019	Present
2	University of Delaware	Newark, Delaware	University/College	Senior Advisor, The Biden Institute	6/2019	Present
3	Kroll, a Division of Duff and Phelps	Philadelphia, Pennsylvania	Consulting Practice	Senior Advisor	4/2018	10/2019
4	University of Delaware	Newark, Delaware	University/College	Adjunct Lecturer	2/2020	3/2020
5	Veterans Higher Education Task Force, State of Delaware	Wilmington, Delaware	Task Force for Delaware General Assembly	Member	8/2019	1/2020
6	State of Pennsylvania	Harrisburg, Pennsylvania	State Government	Delegate to the Democratic National Convention for the Fifth Congressional District of PA (elected)	6/2020	8/2020
7	Biden Harris Transition	Wilmington, Delaware	Presidential Transition	Member, Agency Review Team for the Department of Veterans Affairs	11/2020	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of Delaware	N/A		Consulting Fees	\$115,400
2	University of Delaware	N/A		Salary	\$1,980
3	Nemours Foundation 403B Plan	No			
3.1	Fidelity US Bond Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Fidelity Inflation Protected Bond Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Vanguard Equity Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Fidelity 500 Index Inst'l Premium	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Wells Fargo Special Small Cap Value Fund Class A	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	DFA Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	AstraZeneca 401k plan	No			
4.1	Vanguard Institutional Total International Stock Market Index Trust	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.2	Vanguard Tot Bd Mkt Indx	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Black Rock Total Return Bond Fund T	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	Vanguard Target 2025	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	The School District of Philadelphia 403(b) Pension Plan Termination Pay Contributions	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Invesco Govt & Agency Portfolio Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Public School Employees Retirement System Defined Benefit Plan	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Delaware County, PA	N/A		Legal fees	\$5,050
8	Anticipated Consulting Fees, University of Delaware	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Nemours Foundation	Jacksonville, Florida	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2010
2	AstraZeneca	Wilmington, Delaware	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	6/2007
3	School District of Philadelphia	Philadelphia, Pennsylvania	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	4/2018
4	Public School Employees Retirement System	Harrisburg, Pennsylvania	I will continue to participate in this defined benefit plan.	9/2015
5	Self employment	Wallingford, Pennsylvania	I will not perform consulting or legal services for any client during my appointment. All outstanding client fees will be fixed before I enter government service.	3/2021
6	University of Delaware	Newark, Delaware	Anticipated consulting fees for which payment is expected in April, 2021. All consulting fee amounts owed to me will be fixed before I assume the duties of the Assistant Secretary."	3/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	University of Delaware	Newark, Delaware	Adjunct Lecturer and independent consultant as Senior Advisor to the Biden Institute
2	Delaware County, Pennsylvania	Media, Pennsylvania	Legal services to the Solicitor's Office
3	Kroll, a Division of Duff and Phelps	Philadelphia, Pennsylvania	Kroll was retained by Michigan State University. Kroll hired me as part of the team to perform the work for MSU.
4	Michigan State University	East Lansing, Michigan	Investigator for Title IX Office through Kroll, a Division of Duff and Phelps

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Delaware County, Pennsylvania	N/A		salary	
2	Law Offices of Andrew J. Donaghy	N/A	\$50,001 - \$100,000	legal fees	
3	Delaware County Defined Pension Benefit Plan	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	SEP-IRA	No			
4.1	GS Finance Corp Index Note Linked to the S&P 500 and Russell 2000 indices	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.2	HSBC USA Leveraged Index Note Linked to the Dow Jones Industrial Average	N/A	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500
2	Brokerage Account #1	No			
2.1	Baltimore MD Municipal water Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2	Reading PA Municipal Water Authority Bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.3	Howard County MD GO Municipal Bonds Mat 2.15.2022	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.4	Montgomery Count MD Municipal Bonds GO Ser A	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.5	Maryland Local Government Infrastructure Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.6	Howard County MD Municipal Bonds GO Pub Mat 2.15.2034 Impt	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.7	Lancaster PA Sewer Authority Municipal Bond	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2.8	Maryland Community Development Local Gov GO Municipal Bonds	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2.9	Anne Arundel MD Water Bonds	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2.10	Maryland State Municipal Bonds Health & Higher Ed 2023	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.11	Maryland State Municipal Bonds Health & Higher Ed 2028	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	Maryland State Municipal Bonds Health & Higher Ed 2036	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.13	Maryland State Municipal Bonds Health & Higher Ed 2038	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2.14	American AMCAP A	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.15	American Bond Fund A	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.16	American Cap World Bond A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.17	American Cap World Growth & Income A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.18	American Fundamental Inv A	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.19	American Intermediate BD FD of America	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.20	American Invest Co of Amer	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.21	American New World A	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.22	American SmallCap World A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.23	Washington Mutual Investors Fund Class R1 Shares (RWMAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	Brokerage Account #2	No			
3.1	AAM Bahl & Gaynor Income Growth Class 1	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.2	First Trust High Dividend Equity Allocation, 35	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	GS Finance Corp Index Note Linked to the S&P 500 and Russell 2000 IndicesRTY/SPX	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.4	BofA Finance LLC Note linked to the Dow Jones Industrial Average	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.5	HSBC USA Leveraged Note linked to the Dow Jones Industrial Average 11.24.23	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.6	HSBC USA Leveraged Note Linked to the Dow Jones Industrial Average 2.24.23	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.7	Delaware National High Yield Muni Bond Fund Class C (DVHCX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.8	Black Rock High Yield Municipal C	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
3.9	Black Rock M/A Inc C (BCICX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
3.10	Mainstay Epoch Global Equity Yield Fund	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
4	MetLife Computer Share Trust Interest Stock	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Beneficiary IRA	No		Cash Distributions	\$263
6.1	GNMA Fund Investor Shares	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
