Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Gibbons, John

United States Marshal, Department of Justice - U.S. Marshals Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Gibbons, John [electronically signed on 05/15/2018 by Gibbons, John in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Auerbach, Gerald, Certifying Official [electronically signed on 07/13/2018 by Auerbach, Gerald in Integrity.gov]

Other review conducted by /s/ Marcovici, Robert, Ethics Official [electronically signed on 07/13/2018 by Marcovici, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification /s/ Skalla, Daniel L, Certifying Official [electronically signed on 09/04/2018 by Skalla, Daniel L in Integrity.gov]

Data Revised 09/04/2018

Data Revised 07/12/2018

Transactions

#	DESCRIPTION		ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
1	Northwestern Mutual-Janus Henderson Balanced Fund Class T-Joint Mutual Fund Account		Sale	04/09/2018	No	\$1,001 - \$15,000
2	Northwestern Mutual-Janus Henderson Balanced See Endnote Fund Class C		Sale	04/09/2018	No	\$50,001 - \$100,000
3	Northwestern Mutual-Janus Henderson Balanced See Endnote Exchange 04 Fund Class T-Jonit Mutual Fund Account		04/09/2018	No	\$1,001 - \$15,000	
4	Raymond James-Gibbons Joint Freedom Bal w/Growth Mutual Fund Accout-Clarkston Partners Fund Institutional Class N/L (CISMX)		Sale	11/07/2017	Yes	\$1,001 - \$15,000
5	Raymond James-Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Deutsche Global Real Estate Securities FD Class A M/F (RRGAX)		Sale	11/06/2017	Yes	\$1,001 - \$15,000
6	Raymond James-Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-SEI U.S. Managed Volatility Fund Class Y N/L (SUSYX)		Purchase	11/06/2017	Yes	\$1,001 - \$15,000
7	Raymond James-Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-Europacific Growth Fund Class F2-American Funds N/L		Purchase	11/06/2017	No	\$1,001 - \$15,000
8	Raymond James-John IRA Freedom Bal w/Growth Mutual Fund-Clarkston Partners Fund Institutional Class N/L (CISMX)		Sale	11/15/2017	Yes	\$1,001 - \$15,000
9	Raymond James-John IRA Freedom Bal w/Growth Mutual Fund-Deutsche Global Real Estate Securities FD Class A M/F		Sale	11/15/2017	Yes	\$1,001 - \$15,000
10	Raymond James-John IRA Freedom Bal w/Growth Mutual Fund-Europacific Growth Fund Class F2- American Funds N/L (AEPFX)		Purchase	11/15/2017	Yes	\$1,001 - \$15,000

#	DESCRIPTION		ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
11	Raymond James-John IRA Freedom Bal w/Growth Mutual Fund-SEI U.S. Managed Volatility Fund Class Y N/L (SUSYX		Purchase	11/16/2017	Yes	\$1,001 - \$15,000
12	Raymond James-Shelby IRA Freedom Bal w/Growth Mutual Fund-Clarkston Partners Fund Institutional Class N/L		Sale	11/15/2017	Yes	\$1,001 - \$15,000
13	Raymond James-Shelby IRA Freedom Bal w/Growth Mutual Fund-Deutsche Global Real Estate Securities FD Class A M/F (RRGAX)		Sale	11/15/2017	Yes	\$1,001 - \$15,000
14	Raymond James-Shelby IRA Freedom Bal w/Growth Mutual Fund-Europacific Growth Fund Class F2-American Funds N/L (AEPFX)		Purchase	11/15/2017	Yes	\$1,001 - \$15,000
15	Raymond James-Shelby IRA Freedom Bal w/Growth Mutual Fund-SEI U.S. Managed Volatility Fund Class Y N/L (SUSYX)		Purchase	11/16/2017	Yes	\$1,001 - \$15,000
16	Raymond James-Gibbons Joint Freedom Bal w/Growth Mutual Fund Account-JP Morgan U.S. Large Cap Core Plus Fund Class I N/L (JLPSX)	See Endnote	Purchase	12/14/2017	Yes	\$1,001 - \$15,000
17	Raymond James-Gibbons Joint Freedom Bal See Endnote w/Growth Mutual Fund Account-John Hancock Disciplined Value Fund Class I N/L (JVLIX)		Purchase	1/18/2017	Yes	\$1,001 - \$15,000
18	Raymond James-Gibbons Joint Freedom Bal See Endnote w/Growth Mutual Fund Account-MFS Research Fund Class A M/F (MFRFX)		Purchase	12/20/2017	Yes	\$1,001 - \$15,000
19	Raymond James-Gibbons Joint Freedom Bal See Endnote w/Growth Mutual Fund Account-Brown Advisory Growth Equity Fund Investor Shares N/L (BIAGX)		Purchase	12/21/2017	Yes	\$1,001 - \$15,000
20	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-American Century Diversified Bond Investor Class		Purchase	01/25/2018	Yes	\$15,001 - \$50,000

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
21	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-Europacific Growth Fund Class F-2 Solicited Order	Sale	01/25/2018	Yes	\$1,001 - \$15,000
22	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund-Fidelity Advisor Total Bond Fund Class I	Purchase	01/25/2017	Yes	\$1,001 - \$15,000
23	23 Northwestern Mutual-(S) IRA FBO Advisory Sale 01/2 Mutual Fund Account-ISHARES TR MSCI Emerging MKTS Index FD BKCM A Pershing Affliate		01/25/2018	Yes	\$1,001 - \$15,000
24	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-ISHARES TR S&P 500 Growth ETF Solicited Order	Sale	01/25/2018	Yes	\$1,001 - \$15,000
25	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-ISHARES TR S&P U S PFD STK Index FD	Sale	01/25/2018	Yes	\$1,001 - \$15,000
26	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-SPDR S&P 500 ETF TR TR Unit BKCM a Pershing Affiliate	Sale	01/25/2018	Yes	\$1,001 - \$15,000
27	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-SPDR SER TR Bloomberg Barclays High Yield BD ETF	Purchase	01/25/2018	Yes	\$1,001 - \$15,000
28	Northwestern Mutual-(S) IRA FBO Advisory Mutual Fund Account-Vanguard BD Index FDS Vanguard Total BD Market ETF BKCM A Pershing Affliate	Sale	01/25/2018	Yes	\$15,001 - \$50,000
29	Northwestern Mutual-John IRA FBO Mutual Fund Account-Europacific Growth Fund Class F-2	Sale	09/12/2017	Yes	\$1,001 - \$15,000
30	Northwestern Mutual-John IRA FBO Mutual Fund Account-ISHARES TR MSCI Emerging MKTS ETF	Sale	09/12/2017	Yes	\$1,001 - \$15,000
31	Northwestern Mutual-John IRA FBO Advisory Mutual Fund Account-ISHARES TR S&P U S PFD STK Index FD	Purchase	09/12/2017	Yes	\$1,001 - \$15,000

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION OVER 30 DAYS AGO	AMOUNT
32	Northwestern Mutual-John IRA FBO Advisory Mutual Fund Account-SPDR S&P 500 ETF TR TR Unit BKCM A Pershing Affliate	Sale	09/12/2017	Yes	\$1,001 - \$15,000
33	Northwestern Mutual-John IRA FBO Mutual Fund Account-SPDR SER TR Bloomberg Barclays High Yield D ETF BKCM A Pershing Affliate	Purchase	09/12/2017	Yes	\$1,001 - \$15,000
34	Northwestern Mutual-John IRA FBO Mutual Fund Account- Vanguard BD Index FD INC Total BD Market ETF	Purchase	09/12/2017	Yes	\$1,001 - \$15,000
35	Twelve (12) \$200.00 U.S. EE Savings Bonds redeemed	Sale	10/18/2017	Yes	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	2	Amount \$93,185.72
Transactions	3	amount \$3,831.78
Transactions	16	Income/Capital Gain of \$3,217.51 & Purchase/Reinvest \$3,217.51
Transactions	17 Income/Capital Gain \$1,097.61 & Purchase/Reinvest \$1,097.61	
Transactions	18	Income/Capital Gain #1,444.81 & Purchase/Reinvest \$1,444.81
Transactions	19	Income/Capital Gains \$1,875.38 & Purchase/Reinvest \$1,875.38

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's

dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seg., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations reguire the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.