

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Creasy, Dwight D

General Counsel, DoD - Defense Finance & Accounting Service

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Creasy, Dwight D [electronically signed on 05/03/2022 by Creasy, Dwight D in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Witter, Jonathan, Certifying Official [electronically signed on 05/12/2022 by Witter, Jonathan in Integrity.gov]

Other review conducted by

/s/ Yount, Frank M, Ethics Official [electronically signed on 05/11/2022 by Yount, Frank M in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/09/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Shelly A. Creasy	N/A			
1.1	USAA Federal Savings Bank Traditional IRA S&P 500 Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	USAA Federal Savings Bank Roth IRA S&P 500 Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Charles Schwab Rollover IRA S&P 500 Index Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Ft CBOE Vest Gold Tactical Buffer BGLD	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Ft CBOE Vest International Equity Buffer YMAR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	FT Cboe Vest US Equity Deep Buffer DMARETF - February (DFEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	IQ Real Return ETF (CPI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Schwab Short-Term US Treasury ETF (SCHO)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Tyndall Federal Credit Union Savings	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	Tyndall Federal Credit Union Checking	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3	Tyndall Federal Credit Union Money Market Account	No	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	Schwab Joint Account	No			None (or less than \$201)
4.1	Schwab Joint Account USAA Index Fund (USPRX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.2	Joint Schwab Account 500 Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Victory USAA Investments	N/A			None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	USAA Federal Savings Bank Roth IRA S&P 500 Index Fund	See Endnote	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	USAA Federal Savings Bank S&P 500 Index Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
5.3	USAA Federal Savings Bank Traditional IRA S&P 500 Index Fund		Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Schwab Roth Contributory IRA S&P 500 Index Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Ft CBOE Vest Gold Tactical Buffer BGLD		Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Ft CBOE Vest International Equity Buffer YJUN		Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Ft CBOE Vest International Buffer YMARr		Yes			\$15,001 - \$50,000
10	Ft CBOE Vest US Equity Deep Buffer DMAR		Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Ft CBOE Vest US Equity Deep Buffer DJUN		Yes	\$15,001 - \$50,000		None (or less than \$201)
12	IQ Real Return ETF (CPI)		Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Schwab Short-Term US Treasury ETF (SCHO)		Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Schwab Short-Term US Treasury ETF (SCHO)	See Endnote	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	10/26/2021	\$1,001 - \$15,000
3	Schwab Short-Term US Treasury ETF (SCHO)	Sale	09/28/2022	\$1,001 - \$15,000
4	Schwab Short-Term US Treasury ETF (SCHO)	Sale	08/25/2021	\$1,001 - \$15,000
5	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	06/28/2021	
6	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	01/11/2021	\$1,001 - \$15,000
7	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	11/12/2021	\$1,001 - \$15,000
8	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	10/26/2021	\$1,001 - \$15,000
9	Schwab Short-Term US Treasury ETF (SCHO)	Sale	09/28/2021	\$1,001 - \$15,000
10	Schwab Short-Term US Treasury ETF (SCHO)	Sale	08/26/2021	\$1,001 - \$15,000
11	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	07/14/2021	\$1,001 - \$15,000
12	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	06/28/2021	\$1,001 - \$15,000
13	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	01/11/2021	\$1,001 - \$15,000
14	Schwab US Mid-Cap ETF (SCHM)	Sale	01/11/2021	\$1,001 - \$15,000
15	Schwab US Mid-Cap ETF (SCHM)	Sale	11/28/2021	\$1,001 - \$15,000
16	Schwab US Mid-Cap ETF (SCHM)	Purchase	10/26/2021	\$1,001 - \$15,000
17	Schwab US Mid-Cap ETF (SCHM)	Purchase	09/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	Schwab US Mid-Cap ETF (SCHM)	Sale	01/01/2021	\$1,001 - \$15,000
19	Schwab US Large-Cap ETF (SCHX)	Sale	01/11/2021	\$1,001 - \$15,000
20	Schwab US Large-Cap ETF (SCHX)	Sale	11/21/2021	\$1,001 - \$15,000
21	Schwab US Large-Cap ETF (SCHX)	Purchase	09/28/2021	\$1,001 - \$15,000
22	Schwab US Large-Cap ETF (SCHX)	Sale	01/11/2021	\$1,001 - \$15,000
23	Schwab US TIPS ETF (SCHP)	Sale	10/26/2021	\$1,001 - \$15,000
24	Schwab US TIPS ETF (SCHP)	Sale	09/28/2021	\$1,001 - \$15,000
25	Schwab US TIPS ETF (SCHP)	Sale	08/26/2021	\$1,001 - \$15,000
26	Schwab US TIPS ETF (SCHP)	Sale	07/06/2021	\$1,001 - \$15,000
27	Schwab US TIPS ETF (SCHP)	Purchase	01/11/2021	\$1,001 - \$15,000
28	Schwab US Large-Cap ETF (SCHX)	Purchase	10/26/2021	\$1,001 - \$15,000
29	Schwab Intermediate-Term US Treasury ETF (SCHR)	Sale	10/26/2021	\$1,001 - \$15,000
30	Schwab Intermediate-Term US Treasury ETF (SCHR)	Purchase	09/28/2021	\$1,001 - \$15,000
31	SPDR Portfolio Aggregate Bond ETF (SPAB)	Sale	10/26/2021	\$1,001 - \$15,000
32	SPDR Portfolio Aggregate Bond ETF (SPAB)	Purchase	09/28/2021	\$1,001 - \$15,000
33	SPDR Portfolio S&P 600 Small Cap ETF (SPSM)	Sale	10/26/2021	\$1,001 - \$15,000
34	SPDR Portfolio S&P 600 Small Cap ETF (SPSM)	Purchase	09/28/2021	\$1,001 - \$15,000
35	Ft Cboe Vest US Equity Deep Buffer DDEC	Sale	12/20/2021	\$1,001 - \$15,000
36	Ft Cboe Vest US Equity Deep Buffer DDEC	Sale	11/12/2021	\$1,001 - \$15,000
37	FT Cboe Vest US Equity Deep Buffer DDEC	Sale	10/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	Ft Cboe Vest US Equity Deep Bufferv DDEC	Sale	12/20/2021	\$1,001 - \$15,000
39	Ft Cboe Vest US Equity Deep Buffer DDEC	Purchase	10/26/2021	\$1,001 - \$15,000
40	FT Cboe Vest US Equity Deep Buffer ETF - February (DFEB)	Sale	10/26/2021	\$1,001 - \$15,000
41	FT Cboe Vest US Equity Deep Buffer ETF - February (DFEB)	Purchase	09/28/2021	\$1,001 - \$15,000
42	Ft Cboe Vest US Equity Deep Buffer DMAR	Sale	12/20/2021	\$1,001 - \$15,000
43	Ft Cboe Vest US Equity Deep Buffer DMAR	Purchase	12/20/2021	\$1,001 - \$15,000
44	Ft Cboe Vest US Equity Deep Buffer DAPR	Sale	12/20/2021	\$1,001 - \$15,000
45	Ft Cboe Vest US Equity Deep Buffer DAPR	Sale	11/23/2021	\$1,001 - \$15,000
46	Ft Cboe Vest US Equity Deep Buffer DAPR	Purchase	10/26/2021	\$1,001 - \$15,000
47	Ft Cboe Vest US Equity Deep Buffer DJUN	Purchase	12/20/2021	\$1,001 - \$15,000
48	Ft Cboe Vest US Equity Deep Buffer DJUN	Sale	09/28/2021	\$1,001 - \$15,000
49	Ft Cboe Vest US Equity Deep Buffer DJUN	Purchase	08/26/2021	\$1,001 - \$15,000
50	Ft Cboe Vest US Equity Deep Buffer DMAY	Sale	10/26/2021	\$1,001 - \$15,000
51	Ft Cboe Vest US Equity Deep Buffer DMAY	Purchase	09/28/2021	\$1,001 - \$15,000
52	FT Cboe Vest US Equity Deep Buffer ETF - August (DAUG)	Sale	10/26/2021	\$1,001 - \$15,000
53	FT Cboe Vest US Equity Deep Buffer ETF - August (DAUG)	Purchase	09/28/2021	\$1,001 - \$15,000
54	FT Cboe Vest US Equity Deep Buffer ETF - November (DNOV)	Sale	10/26/2021	\$1,001 - \$15,000
55	FT Cboe Vest US Equity Deep Buffer ETF - November (DNOV)	Purchase	09/28/2021	\$1,001 - \$15,000
56	Ft Cboe Vest International Equity Buffer YDEC	Sale	12/20/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	Ft Cboe Vest International Equity Buffer YDEC	Purchase	11/23/2021	\$1,001 - \$15,000
58	Ft Cboe Vest International Equity Buffer YDEC	Sale	12/20/2021	\$1,001 - \$15,000
59	Ft Cboe Vest international Equity Buffer YDEC	Purchase	10/26/2021	\$1,001 - \$15,000
60	Ft Cboe Vest International Equity Buffer YMAR	Purchase	12/20/2021	\$1,001 - \$15,000
61	Ft Cboe Vest International Equity Buffer YMAR	Purchase	10/26/2021	\$1,001 - \$15,000
62	Ft Cboe Vest International Equity Buffer YMAR	Sale	09/28/2021	\$1,001 - \$15,000
63	Ft Cboe Vest International Equity Buffer YMAR	Purchase	08/26/2021	\$1,001 - \$15,000
64	Ft Cboe Vest International Equity Buffer YJUN	Purchase	12/20/2021	\$1,001 - \$15,000
65	Ft Cboe Vest International Equity Buffer YJUN	Sale	10/26/2021	\$1,001 - \$15,000
66	Ft Cboe Vest International Equity Buffer YJUN	Purchase	09/28/2021	\$1,001 - \$15,000
67	Ft Cboe Vest Growth 100 Buffer QDEC	Sale	11/23/2021	\$1,001 - \$15,000
68	Ft Cboe Vest Growth 100 Buffer QDEC	Sale	11/12/2021	\$1,001 - \$15,000
69	Ft Cboe Vest Growth 100 Buffer QDEC	Purchase	10/26/2021	\$1,001 - \$15,000
70	Ft Cboe Vest Growth 100 Buffer QJUN	Sale	10/26/2021	\$1,001 - \$15,000
71	Ft Cboe Vest Growth 100 Buffer QJUN	Purchase	09/28/2021	\$1,001 - \$15,000
72	Ft Cboe Vest US Equity Buffer FJUL	Sale	10/26/2021	\$1,001 - \$15,000
73	Ft Cboe Vest US Equity Buffer FJUL	Purchase	09/28/2021	\$1,001 - \$15,000
74	Ft Cboe Vest US Equity Buffer FMAY	Sale	10/26/2021	\$1,001 - \$15,000
75	Ft Cboe Vest US Equity Buffer FMAY	Purchase	09/28/2021	\$1,001 - \$15,000
76	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	11/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	11/12/2021	\$1,001 - \$15,000
78	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Sale	09/27/2021	\$1,001 - \$15,000
79	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	08/26/2021	\$1,001 - \$15,000
80	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	11/23/2021	\$1,001 - \$15,000
81	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	11/12/2021	\$1,001 - \$15,000
82	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	10/26/2021	\$1,001 - \$15,000
83	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Sale	09/27/2021	\$1,001 - \$15,000
84	Ft Cboe Vest Gold Strategy Quarterly Buffer BGLD	Purchase	08/26/2021	\$1,001 - \$15,000
85	Ft Cboe Vest Fund of Deep Buffer BUFD	Sale	10/26/2021	\$1,001 - \$15,000
86	Ft Cboe Vest Fund of Deep Buffer BUFD	Sale	09/27/2021	\$1,001 - \$15,000
87	Ft Cboe Vest Fund of Deep Buffer BUFD	Purchase	08/25/2021	\$1,001 - \$15,000
88	Ft Cboe Vest Fund of Buffer BUFR	Sale	10/26/2021	\$1,001 - \$15,000
89	Ft Cboe Vest Fund of Deep Buffer BUFR	Sale	09/27/2021	\$1,001 - \$15,000
90	Ft Cboe Vest Fund of Buffer BUFR	Purchase	08/25/2021	\$1,001 - \$15,000
91	First Trust Horizon Managed Volatility Domestic HUSV	Sale	11/12/2021	\$1,001 - \$15,000
92	First Trust Horizon Managed Volatility Domestic HUSV	Sale	10/26/2021	\$1,001 - \$15,000
93	First Trust Horizon Managed Volatility Domestic HUSV	Purchase	09/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
94	First Trust Horizon Managed Volatility Domestic HUSV	Sale	11/12/2021	\$1,001 - \$15,000
95	First Trust Horizon Volatility Managed Domestic HUSV	Purchase	10/26/2021	\$1,001 - \$15,000
96	First Trust Horizon Managed Volatility Domestic HUSV	Purchase	09/28/2021	\$1,001 - \$15,000
97	IQ Real Return ETF (CPI)	Purchase	11/12/2021	\$1,001 - \$15,000
98	IQ Real Return ETF (CPI)	Purchase	11/12/2021	\$1,001 - \$15,000
99	iShares Core 10+ Year USD Bond ETF (ILTB)	Sale	10/26/2021	\$1,001 - \$15,000
100	iShares Core 10+ Year USD Bond ETF (ILTB)	Purchase	09/28/2021	\$1,001 - \$15,000
101	First Trust Alternative Absolute Return Strategy ETF (FAAR)	Sale	11/12/2021	\$1,001 - \$15,000
102	First Trust Alternative Absolute Return Strategy ETF (FAAR)	Purchase	10/26/2021	\$1,001 - \$15,000
103	First Trust Alternative Absolute Return Strategy ETF (FAAR)	Purchase	09/28/2021	\$1,001 - \$15,000
104	Vanguard Total World Stock Index Fund ETF Class Shares (VT)	Sale	10/26/2021	\$1,001 - \$15,000
105	Vanguard Total World Stock Index Fund ETF Class Shares (VT)	Purchase	09/28/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	5.1	This IRA is related only to the filer's employment with the federal government.
7.	1	Multiple Dates

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
