Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary of Defense for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Cisneros, Gilbert R [electronically signed on 02/04/2022 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 2 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Raab, Dean, Certifying Official [electronically signed on 03/29/2022 by Raab, Dean in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 03/29/2022 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/16/2022 by Granahan, Megan in Integrity.gov]

Data Revised 03/29/2022

Data Revised 02/08/2022

Data Revised 02/07/2022

Data Revised 02/04/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Burgerfi Intl Inc. (BFI)	Purchase	01/03/2022	No	\$1,001 - \$15,000
2	Credit Suisse Floating Rate High Income Fund Institutional Class Shares (CSHIX)	Purchase	01/03/2022	No	\$1,001 - \$15,000
3	Cognyte Software Ltd.	Purchase	01/03/2022	No	\$15,001 - \$50,000
4	Katapult Holdings Ltd.	Purchase	01/03/2022	No	\$1,001 - \$15,000
5	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	01/03/2022	No	\$1,001 - \$15,000
6	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Sale	01/27/2022	No	\$500,001 - \$1,000,000
7	WisdomTree Floating Rate Treasury Fund (USFR)	Purchase	01/28/2022	No	\$500,001 - \$1,000,000
8	Tencent Music Entertainment Group	Purchase	01/03/2022	No	\$1,001 - \$15,000
9	Intra-Cellular Therapies, Inc. (ITCI)	Purchase	01/10/2022	No	\$1,001 - \$15,000
10	Flex Ltd.	Purchase	01/19/2022	No	\$1,001 - \$15,000
11	Teleflex, Inc. (TFX)	Purchase	01/19/2022	No	\$1,001 - \$15,000
12	Boston Scientific Corp. (BSX)	Purchase	01/25/2022	No	\$1,001 - \$15,000
13	ICU Medical, Inc. (ICUI)	Purchase	01/28/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
14	Teleflex, Inc. (TFX)	Purchase	01/28/2022	No	\$1,001 - \$15,000
15	Linde Plc (LIN)	Purchase	01/31/2022	No	\$1,001 - \$15,000
16	Medtronic Plc (MDT)	Purchase	01/31/2022	No	\$1,001 - \$15,000
17	AbbVie, Inc. (ABBV)	Purchase	01/31/2022	No	\$1,001 - \$15,000
18	Activision Blizzard, Inc. (ATVI)	Purchase	01/31/2022	No	\$1,001 - \$15,000
19	Adobe, Inc. (ADBE)	Purchase	01/31/2022	No	\$1,001 - \$15,000
20	Advanced Micro Devices, Inc. (AMD)	Purchase	01/31/2022	No	\$1,001 - \$15,000
21	Akamai Technologies, Inc. (AKAM)	Purchase	01/31/2022	No	\$1,001 - \$15,000
22	Alphabet, Inc. (GOOG)	Purchase	01/31/2022	No	\$1,001 - \$15,000
23	ANSYS, Inc. (ANSS)	Purchase	01/31/2022	No	\$15,001 - \$50,000
24	Arista Networks, Inc. (ANET)	Purchase	01/31/2022	No	\$1,001 - \$15,000
25	Autodesk, Inc. (ADSK)	Purchase	01/31/2022	No	\$1,001 - \$15,000
26	Becton, Dickinson and Company (BDX)	Purchase	01/31/2022	No	\$1,001 - \$15,000
27	Berkshire Hathaway Inc. (BRKB)	Purchase	01/31/2022	No	\$1,001 - \$15,000
28	Bristol-Myers Squibb (BMY)	Purchase	01/31/2022	No	\$1,001 - \$15,000
29	Ceridian HCM Holding, Inc. (CDAY)	Purchase	01/31/2022	No	\$1,001 - \$15,000
30	Chevron Corp. (CVX)	Purchase	01/31/2022	No	\$1,001 - \$15,000
31	The Coca-Cola Co. (KO)	Purchase	01/31/2022	No	\$1,001 - \$15,000
32	Cognizant Technology Solutions Corp. (CTSH)	Purchase	01/31/2022	No	\$1,001 - \$15,000
33	ConocoPhillips (COP)	Purchase	01/31/2022	No	\$1,001 - \$15,000
34	Crown Castle International Corp. (CCI)	Purchase	01/31/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
35	Devon Energy Corp. (DVN)		Purchase	01/31/2022	No	\$1,001 - \$15,000
36	EOG Resources, Inc. (EOG)		Purchase	01/31/2022	No	\$1,001 - \$15,000
37	Edwards Lifesciences Corp. (EW)		Purchase	01/31/2022	No	\$1,001 - \$15,000
38	Electronic Arts, Inc. (EA)		Purchase	01/31/2022	No	\$1,001 - \$15,000
39	Equifax, Inc. (EFX)		Purchase	01/31/2022	No	\$1,001 - \$15,000
40	Etsy, Inc. (ETSY)		Purchase	01/31/2022	No	\$1,001 - \$15,000
41	Meta Platforms Inc.		Purchase	01/31/2022	No	\$1,001 - \$15,000
42	FactSet Research Systems, Inc. (FDS)		Purchase	01/31/2022	No	\$1,001 - \$15,000
43	Fidelity National Information Services, Inc. (FIS)		Purchase	01/31/2022	No	\$1,001 - \$15,000
44	Fiserv, Inc. (FISV)		Purchase	01/31/2022	No	\$1,001 - \$15,000
45	FirstEnergy Corp. (FE)		Purchase	01/31/2022	No	\$1,001 - \$15,000
46	Gartner, Inc. (IT)		Purchase	01/31/2022	No	\$1,001 - \$15,000
47	Global Payments, Inc. (GPN)		Purchase	01/31/2022	No	\$1,001 - \$15,000
48	Jack Henry & Associates, Inc. (JKHY)		Purchase	01/31/2022	No	\$1,001 - \$15,000
49	IDEXX Laboratories, Inc. (IDXX)		Purchase	01/31/2022	No	\$1,001 - \$15,000
50	Intuitive Surgical, Inc. (ISRG)		Purchase	01/31/2022	No	\$1,001 - \$15,000
51	IQVIA Holdings, Inc. (IQV)		Purchase	01/31/2022	No	\$1,001 - \$15,000
52	Lowe's Companies Inc.		Purchase	01/31/2022	No	\$1,001 - \$15,000
53	Merck & Co., Inc. (MRK)	See Endnote	Purchase	01/31/2022	No	\$1,001 - \$15,000
54	Mettler-Toledo International, Inc. (MTD)		Purchase	01/31/2022	No	\$1,001 - \$15,000
55	Norton LifeLock Inc.		Purchase	01/31/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
56	PTC, Inc. (PTC)	-	Purchase	01/31/2022	No	\$1,001 - \$15,000
57	Paycom Software, Inc. (PAYC)		Purchase	01/31/2022	No	\$1,001 - \$15,000
58	Philip Morris International, Inc. (PM)		Purchase	01/31/2022	No	\$1,001 - \$15,000
59	Pioneer Natural Resources Co. (PXD)		Purchase	01/31/2022	No	\$1,001 - \$15,000
60	Progressive Corp. (PGR)		Purchase	01/31/2022	No	\$1,001 - \$15,000
61	Prologis, Inc. (PLD)		Purchase	01/31/2022	No	\$1,001 - \$15,000
62	Realty Income Corp. (O)		Purchase	01/31/2022	No	\$1,001 - \$15,000
63	ServiceNow, Inc. (NOW)		Purchase	01/31/2022	No	\$1,001 - \$15,000
64	Stryker Corp. (SYK)		Purchase	01/31/2022	No	\$1,001 - \$15,000
65	Take-Two Interactive Software, Inc. (TTWO)		Purchase	01/31/2022	No	\$1,001 - \$15,000
66	TransDigm Group, Inc. (TDG)		Purchase	01/31/2022	No	\$1,001 - \$15,000
67	UnitedHealth Group, Inc. (UNH)	See Endnote	Purchase	01/31/2022	No	\$1,001 - \$15,000
68	Visa, Inc. (V)		Purchase	01/31/2022	No	\$1,001 - \$15,000
69	Walmart, Inc. (WMT)		Purchase	01/31/2022	No	\$1,001 - \$15,000
70	Syneos Health, Inc. (SYNH)		Sale	01/10/2022	No	\$1,001 - \$15,000
71	Shopify Inc.		Sale	01/11/2022	No	\$1,001 - \$15,000
72	The Cooper Cos., Inc. (COO)		Sale	01/19/2022	No	\$1,001 - \$15,000
73	Waters Corp. (WAT)		Sale	01/19/2022	No	\$1,001 - \$15,000
74	Amazon.com, Inc. (AMZN)		Sale	01/21/2022	No	\$1,001 - \$15,000
75	Visa, Inc. (V)		Sale	01/21/2022	No	\$1,001 - \$15,000
76	Patrick Industries, Inc. (PATK)		Sale	01/25/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
77	Icon PLC	Sale	01/28/2022	No	\$1,001 - \$15,000
78	KLA Corp. (KLAC)	Sale	01/28/2022	No	\$1,001 - \$15,000
79	Abbott Laboratories (ABT)	Sale	01/31/2022	No	\$15,001 - \$50,000
80	Alphabet, Inc. (GOOG)	Sale	01/31/2022	No	\$1,001 - \$15,000
81	Amazon.com, Inc. (AMZN)	Sale	01/31/2022	No	\$1,001 - \$15,000
82	Anthem, Inc. (ANTM)	Sale	01/31/2022	No	\$1,001 - \$15,000
83	Automatic Data Processing, Inc. (ADP)	Sale	01/31/2022	No	\$15,001 - \$50,000
84	Cadence Design Systems, Inc. (CDNS)	Sale	01/31/2022	No	\$15,001 - \$50,000
85	Carnival Corp. (CCL)	Sale	01/31/2022	No	\$1,001 - \$15,000
86	Citigroup, Inc. (C)	Sale	01/31/2022	No	\$15,001 - \$50,000
87	Danaher Corp. (DHR)	Sale	01/31/2022	No	\$1,001 - \$15,000
88	DexCom, Inc. (DXCM)	Sale	01/31/2022	No	\$1,001 - \$15,000
89	The Walt Disney Co. (DIS)	Sale	01/31/2022	No	\$1,001 - \$15,000
90	Discovery, Inc. (DISCA)	Sale	01/31/2022	No	\$1,001 - \$15,000
91	EPAM Systems, Inc. (EPAM)	Sale	01/31/2022	No	\$1,001 - \$15,000
92	Extra Space Storage, Inc. (EXR)	Sale	01/31/2022	Yes	\$1,001 - \$15,000
93	Fortinet, Inc. (FTNT)	Sale	01/31/2022	No	\$1,001 - \$15,000
94	Gilead Sciences, Inc. (GILD)	Sale	01/31/2022	No	\$1,001 - \$15,000
95	Intuit, Inc. (INTU)	Sale	01/31/2022	No	\$1,001 - \$15,000
96	M&T Bank Corp. (MTB)	Sale	01/31/2022	No	\$1,001 - \$15,000
97	Moody's Corp. (MCO)	Sale	01/31/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
98	Motorola Solutions, Inc. (MSI)	Sale	01/31/2022	No	\$1,001 - \$15,000
99	Newmont Corp. (NEM)	Sale	01/31/2022	No	\$1,001 - \$15,000
100	Nucor Corp. (NUE)	Sale	01/31/2022	No	\$1,001 - \$15,000
101	NVIDIA Corp. (NVDA)	Sale	01/31/2022	No	\$1,001 - \$15,000
102	Organon and Co.	Sale	01/31/2022	No	\$1,001 - \$15,000
103	Paychex, Inc. (PAYX)	Sale	01/31/2022	No	\$1,001 - \$15,000
104	Pfizer Inc. (PFE)	Sale	01/31/2022	No	\$1,001 - \$15,000
105	Procter & Gamble Co. (PG)	Sale	01/31/2022	No	\$1,001 - \$15,000
106	Public Storage (PSA)	Sale	01/31/2022	No	\$1,001 - \$15,000
107	ResMed, Inc. (RMD)	Sale	01/31/2022	No	\$1,001 - \$15,000
108	SBA Communications Corp. (SBAC)	Sale	01/31/2022	No	\$1,001 - \$15,000
109	salesforce.com, inc. (CRM)	Sale	01/31/2022	No	\$1,001 - \$15,000
110	Synopsys, Inc. (SNPS)	Sale	01/31/2022	No	\$15,001 - \$50,000
111	Tesla, Inc. (TSLA)	Sale	01/31/2022	No	\$1,001 - \$15,000
112	3M Co. (MMM)	Sale	01/31/2022	No	\$1,001 - \$15,000
113	Twitter, Inc. (TWTR)	Sale	01/31/2022	No	\$1,001 - \$15,000
114	Tyler Technologies, Inc. (TYL)	Sale	01/31/2022	No	\$1,001 - \$15,000
115	VF Corp. (VFC)	Sale	01/31/2022	No	\$1,001 - \$15,000
116	VeriSign, Inc. (VRSN)	Sale	01/31/2022	No	\$1,001 - \$15,000
117	Viatris Inc.	Sale	01/31/2022	No	\$1,001 - \$15,000
118	Waste Management, Inc. (WM)	Sale	01/31/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
119	West Pharmaceutical Services, Inc. (WST)	Sale	01/31/2022	No	\$1,001 - \$15,000
120	Zoetis, Inc. (ZTS)	Sale	01/31/2022	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	53	Stock sold on 2/10/2022.
Transactions	67	Stock sold on 2/10/2022.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee's supervising ethics office of the commencement

future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency selforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.