



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO:

DATE OF ISSUANCE:

ELIGIBLE PERSON:

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol
General Counsel

Attachment



Attachment

Description of Property	Shares Owned	Owner	Relationship to employee
Alphabet	16	Susan Jakes	Spouse
Amazon	6	Susan Jakes	Spouse
Apple	256	Susan Jakes	Spouse
Facebook	76	Susan Jakes	Spouse
Microsoft	202	Susan Jakes	Spouse
Visa	80	Susan Jakes	Spouse
Johnson & Johnson	71	Susan Jakes	Spouse
Merck	61	Susan Jakes	Spouse
Pfizer	106	Susan Jakes	Spouse
Regeneron	6	Susan Jakes	Spouse
Roche Holding	233	Susan Jakes	Spouse
Vertex	16	Susan Jakes	Spouse
Bristol Myers	30	Susan Jakes	Spouse
Eli Lilly	12	Susan Jakes	Spouse
Zoetis	35	Susan Jakes	Spouse
Clovis	759	Susan Jakes	Spouse
Intel	52	Susan Jakes	Spouse
KLA Corp	17	Susan Jakes	Spouse
NXP	35	Susan Jakes	Spouse
Qualcomm	57	Susan Jakes	Spouse
Texas Instruments	57	Susan Jakes	Spouse
Xilinx	37	Susan Jakes	Spouse
Taiwan Semiconductors	15	Susan Jakes	Spouse
IBM	45	Susan Jakes	Spouse
Adobe	10	Susan Jakes	Spouse
Citrix	35	Susan Jakes	Spouse
Honeywell	31	Susan Jakes	Spouse
Nvidia	10	Susan Jakes	Spouse
Oracle	147	Susan Jakes	Spouse
VmWare	13	Susan Jakes	Spouse
Amdocs	29	Susan Jakes	Spouse
Checkpoint	23	Susan Jakes	Spouse
Nice	9	Susan Jakes	Spouse
Norton	57	Susan Jakes	Spouse
Nuance	80	Susan Jakes	Spouse
Comcast	237	Susan Jakes	Spouse
T-Mobile	9	Susan Jakes	Spouse
Verizon	154	Susan Jakes	Spouse
Motorola	16	Susan Jakes	Spouse

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Eligible Person: Susan Jakes [spouse of Jeffrey Prescott], Department of State

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Bank of America	320	Susan Jakes	Spouse
Citigroup	18	Susan Jakes	Spouse
Goldman Sachs	30	Susan Jakes	Spouse
LPL Financial	41	Susan Jakes	Spouse
Paypal	27	Susan Jakes	Spouse
PNC Financial Servs	36	Susan Jakes	Spouse
Wells Fargo	291	Susan Jakes	Spouse
American Financial	11	Susan Jakes	Spouse
Fidelity Nat'l Info Servs	13	Susan Jakes	Spouse
Intercontinental Exchange	15	Susan Jakes	Spouse
JP Morgan	11	Susan Jakes	Spouse
Mastercard	6	Susan Jakes	Spouse
S&P Global	6	Susan Jakes	Spouse
Procter & Gamble	96	Susan Jakes	Spouse