

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Apelbaum, Perry

Senior Counsel, Antitrust Division, Department of Justice

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

House Judiciary Committee Staff Director/Chief Counsel (9/2001 - 12/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Apelbaum, Perry [electronically signed on 04/18/2023 by Apelbaum, Perry in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kumar, Manish, Certifying Official [electronically signed on 08/01/2023 by Kumar, Manish in Integrity.gov]

Other review conducted by

/s/ Fisher, Tracy, Ethics Official [electronically signed on 07/06/2023 by Fisher, Tracy in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/06/2023

Data Revised 07/05/2023

Data Revised 06/27/2023

Data Revised 06/13/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust #1	Chevy Chase, Maryland	Trust	Trustee	1/1998	Present
2	Family Trust #2	Chevy Chase, Maryland	Trust	Trustee	8/2000	Present
3	Filer Irrevocable Trust	Chevy Chase, Maryland	Trust	Trustee	9/2007	Present
4	Filer Revocable Trust	Chevy Chase, Maryland	Trust	Trustee	6/2010	Present
5	Trent Street LLC	Chevy Chase, Maryland	Corporation	Manager	9/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Filer's IRA	See Endnote	N/A		None (or less than \$201)
1.1	ARK Genomic Revolution ETF (ARKG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Baron Intl Growth Instl (BINIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	PGIM Jen Intl Opp Z (PWJZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	PolenGlobal Growth Fund Institutional Class Shares (PGIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	United States bank deposit account	No	\$1,001 - \$15,000		None (or less than \$201)
1.6	WisdomTree International Hedged Quality Dividend Growth Fund (IHDG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	iShares PHLX Semiconductor ETF (SOXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.8	I shares american tech-software (IGU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.9	Invesco Solar ETF (TAN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.10	ARK Innovation ETF (ARKK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.11	Global X Lithium & Battery Tech ETF (LIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Apelbaum Non-Profit Consulting	N/A		consulting fees	
1.1	Capacity Partners	N/A			
1.2	Lost Shul Mural	N/A			
1.3	JDCA PAC	N/A			
1.4	Jewish Electoral Institute	N/A			
1.5	Laurel Historical Society	N/A			
2	Spouse IRA #1 (Rollover)	N/A			
2.1	BlackRock Technology Opportunities Fund Investor A Shares (BGSAX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.2	Vanguard FTSE Emerging Market (VWO)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.3	Vanguard 500 Index Fund ETF Shares (VOO) See Endnote	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.4	Vanguard Total Intl Stock ETF (VXUS)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.5	Wisdom Tree Intl Hldg Quarterly Div (IHDG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	US Bank Deposit Account	No	\$1,001 - \$15,000		None (or less than \$201)
2.7	SPDR S&P MidCap 400 ETF (MDY) See Endnote	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
3	Spouse IRA #2 (Inherited Roth)	N/A		cash distribution	\$2,335
3.1	iShares Russell 1000 ETF (IWB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.2	US Money Market Account	No	\$1,001 - \$15,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Spouse IRA #3 (Inherited Traditional)	No		cash distribution	\$1,735
4.1	Amplitude (AMPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Butterfly Network (BFLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	Cloudfare (NET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	Crowdstrike (CRWD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	Elastic NV (ESTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	EXACT Sciences Corp. (EXAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	Fiverr Intl (FVRR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Global-e Online (GLBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	Guardant Health, Inc. (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	Ionis Pharmaceuticals, Inc. (IONS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	Twist Bioscience Corp. (TWST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	Veeva Systems, Inc. (VEEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.15	ARK Genomic Revolution ETF (ARKG)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.16	United States bank deposit account	No	\$1,001 - \$15,000		None (or less than \$201)
4.17	Thermo Fisher Scientific, Inc. (TMO)	No	\$1,001 - \$15,000		None (or less than \$201)
4.18	Repligen Corp. (RGEN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.19	Danaher Corp. (DHR)	No	\$1,001 - \$15,000		None (or less than \$201)
4.20	BioNTech SE American Depositary Share (BNTX)	No	\$1,001 - \$15,000		None (or less than \$201)
4.21	Datadog Inc. Class A Common Stock (DDOG)	No	\$1,001 - \$15,000		None (or less than \$201)
4.22	Beam Inc (BEAM)	No	\$1,001 - \$15,000		None (or less than \$201)
4.23	The Trade Desk, Inc. (TTD)	No	\$15,001 - \$50,000		None (or less than \$201)
4.24	Airbnb Inc. Class A Common Stock (ABNB)	No	\$1,001 - \$15,000		None (or less than \$201)
4.25	Moderna Inc. Common Stock (MRNA)	No	\$1,001 - \$15,000		None (or less than \$201)
4.26	Zscaler, Inc. (ZS)	No	\$1,001 - \$15,000		None (or less than \$201)
4.27	Ameresco, Inc. (AMRC)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.28	Intuitive Surgical, Inc. (ISRG)	No	\$1,001 - \$15,000		None (or less than \$201)
4.29	DexCom, Inc. (DXCM)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.30	Eaton Corp PLC SHS (ETN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.31	Ginkgo Bioworks Holdings Inc. Class A Common Stock (DNA)	No	\$1,001 - \$15,000		None (or less than \$201)
4.32	GitLab Inc. Class A Common Stock (GTLB)	No	\$1,001 - \$15,000		None (or less than \$201)
4.33	Hashicorp Inc (HCP)	No	\$1,001 - \$15,000		None (or less than \$201)
4.34	Iridium Communications, Inc. (IRDM)	No	\$1,001 - \$15,000		None (or less than \$201)
4.35	Linde Plc (LIN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.36	NVIDIA Corp. (NVDA)	No	\$1,001 - \$15,000		None (or less than \$201)
4.37	Lemonade Inc. Common Stock (LMND)	No	None (or less than \$1,001)		None (or less than \$201)
4.38	Proto Labs, Inc. (PRLB)	No	None (or less than \$1,001)		None (or less than \$201)
4.39	Regeneron Pharmaceuticals, Inc. (REGN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.40	Sea Limited American Depositary Shares each representing one Class A Ordinary Share (SE)	No	\$1,001 - \$15,000		None (or less than \$201)
4.41	Shopify Inc. Class A Subordinate Voting Shares (SHOP)	No	\$1,001 - \$15,000		None (or less than \$201)
4.42	The TJX Cos., Inc. (TJX)	No	\$1,001 - \$15,000		None (or less than \$201)
4.43	Vertex Pharmaceuticals, Inc. (VRTX)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.44	Invitae Corp. (NVTA)		No	None (or less than \$1,001)		None (or less than \$201)
4.45	Upstart Holdings Inc. Common stock (UPST)		No	None (or less than \$1,001)		None (or less than \$201)
4.46	10x Genomics Inc. Class A Common Stock (TXG)	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
4.47	Advanced Micro Devices, Inc. (AMD)	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
4.48	Adyen N V ADR (ADYEY)	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
4.49	Byd Company ADR (BYDDY)	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
4.50	Celanese Corp. (CE)		No	\$1,001 - \$15,000		None (or less than \$201)
4.51	Confluent Inc. Class A Common Stock (CFLT)		No	\$1,001 - \$15,000		None (or less than \$201)
4.52	CRISPR Therapeutics AG Common Shares (CRSP)		No	\$1,001 - \$15,000		None (or less than \$201)
4.53	AstraZeneca PLC American Depositary Shares (AZN)		No	\$1,001 - \$15,000		None (or less than \$201)
5	Spouse IRA #4 (Sep)		No			
5.1	ARK Genomic Revolution ETF (ARKG)		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	United States bank deposit account		N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse Irrevocable Trust #1	See Endnote	No		
1.1	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.2	Johnson & Johnson (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.3	LyondellBasell Industries NV (LYB)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.4	O'Reilly Automotive (ORLY)	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.5	Taiwan Semiconductor (TSM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.6	Tesla, Inc. (TSLA)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.7	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	Black Rock Health Sciences Tr II (BMEZ)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
1.9	iShares Core MSCI Emerging (IEMG)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.10	iShares Global Tech ETF (IXN)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.11	iShares Semiconductor ETF (SOXX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.12	SPDR S&P MidCap 400 ETF (MDY)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
1.13	US Treasury Note (1.375%, Matures 02/15/2023)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
1.14	PGIM Jenn Gbl Opp C (PRJCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	United States bank deposit account	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
1.16	Algonquin Power (AQN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.17	American Tower Corporation (REIT) (AMT)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
1.18	Amgen Inc. (AMGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.19	Aptiv PLC (APTV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.20	Broadcom, Inc. (AVGO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.21	CDN Pacific Ry (CP)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
1.22	Gilead Sciences, Inc. (GILD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.23	Houlihan Lokey, Inc. (HLI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.24	Knight-Swift Transportation Holdings, Inc. (KNX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.25	Nestle Spon ADR (NSRGY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.26	Novartis AG ADR (NWS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.27	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	Palo Alto Networks, Inc. (PANW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.29	PepsiCo, Inc. (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.30	Roche Holdings Adr (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.31	Salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.32	Sempra Energy (SRE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.33	The TJX Cos., Inc. (TJX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.34	United Rentals, Inc. (URI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.35	Veeva Systems, Inc. (VEEV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Anne Arundel Cnty MD Gen Oblig (5% , Matures 04/01/2023)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.37	Baltimore Cnty MD Metro Dist (5%, Matures 08/01/2023)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.38	Montgy Cnty MD (5%, Matures 06/01/2024)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.39	Maryland State Bond (5%, Matures 08/01/2024)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.40	Wash Suburban San Dist Md (5%, Matures 06/01/2025)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.41	Wells Fargo Bond (5.875%, Perpetual Maturity)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.42	United States money market bank account	N/A	\$100,001 - \$250,000		None (or less than \$201)
1.43	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.44	iShares Core S&P 500 ETF (IVV)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.45	Howard Cty MD 5% Bonds	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.46	Balt MD Water Project 5% Bonds	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.47	Balt MD Proj Rev 5% Bond	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.48	PGIM JennisonGlobal Opportunities Fund Class A Shares (PRJAX)	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
1.49	Liberty Media Corp. (FWONK)	No	\$1,001 - \$15,000		None (or less than \$201)
1.50	United States Bank Deposit Account	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2	Filer Irrevocable Trust	N/A			
2.1	3M Co. (MMM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.2	Abbott Laboratories (ABT)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.3	AbbVie, Inc. (ABBV)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.4	Bristol-Myers Squibb (BMY)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
2.5	Caterpillar, Inc. (CAT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.6	Clorox Co (CLX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.7	The Coca-Cola Co. (KO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.8	Colgate-Palmolive Co. (CL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.9	Eaton Corp. Plc (ETN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.10	General Mills, Inc. (GIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.11	Johnson & Johnson (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.12	Kimberly-Clark Corp. (KMB)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.13	Lincoln Electric Holdings, Inc. (LECO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.14	NextEra Energy, Inc. (NEE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.15	Procter & Gamble (PG)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.16	The J. M. Smucker Co. (SJM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.17	Walmart, Inc. (WMT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.18	ARK Genomic Revolution ETF (ARKG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.19	BlackRock Health Sciences Trust II (BMEZ)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
2.20	BlackRock Science and Technology Trust II (BSTZ)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
2.21	iShares Expanded Tech-Software ETF (IGV)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.22	United States money market bank account	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.23	iShares Core S&P 500 ETF (IVV)	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.24	United States Bank Deposit Account	No	\$100,001 - \$250,000	Interest	None (or less than \$201)
3	Spouse Irrevocable Trust #2	No			
3.1	Abbott Laboratories (ABT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.2	Becton, Dickinson and Company (BDX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.3	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.4	Danaher Corp. (DHR)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
3.5	Donaldson Co., Inc. (DCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.6	FedEx Corp. (FDX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3.7	Johnson & Johnson (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3.8	Mondelez International, Inc. (MDLZ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3.9	Otis Worldwide (OTIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3.10	PepsiCo, Inc. (PEP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3.11	Procter & Gamble Co. (PG)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.12	Starbucks Corp. (SBUX)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3.13	Stryker Corp. (SYK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3.14	Valmont Industries, Inc. (VMI)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
3.15	Cook Co Ill Cons Sch Dist 21 Wheeling Ser-A (3.2%, Matures 12/1/2032)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.16	Du Page Co Ill Sch Dist no 33 West Chicago Ref (3.125%, matures 12/1.2027)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.17	Geary Co KS Uni Sch Dist no 475, 3.909%, due 9/1/2043)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.18	Grandview Hts OH (4%, matures 12/1/2039)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.19	Hoffman Estates Ill Pk Dis OID (4%, matures 12/1/2044)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.20	Menifee Co. Kky Sch Dist Fin Corp Sch Bldg Rev ((3%, Matures 8/1/2033)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.21	Montgy CO PA Higher Ed & Health Auth Rev (4.25%, matures 4/1/2035)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.22	Owensboro KY Indpt Sch Dist Fin Corp Sch Bldg Rev (3%, matures 9/1/2027)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.23	Renn Co NY GO Pub Impt (3%, matures 7/15/2029)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.24	Rockford Co Ill OID (4%, matures 12/15/2033)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.25	Warroad MN Indpt Sch Dist NO690 (2.75%, matures 2036)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.26	United States money market bank account	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.27	Partnership Interest in BPMS Carriage Hill	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
3.28	Partnership Interest in BPMS Hunt Club	No	\$50,001 - \$100,000	Rent or Royalties	\$1,001 - \$2,500
3.29	Bonaventure Multifamily Income Trust	No	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
3.30	SPDR S&P 500 ETF Trust (SPY)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
3.31	Allen Tx Indpt Sch Dist 5% Bonds	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.32	Ill. St Toll Hway 5% Bonds	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.33	Omaha Pub Pwr 5% Bonds	No	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.34	Accenture Plc (ACN)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3.35	Lowes Companies (LOW)	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4	Filer Revocable Trust	No			
4.1	BlackRock Multi-Sector Opportunity Fund (BMSOZ)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.2	American New Perspective A (ANWPX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.3	United States bank deposit account	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5	Spouse Revocable Trust	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
5.1	HP, Inc. (HPQ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
5.2	Marriott International, Inc. (MAR)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	
5.3	Marriott Vacations Worldwide Corp. (VAC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	
5.4	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
5.5	Texas Instruments Incorporated (TXN)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
5.6	iShares Russell 1000 ETF (IWB)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500	
5.7	BlackRock Technology Fund (BGSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)	
5.8	Fidelity Select Biotechnology (FBIOX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000	
5.9	United States bank deposit account	N/A	\$15,001 - \$50,000		None (or less than \$201)	
5.10	Boston Properties, Inc. (BXP)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000	
5.11	Bonaventure Multifamily Income Trust	No	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000	
5.12	Residential Real Estate, Chevy Chase, MD	See Endnote	No	Over \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
5.13	United States bank deposit account	N/A	\$15,001 - \$50,000		None (or less than \$201)	
5.14	United States bank deposit account	N/A	\$15,001 - \$50,000		None (or less than \$201)	
5.15	Microsoft Corp. (MSFT)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Dep Child College Savings Plan #2	No		Cash distribution	\$11,715
6.1	T. Rowe Price Portfolio for Education Today	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Dep Child College Savings Plan #1	No		Cash distribution	\$34,610
7.1	T. Rowe Price Portfolio for Education Today	Yes	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Global X Lithium & Battery Tech ETF (LIT)	Purchase	12/27/2021	\$1,001 - \$15,000
2	ARK Innovation ETF (ARKK)	Sale	12/27/2021	\$1,001 - \$15,000
3	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Sale	12/27/2021	\$1,001 - \$15,000
4	WisdomTree International Hedged Quality Dividend Growth Fund (IHDG)	Sale	12/27/2021	\$1,001 - \$15,000
5	iShares PHLX Semiconductor ETF (SOXX)	Purchase	12/27/2021	\$1,001 - \$15,000
6	iShares Expanded Tech-Software ETF (IGV)	Purchase	12/27/2021	\$1,001 - \$15,000
7	Taiwan Smcndctr ADR (TSM)	Sale	12/27/2021	\$1,001 - \$15,000
8	iShares Russell 1000 ETF (IWB)	Sale	12/22/2021	\$1,001 - \$15,000
9	Takeda Pharmaceutcl ADR (TAK)	Sale	12/22/2021	\$15,001 - \$50,000
10	PayPal Holdings, Inc. (PYPL)	Purchase	01/14/2022	\$15,001 - \$50,000
11	Lowes (LOW)	Sale	01/12/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
12	Accenture Plc (ACN)		Sale	01/31/2022	\$15,001 - \$50,000
13	US Treasury Note Redemption, 1.35% due 1/31/22		Sale	01/31/2022	\$50,001 - \$100,000
14	Partnership Interest in Bonaventure Real Estate Fund 1	See Endnote	Exchange	01/31/2022	\$15,001 - \$50,000
15	SPDR S&P 500 ETF Trust (SPY)		Purchase	04/26/2022	\$250,001 - \$500,000
16	Allen TX Indpt Sch Dist 5% Bonds		Purchase	04/27/2022	\$50,001 - \$100,000
17	Ill St Toll Hwy Auth 5% Bonds		Purchase	04/27/2022	\$50,001 - \$100,000
18	Omaha Pub Pwr Dist NEB 5% Bonds		Purchase	04/27/2022	\$50,001 - \$100,000
19	Becton, Dickinson and Company (BDX)		Purchase	04/28/2022	\$1,001 - \$15,000
20	Medtronic Stock (MDT)		Purchase	04/28/2022	\$1,001 - \$15,000
21	Starbucks Corp. (SBUX)		Purchase	04/28/2022	\$1,001 - \$15,000
22	Alphabet, Inc. (GOOGL)		Sale	04/26/2022	\$50,001 - \$100,000
23	Apple, Inc. (AAPL)		Sale	04/26/2022	\$50,001 - \$100,000
24	The Walt Disney Co. (DIS)		Sale	04/26/2022	\$15,001 - \$50,000
25	The Goldman Sachs Group, Inc. (GS)		Sale	04/26/2022	\$15,001 - \$50,000
26	Facebook, Inc. (FB)		Sale	04/26/2022	\$15,001 - \$50,000
27	Microsoft Corp. (MSFT)		Sale	04/26/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
28	The PNC Financial Services Group, Inc. (PNC)	Sale	04/26/2022	\$15,001 - \$50,000
29	Raytheon Technologies (RTX)	Sale	04/26/2022	\$15,001 - \$50,000
30	Visa, Inc. (V)	Sale	04/26/2022	\$15,001 - \$50,000
31	Truist Stock (TFC)	Sale	04/26/2022	\$15,001 - \$50,000
32	Microsoft Corp. (MSFT)	Sale	04/26/2022	\$50,001 - \$100,000
33	Apple, Inc. (AAPL)	Sale	04/26/2022	\$15,001 - \$50,000
34	Raytheon Technologies (RTX)	Sale	04/26/2022	\$15,001 - \$50,000
35	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	04/26/2022	\$100,001 - \$250,000
36	Netflix, Inc. (NFLX)	Sale	04/26/2022	\$1,001 - \$15,000
37	iShares Core S&P 500 ETF (IVV)	Purchase	04/26/2022	\$15,001 - \$50,000
38	Amazon.com, Inc. (AMZN)	Sale	04/26/2022	\$15,001 - \$50,000
39	Raytheon Technologies (RTX)	Sale	04/26/2022	\$50,001 - \$100,000
40	iShares Core S&P 500 ETF (IVV)	Purchase	04/26/2022	\$50,001 - \$100,000
41	Verizon Communications, Inc. (VZ)	Sale	04/26/2022	\$1,001 - \$15,000
42	The Walt Disney Co. (DIS)	Sale	04/26/2022	\$1,001 - \$15,000
43	Apple, Inc. (AAPL)	Sale	04/26/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	Sonos, Inc. (SONO)	Sale	04/26/2022	\$1,001 - \$15,000
45	JP Morgan 6.1% Bonds	Sale	04/26/2022	\$15,001 - \$50,000
46	Alphabet, Inc. (GOOGL)	Sale	04/26/2022	\$15,001 - \$50,000
47	Facebook, Inc. (FB)	Sale	04/26/2022	\$15,001 - \$50,000
48	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	04/26/2022	\$100,001 - \$250,000
49	JPMorgan Chase & Co. (JPM)	Sale	04/26/2022	\$15,001 - \$50,000
50	iShares Core S&P 500 ETF (IVV)	Purchase	04/26/2022	\$100,001 - \$250,000
51	Amazon.com, Inc. (AMZN)	Sale	04/26/2022	\$15,001 - \$50,000
52	UnitedHealth Group, Inc. (UNH)	Sale	04/26/2022	\$15,001 - \$50,000
53	Bank of America Corp. (BAC)	Sale	04/26/2022	\$15,001 - \$50,000
54	JPMorgan Chase & Co. (JPM)	Sale	04/26/2022	\$15,001 - \$50,000
55	Comcast Corp. (CMCSA)	Sale	04/26/2022	\$15,001 - \$50,000
56	iShares Russell 1000 ETF (IWB)	Purchase	04/26/2022	\$100,001 - \$250,000
57	Intuit, Inc. (INTU)	Sale	04/26/2022	\$50,001 - \$100,000
58	Microsoft Corp. (MSFT)	Sale	04/26/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	The Walt Disney Co. (DIS)	Sale	04/26/2022	\$1,001 - \$15,000
60	Apple, Inc. (AAPL)	Sale	04/26/2022	\$50,001 - \$100,000
61	Alphabet, Inc. (GOOG)	Sale	04/26/2022	\$1,001 - \$15,000
62	Alphabet, Inc. (GOOGL)	Sale	04/26/2022	\$1,001 - \$15,000
63	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	04/26/2022	\$100,001 - \$250,000
64	Netflix, Inc. (NFLX)	Sale	04/26/2022	\$1,001 - \$15,000
65	Amazon.com, Inc. (AMZN)	Sale	04/26/2022	\$15,001 - \$50,000
66	Microsoft Corp. (MSFT)	Sale	04/26/2022	\$1,001 - \$15,000
67	The Walt Disney Co. (DIS)	Sale	04/26/2022	\$1,001 - \$15,000
68	Alphabet, Inc. (GOOG)	Sale	04/26/2022	\$1,001 - \$15,000
69	Alphabet, Inc. (GOOGL)	Sale	04/26/2022	\$1,001 - \$15,000
70	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	04/26/2022	\$50,001 - \$100,000
71	Netflix, Inc. (NFLX)	Sale	04/26/2022	\$1,001 - \$15,000
72	Amazon.com, Inc. (AMZN)	Sale	04/26/2022	\$15,001 - \$50,000
73	Thermo Fisher Scientific, Inc. (TMO)	Purchase	05/26/2022	\$1,001 - \$15,000
74	Repligen Corp. (RGEN)	Purchase	04/26/2022	\$1,001 - \$15,000
75	Deere & Co. (DE)	Purchase	04/26/2022	\$1,001 - \$15,000
76	Danaher Corp. (DHR)	Purchase	04/26/2022	\$1,001 - \$15,000
77	BioNTech SE American Depositary Share (BNTX)	Purchase	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
78	Datadog Inc. CI A (DDOG)	Purchase	04/26/2022	\$1,001 - \$15,000
79	Cloudflare Inc CI A (NET)	Purchase	04/26/2022	\$1,001 - \$15,000
80	Schrodinger Inc Com (SDGR)	Sale	04/26/2022	\$1,001 - \$15,000
81	Beam Inc (BEAM)	Purchase	04/26/2022	\$1,001 - \$15,000
82	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	\$1,001 - \$15,000
83	Airbnb Inc. CI A (ABNB)	Purchase	04/26/2022	\$1,001 - \$15,000
84	Moderna (MRNA)	Purchase	04/26/2022	\$1,001 - \$15,000
85	Zscaler, Inc. (ZS)	Purchase	04/26/2022	\$1,001 - \$15,000
86	Shopify (SHOP)	Purchase	04/26/2022	\$1,001 - \$15,000
87	Square, Inc. (SQ)	Sale	04/26/2022	\$1,001 - \$15,000
88	Twitter, Inc. (TWTR)	Sale	04/26/2022	\$1,001 - \$15,000
89	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	\$1,001 - \$15,000
90	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	\$1,001 - \$15,000
91	Roku, Inc. (ROKU)	Sale	04/26/2022	\$1,001 - \$15,000
92	Spotify Technology SA (SPOT)	Sale	04/26/2022	\$1,001 - \$15,000
93	Mercadolibre (MELI)	Purchase	04/26/2022	\$1,001 - \$15,000
94	Intuitive Surgical, Inc. (ISRG)	Purchase	04/26/2022	\$1,001 - \$15,000
95	NVIDIA Corp. (NVDA)	Sale	04/26/2022	\$1,001 - \$15,000
96	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	04/26/2022	\$1,001 - \$15,000
97	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/26/2022	\$1,001 - \$15,000
98	Repligen Corp. (RGEN)	Purchase	04/26/2022	\$1,001 - \$15,000
99	Danaher Corp. (DHR)	Purchase	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
100	Ginko Bioworks Holds Inc Cl A (DNA)	Purchase	04/26/2022	\$1,001 - \$15,000
101	Global-E Online Ltd (GLBE)	Purchase	04/26/2022	\$1,001 - \$15,000
102	BioNTech SE American Depository Share (BNTX)	Purchase	04/26/2022	\$1,001 - \$15,000
103	Datadog Inc Cl A (DDOG)	Purchase	04/26/2022	\$1,001 - \$15,000
104	Cloudflare Inc Cl A (NET)	Purchase	04/19/2022	\$1,001 - \$15,000
105	Schrodinger Inc Com (SDGR)	Sale	04/26/2022	\$1,001 - \$15,000
106	Beam Inc (BEAM)	Purchase	04/26/2022	\$1,001 - \$15,000
107	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	\$1,001 - \$15,000
108	Airbnb Inc Cl A (ABNB)	Purchase	04/26/2022	\$1,001 - \$15,000
109	Moderna (MRNA)	Purchase	04/26/2022	\$1,001 - \$15,000
110	Zscaler, Inc. (ZS)	Purchase	04/26/2022	\$1,001 - \$15,000
111	Square, Inc. (SQ)	Sale	04/26/2022	\$1,001 - \$15,000
112	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	\$1,001 - \$15,000
113	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	\$1,001 - \$15,000
114	Twitter, Inc. (TWTR)	Sale	04/26/2022	\$1,001 - \$15,000
115	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	\$1,001 - \$15,000
116	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	\$1,001 - \$15,000
117	Roku, Inc. (ROKU)	Sale	04/26/2022	\$1,001 - \$15,000
118	Spotify (SPOT)	Sale	04/26/2022	\$1,001 - \$15,000
119	Mercadolibre (MELI)	Purchase	04/26/2022	\$1,001 - \$15,000
120	Intuitive Surgical, Inc. (ISRG)	Purchase	04/26/2022	\$1,001 - \$15,000
121	NVIDIA Corp. (NVDA)	Sale	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
122	Regeneron Pharmaceuticals, Inc. (REGN)	Purchase	04/26/2022	\$1,001 - \$15,000
123	Thermo Fisher Scientific, Inc. (TMO)	Purchase	04/26/2022	\$1,001 - \$15,000
124	Repligen Corp. (RGEN)	Purchase	04/26/2022	\$1,001 - \$15,000
125	Danaher Corp. (DHR)	Purchase	04/26/2022	\$1,001 - \$15,000
126	BioNTech SE American Depository Share (BNTX)	Purchase	04/26/2022	\$1,001 - \$15,000
127	Datadog Inc Cl A (DDOG)	Purchase	04/26/2022	\$1,001 - \$15,000
128	Cloudflare Inc Cl A (NET)	Purchase	04/26/2022	\$1,001 - \$15,000
129	Beam Inc (BEAM)	Purchase	04/26/2022	\$1,001 - \$15,000
130	Silergate Cap Corp Cl A (SI)	Purchase	04/26/2022	\$1,001 - \$15,000
131	The Trade Desk, Inc. (TTD)	Purchase	04/26/2022	\$1,001 - \$15,000
132	Airbnb Inc Cl A (ABNB)	Purchase	04/26/2022	\$1,001 - \$15,000
133	Moderna (MRNA)	Purchase	04/26/2022	\$1,001 - \$15,000
134	Zscaler, Inc. (ZS)	Purchase	04/26/2022	\$1,001 - \$15,000
135	Square, Inc. (SQ)	Sale	04/26/2022	\$1,001 - \$15,000
136	ARK Genomic Revolution ETF (ARKG)	Sale	04/26/2022	\$1,001 - \$15,000
137	Proto Labs, Inc. (PRLB)	Purchase	04/26/2022	\$1,001 - \$15,000
138	Twitter, Inc. (TWTR)	Sale	04/26/2022	\$1,001 - \$15,000
139	Teladoc Health, Inc. (TDOC)	Sale	04/26/2022	\$1,001 - \$15,000
140	Ameresco, Inc. (AMRC)	Purchase	04/26/2022	\$1,001 - \$15,000
141	Roku, Inc. (ROKU)	Sale	04/26/2022	\$1,001 - \$15,000
142	Spotify Technology SA (SPOT)	Sale	04/26/2022	\$1,001 - \$15,000
143	Mercadolibre (MELI)	Purchase	04/26/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
144	Intuitive Surgical, Inc. (ISRG)		Purchase	04/26/2022	\$1,001 - \$15,000
145	NVIDIA Corp. (NVDA)		Sale	04/26/2022	\$1,001 - \$15,000
146	Regeneron Pharmaceuticals, Inc. (REGN)		Purchase	04/26/2022	\$1,001 - \$15,000
147	Howard Cnty MD 5% Bonds		Purchase	05/27/2022	\$15,001 - \$50,000
148	PayPal Holdings, Inc. (PYPL)		Sale	06/16/2022	\$1,001 - \$15,000
149	Baron International Growth Fund Institutional Class Shares (BINIX)		Purchase	06/15/2022	\$1,001 - \$15,000
150	PolenGlobal Growth Fund Institutional Class Shares (PGIIX)		Purchase	06/15/2022	\$1,001 - \$15,000
151	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)		Sale	06/15/2022	\$1,001 - \$15,000
152	Prince Georges Cnty MD 4% Bonds	See Endnote	Sale	07/22/2022	\$15,001 - \$50,000
153	Baltimore MD Water Project 5% Revenue Bond		Purchase	07/15/2022	\$15,001 - \$50,000
154	Prince Georges Cnty MD 4% Bonds	See Endnote	Sale	07/22/2022	\$15,001 - \$50,000
155	Baltimore MD Water Project 5% Revenue Bond		Purchase	07/15/2022	\$15,001 - \$50,000
156	Ross Stores, Inc. (ROST)		Sale	09/30/2022	\$15,001 - \$50,000
157	OTIS Worldwide stock		Purchase	10/14/2022	\$1,001 - \$15,000
158	Gainesville and Hall Cnty GA 5% Bonds		Sale	10/27/2022	\$15,001 - \$50,000
159	Baltimore MD Proj Rev 5% bond		Purchase	11/23/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
160	Colorado Health FacsAuth Revenue Bonds	Sale	11/09/2022	\$15,001 - \$50,000
161	Medtronic Plc (MDT)	Sale	12/09/2022	\$15,001 - \$50,000
162	spdr trust series 1 etf	Sale	12/09/2022	\$250,001 - \$500,000
163	celanese series a stock	Purchase	12/13/2022	\$1,001 - \$15,000
164	astrazeneca plc adr	Purchase	12/13/2022	\$1,001 - \$15,000
165	DexCom, Inc. (DXCM)	Sale	12/13/2022	\$1,001 - \$15,000
166	Vertex Pharmaceuticals, Inc. (VRTX)	Purchase	12/13/2022	\$1,001 - \$15,000
167	Cerus Corp. (CERS)	Sale	12/13/2022	\$1,001 - \$15,000
168	The TJX Cos., Inc. (TJX)	Purchase	12/13/2022	\$1,001 - \$15,000
169	confluent inc class a	Purchase	12/13/2022	\$1,001 - \$15,000
170	Gitlab Inc. Class A	Purchase	12/13/2022	\$1,001 - \$15,000
171	hashicorp	Purchase	12/13/2022	\$1,001 - \$15,000
172	Linde Plc (LIN)	Purchase	12/13/2022	\$1,001 - \$15,000
173	Twilio, Inc. (TWLO)	Sale	12/13/2022	\$1,001 - \$15,000
174	ARK Genomic Revolution ETF (ARKG)	Sale	12/13/2022	\$1,001 - \$15,000
175	Iridium Communications, Inc. (IRDM)	Sale	12/13/2022	\$1,001 - \$15,000
176	byd compnay adr	Sale	12/13/2022	\$1,001 - \$15,000
177	crispr therapeutics	Sale	12/13/2022	\$1,001 - \$15,000
178	Eaton Corp. Plc (ETN)	Purchase	12/13/2022	\$1,001 - \$15,000
179	mercadolibre inc	Sale	12/13/2022	\$1,001 - \$15,000
180	Liberty Media Corp. (FWONK)	Purchase	12/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
181	sea limited adr	Sale	12/13/2022	\$1,001 - \$15,000
182	ARK Innovation ETF (ARKK)	Sale	12/13/2022	\$1,001 - \$15,000
183	Invesco Solar ETF (TAN)	Purchase	12/13/2022	\$1,001 - \$15,000
184	Blackrock Innovation and Grw b	Sale	12/13/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Morgan Stanley Private Bank	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2021	2.9%	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Shopify (SHOP) was listed as a holding in prior report in error.
5.	2.3	Holding previously reported due to error/oversight as Vanguard S&P 500 Growth ETF (VOOG), rather than Vanguard 500 Index Fund ETF (VOO) which is the correct holding.
5.	2.7	Previously not reported due to error/oversight.
5.	4.46	Previously not reported due to error/oversight.

PART	#	ENDNOTE
5.	4.47	Previously not reported due to error/oversight.
5.	4.48	Previously not reported due to error/oversight.
5.	4.49	Previously not reported due to error/oversight.
6.	1	Note: Section 6 of this Report does not contain information on the assets of my children as they are no longer dependents.
6.	1.48	Previously not reported due to error/oversight.
6.	5.12	Title held via Trent Street LLC
7.	14	Partnership Interest in Bonaventure Real Estate Fund 1 was exchanged for interest in Bonaventure Multifamily Income Trust
7.	152	Redemption
7.	154	Redemption

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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