Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Adams-Allen, Paloma

Deputy Administrator, U.S. Agency for International Development

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Adams-Allen, Paloma [electronically signed on 01/19/2022 by Adams-Allen, Paloma in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ohlweiler, John, Certifying Official [electronically signed on 02/04/2022 by Ohlweiler, John in Integrity.gov]

Other review conducted by

/s/ Mason-Gale, Treyer A, Ethics Official [electronically signed on 02/03/2022 by Mason-Gale, Treyer A in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/18/2022 by Granahan, Megan in Integrity.gov]

Data Revised 02/03/2022

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#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	3M Co. (MMM)	Sale	12/21/2021	No	\$1,001 - \$15,000
2	Abbott Laboratories (ABT)	Sale	12/21/2021	No	\$1,001 - \$15,000
3	Adobe, Inc. (ADBE)	Sale	01/03/2022	No	\$1,001 - \$15,000
4	AECOM (ACM)	Sale	01/03/2022	No	\$1,001 - \$15,000
5	Align Technology, Inc. (ALGN)	Sale	01/03/2022	No	\$1,001 - \$15,000
6	Alliant Energy Corp. (LNT)	Sale	12/21/2021	No	\$1,001 - \$15,000
7	Allstate Corp (ALL)	Sale	01/03/2022	No	\$1,001 - \$15,000
8	Alphabet, Inc. (GOOG)	Sale	01/03/2022	No	\$50,001 - \$100,000
9	Alphabet, Inc. (GOOG)	Sale	12/21/2021	No	\$15,001 - \$50,000
10	Amazon.com, Inc. (AMZN)	Sale	01/03/2022	No	\$15,001 - \$50,000
11	Amazon.com, Inc. (AMZN)	Sale	12/21/2021	No	\$15,001 - \$50,000
12	American Campus Communities, Inc. (ACC)	Sale	01/03/2022	No	\$1,001 - \$15,000
13	American Electric Power Co., Inc. (AEP)	Sale	01/03/2022	No	\$1,001 - \$15,000
14	American Tower Corporation (REIT) (AMT)	Sale	01/03/2022	No	\$1,001 - \$15,000
15	American Water Works Co., Inc. (AWK)	Sale	12/21/2021	No	\$1,001 - \$15,000
16	Analog Devices, Inc. (ADI)	Sale	01/03/2022	No	\$1,001 - \$15,000
17	Anthem, Inc. (ANTM)	Sale	01/03/2022	No	\$1,001 - \$15,000
18	Anthem, Inc. (ANTM)	Sale	12/21/2021	No	\$15,001 - \$50,000
19	Apple, Inc. (AAPL)	Sale	01/03/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	Apple, Inc. (AAPL)	Sale	12/21/2021	No	\$50,001 - \$100,000
21	Ares Management Corp. (ARES)	Sale	12/21/2021	No	\$1,001 - \$15,000
22	ASML Holding NV-NY REG SHS	Sale	12/21/2021	No	\$1,001 - \$15,000
23	Automatic Data Processing, Inc. (ADP)	Sale	01/03/2022	No	\$15,001 - \$50,000
24	Bank of America Corp. (BAC)	Sale	01/03/2022	No	\$15,001 - \$50,000
25	Booz Allen Hamilton Holding Corp. (BAH)	Sale	01/03/2022	No	\$1,001 - \$15,000
26	Booz Allen Hamilton Holding Corp. (BAH)	Sale	12/16/2021	No	\$1,001 - \$15,000
27	Broadcom, Inc. (AVGO)	Sale	12/21/2021	No	\$15,001 - \$50,000
28	Brookfield Renewable (BEP)	Sale	12/21/2021	No	\$1,001 - \$15,000
29	CarMax, Inc. (KMX)	Sale	12/21/2021	No	\$1,001 - \$15,000
30	CBRE Group, Inc. (CBRE)	Sale	12/21/2021	No	\$1,001 - \$15,000
31	Chegg, Inc. (CHGG)	Sale	12/16/2021	No	\$1,001 - \$15,000
32	Chevron Corp. (CVX)	Sale	01/03/2022	No	\$1,001 - \$15,000
33	Ciena Corp. (CIEN)	Sale	12/21/2021	No	\$1,001 - \$15,000
34	Cigna Corp. (CI)	Sale	12/21/2021	No	\$1,001 - \$15,000
35	Citigroup, Inc. (C)	Sale	01/03/2022	No	\$1,001 - \$15,000
36	Citigroup, Inc. (C)	Sale	12/16/2021	No	\$1,001 - \$15,000
37	Citigroup, Inc. (C)	Sale	12/21/2021	No	\$1,001 - \$15,000
38	Citrix Systems, Inc. (CTXS)	Sale	12/16/2021	No	\$1,001 - \$15,000
39	CME Group, Inc. (CME)	Sale	01/03/2022	No	\$1,001 - \$15,000
40	The Coca-Cola Co. (KO)	Sale	01/03/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	The Coca-Cola Co. (KO)	Sale	12/16/2021	No	\$1,001 - \$15,000
42	Comcast Corp. (CMCSA)	Sale	01/03/2022	No	\$15,001 - \$50,000
43	Comcast Corp. (CMCSA)	Sale	12/21/2021	No	\$1,001 - \$15,000
44	Constellation Brands, Inc. (STZ)	Sale	01/03/2022	No	\$1,001 - \$15,000
45	Costco Wholesale Corp. (COST)	Sale	12/21/2021	No	\$1,001 - \$15,000
46	Costco Wholesale Corp. (COST)	Sale	01/03/2022	No	\$1,001 - \$15,000
47	CSX Corp. (CSX)	Sale	01/03/2022	No	\$1,001 - \$15,000
48	CubeSmart (CUBE)	Sale	12/21/2021	No	\$1,001 - \$15,000
49	Eaton Corp. Plc (ETN)	Sale	01/03/2022	No	\$1,001 - \$15,000
50	eBay, Inc. (EBAY)	Sale	01/03/2022	No	\$1,001 - \$15,000
51	Electronic Arts, Inc. (EA)	Sale	01/03/2022	No	\$1,001 - \$15,000
52	Electronic Arts, Inc. (EA)	Sale	12/16/2021	No	\$1,001 - \$15,000
53	EOG Resources, Inc. (EOG)	Sale	01/03/2022	No	\$1,001 - \$15,000
54	Etsy, Inc. (ETSY)	Sale	12/21/2021	No	\$1,001 - \$15,000
55	FleetCor Technologies, Inc. (FLT)	Sale	12/16/2021	No	\$1,001 - \$15,000
56	General Motors Co. (GM)	Sale	12/21/2021	No	\$1,001 - \$15,000
57	Genpact Ltd. (G)	Sale	01/03/2022	No	\$1,001 - \$15,000
58	Gentex Corp. (GNTX)	Sale	12/21/2021	No	\$1,001 - \$15,000
59	The Goldman Sachs Group, Inc. (GS)	Sale	01/03/2022	No	\$1,001 - \$15,000
60	The Goldman Sachs Group, Inc. (GS)	Sale	12/21/2021	No	\$15,001 - \$50,000
61	Goodyear Tire & Rubber Co. (GT)	Sale	01/03/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
62	The Home Depot, Inc. (HD)	Sale	01/03/2022	No	\$15,001 - \$50,000
63	The Home Depot, Inc. (HD)	Sale	12/21/2021	No	\$1,001 - \$15,000
64	Hubbell, Inc. (HUBB)	Sale	12/21/2021	No	\$1,001 - \$15,000
65	Johnson & Johnson	Sale	01/03/2022	No	\$1,001 - \$15,000
66	JPMorgan Chase & Co. (JPM)	Sale	12/21/2021	No	\$1,001 - \$15,000
67	Keysight Technologies, Inc. (KEYS)	Sale	12/21/2021	No	\$15,001 - \$50,000
68	KLA Corp. (KLAC)	Sale	01/03/2022	No	\$1,001 - \$15,000
69	Knight-Swift Transportation Holdings, Inc. (KNX)	Sale	01/03/2022	No	\$1,001 - \$15,000
70	Knight-Swift Transportation Holdings, Inc. (KNX)	Sale	12/21/2021	No	\$1,001 - \$15,000
71	L3Harris Technologies, Inc. (LHX)	Sale	01/03/2022	No	\$1,001 - \$15,000
72	Linde Plc (LIN)	Sale	01/03/2022	No	\$1,001 - \$15,000
73	LKQ Corp. (LKQ)	Sale	12/21/2021	No	\$1,001 - \$15,000
74	Lowe's COS INC	Sale	12/21/2021	No	\$1,001 - \$15,000
75	Mastercard, Inc. (MA)	Sale	12/21/2021	No	\$1,001 - \$15,000
76	Medtronic Plc (MDT)	Sale	01/03/2022	No	\$1,001 - \$15,000
77	Medtronic Plc (MDT)	Sale	12/16/2021	No	\$1,001 - \$15,000
78	Merck & Co., Inc. (MRK)	Sale	01/03/2022	No	\$1,001 - \$15,000
79	Merck & Co., Inc. (MRK)	Sale	12/21/2021	No	\$15,001 - \$50,000
80	Meta Platforms	Sale	01/03/2022	No	\$1,001 - \$15,000
81	Meta Platforms	Sale	12/21/2021	No	\$15,001 - \$50,000
82	Microsoft Corp. (MSFT)	Sale	01/03/2022	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
83	Microsoft Corp. (MSFT)	Sale	12/21/2021	No	\$50,001 - \$100,000
84	Mid-American Apartment Comm	Sale	12/21/2021	No	\$1,001 - \$15,000
85	Nestle SA	Sale	12/21/2021	No	\$1,001 - \$15,000
86	NextEra Energy, Inc. (NEE)	Sale	01/03/2022	No	\$1,001 - \$15,000
87	NIKE, Inc. (NKE)	Sale	12/21/2021	No	\$1,001 - \$15,000
88	Norfolk Southern Corp. (NSC)	Sale	12/21/2021	No	\$1,001 - \$15,000
89	NortonLifeLock Inc	Sale	12/16/2021	No	\$1,001 - \$15,000
90	NXP Semiconductors NV	Sale	01/03/2022	No	\$1,001 - \$15,000
91	Oracle Corp. (ORCL)	Sale	01/03/2022	No	\$1,001 - \$15,000
92	Oshkosh Corp. (OSK)	Sale	01/03/2022	No	\$1,001 - \$15,000
93	Otis Worldwide Corp	Sale	12/21/2021	No	\$1,001 - \$15,000
94	PayPal Holdings, Inc. (PYPL)	Sale	12/21/2021	No	\$1,001 - \$15,000
95	Pfizer Inc. (PFE)	Sale	01/03/2022	No	\$1,001 - \$15,000
96	Procter & Gamble Co. (PG)	Sale	01/03/2022	No	\$15,001 - \$50,000
97	PulteGroup, Inc. (PHM)	Sale	12/21/2021	No	\$1,001 - \$15,000
98	QUALCOMM, Inc. (QCOM)	Sale	12/21/2021	No	\$1,001 - \$15,000
99	Raymond James Financial, Inc. (RJF)	Sale	12/21/2021	No	\$1,001 - \$15,000
100	Raytheon Co. (RTN)	Sale	12/16/2021	No	\$1,001 - \$15,000
101	Regal Rexnord Corp	Sale	01/03/2022	No	\$1,001 - \$15,000
102	Roche Holdings Ltd	Sale	01/03/2022	No	\$15,001 - \$50,000
103	Southwest Airlines Co. (LUV)	Sale	01/03/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
104	Starbucks Corp. (SBUX)	Sale	01/03/2022	No	\$1,001 - \$15,000
105	Stellantis NV	Sale	01/03/2022	No	\$1,001 - \$15,000
106	Take-Two Interactive Software, Inc. (TTWO)	Sale	01/03/2022	No	\$1,001 - \$15,000
107	Target Corp. (TGT)	Sale	12/21/2021	No	\$1,001 - \$15,000
108	Teradyne, Inc. (TER)	Sale	12/21/2021	No	\$1,001 - \$15,000
109	TJX Companies	Sale	01/03/2022	No	\$1,001 - \$15,000
110	T-Mobile US, Inc. (TMUS)	Sale	01/03/2022	No	\$1,001 - \$15,000
111	T-Mobile US, Inc. (TMUS)	Sale	12/16/2021	No	\$1,001 - \$15,000
112	UnitedHealth Group, Inc. (UNH)	Sale	01/03/2022	No	\$1,001 - \$15,000
113	UnitedHealth Group, Inc. (UNH)	Sale	12/21/2021	No	\$1,001 - \$15,000
114	Verizon Communications, Inc. (VZ)	Sale	12/21/2021	No	\$15,001 - \$50,000
115	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	01/03/2022	No	\$1,001 - \$15,000
116	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	12/16/2021	No	\$1,001 - \$15,000
117	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	12/21/2021	No	\$1,001 - \$15,000
118	Visa, Inc. (V)	Sale	01/03/2022	No	\$1,001 - \$15,000
119	Visa, Inc. (V)	Sale	12/16/2021	No	\$1,001 - \$15,000
120	Walmart, Inc. (WMT)	Sale	01/03/2022	No	\$1,001 - \$15,000
121	Walmart, Inc. (WMT)	Sale	12/21/2021	No	\$1,001 - \$15,000
122	Wells Fargo & Co	Sale	01/03/2022	No	\$15,001 - \$50,000
123	Western Digital Corp. (WDC)	Sale	12/16/2021	No	\$1,001 - \$15,000
124	Zimmer Biomet Holdings, Inc. (ZBH)	Sale	12/16/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.