

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Cisneros, Gilbert R [electronically signed on 08/09/2022 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Raab, Dean, Certifying Official [electronically signed on 08/19/2022 by Raab, Dean in Integrity.gov]

Other review conducted by
/s/ Raab, Dean, Ethics Official [electronically signed on 08/19/2022 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 09/01/2022 by Granahan, Megan in Integrity.gov]

Data Revised 08/19/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	07/01/2022	No	\$1,001 - \$15,000
2	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	07/01/2022	No	\$1,001 - \$15,000
3	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Sale	07/11/2022	No	\$100,001 - \$250,000
4	WisdomTree Floating Rate Treasury Fund (USFR)	Sale	07/11/2022	No	\$1,001 - \$15,000
5	Bristol-Myers Squibb (BMY)	Purchase	07/13/2022	No	\$1,001 - \$15,000
6	Helen of Troy Ltd. (HELE)	Purchase	07/27/2022	No	\$1,001 - \$15,000
7	AtriCure, Inc. (ATRC)	Purchase	07/27/2022	No	\$1,001 - \$15,000
8	Boot Barn Holdings, Inc. (BOOT)	Purchase	07/27/2022	No	\$1,001 - \$15,000
9	Intra-Cellular Therapies, Inc. (ITCI)	Purchase	07/27/2022	No	\$1,001 - \$15,000
10	Rapid7, Inc. (RPD)	Purchase	07/27/2022	No	\$1,001 - \$15,000
11	Shyft Group Inc.	Purchase	07/27/2022	No	\$1,001 - \$15,000
12	iShares US Home Construction ETF (ITB)	Sale	07/20/2022	No	\$15,001 - \$50,000
13	Medpace Holdings, Inc. (MEDP)	Sale	07/27/2022	No	\$1,001 - \$15,000
14	Novanta, Inc. (NOVT)	Sale	07/27/2022	No	\$1,001 - \$15,000
15	Ritchie Bros Auctioneers	Sale	07/27/2022	No	\$1,001 - \$15,000
16	Put Apple, Inc. (AAPL)	See Endnote	07/27/2022	No	\$1,001 - \$15,000
17	Put NVIDIA Corp. (NVDA)	See Endnote	07/27/2022	No	\$1,001 - \$15,000
18	Put Advanced Micro Devices, Inc. (AMD)	See Endnote	07/27/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	Put Netflix, Inc. (NFLX)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
20	Put The Walt Disney Co. (DIS)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
21	Put Amazon.com, Inc. (AMZN)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
22	Put The Goldman Sachs Group, Inc. (GS)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
23	Put JPMorgan Chase & Co. (JPM)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
24	Put NIKE, Inc. (NKE)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
25	Put Tesla, Inc. (TSLA)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
26	Put EOG Resources, Inc. (EOG)	See Endnote		07/27/2022	No	\$1,001 - \$15,000
27	Put lululemon athletica, Inc. (LULU)	See Endnote		07/28/2022	No	\$1,001 - \$15,000
28	Call Amazon.com, Inc. (AMZN)	See Endnote		07/29/2022	No	\$1,001 - \$15,000
29	Call NVIDIA Corp. (NVDA)	See Endnote		07/28/2022	No	\$1,001 - \$15,000
30	Call Amazon.com, Inc. (AMZN)	See Endnote	Purchase	07/29/2022	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	16	opened written put option position, strike price \$130, exp. 8/19/22
Transactions	17	opened written put option position, strike price \$140, exp. 8/19/22
Transactions	18	opened written put option position, strike price \$65, exp. 8/19/22
Transactions	19	opened written put option position, strike price \$185, exp. 8/19/22
Transactions	20	opened written put option position, strike price \$85, exp. 8/19/22
Transactions	21	opened written put option position, strike price \$95, exp. 8/19/22

PART	#	ENDNOTE
Transactions	22	opened written put option position, strike price \$290, exp. 8/19/22
Transactions	23	opened written put option position, strike price \$100, exp. 8/19/22
Transactions	24	opened written put option position, strike price \$95, exp. 8/19/22
Transactions	25	opened written put option position, strike price \$625, exp. 8/19/22
Transactions	26	opened written put option position, strike price \$86, exp. 8/19/22
Transactions	27	opened written put option position, strike price \$245, exp. 8/19/22
Transactions	28	opened written call option position, strike price \$140, exp. 9/16/22
Transactions	29	opened written call option position, strike price \$195, exp. 8/19/22
Transactions	30	Exp. 8/19/22, strike price \$132.50

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public

financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
