

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Easterly, Jen

Director, Department of Homeland Security

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Easterly, Jen [electronically signed on 05/12/2022 by Easterly, Jen in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 11/18/2022 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ Jamrozy, Stephen A, Ethics Official [electronically signed on 06/06/2022 by Jamrozy, Stephen A in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 12/12/2022

Data Revised 11/18/2022

Data Revised 11/14/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(11/14/2022, Jamrozy, Stephen A): Technical changes to Part 3, items 1 and 2 made with filer's consent.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Morgan Stanley	New York, New York	Corporation	Managing Director	2/2017	7/2021
2	Morgan Stanley Foundation	New York, New York	Trust	Board of Trustees Member	3/2017	7/2021
3	Nuru International	Washington, District of Columbia	Non-Profit	Board of Directors	4/2017	7/2021
4	James W. Foley Legacy Foundation	Portsmouth, New Hampshire	Non-Profit	Board of Directors	9/2018	7/2021
5	Theorem Media	Westport, Connecticut	Non-Profit	Board of Directors	4/2019	7/2021
6	PT Fund Inc. Biden-Harris Transition	Washington, District of Columbia	Non-Profit	Cyber Policy Team Lead (Volunteer)	9/2020	1/2021
7	New America Foundation	Washington, District of Columbia	Non-Profit	Senior International Security Fellow	4/2018	7/2021
8	National Security Institute at GMU Antonin Scalia Law School	Washington, District of Columbia	University/College	Visiting Fellow	9/2018	7/2021
9	Aspen Institute	Washington, District of Columbia	Non-Profit	Finance Leaders Fellow	9/2018	7/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Morgan Stanley	N/A		Salary/Bonus	\$2,032,300

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Morgan Stanley	New York, New York	Pursuant to plan policy, my EICP restricted stock units vested upon my date of termination and converted to shares of Morgan Stanley stock. I divested the resulting shares within 90 days of confirmation.	1/2018
2	Morgan Stanley	New York, New York	Pursuant to plan policy, my MSCIP deferred cash bonus vested upon my date of termination and was fixed as of that date. I received the receivable within 30 days of termination.	2/2017
3	Morgan Stanley	New York, New York	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	2/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New York State Voluntary Defined Contribution Program	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	TIAA Access Lifecycle Fund 2030 T1/New York State Voluntary Defined Contribution Program	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	TIAA-CREF Lifecycle Index 2030 Fund - Retirement Class/New York State Voluntary Defined Contribution Program	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Fidelity MM-FID RET MONEY MKT (FRTXX)/New York State Voluntary Defined Contribution Program	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	Fidelity MM-FID BLUE CHIP/New York State Voluntary Defined Contribution Program	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	MM-PIMCO REALRET ADM /New York State Voluntary Defined Contribution Program	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.6	MM-FID USBD IDX PREM/New York State Voluntary Defined Contribution Program	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	US bank #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	US bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Empire State Development/New York State Department of Economic Development	N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2	iShares MSCI EAFE Growth ETF (EFG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	iShares MSCIFrontier 100 ETF (FM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	iShares Russell 1000 ETF (IWB)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5	iShares Russell 1000 Value ETF (IWD)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6	iShares Russell 1000 Growth ETF (IWF)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7	iShares Russell Mid-Cap Growth ETF (IWP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	iShares Russell Mid-Cap Value ETF (IWS)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Vanguard Health Care Index Fund ETF Class Shares (VHT) See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	American Century Select Fund Class A Shares (TWCAAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Janus Henderson Enterprise Fund Class A Shares (JDMAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	JPMorgan US Equity Fund Class A Shares (JUEAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	Lazard Emerging Markets Equity Portfolio Open Shares (LZOEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	MFS International Intrinsic Value Fund Class A Shares (MGIAX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	William Blair International Growth Fund Class N Shares (WBIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Blackstone REIT	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
19	Washington Mutual Investors Fund Class A Shares (AWSHX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20	NY Advisor Guided 529 Savings Program	No			
20.1	JPM 529 Age Based 15-16 (JPCBA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
21	US brokerage money market account (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
22	Collin County Texas Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
23	Easton PA Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
24	Horsham PA Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
25	Kansas State Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
26	Leander Texas Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
27	New York NYC Transitional Municipal Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
28	Royse City Texas Municipal Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
29	Waukee Iowa Municipal Bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
30	S&P 500 Index Fund (SPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$50,001 - \$100,000		None (or less than \$201)
32	Vanguard S&P 500 ETF (VOO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
33	American Funds 2045 Target Date Retirement Fund (AAHTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
34	ClearBridge Aggressive Growth Fund Class A Shares (SHRAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
35	Essex County NJ General Obligation Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	Mississippi State General Obligation Municipal Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	Ennis TX Combination Tax Municipal Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
38	Essex County NJ Import Authority Municipal Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
39	Piscataway Township NJ General Obligation Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
40	San Antonio TX Independent School District Municipal Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
41	Massachusetts State General Obligation Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
42	Michigan State Trunk Line Rev Municipal Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
43	Louisville & Jefferson County KY Metro Government General Obligation Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
44	Denver CO City & County General Obligation Bond	N/A	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	S&P 500 Index Fund (SPY)		Purchase	10/04/2021	\$50,001 - \$100,000
2	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	10/12/2021	\$50,001 - \$100,000
3	American Funds 2045 Target Date Retirement Fund Class A Shares (AAHTX)		Purchase	10/29/2021	\$100,001 - \$250,000
4	Vanguard S&P 500 ETF (VOD)	See Endnote	Purchase	10/12/2021	\$100,001 - \$250,000
5	ClearBridge Aggressive Growth Fund Class A Shares (SHRAX)		Purchase	07/20/2021	\$100,001 - \$250,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	10	This asset has been fully divested.

PART	#	ENDNOTE
7.	2	Shares were purchased incrementally on 10/12/21. 11/15/21. 12/13/21. 2/18/22, 3/14/22 and 4/18/22.
7.	4	Shares were purchased incrementally on 10/12/21. 11/15/21. 12/13/21. 2/18/22. 3/14/22 and 4/18/22

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
