Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Wormuth, Christine

Secretary of the Army, DoD - Department of the Army

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Wormuth, Christine [electronically signed on 06/09/2022 by Wormuth, Christine in Integrity.gov] - Filer received a 28 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Turner, Shelley, Certifying Official [electronically signed on 06/10/2022 by Turner, Shelley in Integrity.gov]

Other review conducted by
/s/ Baines, Margaret B, Ethics Official [electronically signed on 06/10/2022 by Baines, Margaret B in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/16/2022 by Granahan, Megan in Integrity.gov]

Data Revised 06/16/2022

Data Revised 06/10/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	RAND Corporation	Santa Monica, California	Non-Profit	Director, International Security and Defense Policy Center	6/2018	2/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	RAND Corporation	N/A	$50,001 - $100,000	Salary/Bonus	
2	DFI Government Services (now BAE Systems 401k))	No	$500,001 - $1,000,000		
2.1	AGOZX	Yes	$15,001 - $50,000		None (or less than $201)
2.2	ARK Innovation ETF (ARKK)	Yes	$15,001 - $50,000		None (or less than $201)
2.3	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$50,001 - $100,000		None (or less than $201)
2.4	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.5	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$50,001 - $100,000		None (or less than $201)
2.6	MFS Growth Fund Class I Shares (MFEIX)	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	$50,001 - $100,000		None (or less than $201)
2.8	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	$50,001 - $100,000		None (or less than $201)
2.9	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	$100,001 - $250,000		None (or less than $201)
2.10	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	$50,001 - $100,000		None (or less than $201)
2.11	T Rowe PriceGlobal Stock Fund (PRGSX)	Yes	$15,001 - $50,000		None (or less than $201)
3	Atlantic Council - TIAA-CREF 403B	No			
3.1	TIAA Access Lifecycle Fund 2035 T4	Yes	$15,001 - $50,000		None (or less than $201)
3.2	CREF Growth R1	Yes	$1,001 - $15,000		None (or less than $201)
3.3	CREF Equity Index R1	Yes	$1,001 - $15,000		None (or less than $201)
4	Alliance for International Education - TIAA-CREF 403B	No			
4.1	CREF Stock R1	Yes	$1,001 - $15,000		None (or less than $201)
4.2	CREF Global Equities R1	Yes	$50,001 - $100,000		None (or less than $201)
5	Center for Strategic and International Studies - TIAA-CREF 403B	No			
5.1	TIAA Traditional	N/A	$1,001 - $15,000		None (or less than $201)
5.2	CREF Stock R2	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	CREF Global Equities	Yes	$1,001 - $15,000		None (or less than $201)
5.4	TIAA Real Estate	Yes	$1,001 - $15,000		None (or less than $201)
5.5	CREF Bond MArket R2	Yes	$1,001 - $15,000		None (or less than $201)
6	RAND Corporation 403B	No			
6.1	Vanguard Target Retirement 2035 Fund Investor Class Shares (VTTHX)	Yes	$100,001 - $250,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	DFI Government Services (now BAE Systems 401k))	Washington, D.C., District of Columbia	I continue to participate in this plan, and the plan sponsor stopped contributing to this plan upon my separation.	4/2002
2	Atlantic Council - TIAA-CREF 403B	Washington, District of Columbia	I continue to participate in this plan, and the plan sponsor stopped contributing to this plan upon my separation.	6/2017
3	Alliance for International Education 403B	Washington, District of Columbia	I continue to participate in this plan, and the plan sponsor stopped contributing to this plan upon my separation.	6/1993
4	Center for Strategic and International Studies	Washington, District of Columbia	I continue to participate in this plan, and the plan sponsor stopped contributing to this plan upon my separation.	12/2005
5	RAND Corporation 403B	Washington, District of Columbia	I continue to participate in this plan, and the plan sponsor stopped contributing to this plan upon my separation.	6/2018

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ROTH IRA	No			
1.1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Yes	$1,001 - $15,000		None (or less than $201)
1.2	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.4	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$15,001 - $50,000		None (or less than $201)
1.6	MFS Growth Fund Class I Shares (MFEIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.7	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	$1,001 - $15,000		None (or less than $201)
1.8	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	$1,001 - $15,000		None (or less than $201)
1.9	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	$1,001 - $15,000		None (or less than $201)
1.10	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	T Rowe PriceGlobal Stock Fund (PRGSX)	Yes	$1,001 - $15,000		None (or less than $201)
2	IRA #1	No			
2.1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Yes	$1,001 - $15,000		None (or less than $201)
2.2	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.4	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.5	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	MFS Growth Fund Class I Shares (MFEIX)	Yes	$1,001 - $15,000		None (or less than $201)
2.7	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	$1,001 - $15,000		None (or less than $201)
2.8	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	$1,001 - $15,000		None (or less than $201)
2.9	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	$1,001 - $15,000		None (or less than $201)
2.10	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	$1,001 - $15,000		None (or less than $201)
2.11	T Rowe PriceGlobal Stock Fund (PRGSX)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	VA529 #1	No			
1.1	Active Aggressive	Yes	$15,001 - $50,000		None (or less than $201)
2	VA529 #2	No			
2.1	Active Aggressive	Yes	$15,001 - $50,000		None (or less than $201)
3	US Bank #1	N/A	$15,001 - $50,000		None (or less than $201)
4	US Bank #2	N/A	$15,001 - $50,000		None (or less than $201)
5	Public Service Enterprise Group Common Stock	N/A	$1,001 - $15,000		None (or less than $201)
6	VA529 #3	No			
6.1	Aggressive Growth	Yes	$1,001 - $15,000		None (or less than $201)
7	VA529 #4	No			
7.1	2039 Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
8	US Bank #3	N/A	$1,001 - $15,000		None (or less than $201)
9	brokerage account #1	No			
9.1	Vanguard 500 Index Fund Investor Shares (VFINX)	Yes	$100,001 - $250,000		None (or less than $201)
10	Brokerage Account #2	No			
10.1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
10.3	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	$15,001 - $50,000		None (or less than $201)
10.4	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$15,001 - $50,000		None (or less than $201)
10.5	MFS Growth Fund Class I Shares (MFEIX)	Yes	$15,001 - $50,000		None (or less than $201)
10.6	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	$1,001 - $15,000		None (or less than $201)
10.7	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	$15,001 - $50,000		None (or less than $201)
10.8	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	$15,001 - $50,000		None (or less than $201)
10.9	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	$15,001 - $50,000		None (or less than $201)
10.10	T Rowe PriceGlobal Stock Fund (PRGSX)	Yes	$15,001 - $50,000		None (or less than $201)
10.11	Vanguard/Wellington Fund, Inc Investor Shares (VWELX)	Yes	$15,001 - $50,000		None (or less than $201)
10.12	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$15,001 - $50,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Purchase	06/29/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	ARK Innovation ETF (ARKK)	Purchase	06/29/2021	$1,001 - $15,000
3	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	06/29/2021	$1,001 - $15,000
4	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Purchase	06/29/2021	$1,001 - $15,000
5	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	06/29/2021	$15,001 - $50,000
6	MFS Growth Fund Class I Shares (MFEIX)	Purchase	06/29/2021	$15,001 - $50,000
7	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	06/29/2021	$1,001 - $15,000
8	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	06/29/2021	$15,001 - $50,000
9	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	06/29/2021	$15,001 - $50,000
10	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	06/29/2021	$15,001 - $50,000
11	Dodge & Cox Income Fund (DODIX)	Sale	06/23/2021	$1,001 - $15,000
12	Vanguard Total Bond Market Index Fund Institutional Shares (VBTIX)	Sale	06/23/2021	$1,001 - $15,000
13	Dodge & Cox Stock Fund (DODGX)	Sale	06/23/2021	$1,001 - $15,000
14	Vanguard 500 Index Fund Institutional Select Shares (VFFSX)	Sale	06/23/2021	$15,001 - $50,000
15	Fidelity Contrafund (FCNTX)	Sale	06/23/2021	$1,001 - $15,000
16	Westwood SMidCap Fund Institutional Shares (WHGMX)	Sale	06/23/2021	$1,001 - $15,000
17	AMG TimesSquare Mid Cap Growth Fund Class Z Shares (TMDIX)	Sale	06/23/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	Vanguard Extended Market Index Fund Institutional Shares (VIEIX)	Sale	06/23/2021	$1,001 - $15,000
19	VanguardEmerging Markets Stock Index Fund Instl+ Class Shares (VEMRX)	Sale	06/23/2021	$1,001 - $15,000
20	International Equity Portfolio Institutional Class Shares (HLMIX)	Sale	06/23/2021	$1,001 - $15,000
21	Touchstone Sands CapitalEmerging Markets Growth Fund Institutional Class Shares (TSEGX)	Sale	06/23/2021	$1,001 - $15,000
22	Vanguard Institutional Target Retirement 2035 Fund Institutional Class Shares (VITFX)	Sale	06/23/2021	$15,001 - $50,000
23	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Sale	06/23/2021	$1,001 - $15,000
24	VFIAX	Sale	06/29/2021	$1,001 - $15,000
25	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	06/29/2021	$1,001 - $15,000
26	Vanguard/Wellington Fund, Inc Investor Shares (VWELX)	Sale	06/29/2021	$1,001 - $15,000
27	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Purchase	06/29/2021	$1,001 - $15,000
28	ARK Innovation ETF (ARKK)	Purchase	06/29/2021	$1,001 - $15,000
29	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	06/29/2021	$15,001 - $50,000
30	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Purchase	06/29/2021	$1,001 - $15,000
31	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	06/29/2021	$15,001 - $50,000
32	MFS Growth Fund Class I Shares (MFEIX)	Purchase	06/29/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
33	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	06/29/2021	$1,001 - $15,000
34	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	06/29/2021	$15,001 - $50,000
35	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	06/29/2021	$15,001 - $50,000
36	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	06/29/2021	$15,001 - $50,000
37	T Rowe PriceGlobal Stock Fund (PRGSX)	Sale	05/24/2021	$1,001 - $15,000
38	JPMorgan Ultra-Short Income ETF (JPST)	Sale	06/03/2021	$1,001 - $15,000
39	VEA	Sale	06/09/2021	$1,001 - $15,000
40	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale	06/09/2021	$1,001 - $15,000
41	iShares Short Treasury Bond ETF (SHV)	Sale	06/09/2021	$1,001 - $15,000
42	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	06/09/2021	$1,001 - $15,000
43	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Sale	06/09/2021	$1,001 - $15,000
44	EBLU	Sale	05/24/2021	$1,001 - $15,000
45	Energy Select Sector SPDR Fund (XLE)	Sale	05/24/2021	$15,001 - $50,000
46	Invesco DWA Industrials Momentum ETF (PRN)	Sale	05/24/2021	$1,001 - $15,000
47	Invesco S&P 500 Equal Weight Consumer Staples ETF (RHS)	Sale	05/24/2021	$1,001 - $15,000
48	iShares Silver Trust (SLV)	Sale	05/24/2021	$1,001 - $15,000
49	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	05/24/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	Materials Select Sector SPDR Fund (XLB)	Sale	05/24/2021	$1,001 - $15,000
51	SPDR Gold Shares (GLD)	Sale	05/24/2021	$15,001 - $50,000
52	SPDR S&P Aerospace & Defense ETF (XAR)	Sale	05/24/2021	$1,001 - $15,000
53	VanEck Vectors Agribusiness ETF (MOO)	Sale	05/24/2021	$1,001 - $15,000
54	VanEck Vectors Gold Miners ETF (GDX)	Sale	05/24/2021	$1,001 - $15,000
55	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Sale	05/24/2021	$1,001 - $15,000
56	Vanguard Value Index Fund ETF Shares (VTV)	Sale	05/24/2021	$1,001 - $15,000
57	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	06/03/2021	$15,001 - $50,000
58	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Sale	06/02/2021	$1,001 - $15,000
59	Vanguard Explorer Fund Investor Shares (VEXPX)	Sale	06/29/2021	$1,001 - $15,000
60	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Purchase	12/16/2021	$15,001 - $50,000
61	Vanguard Prime Money Market Fund Investor Shares (VMMXX)	Sale	06/01/2021	$15,001 - $50,000
62	iShares Core S&P Total US Stock Market ETF (ITOT)	Sale	06/03/2021	$1,001 - $15,000
63	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Sale	06/09/2021	$1,001 - $15,000
64	SPDRNuveen Bloomberg Barclays Municipal Bond ETF (TFI)	Sale	06/03/2021	$1,001 - $15,000
65	JPMorgan Ultra-Short Income ETF (JPST)	Sale	06/09/2021	$1,001 - $15,000
66	iShares Short Treasury Bond ETF (SHV)	Sale	06/03/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
67	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	06/09/2021	$1,001 - $15,000
68	AGG	Sale	06/09/2021	$1,001 - $15,000
69	Akre Focus Fund Retail Class Shares (AKREX)	Sale	05/24/2021	$1,001 - $15,000
70	Janus Henderson Balanced Fund Class T Shares (JABAX)	Sale	05/24/2021	$1,001 - $15,000
71	TIAA-CREF Real Estate Securities Fund Retail Class Shares (TCREX)	Sale	05/24/2021	$1,001 - $15,000
72	USAA Growth and Tax Strategy Fund (USBLX)	Sale	05/24/2021	$1,001 - $15,000
73	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	06/30/2021	$15,001 - $50,000
74	iShares Silver Trust (SLV)	Sale	06/30/2021	$1,001 - $15,000
75	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	06/30/2021	$15,001 - $50,000
76	MFS Growth Fund Class I Shares (MFEIX)	Purchase	06/30/2021	$15,001 - $50,000
77	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	06/30/2021	$15,001 - $50,000
78	SPDR Gold Shares (GLD)	Sale	06/30/2021	$1,001 - $15,000
79	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	06/30/2021	$15,001 - $50,000
80	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Sale	06/30/2021	$1,001 - $15,000
81	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	06/30/2021	$15,001 - $50,000
82	Vanguard Short-Term Treasury Index Fund ETF Class Shares (VGSH)	Sale	06/30/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Purchase	06/29/2021	$1,001 - $15,000
84	ARK Innovation ETF (ARKK)	Purchase	06/29/2021	$1,001 - $15,000
85	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	06/29/2021	$1,001 - $15,000
86	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Purchase	06/29/2021	$1,001 - $15,000
87	iShares Silver Trust (SLV)	Sale	06/29/2021	$1,001 - $15,000
88	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	06/29/2021	$1,001 - $15,000
89	MFS Growth Fund Class I Shares (MFEIX)	Purchase	06/29/2021	$1,001 - $15,000
90	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	06/29/2021	$1,001 - $15,000
91	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	06/29/2021	$1,001 - $15,000
92	T Rowe PriceGlobal Stock Fund (PRGSX)	Purchase	06/29/2021	$1,001 - $15,000
93	SPDR Gold Shares (GLD)	Sale	06/29/2021	$1,001 - $15,000
94	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	06/29/2021	$1,001 - $15,000
95	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	06/29/2021	$1,001 - $15,000
96	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Sale	06/29/2021	$1,001 - $15,000
97	Brandywine Realty Trust (BDN)	Sale	06/29/2021	$1,001 - $15,000
98	Alger Small Cap Focus Fund Class Z Shares (AGOZX)	Purchase	06/29/2021	$15,001 - $50,000
99	ARK Innovation ETF (ARKK)	Purchase	06/29/2021	$15,001 - $50,000
100	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Purchase	06/29/2021	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
101	Hartford Climate Opportunities Fund Class I Shares (HEOIX)	Purchase	06/29/2021	$15,001 - $50,000
102	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	06/29/2021	$50,001 - $100,000
103	MFS Growth Fund Class I Shares (MFEIX)	Purchase	06/29/2021	$50,001 - $100,000
104	New World Fund, Inc Class F2 Shares (NFFFX)	Purchase	06/29/2021	$15,001 - $50,000
105	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	06/29/2021	$50,001 - $100,000
106	PrincipalGlobal Real Estate Securities Fund Class R-6 Shares (PGRSX)	Purchase	06/29/2021	$15,001 - $50,000
107	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	06/29/2021	$100,001 - $250,000
108	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Purchase	06/29/2021	$50,001 - $100,000
109	Vanguard/Wellington Fund, Inc Investor Shares (VWELX)	Sale	06/29/2021	$1,001 - $15,000
110	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Sale	06/29/2021	$1,001 - $15,000
111	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Sale	06/29/2021	$1,001 - $15,000
112	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Purchase	12/16/2021	$1,001 - $15,000
113	T Rowe PriceGlobal Stock Fund (PRGSX)	Purchase	06/29/2021	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	First Savings Mortgage Corporation	Mortgage on Personal Residence	$250,001 - $500,000	2021	2.25	15 years

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).