

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Rosenblum, Deborah G

Assistant Secretary of Defense NCB, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Rosenblum, Deborah G [electronically signed on 04/30/2022 by Rosenblum, Deborah G in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Raab, Dean, Certifying Official [electronically signed on 05/11/2022 by Raab, Dean in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 05/11/2022 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/03/2022 by Granahan, Megan in Integrity.gov]

Data Revised 05/11/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Constellation Brands, Inc. (STZ)	Sale	08/13/2021	Yes	\$1,001 - \$15,000
2	Truist Financial Corp	Sale	08/16/2021	No	\$1,001 - \$15,000
3	Morgan Stanley	Purchase	08/16/2021	Yes	\$1,001 - \$15,000
4	Danaher Corp. (DHR)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
5	The Walt Disney Co. (DIS)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
6	Domino's Pizza, Inc. (DPZ)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
7	Facebook, Inc. (FB)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
8	Edwards Lifesciences Corp. (EW)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
9	Ecolab, Inc. (ECL)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
10	DR Horton Inc	Sale	08/17/2021	Yes	\$1,001 - \$15,000
11	DuPont de Nemours, Inc. (DD)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
12	Costco Wholesale Corp. (COST)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
13	BlackRock, Inc. (BLK)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
14	Chubb Ltd. (CB)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
15	Caterpillar, Inc. (CAT)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
16	Generac Holdings, Inc. (GNRC)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
17	Bank of America Corp. (BAC)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
18	Apple, Inc. (AAPL)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
19	Amazon.com, Inc. (AMZN)	Sale	08/17/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	Alphabet, Inc. (GOOG)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
21	Adobe, Inc. (ADBE)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
22	Abbott Laboratories (ABT)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
23	Constellation Brands, Inc. (STZ)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
24	HCA Healthcare, Inc. (HCA)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
25	IQVIA Holdings, Inc. (IQV)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
26	Zoetis, Inc. (ZTS)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
27	The Home Depot, Inc. (HD)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
28	Vanguard Extended Market Index Fund ETF Shares (VXF)	Sale	08/17/2021	Yes	\$15,001 - \$50,000
29	Union Pacific Corp. (UNP)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
30	Thornburg Investment Income Builder Fund Class I Shares (TIBIX)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
31	Starbucks Corp. (SBUX)	Sale	08/17/2021	No	\$1,001 - \$15,000
32	salesforce.com, inc. (CRM)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
33	S&P Global, Inc. (SPGI)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
34	Rockwell Automation, Inc. (ROK)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
35	Principal Global Diversified Income Instl CL	Sale	08/17/2021	Yes	\$1,001 - \$15,000
36	Pioneer Natural Resources Co. (PXD)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
37	Thermo Fisher Scientific, Inc. (TMO)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
38	NIKE, Inc. (NKE)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
39	NextEra Energy, Inc. (NEE)	Sale	08/17/2021	Yes	\$1,001 - \$15,000

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40	NVIDIA Corp. (NVDA)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
41	Microsoft Corp. (MSFT)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
42	Mastercard, Inc. (MA)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
43	MasTec, Inc. (MTZ)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
44	Keysight Technologies, Inc. (KEYS)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
45	JP Morgan Chase	Sale	08/17/2021	Yes	\$1,001 - \$15,000
46	PayPal Holdings, Inc. (PYPL)	Sale	08/17/2021	Yes	\$1,001 - \$15,000
47	Morgan Stanley	Sale	08/17/2021	Yes	\$1,001 - \$15,000
48	Constellation Brands, Inc. (STZ)	Sale	08/13/2021	Yes	\$1,001 - \$15,000
49	truist financial corp	Sale	08/16/2021	Yes	\$1,001 - \$15,000
50	Morgan Stanley	Purchase	08/16/2021	Yes	\$1,001 - \$15,000
51	First EagleGlobal Fund Class I Shares (SGIIX)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
52	Facebook, Inc. (FB)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
53	Ecolab, Inc. (ECL)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
54	Edwards Lifesciences Corp. (EW)	Sale	08/24/2021	No	\$1,001 - \$15,000
55	DuPont de Nemours, Inc. (DD)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
56	Domino's Pizza, Inc. (DPZ)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
57	The Walt Disney Co. (DIS)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
58	Danaher Corp. (DHR)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
59	Costco Wholesale Corp. (COST)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
60	Constellation Brands, Inc. (STZ)	Sale	08/24/2021	Yes	\$1,001 - \$15,000

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61	Apple, Inc. (AAPL)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
62	Caterpillar, Inc. (CAT)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
63	BlackRock, Inc. (BLK)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
64	Bank of America Corp. (BAC)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
65	Generac Holdings, Inc. (GNRC)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
66	Amazon.com, Inc. (AMZN)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
67	Alphabet, Inc. (GOOGL)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
68	Adobe, Inc. (ADBE)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
69	Abbott Laboratories (ABT)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
70	Chubb Ltd. (CB)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
71	HCA Healthcare, Inc. (HCA)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
72	D R Horton INC	Sale	08/24/2021	Yes	\$1,001 - \$15,000
73	IQVIA Holdings, Inc. (IQV)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
74	The Home Depot, Inc. (HD)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
75	Zoetis, Inc. (ZTS)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
76	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
77	Union Pacific Corp. (UNP)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
78	Thornburg Investment Income Builder Fund Class I Shares (TIBIX)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
79	Thermo Fisher Scientific, Inc. (TMO)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
80	Starbucks Corp. (SBUX)	Sale	08/24/2021	Yes	\$1,001 - \$15,000

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82	S&P Global, Inc. (SPGI)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
83	Rockwell Automation, Inc. (ROK)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
84	PayPal Holdings, Inc. (PYPL)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
85	Oshkosh Corp. (OSK)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
86	Pioneer Natural Resources Co. (PXD)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
87	NextEra Energy, Inc. (NEE)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
88	iShares Core Dividend Growth ETF (DGRO)	Sale	08/24/2021	No	\$15,001 - \$50,000
89	JP Morgan Chase &Co	Sale	08/24/2021	Yes	\$15,001 - \$50,000
90	NIKE, Inc. (NKE)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
91	iShares Edge MSCI Min Vol EAFE ETF (EFAV)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
92	MasTec, Inc. (MTZ)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
93	Mastercard, Inc. (MA)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
94	Keysight Technologies, Inc. (KEYS)	Sale	08/24/2021	Yes	\$1,001 - \$15,000
95	Morgan Stanley	Sale	08/24/2021	Yes	\$1,001 - \$15,000
96	NVIDIA Corp. (NVDA)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
97	Microsoft Corp. (MSFT)	Sale	08/24/2021	Yes	\$15,001 - \$50,000
98	Apple, Inc. (AAPL)	Sale	08/27/2021	Yes	\$1,001 - \$15,000
99	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Sale	08/27/2021	Yes	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
