

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Teplitz, Alaina B

Ambassador - to Sri Lanka and to Maldives, Department of State

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

Chief of Mission - Nepal (10/2015 - 8/2018)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Teplitz, Alaina B [electronically signed on 05/08/2020 by Teplitz, Alaina B in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Murphy, Kathleen A, Certifying Official [electronically signed on 07/07/2020 by Murphy, Kathleen A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/28/2020 by Granahan, Megan in Integrity.gov]

Data Revised 07/28/2020

Data Revised 07/07/2020

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust #1	Washington, District of Columbia	Trust	Trustee	4/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	Columbia Global Strategic Equity Fund Class A (NLGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA	No			
2.1	Vanguard Life Strategy Growth Fund (VASGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Corporate Flight Mgt dba Contour Aviation	N/A		Salary	
2	401k Retirement Account	See Endnote	No	None (or less than \$1,001)	None (or less than \$201)
3	401 K and IRA Retirement Acct - New				
3.1	Renasant Retirement Plan Solutions	Yes			None (or less than \$201)
3.1.1	Federated INSTL High Yield Bond (FIHLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.2	JP Morgan Equity Income (OIEJX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential real estate, Washington, DC	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
2	U.S. bank accounts #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. Investment Account - U - A	No			
3.1	Artisan International Fund Class Advisor (APDIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Brandes Emerging Markets Value Fund Class I (BEMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Columbia Global Equity Value Fund Class Institutional (CEVZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Columbia Large Cap Growth Fund III Class Institutional (NFEPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Eaton Vance Large Cap Value Fund Class I (EILVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Goldman Sachs Mid Cap Value Fund (GSMCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Wells Fargo Intrinsic Small Cap Value Fund Class Inst (WFSSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Boston Trust Small Cap Fund (BOSOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Family Trust #1 - U - M1	No			
4.1	Columbia Global Strategic Equity Fund Class A (NLGIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.2	Columbia Large Cap Growth Fund III Class A (NFEAX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.3	Alger Dynamic Opportunities Fund Class A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5	Family Trust #2 - MS	No			
5.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.2	3M Company (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.3	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	Abbvie Inc Com (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.5	AFLAC Incorporated (AFL)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.6	Allstate Corp (ALL)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.7	Altria Group Inc (MO)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.8	Ameren Corp (HLDG CO) (AEE)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.9	American Express Co (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.10	Ameriprise Fincl Inc (AMP)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.11	Applied Materials Inc (AMAT)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.12	AT&T Inc (T)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.13	Bank of America Corp (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.14	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.15	BP PLC ADS (BP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	Bristol Myers Squibb Co (BMV)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.17	Caterpillar Inc (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.18	Coca Cola Co (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.19	Conagra Brands Inc (CAG)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.20	Cummins Inc (CMI)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.21	CVS Health Corp Com (CVS)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.22	Deere & Co (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.23	DowDupont Inc (DWDP)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.24	Eli Lilly & Co (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.25	Emerson Electric Co (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.26	Exxon Mobil Corp (XOM)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.27	General Electric Co (GE)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.28	General Mills Inc (GIS)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.29	Halliburton Co (HAL)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.30	Home Depot Inc (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.31	Honeywell International Inc (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.32	Ingredion Inc Com (INGR)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.33	Intel Corp (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	Int'l Paper Co (IP)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.35	Int'l Business Machines Corp (IBM)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.36	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.37	JPMorgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.38	Kraft Heinz Co (KHC)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.39	McDonald's Corp (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.40	Merck & Co Inc New Com (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.41	Microsoft Corp (MFST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.42	Mondelez Int'l Inc Com (MDLZ)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.43	Morgan Stanley (MS)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.44	Olin Corporation (OLN)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.45	Philip Morris Int'l Inc (PM)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.46	Pepsico Inc NC (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.47	Procter & Gamble (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.48	Raytheon Co (New) (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.49	Sysco Corp (SYY)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.50	Texas Instruments (TXN)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.51	TJX COS Inc NEW (TJX)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.52	Travelers Companies Inc COM (TRV)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.53	United Technologies Corp (UTX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.54	Verizon Communications (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.55	WalMart Stores Inc (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.56	Walgreens Boots Alliance Inc (WBA)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.57	Walt Disney Co HLDG Co (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.58	Waste Mgmt Inc (DELA) (WM)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.59	Wells Fargo & Co New (WFC)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.60	Whirlpool Corp (WHR)	N/A	None (or less than \$1,001)		None (or less than \$201)
5.61	DWS MUN Income Trust (KSM)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
5.62	Dreyfus Municipal Income (DMF)		Yes	None (or less than \$1,001)	None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.63	Nuveen AMT-Free Alty MUN INC (NEA)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.64	Nuveen EHCD AMT Free MUN CRED (NVG)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.65	Nuveen Municipal Value FD INC (NUV)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.66	Nuveen Quality Muni Inc Fund (NAD)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.67	Western Asset MNGD Municipals (MMU)	See Endnote	Yes	None (or less than \$1,001)		None (or less than \$201)
5.68	New Jersey ST CTFS Partn REV SER-A bond	See Endnote	N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
5.69	Cenovus Energy Inc note		N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
5.70	Williams Partners LP/William S Partners Finance Corporation note		N/A	None (or less than \$1,001)	Interest	None (or less than \$201)
5.71	Franklin Federal TX FR Inc A (FKTIX)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.72	Invesco Muni Income Y (VMIX)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.73	Western Asset Managed Muni A (SHMMX)		Yes	None (or less than \$1,001)		None (or less than \$201)
5.74	Baxter Intl Inc (BAX)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5.75	Cisco SYS Inc (CSCO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5.76	Unilever PLC (NEW) ADS (UL)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5.77	iShares MSCI Frontier 100 ETF (FM)		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.78	SPDR S&P 500 ETF TRUST (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.79	Vanguard FTSE Developed Mkts E (VEA)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.80	Vanguard FTSE Emerging Markets (VWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.81	MSIF Ultra-Short Income IR (MULSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6	Family Trust #3 - MS - M1	No			
6.1	U.S. investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	SPDR S&P Dividend (SDY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	Family Trust #4 - MS - M2	No			
7.1	U.S. investment account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2	Ishares Int'l Select DIV ETF (IDV)	See Endnote	Yes	None (or less than \$1,001)	None (or less than \$201)
7.3	SPDR S&P Dividend (SDY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	Family Trust #5 - MS - M1	No			
8.1	U.S investment account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.2	3M Company (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.3	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.4	Abbvie Inc COM (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

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8.5	AFLAC Incorporated (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.6	Allstate Corp (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.7	Ameren Corp (HLDG CO) (AEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.8	American Express Co (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.9	Ameriprise Fincl Inc (AMP)	N/A	None (or less than \$1,001)		None (or less than \$201)
8.10	Applied Materials Inc (AMAT)	N/A	None (or less than \$1,001)		None (or less than \$201)
8.11	AT&T Inc (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.12	Bank of America Corp (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.13	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.14	BP PLC ADS (BP)	No	\$1,001 - \$15,000		None (or less than \$201)
8.15	Bristol Myers Squibb Co (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.16	Caterpillar Inc (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.17	Coca Cola (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.18	Conagra Brands Inc (CAG)	N/A	None (or less than \$1,001)		None (or less than \$201)

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8.19	Cummins Inc (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.20	CVS Health Corp COM (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.21	Deere & Co (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.22	Dow Inc	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)
8.23	Eli Lilly & Co (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.24	Emerson Electric Co (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.25	Exxon Mobil Corp (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.26	Home Depot Inc (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.27	Honeywell International Inc (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.28	Intel Corp (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.29	International Paper Co (IP)	N/A	None (or less than \$1,001)		None (or less than \$201)
8.30	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.31	JPMorgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.32	McDonalds Corp (MCD)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.33	Merck & Co New COM (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.34	Microsoft Corp (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.35	Mondelez Int'l Inc COM (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.36	Pepsico Inc NC (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.37	Philip Morris Int'l Inc (PM)	N/A	None (or less than \$1,001)		None (or less than \$201)
8.38	Procter & Gamble (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.39	Raytheon Co (NEW) (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.40	Sysco Corp (SYU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.41	Texas Instruments (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.42	TJX COS Inc New (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.43	Travelers Companies Inc COM (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.44	United Technologies Corp (UTX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.45	Verizon Communications (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.46	Walmart Stores Inc (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.47	Walgreens Boots Alliance Inc (WBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.48	Walt Disney Co HLDG CO (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.49	Waste Mgmt Inc (DELA) (WM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
8.50	Pimco 1-5 Yar U.S. TIPX IDX FD (STPZ)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.51	Vanguard Short Term BND (BSV)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.52	New Jersey ST Higher Ed Assistance Auth Stud LN REV-1 bond	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8.53	Bank of America note	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8.54	Invesco QQQ Trust, Series 1		Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.55	SPDR S&P 500 ETF Trust (SPY)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8.56	Cisco SYS INC (CSCO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
8.57	American Electric Power CO (AEP)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.58	Baxter Intl		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.59	Comcast Corp (NEW) Class A (CMCSA)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.60	DuPont de Nemours Inc (DD)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.61	Entergy Corp New (ETR)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.62	Raytheon Co (New) (RTN)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.63	Republic Services Inc (RSG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.64	Unilever PLC (NEW) ADS (UL)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9	Family Trust #6 - MS - M2	No			
9.1	U.S. investment account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9.2	3M Company (MMM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.3	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.4	Abbvie Inc COM (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.5	AFLAC Incorporated (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.6	Allstate Corp (ALL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.7	Ameren Corp (HLDG CO) (AEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.8	American Express Co (AXP)	N/A	None (or less than \$1,001)		None (or less than \$201)
9.9	Ameriprise Fincl Inc (AMP)	N/A	None (or less than \$1,001)		None (or less than \$201)
9.10	Applied Materials Inc (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.11	Bank of America Corp (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.12	Boeing Co (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.13	BP PLC ADS (BP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.14	Bristol Myers Squibb Co (BMV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.15	Caterpillar Inc (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.16	Coca Cola Co (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.17	Conagra Brands Inc (CAG)	N/A	None (or less than \$1,001)		None (or less than \$201)
9.18	Cummins Inc (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.19	CVS Health Corp COM (CVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.20	Deere & Co (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.21	DowDupont Inc (DWDP)	N/A	None (or less than \$1,001)		None (or less than \$201)
9.22	Eli Lilly & Co (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.23	Emerson Electric Co (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.24	Exxon Mobil Corp (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.25	Home Depot Inc (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.26	Honeywell International Inc (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.27	Intel Corp (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.28	International Paper Co (IP)	N/A	None (or less than \$1,001)	Rent or Royalties	
9.29	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.30	JPMorgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.31	McDonalds Corp (MCD)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
9.32	Merck & Co Inc NE (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.33	Microsoft Corp (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.34	Mondelez Int'l Inc COM (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.35	Pepsico Inc NC (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.36	Philip Morris Int'l Inc (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.37	Procter & Gamble (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.38	Raytheon Co (NEW) (RTN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.39	Sysco Corp (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.40	TJX COS Inc NEW (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.41	Travelers Companies Inc COM (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.42	United Technologies Corp (UTX)		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.43	Verizon Communications (VZ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.44	Walmart Stores Inc (WMT)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.45	Walgreens Boots Alliance Inc (WBA)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.46	Walt Disney Co HLDG CO (DIS)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.47	Waste Mgmt Inc (DELA) (WM)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.48	Pimco 1-5 Year U.S. TIPX IDX FD (STPZ)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.49	Vanguard Short Term BND (BSV)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.50	Clarksville Tenn Nat Gas Acquisition Corp Gas REV bond	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
9.51	Anadarko Petroleum Corp note	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
9.52	Cisco SYS INC (CSCO)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.53	Texas Instruments (TXN)		N/A	\$1,001 - \$15,000		None (or less than \$201)
9.54	Invesco QQQ Trust, Series I (QQQ)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.55	SPDR S&P 500 ETF Trust (SPY)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.56	American Electric Power Co (AEP)		N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.57	Baxter Intl Inc (BAX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.58	Comcast Corp (New) Class A (CMCSA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.59	DuPont de Nemours Inc (DD)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.60	Entergy Corp New (ETR)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.61	Republic Services Inc (RSG)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.62	Unilever PLC (NEW) ADS (UL)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
10	Residential real estate, Staunton, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
11	Residential real estate - Greenville, South Carolina	N/A	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Whirlpool Corp	Sale	04/09/2019	\$1,001 - \$15,000
2	Sysco Corp	Sale	04/09/2019	\$1,001 - \$15,000
3	Texas Instruments Incorporated - Common Stock	Sale	04/09/2019	\$1,001 - \$15,000
4	TJX COS Inc New	Sale	04/09/2019	\$1,001 - \$15,000
5	International Paper Co	Sale	04/09/2019	\$1,001 - \$15,000
6	Nuveen Munciple Value FD Inc	Sale	04/09/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
7	Intl Business Machines Corp	Sale	04/09/2019	\$1,001 - \$15,000
8	General Mills Inc	Sale	04/09/2019	\$1,001 - \$15,000
9	General Electric Co	Sale	04/09/2019	\$1,001 - \$15,000
10	Cummins Inc	Sale	04/09/2019	\$1,001 - \$15,000
11	Franklin Federal TX FR INC	Sale	04/09/2019	\$1,001 - \$15,000
12	Drefus Municipal Income	Sale	04/09/2019	\$1,001 - \$15,000
13	Bristol-Myers Squibb	Sale	04/09/2019	\$1,001 - \$15,000
14	American Electric Power Co	Sale	04/09/2019	\$1,001 - \$15,000
15	Applied Materials, Inc. - Common Stock	Sale	04/09/2019	\$1,001 - \$15,000
16	Western Asset Managed Muni A	Sale	04/09/2019	\$1,001 - \$15,000
17	Invesco Muni Income Y	Sale	04/09/2019	\$1,001 - \$15,000
18	Walgreens Boots Alliance Inc	Sale	04/09/2019	\$1,001 - \$15,000
19	DowDuPont Inc	Sale	04/09/2019	\$1,001 - \$15,000
20	DWS Strategic MUN Income Trust	Sale	04/09/2019	\$1,001 - \$15,000
21	Travelers Companies Inc COM	Sale	04/09/2019	\$1,001 - \$15,000
22	Western Asset MNGD Municipals	Sale	04/09/2019	\$1,001 - \$15,000
23	Mondelez Intl Inc COM	Sale	04/09/2019	\$1,001 - \$15,000
24	MSIF Ultra-Short Income IR	Purchase	04/09/2019	\$100,001 - \$250,000
25	iShares MSCI Frontier 100 Index ETF	Purchase	04/09/2019	\$1,001 - \$15,000
26	Vanguard FTSE Emerging Markets	Purchase	04/09/2019	\$1,001 - \$15,000
27	Ingredion Inc COM	Sale	04/09/2019	\$1,001 - \$15,000
28	Vanguard FTSE Developed MKTS E	Purchase	04/09/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	Nuveen AMT Free MUN Cred	Sale	04/09/2019	\$1,001 - \$15,000
30	AT&T Inc	Sale	04/09/2019	\$1,001 - \$15,000
31	Altria Group Inc	Sale	04/09/2019	\$1,001 - \$15,000
32	Exxon Mobil Corp	Sale	04/09/2019	\$1,001 - \$15,000
33	Nuveen AMT-Free QLTQ MUN Inc	Sale	04/09/2019	\$1,001 - \$15,000
34	Ameren Corp (HLDG Co)	Sale	04/09/2019	\$1,001 - \$15,000
35	Waste Mgmt Inc (DELA)	Sale	04/09/2019	\$1,001 - \$15,000
36	Morgan Stanley		04/09/2019	\$1,001 - \$15,000
37	Nuveen Quality Muni Inc Fund	Sale	04/09/2019	\$1,001 - \$15,000
38	CVS Health Corp Com	Sale	04/09/2019	\$1,001 - \$15,000
39	Allstate Corp	Sale	04/09/2019	\$1,001 - \$15,000
40	SPDR S 500 ETF Trust	Purchase	04/09/2019	\$1,001 - \$15,000
41	Philip Morris Intl Inc	Sale	04/09/2019	\$1,001 - \$15,000
42	AFLAC Incorporated	Sale	04/09/2019	\$1,001 - \$15,000
43	Cenovus Energy Inc	Sale	07/01/2019	\$1,001 - \$15,000
44	Williams Partners LP/Williams S Partners Finance Corporation Note	Sale	07/01/2019	\$1,001 - \$15,000
45	IShares Int'l Select DIV ETF	Sale	05/13/2019	\$15,001 - \$50,000
46	Vanguard Target Retirement (2030) Fund Investor Class	Sale	07/12/2019	\$50,001 - \$100,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	U.S. Bank	Mortgage (investment/rental property)	\$250,001 - \$500,000	2012	3.5%	30 Year
2	Pilot Bank	Loan for Personal Aircraft	\$10,001 - \$15,000	2013	6.9%	10 Year
3	SDFCU	Mortgage (investment/rental property)	\$100,001 - \$250,000	2018	4.125	15
4	USAA	Mortgage on Personal Residence	\$100,001 - \$250,000	2019	3.75	30 year
5	Navy Federal Credit Union	Credit Card	\$15,001 - \$50,000	2019	10.25%	Revolving
6	SDFCU	Mortgage (investment/rental property)	\$15,001 - \$50,000	2019	3.99%	HELOC

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	2	Cashed out in 2019
6.	5.61	Asset changed name from Deutsche Strategic MUN INC TR (KSM) to DWS Strategic MUN Income Trust in 2018.

PART	#	ENDNOTE
6.	5.67	Asset matured in 2018
6.	5.68	Asset matured in 2019
6.	7.2	asset sold in 2019
6.	8.22	In 2019, DowDuPont (DWDP) became Dow Inc (DOW) - name change
6.	8.52	Asset matured in 2019
6.	8.53	Asset matured in 2019
6.	9.50	Asset matured in 2019
6.	9.51	Asset matured in 2019

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

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Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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