

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Magnus, Christopher

Commissioner, U.S. Customs and Border Protection, Department of Homeland Security

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Finance
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Magnus, Christopher [electronically signed on 03/05/2021 by Magnus, Christopher in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Maher, Joseph, Certifying Official [electronically signed on 05/19/2021 by Maher, Joseph in Integrity.gov]

Other review conducted by

/s/ Carpio, Philip, Ethics Official [electronically signed on 05/14/2021 by Carpio, Philip in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/21/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Tucson Police Department	Tucson, Arizona	Police Department	Chief of Police	1/2016	Present
2	Impact Justice	Oakland, California	Non-Profit	Part-time Consultant	10/2020	Present
3	Family Trust	Berkeley, California	Trust	Trustee	7/2014	Present
4	Police Executive Research Forum	Washington, District of Columbia	Non-Profit	Board Member	6/2015	6/2019

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Tucson Police Department	N/A		Salary	\$257,395
2	Impact Justice (Criminal Justice reform consulting non-profit organization)	N/A		Consulting Fees	\$700
3	Brokerage 1	No			
3.1	Rollover IRA 1 - UMA	No			
3.1.1	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.1.2	Aflac, Inc. (AFL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.3	AT&T, Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.4	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.5	Activision Blizzard, Inc. (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.6	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.7	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.8	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.9	American Water Works Co., Inc. (AWK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.10	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.11	Applied Materials, Inc. (AMAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.12	AstraZeneca PLC (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.13	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.14	AutoZone, Inc. (AZO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.15	Baidu, Inc. (BIDU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.16	Bank of America Corp. (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.17	BHP Billiton Ltd. ADR (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.18	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.19	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.20	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.21	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.22	CRH-PLC (CRH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.23	Caterpillar, Inc. (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.24	Charles River Laboratories International, Inc. (CRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.25	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.26	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.27	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.28	Companhia Paranaense de Energia (ELP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.29	Constellation Brands, Inc. (STZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.30	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.31	Deckers Outdoor Corp. (DECK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.32	Delta Air Lines, Inc. (DAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.33	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.34	Eagle Materials, Inc. (EXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.35	Eastman Chemical Co. (EMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.36	EMCOR Group, Inc. (EME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.37	Enbridge, Inc. (ENB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.38	Equitable Holdings, Inc. (EQH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.39	Evercore, Inc. (EVR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.40	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.41	Fortune Brands Home & Security, Inc. (FBHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.42	General Mills, Inc. (GIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.43	Gentex Corp. (GNTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.44	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.45	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.46	Infosys Ltd ADR	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.47	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.48	Intercontinental Exchange, Inc. (ICE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.49	Interpublic Group of Cos., Inc. (IPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.50	Invesco Buyback Achievers ETF (PKW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.51	IQVIA Hldgs, Inc. (IOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.52	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.53	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.54	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.55	J2 Global, Inc. (JCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.56	L3Harris Technologies, Inc. (LHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.57	Lam Research Corp. (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.58	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.59	M.D.C. Holdings, Inc. (MDC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.60	Masonite International Corp. (DOOR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.61	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.62	Medical Properties Trust, Inc. (MPW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.63	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.64	Methode Electronics, Inc. (MEI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.65	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.66	Morgan Stanley, Inc. (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.67	Murata Manufacturing (MRAAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.68	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.69	NICE Systems, Ltd (NICE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.70	Novartis AG ADR (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.71	ONEOK, Inc. (OKE)	No	\$1,001 - \$15,000		None (or less than \$201)
3.1.72	Oshkosh Corp. (OSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.73	Otis Worldwide Corp (OTIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.74	PPG Industries, Inc. (PPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.75	PayPal Holdings, Inc. (PYPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.76	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.77	Polaris Inc. (PII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.78	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.79	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.80	PulteGroup, Inc. (PHM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.81	Rio Tinto PLC (RIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.82	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.83	SPDR S&P 400 Mid Cap Growth ETF (MDYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.84	Sberbank of Russia ADR (SBRCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.85	Science Applications International Corp. (SAIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.86	Skyworks Solutions, Inc. (SWKS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.87	Sony Corp. ADR (SNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.88	Southern Company (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.89	Stanley Black & Decker, Inc. (SWK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.90	Stora Enso Corporation (SEOAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.91	Stryker Corp. (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.92	Sun Life Financial, Inc. (SLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.93	TDK Corp. ADR (TTDKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.94	TFI International, Inc. (TFII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.95	The TJX Cos., Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.96	Taiwan Semiconductor Mfg Co (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.97	TC Energy Corp (TRP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.98	Tencent Holdings Limited ADR (TCEHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.99	Ternium S.A. (TX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.100	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.101	The Timken Co. (TKR)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.102	Tokyo Electron Ltd. (TOELY)	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
3.1.103	Toyota Motor Corporation (TM)	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.104	Truist Financial Corp (TFC)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.105	Tyson Foods, Inc. (TSN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.106	United Dominion Realty Trust, Inc. (UDR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.107	UFP Industries, Inc. (UFPI)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.108	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.109	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.110	VF Corp. (VFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.111	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.112	Volkswagan AG (VWAPY)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.113	Walmart, Inc. (WMT)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.114	Waste Management, Inc. (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.115	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.116	The Williams Cos., Inc. (WMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.117	Williams-Sonoma, Inc. (WSM)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.118	Axalta Coating Systems Ltd. (AXTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.119	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
3.1.120	Janus Henderson Group Plc (JHG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.121	ICON PLC (ICLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.122	Johnson Controls International Plc (JCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.123	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.124	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.125	nVent Electric Plc (NVT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.126	Pentair Plc (PNR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.127	STERIS Plc (Ireland) (STE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.128	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.129	Garmin Ltd. (GRMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.130	UBS Group AG (UBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.131	BlackRock High Yield Bond Portfolio (BRHYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.132	JPMorgan High Yield Fund Class I Shares (OHYFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.133	PIMCO High Yield Fund Institutional Class Shares (PHIYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.134	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.135	SPDR BLM Barclays High Yld Bond (JNK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.136	SPDR S&P 400 Mid Cap Value ETF (MDYV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.137	Athene Holding Ltd (ATH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.138	Ajinomoto Co., Inc (AJINY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.139	Ally Financial, Inc. (ALLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.140	Ameriprise Financial, Inc. (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.141	BNP Paribas SA ADR (BNPQY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.142	Celanese Corporation (CE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.143	ConocoPhillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.144	Danaher Corporation (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.145	Diamondback Energy, Inc. (FANG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.146	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.147	Federal Realty Investment Trust (FRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.148	FedEx Corporation (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.149	The Goldman Sachs Group, Inc. (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.150	Hillenbrand Industries, Inc. (HRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.151	Kookmin Bank, Inc.(KB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.152	Komatsu Ltd (KMTUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.153	Loreal Co ADR (LRLCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.154	Nexstar Media Group, Inc. (NXST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.155	Nippon Telegraph & Telephone Corp (NTTTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.156	Navigator Tactical Fixed Income Fund Class I Shares (NTBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.157	Synchrony Financial (SYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.158	Sysco Corporation (SYY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.159	Fabrinet (FN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.160	Helen of Troy Ltd. (HELE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.161	Lazard Ltd. (LAZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.1.162	Sensata Technologies Holding PLC (ST)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.163	Jack in the Box, Inc. (JACK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Rollover IRA 2	No			
3.2.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.2	AT&T, Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.3	AbbVie, Inc. bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.4	Allstate Corp., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.5	Anadarko Petroleum Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.6	Anheuser Busch, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.7	Avery Dennison Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.8	Bank of America Corp, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.9	Bank of NY Mellon Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.10	Biogen, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.11	Brighthouse Fin, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.12	Bunge Ltd Finance Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.13	CSX Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.14	CVS Health Corp, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.15	Cimarex Energy Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.16	Citigroup, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.17	Citrix Systems, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.18	Tapestry, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.19	Constellation Brands, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.20	DXC Technology Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.21	Delta Air Lines, Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.22	Diamondback Energy, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.23	E*TRADE Financial Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.24	Freeport McMoRan, Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.25	Goldman Sachs Group, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.26	Harris Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.27	Hillenbrand, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.28	HollyFrontier Corp, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.29	JPMorgan Chase & Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.30	Johnson & Johnson, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.31	KB Home, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.32	Kraft Foods Group, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.33	Lowe's Cos, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.34	Meritage Homes Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.35	Microsoft Corp, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.36	Mohawk Industries, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.37	Morgan Stanley, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.38	Mosaic Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.39	Murphy Oil USA, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.40	NRG Energy, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.41	Nordstrom, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.42	Oracle Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.43	Popular, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.44	Radian Group, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.45	Reliance Steel & Aluminum, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.46	Charles Schwab Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.47	Synovus Financial Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.48	T-Mobile USA, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.49	Globe Life, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.50	US Concrete, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.51	United Rentals, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.52	VeriSign, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.53	Verizon Comm, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.54	Wells Fargo & Co, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.55	Cheniere Energy, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2.56	TRI Pointe Group, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2.57	Welltower, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Arizona Defined Contribution Plan 401(a)	No			
4.1	AmFds 2025 Target Date Retirement R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	CALpers, Defined Benefit Plan (value not readily ascertainable)	N/A		Retirement payments (annual)	\$71,016
6	City of Lansing, Defined Benefit Plan (value not readily ascertainable)	N/A		retirement payments (annual)	\$25,968
7	City of Tucson Executive 401(a) Retirement Plan	No			
7.1	American Funds Capital World Growth and Income Fund Class R-6 (RWIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.2	Fidelity Diversified International Fund (FDIVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	Vanguard Small-Cap Index Fund Admiral Shares (VSMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.4	Victory Sycamore Small Company Opportunity Fund R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.5	JPMorgan Equity Income Fund Class R6 (OIEJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.6	Vanguard Institutional Index Fund Institutional Plus Shares (VIMI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.7	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.8	Victory Sycamore Established Value Fund Class R6 (VEVRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.9	Vanguard Total Bond Market Index Adm (VBTLX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.10	PUTNAM STABLE VALUE FUND: 20BPS	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	City of Tucson Defined Contribution Plan 457(b)	No			
8.1	Great-West SecureFoundation Balanced Fd (MXSBX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.2	AmFunds Capital World GH/I R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Vanguard Institutional Index I	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.5	Putnam Stable Value Fund 20bps	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Roth IRA	No			
9.1	Franklin Growth Advantage (FCGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	Franklin Mutual Global Discovery (MDISX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	Invesco Diversified Dividend Fund Class Y Shares (LCEYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.4	John Hancock Bond Fund (JHBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	City of Richmond	N/A		Disability payments	\$14,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	CALpers (on behalf of the City of Richmond, CA)	Sacramento, California	I continue to participate in this plan, receiving monthly distributions.	1/2006
2	City of Lansing	Lansing, Michigan	I continue to participate in this plan, receiving monthly distributions.	1/1985
3	City of Tucson	Tucson, Arizona	I will continue to participate in this defined contribution plan (457). The plan sponsor will not make further contributions after my separation.	1/2019
4	City of Tucson	Tucson, Arizona	I will continue to participate in this defined contribution plan (401(a)). The plan sponsor will not make further contributions after my separation.	1/2019
5	Arizona Defined Contribution Plan 401(a)	Tucson, Arizona	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2016
6	CALpers (on behalf of the City of Richmond, CA)	Sacramento, California	I will receive health insurance coverage for life.	2/2016
7	City of Richmond	Sacramento, California	I am entitled to receive supplemental monthly reimbursement for health insurance, excess of CALpers, for life, starting after receipt of my last disability payment.	2/2016
8	City of Richmond	Richmond, California	I will continue to receive bi-weekly disability payments until December 2024.	2/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Tucson Police Department	Tucson, Arizona	Chief of Police

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Pima County, Tucson, AZ	N/A		salary	
2	Contra Costa County Employee's Retirement Association, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
3	Rollover IRA	No			
3.1	U.S. brokerage money market account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Principal Blue Chip Fund Institutional Class Shares (PBCKX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Principal Diversified International Fund Institutional Class Shares (PIIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Principal Equity Income Fund Institutional Class Shares (PEIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Principal International Fund I Institutional Class Shares (PINIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Principal International Emerging Markets Fund Institutional Class Shares (PIEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Principal LargeCap Value Fund III Institutional Class Shares (PLVIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.8	Principal MidCap Fund Institutional Class Shares (PCBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Principal MidCap Value Fund I Institutional Class Shares (PVMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Principal Global Multi-Strategy Fund Institutional Class Shares (PSMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	Principal Overseas Fund Institutional Class Shares (PINZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.12	Principal SmallCap Growth Fund I Institutional Class Shares (PGRTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	Principal SmallCap Value Fund II Institutional Class Shares (PPVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	Principal Core Fixed Income Fund Institutional Class Shares (PIOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	Principal Core Plus Bond Fund Institutional Class Shares (PMSIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.16	Principal Finisterre Unconstrained Emerging Markets Bond Fund Institutional Class Shares (PFUMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.17	Principal Government & High Quality Bond Fund Institutional Class Shares (PMRIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.18	Principal High Yield Fund Institutional Class Shares (PHYTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.19	Principal Short-Term Income Fund Institutional Class Shares (PSHIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Arizona State Retirement System, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank 1	N/A			
1.1	Savings Account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	US bank 2	N/A			
2.1	Checking Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Family Trust	No			
3.1	Residential real estate, Bisbee, Arizona	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Brokerage 1	No			
4.1	Activision Blizzard, Inc. (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Alphabet, Inc. A - GOOGL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	Amazon.com, Inc. - AMZN	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	American Express Company - AXP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Apple, Inc. - AAPL	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
4.6	Applied Materials, Inc. - AMAT	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Assurant, Inc. - AIZ	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.8	AstraZeneca PLC - AZN	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	AutoZone, Inc. - AZO	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	Baidu, Inc. - BIDU	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
4.11	Bank of America Corp - BAC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.12	BlackRock, Inc. - BLK	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.13	Bristol-Myers Squibb Company - BMY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	Broadcom, Inc. - AVGO	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.15	Cigna Corp - CI	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.16	CRH PLC - CRH	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.17	Canadian National Railway Company - CNI	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.18	Caterpillar, Inc. - CAT	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.19	Charles River Laboratories Int'l - CRL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.20	Comcast Corporation - CMCSA	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	Companhia Paranaense de Energia - ELP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.22	Constellation Brands - STZ	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	Deckers Outdoor Corp - DECK	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.24	Delta Air Lines, Inc. - DAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	Walt Disney Co - DIS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.26	Dollar General Corporation (DG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.27	Eagle Materials, Inc. - EXP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	EMCOR Group, Inc. - EME	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	Enbridge, Inc. - ENB	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	Equitable Holdings, Inc. - EQH	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.31	Evercore Partners, Inc. - EVR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.32	Facebook, Inc. - FB	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.33	Fortune Brands Home & Security, Inc. - FBHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.34	General Motors Co (GM)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
4.35	Gentex Corp. - GNTX	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.36	Infosys Ltd ADR - INFY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.37	Intercontinental Exchange Group, Inc. - ICE	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.38	Interpublic Group of Companies, Inc. - IPG	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.39	Invesco BuyBack Achievers - PKW	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.40	IQVIA Hldgs, Inc. - IQV	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.41	iShares Core S&P Small-Cap ETF - IJR	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.42	JPMorgan Chase & Co - JPM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.43	Johnson & Johnson - JNJ	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.44	j2 Global, Inc. - JCOM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.45	LAM Research Corp - LRCX	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.46	Masonite Intl Corp - DOOR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.47	Medical Properties Trust, Inc. - MPW	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.48	Methode Electronics, Inc. - MEI	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.49	Microsoft Corporation - MSFT	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
4.50	Morgan Stanley, Inc. - MS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.51	Murata Manufacturing - MRAAY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.52	NICE Systems Ltd - NICE	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.53	Novartis AG ADR - NVS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.54	PayPal Holdings, Inc. - PYPL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.55	Philip Morris International, Inc. - PM	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.56	Procter & Gamble Company - PG	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.57	Pulte Group, Inc. - PHM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.58	Rio Tinto PLC - RIO	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.59	SPDR S&P 400 Mid Cap Growth - MDYG	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4.60	SPDR S&P 400 Mid Cap Value ETF - MDYV	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.61	Sberbank of Russia ADR - SBRCY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.62	Skyworks Solutions, Inc. - SWKS	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.63	Sony Corp. ADR - SNE	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.64	Southern Company (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.65	Stanley Black & Decker, Inc. - SWK	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.66	Stora Enso Corporation - SEOAY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.67	Stryker Corporation - SYK	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.68	Sun Life Financial, Inc. - SLF	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.69	TDK Corp. ADR - TTDKY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.70	TFI International, Inc. - TFII	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.71	Taiwan Semiconductor Mfg Co - TSM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.72	TC Energy Corp - TRP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.73	Tencent Holdings Limited ADR - TCEHY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.74	Ternium S.A. - TX	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.75	Texas Instruments, Inc. - TXN	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.76	Tokyo Electron Ltd. - TOELY	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.77	Toyota Motor Corporation - TM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.78	Truist Financial Corp - TFC	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.79	UFP Industries, Inc. - UFPI	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.80	Union Pacific Corp - UNP	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.81	UnitedHealth Group, Inc. - UNH	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.82	Volkswagen AG - VWAPY	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.83	Williams Co., Inc. - WMB	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.84	Williams-Sonoma, Inc. - WSM	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.85	Athene Holding Ltd - ATH	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.86	Axalta Coating Systems Ltd - AXTA	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.87	Janus Henderson Group PLC - JHG	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.88	ICON PLC - ICLR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.89	Johnson Controls Intl PLC - JCI	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.90	Linde PLC - LIN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.91	Medtronic PLC - MDT	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.92	nVent Electric PLC - NVT	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.93	Pentair PLC - PNR	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.94	STERIS PLC - STE	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.95	Chubb Ltd - CB	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.96	Garmin Ltd - GRMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.97	UBS Group AG - UBS	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.98	AT&T, Inc., bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.99	Anheuser Busch, Inc., bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.100	Avery Dennison Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.101	Bank of America Corp, bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.102	Bank of NY Mellon Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.103	Biogen, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.104	Blue Cube Spinco LLC, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.105	Brighthouse Fin, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.106	Bunge Ltd Finance Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.107	CNA Financial Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.108	CSX Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.109	CVS Health Corp, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.110	Charter Comm Oper LLC, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.111	Tapestry, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.112	Constellation Brands, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.113	E*TRADE Financial Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.114	Equinix, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.115	FedEx Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.116	Freeport McMoRan, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.117	Hillenbrand, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.118	HollyFrontier Corp, bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.119	JPMorgan Chase & Co, bonds	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.120	KB Home, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.121	Kroger Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.122	Las Vegas Sands Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.123	Lennar Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.124	Loews Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.125	Lowe's Cos Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.126	Meritage Homes Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.127	Microsoft Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.128	Mohawk Industries, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.129	Morgan Stanley, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.130	Mosaic Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.131	Murphy Oil USA, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.132	NRG Energy, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.133	Olin Corp, bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.134	Oracle Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.135	PulteGroup, Inc., bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.136	Radian Group, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.137	Reliance Steel & Aluminum, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.138	Republic Services, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.139	SBA Communications Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.140	Sabine Pass Liquefaction, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.141	Charles Schwab Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.142	Simon Property Group LP, bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.143	Synovus Financial Corp, bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.144	TD Ameritrade Hldgs Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.145	T-Mobile USA, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.146	United Rentals, Inc., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.147	Valero Energy Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.148	VeriSign, Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.149	Walgreens Boots Alliance, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.150	Wells Fargo & Co, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.151	Western Digital Corp, bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.152	iShares Core S&P 500 ETF (IVV)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
4.153	APTIV PLC (APTV)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.154	DTE Energy Co. (DTE)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.155	iShares MSCI USA Quality Factor ETF (QUAL)	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.156	ROCHE HLDG SP ADR (RHHBY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
4.157	SCHNEIDER ELECTRIC SE REPR (SBGSY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.158	SOLAREdge TECHNOLOGIES INC (SEDG)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
4.159	SPDR PORTFOLIO S&P 500 GROW (SPYG)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.160	SPDR Portfolio S&P 500 Value ETF (SPYV)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
4.161	United Technologies Corp. (UTX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
4.162	VIPSHOP HOLDINGS LTD ADS (VIPS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
4.163	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.164	Ajinomoto Co., Inc. ADR (AJINY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.165	Ally Financial, Inc. (ALLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.166	Ameriprise Financial, Inc. (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.167	BNP Paribas ADR (BNPQY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.168	BHP Billiton Ltd ADR (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.169	Celanese Corporation (CE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.170	Darden Restaurants, Inc. (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.171	Diamondback Energy, Inc. (FANG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.172	Eastman Chemical Co. (EMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.173	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.174	FedEx Corporation (FDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.175	The Goldman Sachs Group, Inc. (GS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.176	Hillenbrand Industries, Inc (HRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.177	Jack in the Box, Inc. (JACK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.178	Kookmin Bank, Inc. (KB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.179	Komatsu Ltd (KMTUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.180	Loreal Co ADR (LRLCY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.181	Merck & Company, Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.182	Nippon Telegraph & Telephone Corp (NTTY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.183	PPG Industries, Inc. (PPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.184	Royal Bank of Canada (RY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.185	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.186	Eaton Corp. PLC (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.187	Fabrinet (FN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.188	Helen of Troy Ltd. (HELE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.189	Lazard Ltd. (LAZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.190	Sensata Technologies Holding PLC (ST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.191	Wells Fargo & Co. (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.192	Centene Corp., bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	PJSC Lukoil (LUKOY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Umpqua Bank	Mortgage on Personal Residence	\$250,001 - \$500,000	2015	2.875	10 years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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