

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Prescott, Jeffrey

Deputy to the U.S. Ambassador to the United Nations, Department of State -- General Filers Only

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Prescott, Jeffrey [electronically signed on 05/26/2021 by Prescott, Jeffrey in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Taylor, Sarah E, Certifying Official [electronically signed on 07/02/2021 by Taylor, Sarah E in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Adobe, Inc. (ADBE)	Sale	04/16/2021	No	\$1,001 - \$15,000
2	Alphabet, Inc. (GOOG)	Sale	04/16/2021	No	\$15,001 - \$50,000
3	Amazon.com, Inc. (AMZN)	Sale	04/16/2021	No	\$15,001 - \$50,000
4	Amdocs Limited	Sale	04/16/2021	No	\$1,001 - \$15,000
5	American Financial Group, Inc. (AFG)	Sale	04/16/2021	No	\$1,001 - \$15,000
6	Apple, Inc. (AAPL)	Sale	04/16/2021	No	\$15,001 - \$50,000
7	Bank of America Corp. (BAC)	Sale	04/16/2021	No	\$1,001 - \$15,000
8	Bristol-Myers Squibb (BMY)	Sale	04/16/2021	No	\$1,001 - \$15,000
9	Check Point Software Technologies Inc	Sale	04/16/2021	No	\$1,001 - \$15,000
10	Citigroup, Inc. (C)	Sale	04/16/2021	No	\$1,001 - \$15,000
11	Citrix Systems, Inc. (CTXS)	Sale	04/16/2021	No	\$1,001 - \$15,000
12	Clovis Oncology, Inc. (CLVS)	Sale	04/16/2021	No	\$1,001 - \$15,000
13	Comcast Corp. (CMCSA)	Sale	04/16/2021	No	\$1,001 - \$15,000
14	Eli Lilly & Co. (LLY)	Sale	04/16/2021	No	\$1,001 - \$15,000
15	Facebook, Inc. (FB)	Sale	04/16/2021	No	\$15,001 - \$50,000
16	Fidelity National Information Services, Inc. (FIS)	Sale	04/16/2021	No	\$1,001 - \$15,000
17	The Goldman Sachs Group, Inc. (GS)	Sale	04/16/2021	No	\$1,001 - \$15,000
18	Honeywell International, Inc. (HON)	Sale	04/16/2021	No	\$1,001 - \$15,000
19	Intel Corp. (INTC)	Sale	04/16/2021	No	\$1,001 - \$15,000
20	Intercontinental Exchange, Inc. (ICE)	Sale	04/16/2021	No	\$1,001 - \$15,000
21	Johnson & Johnson (JNJ)	Sale	04/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	JPMorgan Chase & Co. (JPM)	Sale	04/16/2021	No	\$1,001 - \$15,000
23	KLA Corp. (KLAC)	Sale	04/16/2021	No	\$1,001 - \$15,000
24	LPL Financial Holdings, Inc. (LPLA)	Sale	04/16/2021	No	\$1,001 - \$15,000
25	Mastercard, Inc. (MA)	Sale	04/16/2021	No	\$1,001 - \$15,000
26	Merck & Co., Inc. (MRK)	Sale	04/16/2021	No	\$1,001 - \$15,000
27	Microsoft Corp. (MSFT)	Sale	04/16/2021	No	\$50,001 - \$100,000
28	Motorola Solutions, Inc. (MSI)	Sale	04/16/2021	No	\$1,001 - \$15,000
29	Nice LTD	Sale	04/16/2021	No	\$1,001 - \$15,000
30	NortonLifeLock Inc	Sale	04/16/2021	No	\$1,001 - \$15,000
31	Nuance Communications, Inc. (NUAN)	Sale	04/16/2021	No	\$1,001 - \$15,000
32	NVIDIA Corp. (NVDA)	Sale	04/16/2021	No	\$1,001 - \$15,000
33	NXP Semiconductors	Sale	04/16/2021	No	\$1,001 - \$15,000
34	Oracle Corp. (ORCL)	Sale	04/16/2021	No	\$1,001 - \$15,000
35	PayPal Holdings, Inc. (PYPL)	Sale	04/16/2021	No	\$1,001 - \$15,000
36	Pfizer Inc. (PFE)	Sale	04/16/2021	No	\$1,001 - \$15,000
37	The PNC Financial Services Group, Inc. (PNC)	Sale	04/16/2021	No	\$1,001 - \$15,000
38	Procter & Gamble Co. (PG)	Sale	04/16/2021	No	\$1,001 - \$15,000
39	QUALCOMM, Inc. (QCOM)	Sale	04/16/2021	No	\$1,001 - \$15,000
40	Regeneron Pharmaceuticals, Inc. (REGN)	Sale	04/16/2021	No	\$1,001 - \$15,000
41	Roche Holding Inc	Sale	04/16/2021	No	\$1,001 - \$15,000
42	S&P Global, Inc. (SPGI)	Sale	04/16/2021	No	\$1,001 - \$15,000

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43	T-Mobile US, Inc. (TMUS)	Sale	04/16/2021	No	\$1,001 - \$15,000
44	Taiwan Semiconductor Mfg Co	Sale	04/16/2021	No	\$1,001 - \$15,000
45	Texas Instruments Incorporated (TXN)	Sale	04/16/2021	No	\$1,001 - \$15,000
46	Verizon Communications, Inc. (VZ)	Sale	04/16/2021	No	\$1,001 - \$15,000
47	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	04/16/2021	No	\$1,001 - \$15,000
48	Visa, Inc. (V)	Sale	04/16/2021	No	\$15,001 - \$50,000
49	VMware, Inc. (VMW)	Sale	04/16/2021	No	\$1,001 - \$15,000
50	Wells Fargo & Co	Sale	04/16/2021	No	\$1,001 - \$15,000
51	Xilinx, Inc. (XLNX)	Sale	04/16/2021	No	\$1,001 - \$15,000
52	Zoetis, Inc. (ZTS)	Sale	04/16/2021	No	\$1,001 - \$15,000
53	Activision Blizzard, Inc. (ATVI)	Sale	04/16/2021	No	\$1,001 - \$15,000
54	Ameren Corp. (AEE)	Sale	04/16/2021	No	\$1,001 - \$15,000
55	American Electric Power Co., Inc. (AEP)	Sale	04/16/2021	No	\$1,001 - \$15,000
56	Anthem, Inc. (ANTM)	Sale	04/16/2021	No	\$1,001 - \$15,000
57	Automatic Data Processing, Inc. (ADP)	Sale	04/16/2021	No	\$1,001 - \$15,000
58	AutoZone, Inc. (AZO)	Sale	04/16/2021	No	\$1,001 - \$15,000
59	Booz Allen Hamilton Holding Corp. (BAH)	Sale	04/16/2021	No	\$1,001 - \$15,000
60	British American Tobacco	Sale	04/16/2021	No	\$1,001 - \$15,000
61	C H Robinson Worldwide Inc	Sale	04/16/2021	No	\$1,001 - \$15,000
62	CDW Corp. (CDW)	Sale	04/16/2021	No	\$1,001 - \$15,000
63	CME Group, Inc. (CME)	Sale	04/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	The Coca-Cola Co. (KO)	Sale	04/16/2021	No	\$1,001 - \$15,000
65	DaVita, Inc. (DVA)	Sale	04/16/2021	No	\$1,001 - \$15,000
66	Deckers Outdoor Corp. (DECK)	Sale	04/16/2021	No	\$1,001 - \$15,000
67	Dollar General Corp. (DG)	Sale	04/16/2021	No	\$1,001 - \$15,000
68	Dominion Energy, Inc. (D)	Sale	04/16/2021	No	\$1,001 - \$15,000
69	Electronic Arts, Inc. (EA)	Sale	04/16/2021	No	\$1,001 - \$15,000
70	Essent Group Ltd. (ESNT)	Sale	04/16/2021	No	\$1,001 - \$15,000
71	Everest Re Group Ltd. (RE)	Sale	04/16/2021	No	\$1,001 - \$15,000
72	Genpact Ltd. (G)	Sale	04/16/2021	No	\$1,001 - \$15,000
73	The Home Depot, Inc. (HD)	Sale	04/16/2021	No	\$1,001 - \$15,000
74	Lockheed Martin Corp. (LMT)	Sale	04/16/2021	No	\$1,001 - \$15,000
75	L3Harris Technologies, Inc. (LHX)	Sale	04/16/2021	No	\$1,001 - \$15,000
76	Masco Corp. (MAS)	Sale	04/16/2021	No	\$1,001 - \$15,000
77	McDonald's Corp. (MCD)	Sale	04/16/2021	No	\$1,001 - \$15,000
78	NextEra Energy, Inc. (NEE)	Sale	04/16/2021	No	\$1,001 - \$15,000
79	O'Reilly Automotive, Inc. (ORLY)	Sale	04/16/2021	No	\$1,001 - \$15,000
80	Paychex, Inc. (PAYX)	Sale	04/16/2021	No	\$1,001 - \$15,000
81	PepsiCo, Inc. (PEP)	Sale	04/16/2021	No	\$1,001 - \$15,000
82	Philip Morris International, Inc. (PM)	Sale	04/16/2021	No	\$1,001 - \$15,000
83	Progressive Corp. (PGR)	Sale	04/16/2021	No	\$1,001 - \$15,000
84	Relx PLC	Sale	04/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
85	RenaissanceRe Holdings Ltd. (RNR)	Sale	04/16/2021	No	\$1,001 - \$15,000
86	Republic Services, Inc. (RSG)	Sale	04/16/2021	No	\$1,001 - \$15,000
87	Sealed Air Corp. (SEE)	Sale	04/16/2021	No	\$1,001 - \$15,000
88	Sun Communities, Inc. (SUI)	Sale	04/16/2021	No	\$1,001 - \$15,000
89	UnitedHealth Group, Inc. (UNH)	Sale	04/16/2021	No	\$1,001 - \$15,000
90	Verisk Analytics, Inc. (VRSK)	Sale	04/16/2021	No	\$1,001 - \$15,000
91	Walmart, Inc. (WMT)	Sale	04/16/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
