

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Kaye, Janice A

DAEO, Office of the U.S. Trade Representative

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kaye, Janice A [electronically signed on 02/18/2022 by Kaye, Janice A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Ricker, Monique T, Certifying Official [electronically signed on 02/22/2022 by Ricker, Monique T in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/10/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	IRA: Accenture plc stock (ACN)	Purchase	01/20/2022	No	\$1,001 - \$15,000
2	S-IRA: Accenture plc stock (ACN)	Purchase	01/20/2022	No	\$1,001 - \$15,000
3	IRA: Adobe Inc stock (ADBE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
4	S-IRA: Adobe Inc stock (ADBE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
5	IRA: American Tower Corp stock (AMT)	Purchase	01/20/2022	No	\$1,001 - \$15,000
6	S-IRA: American Tower Corp stock (AMT)	Purchase	01/20/2022	No	\$1,001 - \$15,000
7	IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	01/20/2022	No	\$1,001 - \$15,000
8	S-IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	01/20/2022	No	\$1,001 - \$15,000
9	IRA: Danaher Corp stock (DHR)	Purchase	01/20/2022	No	\$1,001 - \$15,000
10	S-IRA: Danaher Corp stock (DHR)	Purchase	01/20/2022	No	\$1,001 - \$15,000
11	IRA: Estee Lauder Companies Inc stock (EL)	Purchase	01/20/2022	No	\$1,001 - \$15,000
12	S-IRA: Estee Lauder Companies Inc stock (EL)	Purchase	01/20/2022	No	\$1,001 - \$15,000
13	IRA: Nextera Energy Inc stock (NEE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
14	S-IRA: Nextera Energy Inc stock (NEE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
15	IRA: Nike Inc stock (NKE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
16	S-IRA: Nike Inc stock (NKE)	Purchase	01/20/2022	No	\$1,001 - \$15,000
17	IRA: Paypal Holdings Inc stock (PYPL)	Purchase	01/20/2022	No	\$1,001 - \$15,000
18	S-IRA: Paypal Holdings Inc stock (PYPL)	Purchase	01/20/2022	No	\$1,001 - \$15,000
19	IRA: salesforce.com inc stock (CRM)	Purchase	01/20/2022	No	\$1,001 - \$15,000
20	S-IRA: salesforce.com inc stock (CRM)	Purchase	01/20/2022	No	\$1,001 - \$15,000
21	IRA: Texas Instruments Inc stock (TXN)	Purchase	01/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	S-IRA: Texas Instruments Inc stock (TXN)	Purchase	01/20/2022	No	\$1,001 - \$15,000
23	IRA: Verizon Communications Inc stock (VZ)	Purchase	01/20/2022	No	\$1,001 - \$15,000
24	S-IRA: Verizon Communications Inc stock (VZ)	Purchase	01/20/2022	No	\$1,001 - \$15,000
25	IRA: iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/20/2022	No	\$15,001 - \$50,000
26	S-IRA: iShares iBonds Dec 2026 Term Corporate ETF (IBDR)	Purchase	01/20/2022	No	\$15,001 - \$50,000
27	IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	01/20/2022	No	\$1,001 - \$15,000
28	S-IRA: SPDR Bloomberg Convertible Securities ETF (CWB)	Purchase	01/20/2022	No	\$1,001 - \$15,000
29	IRA: SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	01/20/2022	No	\$1,001 - \$15,000
30	S-IRA: SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)	Purchase	01/20/2022	No	\$1,001 - \$15,000
31	IRA: NVIDIA Corp stock (NVDA)	Purchase	01/20/2022	No	\$1,001 - \$15,000
32	S-IRA: Apple Inc stock (AAPL)	Purchase	01/24/2022	No	\$15,001 - \$50,000
33	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	01/24/2022	No	\$1,001 - \$15,000
34	IRA: Accenture plc stock (ACN)	Purchase	01/27/2022	No	\$1,001 - \$15,000
35	S-IRA: Accenture plc stock (ACN)	Purchase	01/27/2022	No	\$1,001 - \$15,000
36	IRA: Alphabet Inc stock (GOOGL)	Purchase	01/27/2022	No	\$1,001 - \$15,000
37	S-IRA: Alphabet Inc stock (GOOGL)	Purchase	01/27/2022	No	\$1,001 - \$15,000
38	IRA: Amazon.com Inc stock (AMZN)	Purchase	01/27/2022	No	\$1,001 - \$15,000
39	S-IRA: Amazon.com Inc stock (AMZN)	Purchase	01/27/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
40	IRA: American Tower Corp stock (AMT)	Purchase	01/27/2022	No	\$1,001 - \$15,000
41	S-IRA: American Tower Corp stock (AMT)	Purchase	01/27/2022	No	\$1,001 - \$15,000
42	IRA: Apple Inc stock (AAPL)	Purchase	01/27/2022	No	\$15,001 - \$50,000
43	S-IRA: Apple Inc stock (AAPL)	Purchase	01/27/2022	No	\$15,001 - \$50,000
44	IRA: Danaher Corp stock (DHR)	Purchase	01/27/2022	No	\$1,001 - \$15,000
45	S-IRA: Danaher Corp stock (DHR)	Purchase	01/27/2022	No	\$1,001 - \$15,000
46	IRA: Microsoft Corp stock (MSFT)	Purchase	01/27/2022	No	\$1,001 - \$15,000
47	S-IRA: Microsoft Corp stock (MSFT)	Purchase	01/27/2022	No	\$1,001 - \$15,000
48	IRA: Pfizer Inc stock (PFE)	Purchase	01/27/2022	No	\$1,001 - \$15,000
49	S-IRA: Pfizer Inc stock (PFE)	Purchase	01/27/2022	No	\$1,001 - \$15,000
50	IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/27/2022	No	\$15,001 - \$50,000
51	S-IRA: iShares Core S&P Mid-Cap ETF (IJH)	Purchase	01/27/2022	No	\$15,001 - \$50,000
52	IRA: iShares Russell 2000 ETF (IWM)	Purchase	01/27/2022	No	\$15,001 - \$50,000
53	S-IRA: iShares Russell 2000 ETF (IWM)	Purchase	01/27/2022	No	\$15,001 - \$50,000
54	IRA: Tesla Inc stock (TSLA)	Purchase	02/01/2022	No	\$1,001 - \$15,000
55	S-IRA: Tesla Inc stock (TSLA)	Purchase	02/01/2022	No	\$1,001 - \$15,000
56	S-IRA: Netflix Inc stock (NFLX)	Purchase	02/04/2022	No	\$1,001 - \$15,000
57	S-IRA: Broadridge Financial Solutions Inc stock (BR)	Purchase	02/14/2022	No	\$1,001 - \$15,000
58	IRA: Clorox Co stock (CLX)	Purchase	02/15/2022	No	\$1,001 - \$15,000
59	IRA: Honeywell International Inc stock (HON)	Purchase	02/15/2022	No	\$1,001 - \$15,000
60	IRA: Linde PLC stock (LIN)	Purchase	02/15/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	IRA: Microsoft Corp stock (MSFT)	Purchase	02/15/2022	No	\$1,001 - \$15,000
62	IRA: Meta Platforms Inc stock (FB)	Purchase	02/15/2022	No	\$1,001 - \$15,000
63	IRA: salesforce.com inc stock (CRM)	Purchase	02/15/2022	No	\$1,001 - \$15,000
64	IRA: Sony Group Corp stock (SONY)	Purchase	02/15/2022	No	\$1,001 - \$15,000
65	IRA: iShares Russell 2000 ETF (IWM)	Purchase	02/15/2022	No	\$15,001 - \$50,000
66	S-IRA: AbbVie Inc stock (ABBV)	Purchase	02/15/2022	No	\$15,001 - \$50,000
67	S-IRA: Clorox Co stock (CLX)	Purchase	02/15/2022	No	\$1,001 - \$15,000
68	S-IRA: Linde PLC stock (LIN)	Purchase	02/15/2022	No	\$1,001 - \$15,000
69	S-IRA: Meta Platforms Inc stock (FB)	Purchase	02/15/2022	No	\$1,001 - \$15,000
70	S-IRA: salesforce.com inc stock (CRM)	Purchase	02/15/2022	No	\$1,001 - \$15,000
71	S-IRA: Sony Group Corp stock (SONY)	Purchase	02/15/2022	No	\$1,001 - \$15,000
72	S-IRA: iShares Russell 2000 ETF (IWM)	Purchase	02/15/2022	No	\$1,001 - \$15,000
73	S-IRA: Volkswagen Group stock (VLKPF)	Purchase	02/22/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
