

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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BROWN, ELIZABETH

General Counsel, Department of Education

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BROWN, ELIZABETH [electronically signed on 05/05/2023 by BROWN, ELIZABETH in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ GOODRIDGE-KEILLER, MARCELLA, Certifying Official [electronically signed on 05/10/2023 by GOODRIDGE-KEILLER, MARCELLA in Integrity.gov]

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Other review conducted by

/s/ SPENCER, KRISTINA, Ethics Official [electronically signed on 05/09/2023 by SPENCER, KRISTINA in Integrity.gov]

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U.S. Office of Government Ethics Certification

Data Revised 05/09/2023

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First American Government Obligations Fund Class Z Shares (FGZXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	Brown Advisory Sustainable Bond Fund Institutional Class Shares (BAISX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3	Brown Advisory Intermediate Income Fund Investor Class Shares (BIAIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4	Brown Advisory Small-Cap Growth Fund Institutional Class Shares (BAFSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Brown Advisory Flexible Equity Fund Institutional Class Shares (BAFFX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
7	Georgetown University Defined Contribution Retirement Plan - 403(b)	No	\$50,001 - \$100,000		
7.1	Fidelity Freedom Index 2025 Fund Investor Class	Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Georgetown University Voluntary Contribution Retirement Plan - 403(b)	No			
8.1	Fidelity Freedom Index 2025 Fund Investor Class	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	American Constitution Society 403(b) Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	Vanguard Emerging Markets Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	Vanguard Total International Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	Vanguard Treasury Money Market - Investor Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.4	Vanguard US Growth Fund Admiral Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.5	Vanguard Windsor II Fund Admiral Shares	Yes	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Georgetown University	Washington, District of Columbia	I will continue to participate in my defined contribution and my voluntary contribution plans after my separation. The plan sponsor will not make further contributions after my separation.	3/2013
2	American Constitution Society	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions.	6/2003

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First American Government Obligations Fund CI Z	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Brown Advisory Intermediate Income Fund CI Inv	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3	Brown Advisory Sustainable Bond Fund CI Inst	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Brown Advisory Total Return Fund CI Inst	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5	Brown Advisory Flexible Equity Fund CI Inv	Yes	\$100,001 - \$250,000		\$201 - \$1,000
6	Brown Advisory Global Leaders Fund CI Inst	Yes	\$100,001 - \$250,000		\$201 - \$1,000
7	Brown Advisory Small-Cap Growth Fund Institutional Class Shares (BAFSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8	University of Maryland	N/A		salary, bonus	
9	The Ohio State University - 01/25/2022	N/A		Honorarium	\$2,500
10	Johns Hopkins University - 08/30/2022	N/A		Honorarium	\$1,500
11	University of Florida - 07/29/2022	N/A		Honorarium	\$4,000
12	Case Western University - 10/29/2022	N/A		Honorarium	\$1,750
13	Aveo Pharmaceuticals, Inc	N/A		board compensation	\$54,500
14	University of MD 457(b) Plan	No			
14.1	TIAA Traditional	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.2	CREF Stock R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.3	CREF Growth R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.4	CREF Global Equities R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.5	TR Price Large-Cap Gr I	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.6	Hartford Core Equity R6	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.7	Victory RS Global Fund R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.8	TIAA Real Estate	Yes	\$15,001 - \$50,000		None (or less than \$201)
15	University of MD 403(b) SRA Plan	No			
15.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
15.2	CREF Stock R3	Yes	\$100,001 - \$250,000		None (or less than \$201)
15.3	CREF Growth R3	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.4	TR Price Large-Cap Gr I	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.5	Hartford Core Equity R6	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.6	TIAA Real Estate	Yes	\$50,001 - \$100,000		None (or less than \$201)
16	University of MD Optional Retirement Plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.1	TIAA Traditional	N/A	\$250,001 - \$500,000		None (or less than \$201)
16.2	CREF Stock R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.3	CREF Growth R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.4	CREF Equity Index R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.5	TR Price Large-Cap Gr I	Yes	\$50,001 - \$100,000		None (or less than \$201)
16.6	Vang Inst Idx Inst Plus	Yes	\$100,001 - \$250,000		None (or less than \$201)
16.7	Hartford Core Equity R6	Yes	\$15,001 - \$50,000		None (or less than \$201)
16.8	Vanguard Treasury MMkt Inv	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First American Government Obligations Fund CI Z	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	Organon & Co. See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
3	Alphabet, Inc. CI A	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Alphabet, Inc. CI C	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Vontier Corp. See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
6	Ecolab, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Bristol-Myers Squibb Co.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8	Costco Wholesale Corp.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9	Vanguard Emerging Markets Stock Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	Roper Technologies, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11	Fortive Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	IDEXX Laboratories, Inc.	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	Automatic Data Processing, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	Merck & Co, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15	DaVita, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	iShares Core S&P 500 ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17	Charles Schwab Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
18	Danaher Corp.	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
19	Artisan International Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	Intuitive Surgical, Inc.	N/A	\$50,001 - \$100,000		None (or less than \$201)
21	PepsiCo, Inc.	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
22	Cognizant Technology Solutions	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
23	Brown Advisory Mid-Cap Growth Fund CI Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	Salesforce, Inc.	N/A	\$50,001 - \$100,000		None (or less than \$201)
25	Mastercard, Inc.	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26	Brown Advisory Small-Cap Fundamental Value Fund CI Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
27	Apple, Inc.	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
28	Brown Advisory Flexible Equity Fund CI Inv	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	Brown Advisory Tax Exempt Bond Fund CI Inst	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30	Vanguard Dividend Growth Fund CI Inv	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
31	Brown Advisory Global Leaders Fund CI Inst	Yes	\$100,001 - \$250,000		\$201 - \$1,000
32	Brown Advisory Tax-Exempt Sustainable Bond Fund CI Inv	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
33	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	Vanguard GNMA Fund Admiral Shares (VFIJX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
35	Maryland 529 College Investment Plan #1	No			
35.1	Equity Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
36	Maryland 529 College Investment Plan #2	No			
36.1	Equity Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
37	U.S. bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
38	Oil and Gas Lease, California - California Resources Corp (value not ascertainable)	See Endnote		Rent or Royalties	\$5,001 - \$15,000
39	Virginia College Savings Plan (College America 529)	No			
39.1	American Funds Capital World Growth and Income Fund Class 529-A	Yes	\$15,001 - \$50,000		None (or less than \$201)
39.2	AMERICAN FUNDS FUNDAMENTAL INVESTORS Class 529-T (TIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
39.3	American Funds New Perspective Fund Class 529-A	Yes	\$15,001 - \$50,000		None (or less than \$201)
39.4	American Funds Washington Mutual Investors Fund Class 529-A	Yes	\$15,001 - \$50,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Brown Advisory Tax Exempt Bond Fund Investor Class Shares (BIAEX)	Purchase	03/18/2022	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Federated Hermes Municipal Ultrashort Bond Fund (FMUSX)	Purchase	03/18/2022	\$50,001 - \$100,000
3	Brown Advisory Mortgage Securities Fund Institutional Class Shares (BAFZX)	Purchase	03/18/2022	\$100,001 - \$250,000
4	Brown Advisory Tax-Exempt Sustainable Bond Fund Investor Class Shares (BITEX)	Sale	03/18/2022	\$500,001 - \$1,000,000
5	Brown Advisory Tax-Exempt Sustainable Bond Fund Investor Class Shares (BITEX)	Purchase	05/18/2022	\$250,001 - \$500,000
6	Brown Advisory Tax Exempt Bond Fund Institutional Class Shares (BTEIX)	Sale	05/18/2022	\$250,001 - \$500,000
7	Vanguard GNMA Fund Admiral Shares (VFIJX)	Purchase	08/23/2022	\$100,001 - \$250,000
8	Brown Advisory Mortgage Securities Fund Institutional Class Shares (BAFZX)	Sale	08/23/2022	\$100,001 - \$250,000
9	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Purchase	08/23/2022	\$100,001 - \$250,000
10	Brown Advisory Global Leaders Fund Institutional Shares (BAFLX)	Sale	08/23/2022	\$50,001 - \$100,000
11	Brown Advisory Flexible Equity Fund Institutional Class Shares (BAFFX)	Sale	08/23/2022	\$50,001 - \$100,000
12	Federated Municipal Ultrashort Fund Institutional Class (FMUSX)	Sale	09/15/2022	\$100,001 - \$250,000
13	Citrix Systems, Inc. (CTXS)	Sale	10/03/2022	\$15,001 - \$50,000
14	Brown Advisory Small-Cap Growth Fund Institutional Class Shares (BAFSX)	Purchase	11/22/2022	\$50,001 - \$100,000
15	Brown Advisory Global Leaders Fund Institutional Shares (BAFLX)	Sale	11/22/2022	\$50,001 - \$100,000
16	Brown Advisory Small-Cap Growth Fund Institutional Class Shares (BAFSX)	Purchase	11/22/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	Brown Advisory Flexible Equity Fund Investor Class Shares (BIAFX)	Sale	11/22/2022	\$15,001 - \$50,000
18	Brown Advisory Global Leaders Fund Institutional Shares (BAFLX)	Sale	11/22/2022	\$15,001 - \$50,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Mr. Cooper #1	Mortgage on Personal Residence	\$15,001 - \$50,000	2013	2.875%	10 years
2	Mr. Cooper #2	Mortgage on Personal Residence	\$15,001 - \$50,000	2013	2.75%	10 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
6.	2	under reporting threshold
6.	5	under reporting threshold

PART	#	ENDNOTE
6.	38	This is a gifted asset. I have checked all available paperwork to determine whether oil, gas, or both are the subject of the lease, the location of the property, and the value of the asset. California Resources Corp is in Chapter 11 bankruptcy. I have contacted the company and the U.S. Bankruptcy Trustee to obtain the information, but they have not responded to my inquiries.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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