

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Herrstadt, Owen

Member, Board of Directors, Export-Import Bank

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Herrstadt, Owen [electronically signed on 05/13/2023 by Herrstadt, Owen in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Terry, Lisa, Certifying Official [electronically signed on 07/05/2023 by Terry, Lisa in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/02/2023 by Granahan, Megan in Integrity.gov]

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Data Revised 07/25/2023

Data Revised 06/28/2023

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Revocable Living Trust	Washington, District of Columbia	Trust	Trustee	8/2006	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	International Association of Machinists and Aerospace Workers, defined benefit plan (value not readily ascertainable): eligible for \$108,000 annually upon retirement	N/A			None (or less than \$201)
2	IAM 401K	No			
2.1	T Rowe Price Target 2050 Fund (TRFOX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2.2	Total Stock Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	IRA #1 Morgan Stanley	No			
3.1	ARK Innovation ETF (ARKK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	American New Perspective Fund Class A Shares (ANWPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	American New World Fund, Inc Class A Shares (NEWFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	International Association of Machinists and Aerospace Workers	Upper Marlboro, Maryland	I will continue to participate in this 401K plan. The plan sponsor will not make contributions after my separation.	10/2007
2	International Association of Machinists and Aerospace Workers Grand Lodge Pension Plan	Upper Marlboro, Maryland	I will continue to participate in this defined benefit plan.	5/1987
3	International Association of Machinists National Benefit Trust Plan	Upper Marlboro, Maryland	Pursuant to company policy for retired employees, I, my spouse, and children (until age 26) will retain health insurance coverage for life.	5/1987

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Squire, Patton (Law Firm)	N/A		salary	
2	Squire Patton Boggs 401K	No			
2.1	Fidelity Puritan Fund Class K Shares (FPUKX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2.2	T Rowe Price Retirement 2030 Fund (TRRCX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.3	T Rowe Price Blue Chip Growth Fund, Inc Class I Shares (TBCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Franklin Small Cap Growth Fund Class R6 Shares (FSMLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Automatic Data Processing, Inc. (ADP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
2	Berkshire Hathaway Inc. (BRKB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
3	Clearway Energy, Inc. A (CWEN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
4	Clearway Energy, Inc. C (CWEN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
5	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
6	International Business Machines Corp. (IBM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
7	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
8	Procter & Gamble Co. (PG)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
9	The Travelers Cos., Inc. (TRV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
10	ARK Innovation ETF (ARKK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	iShares MSCI USA ESG Select ETF (SUSA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	iShares Russell Mid-Cap ETF (IWR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14	SPDR S&P Dividend ETF (SDY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
15	Vanguard Extended Market Index Fund ETF Shares (VXF)	Yes	\$50,001 - \$100,000		\$50,001 - \$100,000
16	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
17	BlackRock Technology Opportunities Fund Investor A Shares (BGSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	U.S. Brokerage Account #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
19	U.S. Brokerage Account #2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
20	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
21	General Electric Co. (GE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
22	International Business Machines Corp. (IBM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
23	SAP SE (SAP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
24	ARK Genomic Revolution ETF (ARKG)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
25	ARK Innovation ETF (ARKK)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	iShares Russell 1000 ETF (IWB)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
27	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28	Treasury Note Due : 01/31/2024	N/A	\$50,001 - \$100,000		\$201 - \$1,000
29	Treasury Note Due : 10/31/2024	N/A	\$100,001 - \$250,000		\$1,001 - \$2,500
30	Capital Income Builder Class A Shares (CAIBX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
31	Growth Fund of America Class A Shares (AGTHX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
32	Lincoln Moneyguard II, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)
33	U.S. Bank #1 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	U.S. Bank #2 (Cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
35	Domestic Brokerage Bank Deposit Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	Intel Corp. (INTC)	N/A	None (or less than \$1,001)		\$201 - \$1,000
37	Maryland Savings 529 #1	No			
37.1	T. Rowe Price Portfolio 2027	Yes	\$50,001 - \$100,000		None (or less than \$201)
38	Maryland Savings 529 #2	No			
38.1	T. Rowe Price Portfolio 2027	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Mass. Mutual, Whole Life	N/A	\$15,001 - \$50,000		None (or less than \$201)
40	Mass. Mutual, Whole Life	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	iShares Core S&P 500 ETF (IVV)	Yes	\$250,001 - \$500,000		None (or less than \$201)
42	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$100,001 - \$250,000		None (or less than \$201)
43	Treasury Note Due 12/31/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
44	Treasury Due 6/15/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)
45	Treasury Note Due 10/31/2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
46	Treasury Note Due 10/31/2024	N/A	\$100,001 - \$250,000		None (or less than \$201)
47	Treasury Due 10/5/2023	N/A	\$100,001 - \$250,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Apple	Sale	10/06/2022	\$15,001 - \$50,000
2	Amgen	Sale	10/06/2022	\$1,001 - \$15,000
3	APTIV PLC	Sale	10/06/2022	\$15,001 - \$50,000
4	Sonos	Sale	10/06/2022	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Proctor & Gamble	Sale	10/14/2022	\$1,001 - \$15,000
6	Microsoft Corp	Sale	10/14/2022	\$15,001 - \$50,000
7	IBM	Sale	10/14/2022	\$1,001 - \$15,000
8	Home Depot Inc	Sale	10/14/2022	\$15,001 - \$50,000
9	Automatic Data Process	Sale	10/14/2022	\$15,001 - \$50,000
10	Clearway Energy Inc CL A	Sale	10/14/2022	\$1,001 - \$15,000
11	Clearway Energy Inc CL C	Sale	10/14/2022	\$1,001 - \$15,000
12	Ark Genomic Rev	Sale	10/14/2022	\$15,001 - \$50,000
13	Berkshire Hathaway	Sale	10/14/2022	\$50,001 - \$100,000
14	Travelers Companies	Sale	10/14/2022	\$15,001 - \$50,000
15	IBM	Sale	10/14/2022	\$1,001 - \$15,000
16	Apple	Sale	10/14/2022	\$15,001 - \$50,000
17	General Electric	Sale	10/14/2022	\$1,001 - \$15,000
18	Black Rock Science	Sale	10/14/2022	\$15,001 - \$50,000
19	Ark Genomic Rev	Sale	10/14/2022	\$15,001 - \$50,000
20	Ishares Global Clean Energy	Sale	10/14/2022	\$15,001 - \$50,000
21	TJX Cos Inc	Sale	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	Pepsico	Sale	10/06/2022	\$1,001 - \$15,000
23	Nestles	Sale	10/06/2022	\$1,001 - \$15,000
24	Walt Disney	Sale	10/06/2022	\$1,001 - \$15,000
25	Sea Limited	Sale	10/06/2022	\$1,001 - \$15,000
26	Shopify	Sale	10/06/2022	\$1,001 - \$15,000
27	Broadcom	Sale	10/06/2022	\$1,001 - \$15,000
28	Knightswift Trans	Sale	10/06/2022	\$1,001 - \$15,000
29	Houlihan Lokey	Sale	10/06/2022	\$1,001 - \$15,000
30	Alaphabet Inc. CI A	Sale	10/06/2022	\$1,001 - \$15,000
31	Alonquin Power	Sale	10/06/2022	\$1,001 - \$15,000
32	Veeva Sys Inc CI A	Sale	10/06/2022	\$1,001 - \$15,000
33	Lyondellbasell NV CL-A	Sale	10/06/2022	\$1,001 - \$15,000
34	American Tower Corp	Sale	10/06/2022	\$1,001 - \$15,000
35	CDN Pacific RY Ltd	Sale	10/06/2022	\$1,001 - \$15,000
36	JP Morgan Chase	Sale	10/06/2022	\$1,001 - \$15,000
37	Taiwan Smcndctr	Sale	10/06/2022	\$1,001 - \$15,000
38	Salesforce	Sale	10/06/2022	\$1,001 - \$15,000
39	Palo Alto Networks	Sale	10/06/2022	\$1,001 - \$15,000
40	Novartis	Sale	10/06/2022	\$1,001 - \$15,000
41	Amazon	Sale	10/06/2022	\$1,001 - \$15,000
42	Sempra	Sale	10/06/2022	\$1,001 - \$15,000
43	Lockheed Martin	Sale	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	Gilead Science	Sale	10/06/2022	\$1,001 - \$15,000
45	UnitedHealth Gp	Sale	10/06/2022	\$1,001 - \$15,000
46	Bank of America	Sale	10/06/2022	\$1,001 - \$15,000
47	Ark Genomic Rev	Sale	10/04/2022	\$1,001 - \$15,000
48	Ishares Semiconduct	Sale	10/14/2022	\$1,001 - \$15,000
49	Ishares Semiconduct	Sale	10/14/2022	\$1,001 - \$15,000
50	Meta Platforms	Sale	10/06/2022	\$1,001 - \$15,000
51	iShares Core S&P 500 ETF (IVV)	Purchase		\$250,001 - \$500,000
52	Invesco QQQ Trust, Series 1 (QQQ)	Purchase		\$250,001 - \$500,000
53	ARK Genomic Revolution ETF (ARKG)	Sale	10/14/2022	\$100,001 - \$250,000
54	BlackRock Health Sciences Trust II (BMEZ)	Sale	05/12/2022	\$50,001 - \$100,000
55	iShares Global Clean Energy ETF (ICLN)	Sale	10/14/2022	\$15,001 - \$50,000
56	Treasury Due 6/15/2023	Purchase	06/14/2022	\$100,001 - \$250,000
57	Treasury Note 10/31/2024	Purchase	10/25/2022	\$50,001 - \$100,000
58	Treasury Note Due 10/31/2024	Purchase	10/25/2022	\$100,001 - \$250,000
59	Treasury Due 10/5/2023	Purchase	10/21/2022	\$100,001 - \$250,000

8. Liabilities

None

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9. Gifts and Travel Reimbursements

None

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Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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