

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fickenscher, Karl

Deputy Assistant Administrator (DDI), U.S. Agency for International Development

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fickenscher, Karl [electronically signed on 05/07/2022 by Fickenscher, Karl in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mason-Gale, Treyer A, Certifying Official [electronically signed on 07/27/2022 by Mason-Gale, Treyer A in Integrity.gov]

Other review conducted by

/s/ Zagorski, Matthew, Ethics Official [electronically signed on 06/13/2022 by Zagorski, Matthew in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/13/2022

Data Revised 05/27/2022

Data Revised 05/24/2022

Data Revised 05/20/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	Clearbridge Small Cap Growth, I (SBPYX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3	Invesco Global Real Estate Income, (ASRYX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	Merck & Co (MRK)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
5	American Funds Europacific Growth, A (AEPGX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	American Funds New Perspective, A (ANWPX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
7	American Funds Investment Co, of America, A (AIVSX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8	Blackrock Global Inflation Protected Bond Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
9	Eaton Vance Floating Rate Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	Franklin Templeton VIP Global Real Estate Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	MFS International Diversification I (MDIJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Columbia Dividend Opportunity , Inst (CODZX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
13	SPDR S&P 500 Index (SPY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
14	Wells Fargo Special Mid Cap Value, Instl (WFPIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
15	Clearbridge Mid Cap I, (SMBYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	Invesco FTSE RAFI 1000 ETF (PRF)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
17	TCW Core Fixed Income, I (TGCFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	Fidelity Advisor International Small Cap, A (FIXIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19	Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
20	Pimco VIT All Asset Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
21	Pimco Commodity Real Return Strategy, I (PCRPX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
22	PIMCO Short-Term Fund Institutional Class Shares (PTSPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	Nuveen High Yield Municipal Bond, I (NHMRX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
24	Fidelity Strategic Income Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
25	Blackstone Alternative Multi-Strategy Fund Class I Shares (BXMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
26	Multi Manager Total Return Bond, Z (CTRZX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Multi Manager Value Strategies, Z (CZMVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
28	Multi Manager Alternative Strategies, Z (CZAMX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
29	Multi Manager Growth Strategies, Z (CZMGX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
30	Multi Manager Small Cap Equity Strategies, Z (CZMSX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
31	Delaware US Growth, Instl (DEUIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
32	Multi Manager International Equity Strategies (CMIEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
33	Multi Manager Directional Alternative Strategies, Z (CDAZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
34	MFS Value, I (MEIIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35	MFS International Value, I (MINIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
36	Wells Fargo Emerging Markets Equity, Instl (EMGNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
37	Nuveen Virginia Municipal Bond, I (NMVAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38	MFS Mid Cap Growth, I (OTCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	WP Carey, Inc. REIT (WPC)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
40	Calvert US Large-Cap Core Fund (CISIX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	JPMorgan Emerging Markets Research Enhanced Equity Fund Class R6 Shares (JEMSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
42	Columbia Adaptive Risk Allocation Fund Institutional Class Shares (CRAZX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
43	JH Enrollment A (JEHAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
44	Columbia Intermediate Bond Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
45	Invesco Oppenheimer Main Street Small Cap Fund Class A Shares (OSCAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
46	Invesco Balanced-Risk Allocation Fund Class Y Shares (ABRYX)	N/A	\$100,001 - \$250,000		None (or less than \$201)
47	JPMorgan Mid Cap Equity Fund Class I Shares (VSNGX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
48	Organon & Co (OGN)	N/A	None (or less than \$1,001)		None (or less than \$201)
49	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
50	John Hancock Emerging Markets Equity (JEMMX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
51	iShares Core MSCIEmerging Markets ETF (IEMG)	Yes	None (or less than \$1,001)		None (or less than \$201)
52	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
53	MFS Growth Fund Class I Shares (MFEIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Blackrock Strategic Income Opportunities, Instl (BSIIX)	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
2	Blackstone Alternative Multi Strategy, D (BXMIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	Multi Manager Value Strategies, Z (CZMVX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
4	Multi Manager Total Return Bond, Z (CTRZX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5	Multi Manager Small Cap Equity Strategies, Z (CZMSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Multi Manager Growth Strategies, Z (CZMGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Multi Manager International Equity Strategies, Instl (CMIEX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
8	Delaware US Growth, Instl (DEUIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
9	MFC Mid Cap Growth, I (OTCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Wells Fargo Emerging Markets Equity, Instl (EMGNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Multi-Manager Directional Alternatives Strategies Fund Institutional CI Shs (CDAZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13	Multiple Manager Alternate Strategies, Z (CZAMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	John Hancock Emerging Markets Equity (JEMMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	JPMorgan Mid-Cap Equity (VSNGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	MFS Growth Fund Class I Shares (MFEIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	MFS Value Fund Class I Shares (MEIIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	MFS International Intrinsic Value Fund Class I Shares (MINIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bank of America (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	Lafayette Federal Credit Union (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	BB&T (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
4	Riversource Variable Annuity RAVA 5	No			
4.1	Portfolio Navigator Moderately Aggressive Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	John Hancock 529 Enrollment Portfolio Class A (JEHAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Fidelity 529 College Portfolio Class A (FACAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
7	Ameriprise (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	SPS UTMA Account	No			
8.1	cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.2	Columbia Dividend Opportunity Fund Institutional Class Shares (CDOZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Columbia Adaptive Risk Allocation Fund Institutional Class Shares (CRAZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	ClearBridge Mid Cap Fund Class I Shares (SMBYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.5	MFS International Diversification Fund Class I Shares (MDIJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.6	PIMCO Short-Term Fund Class I-2 Shares (PTSPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	TCW Core Fixed Income Fund Class I Shares (TGCFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Purchase	07/16/2021	\$1,001 - \$15,000
2	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Purchase	07/16/2021	\$1,001 - \$15,000
3	ClearBridge Small Cap Growth Fund Class I Shares (SBPYX)	Sale	07/19/2021	\$15,001 - \$50,000
4	Fidelity Advisor International Small Cap Fund Class I Shares (FIXIX)	Sale	07/19/2021	\$15,001 - \$50,000
5	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Purchase	11/29/2021	\$1,001 - \$15,000
6	BlackRock Equity Dividend Fund Institutional Shares (MADVX)	Purchase	11/29/2021	\$1,001 - \$15,000
7	Fidelity Advisor International Small Cap Fund Class I Shares (FIXIX)	Purchase	12/06/2021	\$1,001 - \$15,000
8	ClearBridge Small Cap Growth Fund Class I Shares (SBPYX)	Purchase	12/07/2021	\$1,001 - \$15,000
9	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Purchase	12/10/2021	\$1,001 - \$15,000
10	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Purchase	12/10/2021	\$1,001 - \$15,000
11	JPMorgan Emerging Markets Equity Fund Class I Shares (JEMSX)	Purchase	12/14/2021	\$1,001 - \$15,000
12	EuroPacific Growth Fund Class A Shares (AEPGX)	Purchase	06/15/2021	\$1,001 - \$15,000
13	Investment Company of America Class A Shares (AIVSX)	Purchase	06/17/2021	\$1,001 - \$15,000
14	Investment Company of America Class A Shares (AIVSX)	Sale	07/19/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	EuroPacific Growth Fund Class A Shares (AEPGX)	Sale	12/17/2021	\$1,001 - \$15,000
16	Investment Company of America Class A Shares (AIVSX)	Purchase	12/17/2021	\$1,001 - \$15,000
17	EuroPacific Growth Fund Class A Shares (AEPGX)	Purchase	12/17/2021	\$1,001 - \$15,000
18	EuroPacific Growth Fund Class A Shares (AEPGX)	Purchase	12/17/2021	\$1,001 - \$15,000
19	American SMALLCAP World Fund, Inc Class A Shares (SMCWX)	Purchase	12/17/2021	\$1,001 - \$15,000
20	New Perspective Fund Class A Shares (ANWPX)	Purchase	12/17/2021	\$1,001 - \$15,000
21	RiverSource Life, Fixed Annuity	Sale	01/21/2021	\$1,001 - \$15,000
22	COL VP Intmd Bond CL3	Purchase	01/21/2021	\$1,001 - \$15,000
23	RiverSource Life, Fixed Annuity	Sale	02/19/2021	\$1,001 - \$15,000
24	COL VP Intmd Bond CL3	Purchase	02/19/2021	\$1,001 - \$15,000
25	RiverSource Life, Fixed Annuity	Sale	03/19/2021	\$1,001 - \$15,000
26	COL VP Intmd Bond CL3	Purchase	03/19/2021	\$1,001 - \$15,000
27	RiverSource Life, Fixed Annuity	Sale	04/21/2021	\$1,001 - \$15,000
28	COL VP Intmd Bond CL3	Purchase	04/21/2021	\$1,001 - \$15,000
29	RiverSource Life, Fixed Annuity	Sale	05/21/2021	\$1,001 - \$15,000
30	COL VP Intmd Bond CL3	Purchase	05/21/2021	\$1,001 - \$15,000
31	RiverSource Life, Fixed Annuity	Sale	06/21/2021	\$1,001 - \$15,000
32	COL VP Intmd Bond CL3	Purchase	06/21/2021	\$1,001 - \$15,000
33	Wanger International	Sale	07/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
34	EV VT FltRt Inc Init	Purchase	07/19/2021	\$1,001 - \$15,000
35	RiverSource Life, Fixed Annuity	Sale	07/21/2021	\$1,001 - \$15,000
36	COL VP Intmd Bond CL3	Purchase	07/21/2021	\$1,001 - \$15,000
37	RiverSource Life, Fixed Annuity	Purchase	08/20/2021	\$1,001 - \$15,000
38	COL VP Intmd Bond CL3	Purchase	08/20/2021	\$1,001 - \$15,000
39	RiverSource Life, Fixed Annuity	Sale	09/21/2021	\$1,001 - \$15,000
40	COL VP Intmd Bond CL3	Purchase	09/21/2021	\$1,001 - \$15,000
41	RiverSource Life, Fixed Annuity	Sale	10/21/2021	\$1,001 - \$15,000
42	COL VP Intmd Bond CL3	Purchase	10/21/2021	\$1,001 - \$15,000
43	RiverSource Life, Fixed Annuity	Sale	11/19/2021	\$1,001 - \$15,000
44	COL VP Intmd Bond CL3	Purchase	11/19/2021	\$1,001 - \$15,000
45	RiverSource Life, Fixed Annuity	Sale	12/21/2021	\$1,001 - \$15,000
46	COL VP Intmd Bond CL3	Purchase	12/21/2021	\$1,001 - \$15,000
47	Columbia Dividend Opportunity Fund Institutional Class Shares (CDOZX)	Sale	04/29/2021	\$1,001 - \$15,000
48	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	04/29/2021	\$1,001 - \$15,000
49	PIMCO Short-Term Fund Class I-2 Shares (PTSPX)	Sale	04/29/2021	\$1,001 - \$15,000
50	TCW Core Fixed Income Fund Class I Shares (TGCFX)	Sale	07/19/2021	\$1,001 - \$15,000
51	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	07/19/2021	\$1,001 - \$15,000
52	Columbia Dividend Opportunity Fund Institutional Class Shares (CDOZX)	Sale	07/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Fidelity Advisor 529 FACAX	Sale	01/20/2021	\$1,001 - \$15,000
54	Fidelity Advisor 529 FACAX	Sale	02/23/2021	\$1,001 - \$15,000
55	Fidelity Advisor 529 FACAX	Sale	03/23/2021	\$1,001 - \$15,000
56	Fidelity Advisor 529 FACAX	Sale	04/21/2021	\$1,001 - \$15,000
57	Fidelity Advisor 529 FACAX	Sale	07/21/2021	\$1,001 - \$15,000
58	Fidelity Advisor 529 FACAX	Sale	08/20/2021	\$1,001 - \$15,000
59	Fidelity Advisor 529 FACAX	Sale	09/21/2021	\$1,001 - \$15,000
60	Fidelity Advisor 529 FACAX	Sale	09/21/2022	\$1,001 - \$15,000
61	Fidelity Advisor 529 FACAX	Sale	10/21/2021	\$1,001 - \$15,000
62	Fidelity Advisor 529 FACAX	Sale	11/03/2021	\$1,001 - \$15,000
63	Fidelity Advisor 529 FACAX	Sale	11/23/2021	\$1,001 - \$15,000
64	Fidelity Advisor 529 FACAX	Sale	12/17/2021	\$1,001 - \$15,000
65	Fidelity Advisor 529 FACAX	Sale	12/17/2021	\$1,001 - \$15,000
66	Fidelity Advisor 529 FACAX	Sale	12/21/2021	\$1,001 - \$15,000
67	Fidelity Advisor 529 FACAX	Sale	01/20/2021	\$1,001 - \$15,000
68	Fidelity Advisor 529 FACAX	Sale	02/23/2021	\$1,001 - \$15,000
69	Fidelity Advisor 529 FACAX	Sale	03/23/2021	\$1,001 - \$15,000
70	Fidelity Advisor 529 FACAX	Sale	04/21/2021	\$1,001 - \$15,000
71	Fidelity Advisor 529 FACAX	Sale	07/20/2021	\$1,001 - \$15,000
72	Fidelity Advisor 529 FACAX	Sale	07/20/2021	\$1,001 - \$15,000
73	Fidelity Advisor 529 FACAX	Sale	08/20/2021	\$1,001 - \$15,000
74	Fidelity Advisor 529 FACAX	Sale	09/21/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
75	Fidelity Advisor 529 FACAX	Sale	10/21/2021	\$1,001 - \$15,000
76	Fidelity Advisor 529 FACAX	Sale	11/23/2021	\$1,001 - \$15,000
77	Fidelity Advisor 529 FACAX	Purchase	12/21/2021	\$1,001 - \$15,000
78	529 John Hancock Enrollment A JEHAX	Sale	11/03/2022	\$15,001 - \$50,000
79	MFS Mid Cap Growth Fund Class I Shares (OTCIX)	Sale	02/11/2021	\$1,001 - \$15,000
80	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Sale	02/11/2021	\$1,001 - \$15,000
81	Wells Fargo Emerging Markets Equity Fund Institutional Class Shares (EMGNX)	Sale	02/11/2021	\$1,001 - \$15,000
82	MFS Value Fund Class I Shares (MEIIX)	Sale	02/11/2021	\$1,001 - \$15,000
83	Columbia Multi-Manager Small Cap Equity Strategies Fund Institutional CI Shs (CZMSX)	Sale	02/11/2021	\$1,001 - \$15,000
84	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Purchase	02/22/2021	\$1,001 - \$15,000
85	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Purchase	02/11/2021	\$1,001 - \$15,000
86	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/11/2021	\$1,001 - \$15,000
87	JPMorgan Mid Cap Equity Fund Class I Shares (VSNGX)	Purchase	02/11/2021	\$1,001 - \$15,000
88	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	05/13/2021	\$1,001 - \$15,000
89	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Purchase	06/17/2021	\$1,001 - \$15,000
90	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	08/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
91	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Sale	08/11/2021	\$1,001 - \$15,000
92	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	08/11/2021	\$1,001 - \$15,000
93	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Purchase	08/11/2021	\$1,001 - \$15,000
94	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Purchase	08/11/2021	\$1,001 - \$15,000
95	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Sale	11/15/2021	\$1,001 - \$15,000
96	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	11/15/2021	\$1,001 - \$15,000
97	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	11/15/2022	\$1,001 - \$15,000
98	Wells Fargo Emerging Markets Equity Fund Institutional Class Shares (EMGNX)	Sale	11/15/2021	\$1,001 - \$15,000
99	Columbia Multi-Manager International Equity Strategies Fund Instl CI Shs (CMIEX)	Purchase	11/15/2021	\$1,001 - \$15,000
100	John Hancock Emerging Markets Equity Fund Class I Shares (JEMMX)	Purchase	11/15/2021	\$1,001 - \$15,000
101	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Purchase	12/09/2021	\$1,001 - \$15,000
102	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Purchase	12/09/2021	\$1,001 - \$15,000
103	MFS Growth Fund Class I Shares (MFEIX)	Purchase	11/15/2021	\$1,001 - \$15,000
104	MFS Mid Cap Growth Fund Class I Shares (OTCIX)	Sale	02/11/2021	\$1,001 - \$15,000
105	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Sale	02/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
106	Wells FargoEmerging Markets Equity Fund Institutional Class Shares (EMGNX)	Sale	02/11/2021	\$1,001 - \$15,000
107	MFS Value Fund Class I Shares (MEIIX)	Sale	02/11/2021	\$1,001 - \$15,000
108	Columbia Multi-Manager Small Cap Equity Strategies Fund Institutional CI Shs (CZMSX)	Sale	02/11/2021	\$1,001 - \$15,000
109	Columbia Multi-Manager International Equity Strategies Fund Instl CI Shs (CMIEX)	Sale	02/11/2021	\$1,001 - \$15,000
110	Columbia Multi-Manager Alternative Strategies Fund Institutional Class Shares (CZAMX)	Purchase	02/11/2021	\$1,001 - \$15,000
111	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Purchase	02/11/2021	\$1,001 - \$15,000
112	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Purchase	02/11/2021	\$1,001 - \$15,000
113	iShares Core MSCIEmerging Markets ETF (IEMG)	Purchase	02/11/2021	\$1,001 - \$15,000
114	JPMorgan Mid Cap Equity Fund Class I Shares (VSNGX)	Purchase	02/11/2021	\$1,001 - \$15,000
115	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	05/13/2021	\$1,001 - \$15,000
116	Multi-Manager Directional Alternatives Strategies Fund Institutional CI Shs (CDAZX)	Sale	05/13/2021	\$1,001 - \$15,000
117	MFS Value Fund Class I Shares (MEIIX)	Sale	05/13/2021	\$1,001 - \$15,000
118	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Purchase	05/13/2021	\$1,001 - \$15,000
119	Wells FargoEmerging Markets Equity Fund Institutional Class Shares (EMGNX)	Purchase	05/13/2021	\$1,001 - \$15,000
120	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Purchase	05/13/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
121	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Purchase	05/13/2021	\$1,001 - \$15,000
122	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Purchase	06/17/2021	\$1,001 - \$15,000
123	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	08/11/2021	\$1,001 - \$15,000
124	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Sale	08/11/2021	\$1,001 - \$15,000
125	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	08/11/2021	\$1,001 - \$15,000
126	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Sale	08/11/2021	\$1,001 - \$15,000
127	Columbia Multi-Manager Alternative Strategies Fund Institutional Class Shares (CZAMX)	Purchase	08/11/2021	\$1,001 - \$15,000
128	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Purchase	08/11/2021	\$1,001 - \$15,000
129	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Purchase	08/11/2021	\$1,001 - \$15,000
130	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	10/14/2021	\$1,001 - \$15,000
131	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Sale	10/14/2021	\$1,001 - \$15,000
132	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	10/14/2021	\$1,001 - \$15,000
133	Columbia Multi-Manager Alternative Strategies Fund Institutional Class Shares (CZAMX)	Sale	10/14/2021	\$1,001 - \$15,000
134	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Sale	11/15/2021	\$1,001 - \$15,000
135	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	11/15/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
136	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	11/15/2021	\$1,001 - \$15,000
137	Wells Fargo Emerging Markets Equity Fund Institutional Class Shares (EMGNX)	Sale	11/15/2021	\$1,001 - \$15,000
138	Columbia Multi-Manager Small Cap Equity Strategies Fund Institutional CI Shs (CZMSX)	Sale	11/15/2021	\$1,001 - \$15,000
139	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Purchase	11/15/2021	\$1,001 - \$15,000
140	Columbia Multi-Manager International Equity Strategies Fund Instl CI Shs (CMIEX)	Purchase	11/15/2021	\$1,001 - \$15,000
141	John Hancock Emerging Markets Equity Fund Class R4 Shares (JEMNX)	Purchase	11/15/2021	\$1,001 - \$15,000
142	MFS Growth Fund Class I Shares (MFEIX)	Purchase	11/15/2021	\$1,001 - \$15,000
143	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Purchase	12/09/2021	\$1,001 - \$15,000
144	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Purchase	12/09/2021	\$1,001 - \$15,000
145	Columbia Multi-Manager Small Cap Equity Strategies Fund Institutional CI Shs (CZMSX)	Purchase	12/10/2021	\$1,001 - \$15,000
146	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Purchase	12/17/2021	\$1,001 - \$15,000
147	Multi-Manager Directional Alternatives Strategies Fund Institutional CI Shs (CDAZX)	Purchase	12/20/2021	\$1,001 - \$15,000
148	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	08/11/2021	\$1,001 - \$15,000
149	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Sale	11/16/2021	\$1,001 - \$15,000
150	MFS Growth Fund Class I Shares (MFEIX)	Purchase	11/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
151	MFS Mid Cap Growth Fund Class I Shares (OTCIX)	Sale	02/11/2021	\$1,001 - \$15,000
152	Wells Fargo Special Mid Cap Value Fund Institutional Class Shares (WFMIX)	Sale	02/11/2021	\$1,001 - \$15,000
153	Wells Fargo Emerging Markets Equity Fund Institutional Class Shares (EMGNX)	Sale	02/11/2021	\$1,001 - \$15,000
154	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Purchase	02/11/2021	\$1,001 - \$15,000
155	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Purchase	02/11/2021	\$1,001 - \$15,000
156	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	02/11/2021	\$1,001 - \$15,000
157	JPMorgan Mid Cap Equity Fund Class I Shares (VSNGX)	Purchase	02/11/2021	\$1,001 - \$15,000
158	Columbia Multi-Manager Value Strategies Fund Institutional Class Shares (CZMVX)	Sale	05/13/2021	\$1,001 - \$15,000
159	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	08/11/2021	\$1,001 - \$15,000
160	Columbia Multi-Manager Total Return Bond Strategies Fund Institutional CI Shs (CTRZX)	Sale	08/11/2021	\$1,001 - \$15,000
161	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)	Purchase	08/11/2021	\$1,001 - \$15,000
162	Invesco Balanced-Risk Commodity Strategy Fund Class Y Shares (BRCYX)	Purchase	08/11/2021	\$1,001 - \$15,000
163	Jackson Square Large-Cap Growth Fund Institutional Class Shares (JSPIX)	Sale	11/15/2021	\$1,001 - \$15,000
164	iShares Core MSCI Emerging Markets ETF (IEMG)	Sale	11/15/2021	\$1,001 - \$15,000
165	Columbia Multi-Manager Growth Strategies Fund Institutional Class Shares (CZMGX)	Sale	11/15/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	Columbia Multi-Manager International Equity Strategies Fund Instl CI Shs (CMIEX)	Purchase	11/15/2021	\$1,001 - \$15,000
167	John Hancock Emerging Markets Equity Fund Class I Shares (JEMMX)	Purchase	11/15/2021	\$1,001 - \$15,000
168	MFS Growth Fund Class I Shares (MFEIX)	Purchase	11/15/2021	\$1,001 - \$15,000
169	Columbia Dividend Opportunity Fund Institutional Class Shares (CDOZX)	Sale	04/29/2021	\$1,001 - \$15,000
170	PIMCO Short-Term Fund Class I-2 Shares (PTSPX)	Sale	04/29/2021	\$1,001 - \$15,000
171	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	04/29/2021	\$1,001 - \$15,000
172	TCW Core Fixed Income Fund Class I Shares (TGCFX)	Sale	07/19/2021	\$1,001 - \$15,000
173	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	07/19/2021	\$1,001 - \$15,000
174	Columbia Dividend Opportunity Fund Institutional Class Shares (CDOZX)	Sale	07/19/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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