

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

McGibbon, Shawne

General Counsel, Administrative Conference of the United States

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ McGibbon, Shawne [electronically signed on 05/14/2020 by McGibbon, Shawne in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Wiener, Matthew L., Certifying Official [electronically signed on 05/15/2020 by Wiener, Matthew L. in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/01/2020 by Granahan, Megan in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	ABA Section on Administrative Law & Regulatory Practice	See Endnote	Washington, District of Columbia	Non-Profit	Co-Chair, Government Personnel Committee	9/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Franklin Templeton Growth Fund CL A (retirement plan acct)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sprint	N/A		salary, bonus	
2	IRA/PIMCO Unconstrained Bond D (PUBDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Fidelity Global Stock Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	Fidelity Stable Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Fidelity Bond Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Fidelity Small/Mid Stock Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Fidelity Large Stock Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Fidelity International Stock Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
9	Target Retire 2025	Yes	\$100,001 - \$250,000		None (or less than \$201)
10	Target Retire 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rental Property in Baltimore, MD	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
2	Jackson National Life (JNL) Institutional Alt 25	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	JNL Invesco Global Real Estate		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
4	JNL Mellon Capital Hlthcare Sector		Yes	\$1,001 - \$15,000		None (or less than \$201)
5	JNL Neuberger Strat Income		Yes	\$1,001 - \$15,000		None (or less than \$201)
6	JNL Doubleline Core Fixed Income		Yes	\$1,001 - \$15,000		None (or less than \$201)
7	JNL S&P Dividend Inc & Growth		Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Ohio Nat'l Equity (ONE) Fidelity VIP Contrafund Portfolio		Yes	\$1,001 - \$15,000		None (or less than \$201)
9	ONE Fidelity VIP Real Estate Portfolio		Yes	None (or less than \$1,001)		None (or less than \$201)
10	ONE PIMCO Commodity Real Return (R) Strategy		Yes	None (or less than \$1,001)		None (or less than \$201)
11	ONE PIMCO Global Bond Portfolio (Unhedged)		Yes	\$1,001 - \$15,000		None (or less than \$201)
12	BlackRock Advantage Large Cap Growth	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	ONE Alliance Bernstein Dynamic Asset Alloc		Yes	\$1,001 - \$15,000		None (or less than \$201)
14	ONE Federated Managed Volatility Fund II		Yes	\$1,001 - \$15,000		None (or less than \$201)
15	BlackRock Advantage Large Cap Growth	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
16	ONE PIMCO Global Bond Portfolio (Unhedged)		Yes	\$1,001 - \$15,000		None (or less than \$201)
17	ONE PIMCO Total Return Portfolio		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	U.S. Bank Account #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	U.S. Bank Account #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	U.S. Bank Account #3	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	Fidelity Gov't MMKT	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	JNL Mellon Capital S & P 400 MC Ind	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	Rental Property in Crofton, MD	N/A	\$100,001 - \$250,000		None (or less than \$201)
24	Russell Invt Co Tax Managed Real Assets CI S (RETTX) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	Russell Investment Company Tax Exempt High Yield Bond S (RHYTX) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Russell Investment Company Tax EXMT BD S (RBCUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Russell Invt Co Tax Intl Eqt S (RTIUX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	Russell Invt Co Tax Managed S (RTMTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	Russell Invt Co Tax US Mid S (RTOUX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	IRA PIMCO ETF TR Totl Retn ETF (Bond) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	iShares Core S & P US Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	iShares Edge Msci US Quality Factor ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	iShares Core S & P US Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	iShares Core S & P Mid Cap ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	ClearBridge Large Cap Growth CL I	Yes	\$1,001 - \$15,000		None (or less than \$201)
36	Mfs Value CI I	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	John Hancock Disciplined Value CI I	Yes	\$1,001 - \$15,000		None (or less than \$201)
38	iShares Russell 2000 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	Vanguard Total Stock Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	iShares Edge Msci Min Volatility ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
41	John Hancock Disciplined Val Mid Cap CI I	Yes	\$1,001 - \$15,000		None (or less than \$201)
42	Harbor Cap Apparel Instl CI	Yes	\$1,001 - \$15,000		None (or less than \$201)
43	Janus Henderson Enterprise CI T	Yes	None (or less than \$1,001)		None (or less than \$201)
44	Nuveen Small Cap Value CL I	Yes	None (or less than \$1,001)		None (or less than \$201)
45	ClearBridge Small Cap CL I	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Vanguard Total Mond Mkt ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
47	Vanguard Intermediate Term Corp Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	Metropolitan West Total Return Bond Cl I	Yes	None (or less than \$1,001)		None (or less than \$201)
49	Delaware Diversified Income Instl Cl	Yes	None (or less than \$1,001)		None (or less than \$201)
50	American Beacon SiM High Yield Opportunities Cl Y	Yes	None (or less than \$1,001)		None (or less than \$201)
51	Payden Low Duration Investor Cl	Yes	None (or less than \$1,001)		None (or less than \$201)
52	T Rowe Price Emerging Markets Bond Investor Cl	Yes	None (or less than \$1,001)		None (or less than \$201)
53	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
54	Harding Loevner Emerging Markets Advisor Cl	Yes	None (or less than \$1,001)		None (or less than \$201)
55	iShares Core MSCI EAFE ETF (IEFA)	Yes	None (or less than \$1,001)		None (or less than \$201)
56	Artisan Intl Value Advisor Cl	Yes	None (or less than \$1,001)		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	IRA Rollover (IRA) AQR Divrsf Arbtg I (ADAIX)	Sale	06/10/2019	\$1,001 - \$15,000
2	IRA Arbitrage FDS Event DRV FD I (AEDNX)	Sale	06/10/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
3	IRA Shares Core S & P 500 ETF (IVV)		Sale	06/10/2019	\$1,001 - \$15,000
4	IRA Shares Russell 2000 ETF (IWM)		Sale	06/10/2019	\$1,001 - \$15,000
5	IRA AQR FDS MNG (QMHIX)		Sale	06/10/2019	\$1,001 - \$15,000
6	IRA PIMCO TRNDS STRG (PQTIX)		Sale	06/10/2019	\$1,001 - \$15,000
7	IRA Vanguard Intl Equity Index F FTSE EMR MKT ETF (VEA)		Sale	06/10/2019	\$1,001 - \$15,000
8	IRA Vanguard Tax Managed Intl FD FTSE DEV MKT ETF (VEA)		Sale	06/10/2019	\$1,001 - \$15,000
9	IRA SSGA Active ETF (TOTL)		Sale	06/10/2019	\$1,001 - \$15,000
10	IRA PIMCO Unconstrained Bond D (PUBDX)		Sale	06/10/2019	\$1,001 - \$15,000
11	Vanguard Intl Equity Index F FTSE Europe ETF (VGK)		Sale	06/10/2019	\$1,001 - \$15,000
12	Guggenheim Macro Opportunities (GIOIX)		Sale	06/10/2019	\$1,001 - \$15,000
13	Russell Global Infrastructure Fund M (RGFTX)		Sale	06/25/2019	\$1,001 - \$15,000
14	Janus Henderson Enterprise CI T	See Endnote	Purchase	07/31/2019	\$1,001 - \$15,000
15	Nuveen Small Cap Value CI I	See Endnote	Purchase	09/18/2019	\$1,001 - \$15,000
16	ClearBridge Small Cap CI I	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
17	Vanguard Total and Market ETF		Purchase	12/11/2019	\$1,001 - \$15,000
18	Vanguard Intermediate Term Corp Bond ETF		Purchase	12/11/2019	\$1,001 - \$15,000
19	Metropolitan West Total Return Bond CI I	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
20	Delaware Diversified Income Instl CI	See Endnote	Purchase	12/11/2019	\$1,001 - \$15,000
21	American Beacon SiM High Yield Opportunities CI Y	See Endnote	Purchase	07/31/2019	\$1,001 - \$15,000
22	Payden Low Duration Investor CI	See Endnote	Purchase	09/18/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
23	T Rowe Price Emerging Markets Bond Investor CI		Purchase	09/18/2019	\$1,001 - \$15,000
24	iShares Core MSCI Emerging Markets ETF		Purchase	12/11/2019	\$1,001 - \$15,000
25	Harding Loevner Emerging Markets Advisor CI	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
26	iShares Core MSCI EAFE ETF (IEFA)		Purchase	12/11/2019	\$1,001 - \$15,000
27	Artisan Intl Value Advisor CI	See Endnote	Purchase	07/31/2019	\$1,001 - \$15,000
28	Janus Henderson Enterprise CI T		Sale	12/11/2019	\$1,001 - \$15,000
29	Nuveen Small Cap Value CI I		Sale	12/11/2019	\$1,001 - \$15,000
30	ClearBridge Small Cap CI I		Sale	09/18/2019	\$1,001 - \$15,000
31	Metropolitan West Total Return Bond CI I		Sale	12/11/2019	\$1,001 - \$15,000
32	Delaware Diversified Income Instl CI		Sale	12/11/2019	\$1,001 - \$15,000
33	American Beacon SiM High Yield Opportunities CI Y		Sale	12/11/2019	\$1,001 - \$15,000
34	Payden Low Duration Investor CI		Sale	12/11/2019	\$1,001 - \$15,000
35	Harding Loevner Emerging Markets Advisor CI		Sale	12/11/2019	\$1,001 - \$15,000
36	Artisan Intl Value Advisor CI		Sale	12/11/2019	\$1,001 - \$15,000
37	Harbor Cap Apparel Instl CI	See Endnote	Purchase	12/11/2019	\$1,001 - \$15,000
38	Harbor Cap Apparel Instl CI		Sale	12/11/2019	\$1,001 - \$15,000
39	Harbor Cap Apparel Instl CI		Sale	07/31/2019	\$1,001 - \$15,000
40	iShares Core S & P US Growth ETF		Purchase	12/11/2019	\$1,001 - \$15,000
41	iShares Edge MSCI USA Quality Factor ETF		Purchase	12/11/2019	\$1,001 - \$15,000
42	iShares Core S & P US Value ETF		Purchase	12/11/2019	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
43	iShares Core S & P Mid Cap ETF		Purchase	12/11/2019	\$1,001 - \$15,000
44	ClearBridge Large Cap Growth CI I		Purchase	09/18/2019	\$1,001 - \$15,000
45	ClearBridge Large Cap Growth CI I	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
46	Mfs Value CI I	See Endnote	Purchase	09/18/2019	\$1,001 - \$15,000
47	Mfs Value CI I		Purchase	06/10/2019	\$1,001 - \$15,000
48	John Hancock Disciplined Value CI I	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
49	iShares Russell 2000 ETF		Purchase	12/11/2019	\$1,001 - \$15,000
50	Vanguard Total Stock Market ETF		Purchase	12/11/2019	\$1,001 - \$15,000
51	iShares Edge MSCI Min Volatility ETF		Purchase	12/11/2019	\$1,001 - \$15,000
52	John Hancock Disciplined Val Mid Cap CI I		Purchase	09/18/2019	\$1,001 - \$15,000
53	John Hancock Disciplined VI Mid Cap CI I	See Endnote	Purchase	06/10/2019	\$1,001 - \$15,000
54	ClearBridge Large Cap Growth CI I		Sale	12/11/2019	\$1,001 - \$15,000
55	Mfs Value CI I		Sale	12/11/2019	\$1,001 - \$15,000
56	John Hancock Disciplined Value CI I		Sale	12/11/2019	\$1,001 - \$15,000
57	John Hancock Disciplined Val Mid Cap CI I		Sale	12/11/2019	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Home Mortgage	Mortgage (investment/rental property)	\$100,001 - \$250,000	2009	6.75	30 Years

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Rocket Mortgage	Mortgage (investment/rental property)	\$100,001 - \$250,000	2017	4.75	30 Years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	This is not an officer position and carries no fiduciary responsibilities.
6.	12	Formerly named ONE Bristol Growth Portfolio.
6.	15	Formerly named ONE Bristol Growth Portfolio.
6.	24	Formerly named Russell Global Real Estate Securities Fund M (RETTX). Also, All Russell investments through item 31 below converted from Class M to Class S.
6.	25	Formerly named Russell Investment Company Tax Exempt M (RHYTX).
6.	30	Formerly listed incorrectly in Section 5 (Spouse's Employment Assets & Income and Retirement Accounts).
7.	14	The sale of a portion of these shares is reflected in item 28.
7.	15	The sale of a portion of these shares is reflected in item 29.
7.	16	The sale of a portion of these shares is reflected in item 30.
7.	19	The sale of a portion of these shares is reflected in item 31.
7.	20	The sale of a portion of these shares is reflected in item 32.

PART	#	ENDNOTE
7.	21	The sale of a portion of these shares is reflected in item 33.
7.	22	The sale of a portion of these shares is reflected in item 34.
7.	25	The sale of a portion of these shares is reflected in item 35.
7.	27	The sale of a portion of these shares is reflected in item 36.
7.	37	The sale of a portion of these shares is reflected in items 38 and 39.
7.	45	The sale of a portion of these shares is reflected in item 54.
7.	46	The sale of a portion of these shares is reflected in item 55.
7.	48	The sale of a portion of these shares is reflected in item 56.
7.	53	The sale of a portion of these shares is reflected in item 57.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).