

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Greenberg, Mark H

Deputy General Counsel, OGC, Department of Health & Human Services

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Greenberg, Mark H [electronically signed on 05/14/2023 by Greenberg, Mark H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 07/18/2023 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Olesh, Stanley, Ethics Official [electronically signed on 07/18/2023 by Olesh, Stanley in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/18/2023

Data Revised 07/17/2023

Data Revised 06/15/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(07/17/2023, Olesh, Stanley): 7/17/23 - rec'd signed EA Amendment -- Viatrix recusal -- SO

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Migration Policy Inst. 403b plan (managed by American United Life Insurance Co)	No			
1.1	TIAACREF Lifecycle Index 2020	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Georgetown University - CREF retirement plan - Social Choice R3	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Rollover IRA - Fidelity Management Trust Co. Custodian	No			
3.1	Fidelity International Small Cap Fund (FISMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Fidelity Total Market Index Fund (FSKAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Parnassus Mid Cap Fund Institutional (PFPMX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	HARLEY DAVIDSON INC NOTE (7/28/25)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	OFFICE PROPERTIES INCOME TR NOTE (mat. 6/15/26)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.6	GOLDMAN SACHS GRP (mat. 8/17/26)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	Fidelity Contrafund (FCNTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.8	United States Treasury Bills Zero Coupon	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.9	United States Treas Ser AY-2024	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.10	United States Treas Ser AL-2025	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.11	United States Treasury Ser J-2026	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.12	Fidelity Government Money Market Fund (SPAXX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American United Life Insurance Company/Migration Policy Institute	Washington, District of Columbia	Defined contribution Retirement Plan	7/2017
2	TIAA/Georgetown University	Washington, District of Columbia	Funds remaining in retirement account established when employed by Georgetown University	9/2007
3	Migration Policy Inst. 403b plan (managed by American United Life Insurance Co)	Washington, District of Columbia	Retirement Account for Former Employer,	7/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity IRA	No			
1.1	Fidelity Dividend Growth (FDGFX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.2	Ariel Fund (ARGFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.3	Ariel Appreciation (CAAPX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
1.4	FIDELITY DIVERSIFIED INTERNATIONAL (FDIVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	JANUS HENDERSON MID CAP VALUE T (JMVCVX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.6	Fidelity Government Cash Reserves (FDRXX)	N/A	None (or less than \$1,001)		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	MFS Investment Management Multimarket Income Trust	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2	Suntrust Personal Checking Account	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
3	Maryland College Investment Plan 529 Portfolio for College	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	CITIGROUP INC NOTE 3.37500% 03/01/2023	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
5	GENERAL MTRS FINL CO INC NOTE 2.90000% 02/26/2025 CALL MAKE WHOLE	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6	GOLDMAN SACHS GROUP INC MTN 3.85000% 07/08/2024 CALL MAKE WHOLE	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
7	KIMCO REALTY CORP NOTE CALL MAKE WHOLE 2.70000% 03/01/2024	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
8	MARRIOTT INTL INC NEW NOTE 3.12500% 02/15/2023 CALL MAKE WHOLE	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9	VORNADO RLTY L P NOTE CALL MAKE WHOLE 2.15000% 06/01/2026	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
10	WELLS FARGO & CO MTN 4.12500% 08/15/2023	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11	ARTISAN INTL VALUE FUND ADVISOR	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	FIDELITY DIVERSIFIED INTERNATIONAL FDIVX 3159108002	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13	HANCOCK WHITNEY CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	REALTY INCOME CORP COM	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
15	PARNASSUS MID CAP FD INSTITUTIONAL	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
16	PARNASSUS CORE EQUITY INSTL	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
17	INVESCO QQQ TR UNIT SER 1	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	SPDR PORTFOLIO S&P 500 ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	SPDR PORTFOLIO S&P 400 MID CAP ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
20	SPDR S&P500 ETF TRUST TRUST UNIT DEPOSITARY RECEIPT	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
21	SUN COMMUNITIES INC	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
22	WEITZ PARTNERS VALUE FD INSTL CLASS	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
23	SELECT SECTOR SPDR TRUST TECHNOLOGY SELECT SECTOR USD DIS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	FIDELITY GOVERNMENT CASH RESERVES FDRXX**	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
25	ARIEL APPRECIATION FUND CL I CAAIX	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
26	FIDELITY DIVERSIFIED INTERNATIONAL FDIVX	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
27	FIDELITY MUNICIPAL MONEY MARKET FTEXX	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	FIDELITY 500 INDEX FUND FXAIX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	PARNASSUS CORE EQUITY INSTL PRILX	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
30	Ariel Fund ARGFX 040337017	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
31	Fidelity Government Cash Reserves FDRXX 316067017	Yes	\$1,001 - \$15,000		\$201 - \$1,000
32	USAA 500 Index Fund Member Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33	Fidelity Extended Market Index Fund (FSMAX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
34	INVESCO EXCH TRADED FD TR II NASDAQ 100		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
35	Vanguard Index FDS Vanguard Total STK		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
36	BANK AMER CORP MEDIUM TERM NTS MTN	See Endnote	N/A	\$15,001 - \$50,000		\$201 - \$1,000
37	Citigroup Global Mkts Hldgs in Ser N	See Endnote	N/A	\$15,001 - \$50,000		\$201 - \$1,000
38	Viatis Inc Ser B Note	See Endnote	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
39	US TREAS BILLS ZERO CPN 912796YA1		N/A	\$50,001 - \$100,000		None (or less than \$201)
40	US TREAS BILLS ZERO CPN 912796T33		N/A	\$50,001 - \$100,000		None (or less than \$201)
41	US TREAS BILS ZERO CPN 912796YU7		N/A	\$50,001 - \$100,000		None (or less than \$201)
42	US TREAS BILLS ZERO CPN 912796W47		N/A	\$50,001 - \$100,000		None (or less than \$201)
43	US TREAS SER BH-2023		N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
44	US TREAS BILLS ZERO CPN 912796YT0		N/A	\$50,001 - \$100,000		None (or less than \$201)
45	ORION OFFICE REIT INC COM	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
46	Certificate of Deposit, 1st Citizens Bank, Luverne Alabama	See Endnote	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Berkshire Hathaway Inc. Com USDO.0033 CL	Sale	02/11/2022	\$100,001 - \$250,000
2	MICROSOFT CORP	Sale	02/11/2022	\$100,001 - \$250,000
3	SPARK NEW ZEALAND ADR	Sale	02/11/2022	\$1,001 - \$15,000
4	HEALTH CARE SELECT SECTOR SPDR	Sale	02/11/2022	\$50,001 - \$100,000
5	AT&T INC NOTE CALL MAKE WHOLE 2.62500% 12/01/2022	Sale	02/02/2022	\$15,001 - \$50,000
6	BALTIMORE GAS &ELEC CO NOTE 3.35000% 07/01/2023 CALL MAKE WHOLE	Sale	02/02/2022	\$15,001 - \$50,000
7	BOEING CO NOTE CALL MAKE WHOLE 2.12500% 03/01/2022	Sale	02/02/2022	\$15,001 - \$50,000
8	EQUINIX INC NOTE CALL MAKE WHOLE 1.00000% 09/15/2025	Sale	02/02/2022	\$15,001 - \$50,000
9	HEWLETT PACKARD ENTERPRISE CO NOTE 1.45000% 04/01/2024 CALL MAKE WHOLE	Sale	02/02/2022	\$15,001 - \$50,000
10	JABIL INC NOTE CALL MAKE WHOLE 1.70000% 04/15/2026	Sale	02/02/2022	\$15,001 - \$50,000
11	JUNIPER NETWORKS NOTE CALL MAKE WHOLE 1.20000% 12/10/2025	Sale	02/02/2022	\$15,001 - \$50,000
12	KINDER MORGAN ENERGY PARTNERS NOTE 3.50000% 09/01/2023 CALL MAKE WHOLE	Sale	02/02/2022	\$15,001 - \$50,000
13	MPLX LP NOTE CALL MAKE WHOLE 3.37500% 03/15/2023	Sale	02/02/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
14	MARVELL TECHNOLOGY GROUP LTD NOTE 4.20000% 06/22/2023 CALL MAKE WHOLE ISIN #US57385LAA61 SEDOL #BFXXB29	Sale	02/02/2022	\$15,001 - \$50,000
15	NETAPP INC NOTE CALL MAKE WHOLE 1.87500% 06/22/2025	Sale	02/02/2022	\$15,001 - \$50,000
16	ORACLE CORP NOTE CALL MAKE WHOLE 2.65000% 07/15/2026	Sale	02/02/2022	\$15,001 - \$50,000
17	WALGREEN CO NOTE CALL MAKE WHOLE 3.10000% 09/15/2022	Sale	02/02/2022	\$15,001 - \$50,000
18	Vanguard Total Stock Market Index Fund Investor Class Shares (VTSMX)	Purchase	02/14/2022	\$100,001 - \$250,000
19	QQQM - Invesco NASDAQ 100 ETF	Purchase	02/14/2022	\$100,001 - \$250,000
20	Fidelity Extended Market Index Fund (FSMAX)	Purchase	02/15/2022	\$15,001 - \$50,000
21	Redemption Payout District of Columbia Tobacco Sett. Fin'g 6.5% 5/15/2033 Corp Asset backed BDS Ser 20001	Sale	05/16/2022	\$1,001 - \$15,000
22	Citygroup Global Markets Holdings in SERN 1.15% 11/26/2024.	Purchase	12/03/2021	\$15,001 - \$50,000
23	Viatis Inc. SER B Note 2.3% 06/22/2027	Purchase	11/19/2021	\$1,001 - \$15,000
24	Morgan Stanley SER F MTN 2.625%	Sale	11/19/2021	\$50,001 - \$100,000
25	Micron Technology Inc. Note 2.497% 04/24/2023	Sale	11/17/2021	\$1,001 - \$15,000
26	Bank of America Corp. SER L MTN 2.503% 10/21/2022	Sale	11/15/2021	\$15,001 - \$50,000
27	US Treasury Bills Zero CPN 11/17/2022	Purchase	05/23/2022	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
28	Marriott Intl Inc New Note 3.25000% 9/15/2022 Call Make Whole		Sale	06/15/2022	\$15,001 - \$50,000
29	US Treasury Bills Zero CPN 0.00000% 6/16/2022		Sale	06/16/2022	\$100,001 - \$250,000
30	REDEMPTION PAYOUT NORTHERN TR CORP NOTE 2.37500% 08/02/2022 (Cash)		Sale	08/02/2022	\$15,001 - \$50,000
31	REDEMPTION PAYOUT E TRADE FINANCIAL CORP NOTE 2.95000% 08/24/2022 CALL MAKE WHOLE (Cash)		Sale	07/25/2022	\$15,001 - \$50,000
32	Redemption Payout Northern TR Corp Note 2.375% 08/02/22 (Cash)		Sale	08/02/2022	\$15,001 - \$50,000
33	Redemption Payout ETrade Financial Corp Note 2.95% 08/24/22 Call Make Whole (Cash)		Sale	07/25/2022	\$15,001 - \$50,000
34	Hyatt Hotels Corp Note Call Make Whole 3.375%		Sale	10/28/2022	\$50,001 - \$100,000
35	Visa Inc Note 2.8000%		Sale	12/14/2022	\$15,001 - \$50,000
36	PARNASSUS CORE EQUITY INSTL (PRILX)		Purchase	11/18/2022	\$15,001 - \$50,000
37	BANK AMERICA CORP MTN 3.30000% 01/11/2023	See Endnote	Sale	01/11/2023	\$15,001 - \$50,000
38	QQQM - Invesco NASDAQ 100 ETF		Purchase	02/14/2022	\$100,001 - \$250,000
39	Invesco Finance PLC Note Call Make Whole	See Endnote	Sale	05/06/2022	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	36	Inadvertently omitted from prior report
6.	37	Inadvertently omitted from prior report.
6.	38	Inadvertently omitted from prior report.
6.	45	Inadvertently omitted from previous report.
6.	46	Inadvertently omitted from previous report.
7.	37	Redemption
7.	39	Inadvertently not reported when sold.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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