

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Shortino, Elizabeth

U.S. Executive Director, International Monetary Fund, Department of the Treasury

Other Federal Government Positions Held During the Preceding 12 Months:

Acting United States Executive Director for the International Monetary Fund, Department of the Treasury (2/2021 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Shortino, Elizabeth [electronically signed on 01/27/2023 by Shortino, Elizabeth in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Sonfield, Brian, Certifying Official [electronically signed on 03/15/2023 by Sonfield, Brian in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/20/2023 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	International Monetary Fund	Washington DC, District of Columbia	International Financial Institution	Senior Advisor	8/2020	2/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Invesco QQQ Trust ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Invesco S&P 500 Equal Weight ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Ishares MSCI Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	Ishares MSCI International Multifactor ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	SPDR S&P 500 ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Technology Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Vanguard Small Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	American Tower Corp REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Apple Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Applied Materials Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.11	Bank of America Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.12	UnitedHealth Group	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	Rivernorth/Doubleline Strategic Income Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	Alibaba Group Holding Ltd Spon	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.15	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Roth IRA	No			
2.1	Vanguard Divid Appreciation ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Vanguard Russell 1000 Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.3	Vanguard Russell 1000 Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Vanguard Small Cap Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Clearbridge Aggressive Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	International Monetary Fund Staff Retirement Plan, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	International Monetary Fund	Washington, District of Columbia	I will continue to participate in this defined benefit plan, and the plan sponsor will continue to make contributions.	8/2020

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	International Monetary Fund	Washington DC, District of Columbia	Senior Advisor

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Salesforce	N/A		salary, bonus	
2	IRA #1	No		Distribution	\$2,100
2.1	Diageo PLC New GB	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Medtronic PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Chubb Ltd Chf	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Linde PLC Eur	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	Schlumberger LTD	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Union Pacific Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	American Tower Reit	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	Raytheon	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.9	Johnson & Johnson Com	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Comcast Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Phillips 66	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.12	Coca Cola	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Truist Financial Corporation	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Analog Devices Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	United Parcel Services	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Blackrock Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Home Depot Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.18	Rockwell Automation Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19	Nextera Energy Inc Com	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.20	Broadcom Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.21	Marsh & McLennan	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.22	Texas Instruments	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Republic Services Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.24	JP Morgan Chase & Co	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.25	Cisco Systems Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.26	Microsoft Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
3	IRA #2	No			
3.1	Comm Services Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Technology Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard Dividend Appreciation ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Clearbridge Aggressive Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	Rivernorth Core Opportunity Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	IRA #3	No		Distribution	\$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	Comm Services Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Invesco QQQ Trust ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.3	Vanguard Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	Vanguard Small Cap Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	Rivernorth Core Opportunity Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Roth IRA #1	No		Distribution	\$1,800
5.1	Invesco S&P 500 Equal Weight ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Clearbridge Aggressive Growth Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Pimco Income Fund Class I2	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Rivernorth/Doubleline Strategic Income Fund IFund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Rivernorth Core Opportunity Fund Class I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Roth IRA #2	No		Distribution	\$965
6.1	Comm Services Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Technology Select Sector SPDR ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Suncor Energy Inc New CAD	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	Flower Foods Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	Jabil Inc	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Salesforce.com, inc. (CRM)	N/A	\$100,001 - \$250,000		None (or less than \$201)
8	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11	Defiance Next Gen Connectivity ETF (FIVG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Discover Financial Services (DFS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
13	EOG Resources, Inc. (EOG)	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
14	Ford Motor Co. (F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	DocuSign, Inc. (DOCU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	iShares Cybersecurity and Tech ETF (IHAK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	Kirkland's, Inc. (KIRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19	Meta Platforms Inc (META)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Pioneer Natural Resources Co. (PXD)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
23	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Sirius XM Holdings, Inc. (SIRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Vanguard Diversified Equity Fund Investor Shares (VDEQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Wolfspeed Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Salesforce 401k	No			
30.1	JPMCB Smart Retirement Passive Blend 2040	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ACAP Strategic Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Pacific Life Insurance Company (Pacific Discovery Xlerator IUL), indexed universal life	See Endnote	\$100,001 - \$250,000		None (or less than \$201)
3	SPDR S&P 500 ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Vanguard Russell 1000 Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Logitech Int'l SA Reg	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Rockwell Automation Inc New	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	Southwest Airlines	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Pimco Income Fund Class I2	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9	Rivernorth / Doubleline Strategic Income Fund I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10	Ishares MSCI Emerging Markets	Yes	None (or less than \$1,001)		\$201 - \$1,000
11	Microsoft Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
12	Rivernorth / Doubleline Strategic Income Fund I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
13	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	UBS Select ESG Prime Institutional Fund (SGIXX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Council Rock (IT network equipment manufacturer and installer)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	Eco-Rental Solutions (environmental testing equipment - rental and sales)	N/A	\$500,001 - \$1,000,000	Distributions	\$150,000
17	Four M's Properties (real estate development company)	No	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
17.1	NY Manor CM, LLC	No			
17.1.1	university housing, Henrietta, NY	N/A			
18	Delaware Townhomes LLC	No			
18.1	residential real estate, Denver, CO	N/A	\$100,001 - \$250,000		None (or less than \$201)
19	Virginia 529 College Savings Plans	No			
19.1	Virginia 529 Aggressive Growth Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.2	Virginia 529 2027 Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
19.3	Virginia 529 International Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.4	Virginia 529 Stock Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
19.5	Virginia 529 2033 Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
20	Personal loan to family member	N/A	\$250,001 - \$500,000		None (or less than \$201)
21	U.S. bank #3 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
22	Alphabet, Inc. (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Invesco Solar ETF (TAN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	iShares Global Infrastructure ETF (IGF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
25	Vanguard Value Index Fund ETF Shares (VTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27	Vanguard Mid-Cap Growth Index Fund ETF Shares (VOT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Vanguard Mid-Cap Value Index Fund ETF Class Shares (VOE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Vanguard Energy Index Fund ETF Class Shares (VDE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	PACE Government Money Market Investments Class P Shares (PCEXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Pando Holdings (Loan)	See Endnote	N/A		None (or less than \$201)
33	Bidwell Holdings Inc. (Real estate holding company)	No		LLC distribution	\$253,000
33.1	residential real estate, Hammondsport, NY	N/A	\$250,001 - \$500,000		
33.2	commercial real estate, Rochester, NY	N/A	\$500,001 - \$1,000,000		
33.3	residential real estate, Naples, FL	N/A	\$100,001 - \$250,000		
33.4	commercial real estate, Rochester, NY	N/A	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.5	commercial real estate, Rochester, NY	N/A	Over \$1,000,000		
34	Blackstone Strategic Partners Fund IX	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Caliber Home Loans		Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.75	30 years
2	Citibank credit card		Credit Card	\$10,001 - \$15,000	2022	13.24	revolving
3	Blackstone Strategic Partners Fund IX	See Endnote	capital commitment	\$250,001 - \$500,000	2021	N/A	on demand
4	Apple credit card		Credit Card	\$15,001 - \$50,000	2022	15.24%	revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
6.	2	1/3 of it is in an index tracking back 2-years of the S&P 500, 1/3 tracking back 5-years of the S&P 500, and a 1/3 of the following which are equally weighted, Euro Stocks 50, MSCI Emerging Markets, and the MSCI EAFE Index.
6.	32	Loan to Pando Holdings, a property development company in Denver, Colorado.
8.	3	Value is currently \$107,979 based on capital calls.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
