

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Aponte, Mari C

Ambassador, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Aponte, Mari C [electronically signed on 01/22/2023 by Aponte, Mari C in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Alexander, Mj, Certifying Official [electronically signed on 03/09/2023 by Alexander, Mj in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/31/2023 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Abbott Laboratories	Sale	12/20/2022	No	\$250,001 - \$500,000
2	Bristol Myers Squibb Co	Sale	12/20/2022	No	\$100,001 - \$250,000
3	Ishares Inc Msci Japan Etf	Sale	12/20/2022	No	\$15,001 - \$50,000
4	Merck & Co Inc New Com	Sale	12/20/2022	No	\$100,001 - \$250,000
5	Organon & Co	Sale	12/20/2022	No	\$1,001 - \$15,000
6	Pepsico Inc Nc	Sale	12/20/2022	No	\$100,001 - \$250,000
7	Pfizer Inc	Sale	12/20/2022	No	\$100,001 - \$250,000
8	Viatis Inc	Sale	12/20/2022	No	\$1,001 - \$15,000
9	At&T Inc 4.750% Ser-C	Sale	12/20/2022	No	\$1,001 - \$15,000
10	At&T Inc 4.750% Ser-C	Sale	12/20/2022	No	\$1,001 - \$15,000
11	Bk Of America Co 5.875% Ser-Hh	Sale	12/20/2022	No	\$1,001 - \$15,000
12	Bank Of America Corp 6%-Gg	Sale	12/20/2022	No	\$1,001 - \$15,000
13	Bank Amer 7.2500 Sers L	Sale	12/20/2022	No	\$1,001 - \$15,000
14	Bank Of America Co 5.00 Ser LI	Sale	12/20/2022	No	\$1,001 - \$15,000
15	Bank Of America Cor 4.375%-Nn	Sale	12/20/2022	No	\$1,001 - \$15,000
16	Chs Inc	Sale	12/20/2022	No	\$1,001 - \$15,000
17	Chs Inc	Sale	12/20/2022	No	\$1,001 - \$15,000
18	Chs Inc/Red Pfd Cl B S 4	Sale	12/20/2022	No	\$1,001 - \$15,000
19	Capital One Financial 5%-I Prf	Sale	12/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	Citigroup Inc 6.875%-K	Sale	12/20/2022	No	\$1,001 - \$15,000
21	Citigrp Inc 7.125%-J	Sale	12/20/2022	No	\$1,001 - \$15,000
22	Goldman Sachs Grp Inc 5.50%-J	Sale	12/20/2022	No	\$1,001 - \$15,000
23	Goldman Sachs Grp Inc 6.375%-K	Sale	12/20/2022	No	\$1,001 - \$15,000
24	Jpmorgan Chase & Co 4.625%-LI	Sale	12/20/2022	No	\$1,001 - \$15,000
25	Jpmorgan Chase & Co 4.625%-LI	Sale	12/20/2022	No	\$1,001 - \$15,000
26	Wells Fargo 7.5 Non-Cum Cl A	Sale	12/20/2022	No	\$1,001 - \$15,000
27	Wells Fargo & Co 4.75% Ser-Z	Sale	12/20/2022	No	\$1,001 - \$15,000
28	Wells Fargo & Com 4.25% Ser-Dd	Sale	12/20/2022	No	\$1,001 - \$15,000
29	Wells Fargo & Company 6.625%-R	Sale	12/20/2022	No	\$1,001 - \$15,000
30	Wells Fargo Co Dep Pfd 4.7 C-A	Sale	12/20/2022	No	\$1,001 - \$15,000
31	Wells Fargo 5.85-Q Fixd-To-Flt	Sale	12/20/2022	No	\$1,001 - \$15,000
32	Wells Fargo 7.5 Non-Cum Cl A	Sale	12/20/2022	No	\$1,001 - \$15,000
33	Citigroup Capt 10784 *40Oc30	Sale	12/20/2022	No	\$1,001 - \$15,000
34	Citigroup Capt 10784 *40Oc30	Sale	12/20/2022	No	\$1,001 - \$15,000
35	Athene Holding Ltd 7.75%Dp Rt	Sale	12/20/2022	No	\$1,001 - \$15,000
36	Ford Mtr Co Del 6500 62Au15	Sale	12/20/2022	No	\$1,001 - \$15,000
37	Lincoln Natl Cp Ind 9% Dep Pfd	Sale	12/20/2022	No	\$1,001 - \$15,000
38	Morgan Stanley 6.5% Dp Sh Pfd	Sale	12/20/2022	No	\$1,001 - \$15,000
39	Pacwest Bancorp Del Rt Pfd A	Sale	12/20/2022	No	\$1,001 - \$15,000
40	Reinsurance Grp 7125 52Oc15	Sale	12/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
41	Affiliated Manage 5875 *59Mh30	Sale	12/20/2022	No	\$1,001 - \$15,000
42	Agnc Invmnt Co 6.125% Ser-F	Sale	12/20/2022	No	\$1,001 - \$15,000
43	Agnc Invt Corp Cum 1/1000 9% C	Sale	12/20/2022	No	\$1,001 - \$15,000
44	Air Lease Corp Non Cum Pfd A	Sale	12/20/2022	No	\$1,001 - \$15,000
45	Algonquin Power & 6875 *78Oc17	Sale	12/20/2022	No	\$1,001 - \$15,000
46	Algonquin Pwr & U 6200 *79Jl01	Sale	12/20/2022	No	\$1,001 - \$15,000
47	Allstate Corp 5100 *53Ja15	Sale	12/20/2022	No	\$1,001 - \$15,000
48	Allstate Corp 5.100 H	Sale	12/20/2022	No	\$1,001 - \$15,000
49	Amer Eq Inv Hldg Co 5.95%-A	Sale	12/20/2022	No	\$1,001 - \$15,000
50	American Equity 6.625%-B	Sale	12/20/2022	No	\$1,001 - \$15,000
51	Annaly Cap Mgmt Inc Pfd Ser I	Sale	12/20/2022	No	\$1,001 - \$15,000
52	Aspen Insurance Hldg Ltd 5.95%	Sale	12/20/2022	No	\$1,001 - \$15,000
53	Aspen Insurance Holdings 5.625	Sale	12/20/2022	No	\$1,001 - \$15,000
54	Assurant Inc 5250 *61Ja15	Sale	12/20/2022	No	\$1,001 - \$15,000
55	Athene Holding Ltd 5.625 Ser-B	Sale	12/20/2022	No	\$1,001 - \$15,000
56	Athene Holding Ltd 6.35 Ser A	Sale	12/20/2022	No	\$1,001 - \$15,000
57	Athene Holding Ltd 6.375%-C	Sale	12/20/2022	No	\$1,001 - \$15,000
58	Atlantic Un Bankshares 6.875-A	Sale	12/20/2022	No	\$1,001 - \$15,000
59	Axis Cap Holdings Ltd 5.5%-E	Sale	12/20/2022	No	\$1,001 - \$15,000
60	Brookfield In 5000 *81My24	Sale	12/20/2022	No	\$1,001 - \$15,000
61	Carlyle Finance L 4625 *61My15	Sale	12/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
62	Citizens Fin Group 6.35%-D	Sale	12/20/2022	No	\$1,001 - \$15,000
63	Cms Energy Corpor 5875 *79Mh01	Sale	12/20/2022	No	\$1,001 - \$15,000
64	Digital Realty Trst 5.850-K	Sale	12/20/2022	No	\$1,001 - \$15,000
65	Digital Rlty Tst Inc 5.20%Serl	Sale	12/20/2022	No	\$1,001 - \$15,000
66	Enbridge Inc 6375 *78Ap15	Sale	12/20/2022	No	\$1,001 - \$15,000
67	Enstar Group Ltd 7%-D	Sale	12/20/2022	No	\$1,001 - \$15,000
68	Equitable Hldgs Inc 5.25% Dprp	Sale	12/20/2022	No	\$1,001 - \$15,000
69	F N B Corp Fla Dep Shs Repstg	Sale	12/20/2022	No	\$1,001 - \$15,000
70	Fifth Third Bancorp 6.625%-I	Sale	12/20/2022	No	\$1,001 - \$15,000
71	First Rep Bk San Fco Cal 4.5 %	Sale	12/20/2022	No	\$1,001 - \$15,000
72	First Republic Bank 4.0% Ser-M	Sale	12/20/2022	No	\$1,001 - \$15,000
73	Keycorp 6.125% Ser-E	Sale	12/20/2022	No	\$1,001 - \$15,000
74	M & T Bk Corp Fix To Flt Pfd H	Sale	12/20/2022	No	\$1,001 - \$15,000
75	Morgan Stanley 6.375%-I	Sale	12/20/2022	No	\$1,001 - \$15,000
76	Morgan Stanley 6.875%-F	Sale	12/20/2022	No	\$1,001 - \$15,000
77	Morgan Stanley 7.125%-E	Sale	12/20/2022	No	\$1,001 - \$15,000
78	New York Cmnty Bc Inc Ser A	Sale	12/20/2022	No	\$1,001 - \$15,000
79	Nisource Inc 6.5% Depshs Pfdb	Sale	12/20/2022	No	\$1,001 - \$15,000
80	Pinnacle Fin Prtnrs 6.75%-B	Sale	12/20/2022	No	\$1,001 - \$15,000
81	Prudential Finan 4125 *60Sp01	Sale	12/20/2022	No	\$1,001 - \$15,000
82	Public Storage 4.00% Ser-P	Sale	12/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
83	Regions Financial Co 6.375%-B	Sale	12/20/2022	No	\$1,001 - \$15,000
84	Reinsurance Grp 5750 *56Jn15	Sale	12/20/2022	No	\$1,001 - \$15,000
85	Sce Trust V 5.45%-K	Sale	12/20/2022	No	\$1,001 - \$15,000
86	Signature Bk Ny 5.00% Ser-A	Sale	12/20/2022	No	\$1,001 - \$15,000
87	State St Co 5.90%-D Fxd-To-Flt	Sale	12/20/2022	No	\$1,001 - \$15,000
88	State Street Corp 5.350%-G	Sale	12/20/2022	No	\$1,001 - \$15,000
89	The Southern 4200 *60Oc15	Sale	12/20/2022	No	\$1,001 - \$15,000
90	The Southern 4950 *80Ja30	Sale	12/20/2022	No	\$1,001 - \$15,000
91	Truist Financial Corp 5.25%-O	Sale	12/20/2022	No	\$1,001 - \$15,000
92	Truist Fincl Corp 4.75% Ser-R	Sale	12/20/2022	No	\$1,001 - \$15,000
93	Valley Natl Bancorp Ser-A	Sale	12/20/2022	No	\$1,001 - \$15,000
94	Wesco Intl Inc Dp Sh Fxrt Pfd	Sale	12/20/2022	No	\$1,001 - \$15,000
95	Western All Bancor Pfd A 4.25%	Sale	12/20/2022	No	\$1,001 - \$15,000
96	Wintrust Financial Corp	Sale	12/20/2022	No	\$1,001 - \$15,000
97	Wintrust Finl Cor Dep Rep Sr E	Sale	12/20/2022	No	\$1,001 - \$15,000
98	Zions Bancorp Na 6.3000% Srs G	Sale	12/20/2022	No	\$1,001 - \$15,000
99	Ishares Preferred & Income S	Sale	12/20/2022	No	\$1,001 - \$15,000
100	Ishares Tips Bond Etf	Sale	12/20/2022	No	\$1,001 - \$15,000
101	District Colum Be 5000 *35Mh01	Sale	12/20/2022	No	\$1,001 - \$15,000
102	Lee Cnty Fla T Be 5000 *31Oc01	Sale	12/20/2022	No	\$1,001 - \$15,000
103	Muncie Ind San Be 3000 *31Jl01	Sale	12/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
104	Alamo Tx Cmmt Be 5000 *35Nv01	Sale	12/20/2022	No	\$1,001 - \$15,000
105	Lee Cnty Fla T Be 5000 *31Oc01	Sale	12/20/2022	No	\$1,001 - \$15,000
106	Muncie Ind San Be 3000 *31Jl01	Sale	12/20/2022	No	\$1,001 - \$15,000
107	Ohio St Be 5000 *31Jn15	Sale	12/20/2022	No	\$1,001 - \$15,000
108	Pima Cnty Ariz Be 5000 *34Jl01	Sale	12/20/2022	No	\$1,001 - \$15,000
109	Washington St Be 4000 *28Jl01	Sale	12/20/2022	No	\$1,001 - \$15,000
110	Wisconsin Hsg Be 1400 24Sp01	Sale	12/20/2022	No	\$1,001 - \$15,000
111	Wisconsin Hsg Be 1800 *31Mh01	Sale	12/20/2022	No	\$1,001 - \$15,000
112	E V Income Fund Of Boston I	Sale	12/20/2022	No	\$15,001 - \$50,000
113	Invesco Conservative Inc Inst	Sale	12/20/2022	No	\$15,001 - \$50,000
114	Spdr Portf Short Term Crp Etf	Sale	12/20/2022	No	\$15,001 - \$50,000
115	Harris Cnty Te Be 4000 *34Au15	Sale	12/20/2022	No	\$15,001 - \$50,000
116	Honolulu Hawai Be 5000 *34Jl01	Sale	12/20/2022	No	\$15,001 - \$50,000
117	Kansas St Dev Be 4000 *33Ap01	Sale	12/20/2022	No	\$15,001 - \$50,000
118	Wisconsin St Be 5000 *37My01	Sale	12/20/2022	No	\$15,001 - \$50,000
119	Arizona St Tra Be 5000 *32Jl01	Sale	12/20/2022	No	\$15,001 - \$50,000
120	Brevard Cnty F Be 5000 *32Jl01	Sale	12/20/2022	No	\$15,001 - \$50,000
121	Columbia S C W Be 5000 *31Fb01	Sale	12/20/2022	No	\$15,001 - \$50,000
122	Columbus Ohio Be 4000 26Jl01	Sale	12/20/2022	No	\$15,001 - \$50,000
123	Lubbock Tex Be 5000 26Fb15	Sale	12/20/2022	No	\$15,001 - \$50,000
124	Massachusetts Be 5000 *32Jn01	Sale	12/20/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
125	Seminole Cnty Be 5000 *25JI01	Sale	12/20/2022	No	\$15,001 - \$50,000
126	Blackrock Total Ret I	Sale	12/20/2022	No	\$50,001 - \$100,000
127	Pimco Mortgage Opp & Bd I2	Sale	12/20/2022	No	\$50,001 - \$100,000
128	Tcw Total Return Bond I	Sale	12/20/2022	No	\$50,001 - \$100,000
129	Western Asset Core Bd I	Sale	12/20/2022	No	\$50,001 - \$100,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
