

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Jacobson, Rachel

Assistant Secretary of the Army for Installations, Energy and the Environment, Department of Defense

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Armed Services
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jacobson, Rachel [electronically signed on 05/05/2021 by Jacobson, Rachel in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Thompson, Scott F, Certifying Official [electronically signed on 07/27/2021 by Thompson, Scott F in Integrity.gov]

Other review conducted by

/s/ Sheehan, Devon Romig, Ethics Official [electronically signed on 07/27/2021 by Sheehan, Devon Romig in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/01/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	WILMERHALE	WASHINGTON DC, District of Columbia	Law Firm	SPECIAL COUNSEL	1/2017	Present	
2	STUDENT CONSERVATION ASSOCIATION	ARLINGTON, Virginia	Non-Profit	BOARD MEMBER	4/2012	Present	
3	ENVIRONMENTAL LAW INSTITUTE	WASHINGTON, District of Columbia	Non-Profit	BOARD MEMBER	3/2017	Present	
4	US ENDOWMENT FOR FORESTRY AND COMMUNITIES	GREENVILLE, South Carolina	Non-Profit	BOARD MEMBER	6/2017	Present	
5	COUNCIL ON STRATEGIC RISKS	WASHINGTON, District of Columbia	Non-Profit	BOARD MEMBER	9/2020	Present	
6	Revocable Living Trust	See Endnote	Washington, District of Columbia	Trust	Trustee	2/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	WILMERHALE (law firm)	N/A		Salary/Bonus	\$430,000
2	WILMER CUTLER PICKERING HALE AND DORR LLP - DEFINED CONTRIBUTION PLAN	No			
2.1	Vanguard Institutional Target Retirement 2030 Fund Institutional Class Shares (VTTWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	IRA #1	No			
3.1	Archer-Daniels-Midland Co. (ADM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	ASTRAZENECA (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	AT&T (T)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.4	Boeing Co (BA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	BP PLC SPONSOR ADR (BP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	CSX CORP (CSX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.7	DELTA AIRLINES (DAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.9	Dow, Inc. (DOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	FERRARI NV NEW (RACE)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.11	GLAXOSMITHKLINE (GSK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.12	JP MORGAN CHASE (JPM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.13	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.14	Ryder System, Inc. (R)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	The Southern Co. (SO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.16	FORD MOTOR CREDIT CO LLC MEDIUM TERM NOTES CALLABLE (CUSIP 34540TKV3)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.17	BlackRock Health Sciences Opportunities Portfolio Institutional Shares (SHSSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.18	FRANKLIN CUSTODIAN FDS INCOME SER ADV CL AD (FRIAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.19	Pioneer Global High Yield Fund Class Y Shares (GHYYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.20	CALAMOS STRATEGIC TOTAL RETURN FUND (CSQ)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.21	SMARTTRUST HEALTHCARE INNOVATIONS TRUST SER 9 FEE REINVEST (CUSIP 831926654)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.22	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	IRA #2	No			
4.1	T Rowe Price New Horizons Fund, Inc Class I Shares (PRJIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	TEMPLETON GLOBAL TOTAL RETURN FUND CLASA ADVISOR (TGTRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Victory Trivalent International Small-Cap Fund Class I Shares (MISIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	Vivaldi Merger Arbitrage Fund Class I Shares (VARBX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	Undiscovered Managers Behavioral Value Fund Class L Shares (UBVLX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	Abbey Capital Futures Strategy Fund Class I Shares (ABYIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.7	Orinda Income Opportunities Fund Class I Shares (OIOIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	WILMER CUTLER PICKERING HALE AND DORR LLP	WASHINGTON, District of Columbia	I will not participate in this defined contribution plan after my separation.	1/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	WILMERHALE	WASHINGTON DC, District of Columbia	LEGAL SERVICES
2	3M CORPORATION	ST PAUL, Minnesota	LEGAL SERVICES
3	ALEXANDRIA REAL ESTATE EQUITIES INC.	PASADENA, California	LEGAL SERVICES
4	DOMINION ENERGY PAYOR ON BEHALF OF ATLANTIC COAST PIPELINE LLC	RICHMOND, Virginia	LEGAL SERVICES
5	BBA AVIATION	ORLANDO, Florida	LEGAL SERVICES
6	BIOLAB INC.	DECATUR, Georgia	LEGAL SERVICES

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
7	BMW OF NORTH AMERICA, LLC	WOODCLIFF LAKE, New Jersey	LEGAL SERVICES
8	CASELLA WASTE SYSTEMS INC.	RUTLAND, Vermont	LEGAL SERVICES
9	CITIZENS FOR THE PRESERVATION OF WAINSCOTT	WAINSCOTT, New York	LEGAL SERVICES
10	COLFAX CORPORATION	ANNAPOLIS JUNCTION, Maryland	LEGAL SERVICES
11	DAIMLER AG	STUTTGART, Outside U.S.	LEGAL SERVICES
12	ENVIRONMENTAL RESOURCE MATERIALS LLC	PALM DESERT, California	LEGAL SERVICES
13	GENERAL DYNAMICS ELECTRIC BOAT	FALLS CHURCH, Virginia	LEGAL SERVICES
14	PROCTOR & GAMBLE PAYOR ON BEHALF OF GILLETTE COMPANY	CINCINNATI, Ohio	LEGAL SERVICES
15	GREAT LAKES DREDGE AND DOCK CORPORATION	OAKBROOK TERRACE, Illinois	LEGAL SERVICES
16	GROWTH ENERGY	WASHINGTON, District of Columbia	LEGAL SERVICES
17	HUNT COMPANIES INC.	CHICAGO, Illinois	LEGAL SERVICES
18	MEDTRONIC INC.	MINNEAPOLIS, Minnesota	LEGAL SERVICES
19	NEXTERA ENERGY RESOURCES LLC	JUNO BEACH, Florida	LEGAL SERVICES

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
20	NORTHROP GRUMMAN CORPORATION	BETHPAGE, New York	LEGAL SERVICES
21	PROCTOR & GAMBLE	CINCINNATI, Ohio	LEGAL SERVICES
22	ROLLS-ROYCE MOTOR CARS NA, LLC	WOODCLIFF LAKE, New Jersey	LEGAL SERVICES
23	SAN MANUEL BAND OF MISSION INDIANS	HIGHLAND, California	LEGAL SERVICES
24	MEADOWLARK MIDSTREAM COMPANY (MMC) DIVIDE TRADE PAYOR ON BEHALF OF SUMMIT MIDSTREAM PARTNERS LP	HOUSTON, Tennessee	LEGAL SERVICES
25	TWIN METALS MINNESOTA LLC	ST PAUL, Minnesota	LEGAL SERVICES
26	TOYOTA MOTOR NORTH AMERICA	PLANO, Texas	LEGAL SERVICES
27	ALTAVITA PAYOR ON BEHALF OF UMB BANK NA	RIVERSIDE, California	LEGAL SERVICES
28	WESTLANDS WATER DISTRICT	SACRAMENTO, California	LEGAL SERVICES
29	NISOURCE INC.	Merriville, Indiana	LEGAL SERVICES
30	BMW OF NORTH AMERICA, LLC PAYOR ON BEHALF OF ROLLS-ROYCE MOTOR CARS NA, LLC	WOODCLIFF LAKE, New Jersey	LEGAL SERVICES

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Lazard Ltd. (LAZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2	U.S. bank (cash) #1	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	ABB LTD SPONS ADR (ABB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4	AbbVie, Inc. (ABBV)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5	Allstate Corp (ALL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6	Altria Group, Inc. (MO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7	American Express Co. (AXP)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8	Amgen Inc. (AMGN)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
9	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Archer-Daniels-Midland Co. (ADM)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
11	ASTRAZENECA (AZN)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
12	AT&T (T)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
13	BK OF NOVA SCOTIA HALIFAX (BNS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	Boeing Co (BA)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Bristol-Myers Squibb (BMY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	BROOKFIELD ASSET MANAGEMENT CLASS A (BAM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	Brown-Forman Corp B (BF/B)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
18	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19	Citigroup, Inc. (C)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
21	Constellation Brands, Inc. (STZ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
22	Cummins, Inc. (CMI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	Eaton Corp. Plc (ETN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
24	Emerson Electric Co. (EMR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	ENVISTA HOLDINGS CORP (NVST)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26	Exelon Corp. (EXC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
27	GLAXOSMITHKLINE PLC (GSK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
28	The Goldman Sachs Group, Inc. (GS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
29	International Business Machines Corp. (IBM)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	JP MORGAN CHASE & CO (JPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31	Kimberly-Clark Corp. (KMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	The Kraft Heinz Co. (KHC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
33	Marriott International, Inc. (MAR)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
34	McDonald's Corp. (MCD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
35	Medtronic Plc (MDT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
36	MetLife, Inc. (MET)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
37	MGM GROWTH PROPERTIES LLC - A (MGP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
38	Micron Technology, Inc. (MU)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
39	Newell Brands, Inc. (NWL)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
40	NIKE, Inc. (NKE)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
41	Nucor Corp. (NUE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
42	Oracle Corp. (ORCL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
43	Penske Automotive Group, Inc. (PAG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
44	Philip Morris International, Inc. (PM)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
45	Principal Financial Group, Inc. (PFG)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
46	PROCTOR & GAMBLE (PG)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
47	Prologis, Inc. (PLD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
48	ROYAL BANK OF CANADA MONTREAL QUE (RY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
49	Ryder System, Inc. (R)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
50	SANOFI ADR (SNY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
51	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	Southern Company (SO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
53	Starbucks Corp. (SBUX)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
54	TARGANTA THERAPEUTICS ESCROW (MM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
55	Target Corp. (TGT)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
56	TORONTO DOMINION BANK NEW (TD)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
57	TRUIST FINANCIAL CORP (TFC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
58	Uber Technologies, Inc. (UBER)	N/A	\$15,001 - \$50,000		None (or less than \$201)
59	UBS GROUP AG (UBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
60	United Airlines Holdings, Inc. (UAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
61	United Parcel Service, Inc. (UPS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
62	VERIZON COMMUNICATIONS COM (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
63	Walgreens Boots Alliance, Inc. (WBA)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
64	AMERICAN INCOME FUND OF AMERICA CLASS F2 (AMEFZ)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
65	EATON VANCE ADV SR FLTG RT ADVANTAGE FUND CL I (EIFAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
66	FEDERATED HERMES STRATEGIC VALUE DIV FUND CL IS (SVAIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
67	FEDERATED HERMES KAUFMAN LARGE CAP FUND C IS (KLCIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
68	Fidelity Advisor New Insights Fund Class I Shares (FINSX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
69	FIRST EAGLE FUNDS FIRST EAGLE GLOBAL FUND CLASS I (SGIIX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
70	LOOMIS SAYLES STRATEGIC INCOME FUND (CL-Y (NEZYX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
71	JP MORGAN VALUE ADVANTAGE FD CLASS L (JVAIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
72	NUVEEN MUN TR HIGH YIELD MUN BD FD INSTL SHS CLASS (NHMRX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
73	MANAGED PORT SER REINHART MID CAP PRIVATE MKT VALU FD ADVISOR CL (RPMVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
74	VIRTUS FUNDS VIRTUS VONTOBEL EMG MKTS OPPRTNTIES FUND CLASS I (HIEMX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
75	VICTORY PORTFOLIOS FLTG RATE FD CL Y (RSFYX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
76	Calamos Strategic Total Return Fund (CSQ)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
77	Nuveen Georgia Quality Municipal Income Fund (NKG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
78	VIRTUS ALLIANZGI EQUITY & CONV INCOME FUND (NIE)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
79	AAM UBIQUITOUS STRATEGY PORTFOLIO SER 2020-2Q FR (CUSIP 00780L612)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
80	SMARTTRUST HEALTHCARE INNOVATIONS TRUST SER 10 FEE REIN (CUSIP 83192N533)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
81	PrincipalGlobal Real Estate Securities Fund Institutional Class Shares (POSIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
82	AMERICAN FUNDS GROWTH FUND OF AMERICA (AGTHX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
83	MAINSTAY MACKAY HIGH YIELD MUNICIPAL BOND FUND CL I (MMHAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
84	AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS CL F-2 (AWSHX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
85	AMERICAN FUNDS EURO PACIFIC GROWTH FUND CL F-2 (AEPGX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
86	T ROWE PRICE SUMMIT MUNICIPAL INTERMEDIATE FUND INVESTOR CL (PRSMX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
87	AMERICAN FUNDS NEW WORLD CL F-2 (NFFFX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
88	First Eagle Overseas Fund Class R5 Shares (FEROX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
89	U.S. brokerage account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
90	INVESCO OPPENHEIMER DEVELOPING MARKETS Y (ODVYX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
91	FPA Crescent Fund (FPACX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
92	THORNBURG LIMITED TERM MUNICIPAL FUND CL INSTITUTIONAL (LTMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
93	JD COM INC. SPONS ADR COM CL A (JD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
94	Johnson Controls International Plc (JCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
95	LAS VEGAS SANDS CORP (LVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
96	Corteva, Inc. (CTVA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
97	Biogen Inc. (BIIB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
98	Cummins, Inc. (CMI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
99	General Motors Co. (GM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
100	Foot Locker, Inc. (FL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
101	ANHEUSER BUSCH INVBEV SA/NV (BUD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
102	JM SMUCKER CO (SJM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
103	Weyerhaeuser Co. (WY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
104	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
105	Virtu Financial, Inc. (VIRT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
106	Activision Blizzard, Inc. (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
107	General Mills, Inc. (GIS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
108	Owens Corning (OC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
109	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
110	Seagate Technology Plc (STX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
111	Newmont Corp. (NEM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
112	Waste Management, Inc. (WM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
113	Intel Corp. (INTC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
114	CVS Health Corp. (CVS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
115	Occidental Petroleum Corp. (OXY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
116	ORINDA INCOME OPPORTUNITIES FUND CL I (OIOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
117	U.S. bank (cash) #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
118	AAM BULLDOG PORTFOLIO SERIES 2021-2A FEE REIN (CUSIP 00781C355)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
119	Macy's, Inc. (M)	N/A	\$1,001 - \$15,000		None (or less than \$201)
120	eBay, Inc. (EBAY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
121	Dow, Inc. (DOW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
122	MORGAN STANLEY (MS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
123	FPA Crescent Fund (FPACX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
124	Vivaldi Merger Arbitrage Fund Class I Shares (VARBX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
125	Victory Trivalent International Small-Cap Fund Class I Shares (MISIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	FLAGSTAR BANK	Mortgage on Personal Residence	\$250,001 - \$500,000	2016	3.125	15 YEARS

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	6	All reportable assets have been disclosed.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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