

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Arnold, Sharon

Associate Deputy Assistant Secretary, Department of Health & Human Services

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Arnold, Sharon [electronically signed on 11/30/2018 by Arnold, Sharon in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Burnszynski, Jennifer, Certifying Official [electronically signed on 12/19/2018 by Burnszynski, Jennifer in Integrity.gov]

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Other review conducted by

/s/ Cravens, Catherine, Ethics Official [electronically signed on 12/18/2018 by Cravens, Catherine in Integrity.gov]

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U.S. Office of Government Ethics Certification

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#### Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Bristol-Myers Squibb		Sale	09/26/2018	No	\$1,001 - \$15,000
2	General Electric Co.		Sale	09/28/2018	No	\$15,001 - \$50,000
3	Merck & Co Inc		Sale	09/28/2018	No	\$1,001 - \$15,000
4	Procter & Gamble		Sale	09/26/2018	No	\$50,001 - \$100,000
5	Express Scripts Holding Co.		Sale	09/28/2018	No	\$1,001 - \$15,000
6	3M Co		Sale	09/28/2018	No	\$50,001 - \$100,000
7	BlackRock Health Sciences Opps Instl		Sale	10/03/2018	No	\$50,001 - \$100,000
8	Altria Group Inc		Sale	09/28/2018	No	\$15,001 - \$50,000
9	Phillip Morris Intl. Inc.		Sale	09/28/2018	No	\$15,001 - \$50,000
10	Walt Disney Co		Sale	09/28/2018	No	\$50,001 - \$100,000
11	AT&T Inc		Sale	09/28/2018	No	\$1,001 - \$15,000
12	Apple Inc.		Sale	09/28/2018	No	\$50,001 - \$100,000
13	Cisco Systems Inc		Sale	09/28/2018	No	\$15,001 - \$50,000
14	DXC Technology Co.		Sale	09/28/2018	No	\$1,001 - \$15,000
15	Hewlett Packard Enterprises Co.		Sale	09/28/2018	No	\$1,001 - \$15,000
16	HP Inc.		Sale	09/28/2018	No	\$1,001 - \$15,000
17	Frontier Communications Corp	See Endnote	Sale	09/28/2018	No	
18	Intel Corp		Sale	09/26/2018	No	\$15,001 - \$50,000
19	Microsoft Corp		Sale	09/26/2018	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	Verizon Communications Inc	Sale	09/26/2018	No	\$15,001 - \$50,000
21	Coca Cola Company	Sale	09/26/2018	No	\$15,001 - \$50,000
22	McDonald's Corp	Sale	09/26/2018	No	\$15,001 - \$50,000
23	Mondelez International Inc	Sale	09/28/2018	No	\$1,001 - \$15,000
24	Kraft Heinz Co	Sale	09/26/2018	No	\$1,001 - \$15,000
25	Chevron Corp	Sale	09/28/2018	No	\$15,001 - \$50,000
26	Exxon Mobil Corp	Sale	09/28/2018	No	\$100,001 - \$250,000
27	Vanguard Federal Money Market	Purchase	11/16/2018	No	\$15,001 - \$50,000
28	iShares Core MSCI EAFE ETF	Purchase	11/16/2018	No	\$100,001 - \$250,000
29	iShares Core MSCI Emerging Markets ETF	Purchase	11/16/2018	No	\$100,001 - \$250,000
30	ishares S&P 500 growth ETF	Purchase	11/16/2018	No	\$100,001 - \$250,000
31	iShares S&P SmallCap 600 Index	Purchase	11/16/2018	No	\$15,001 - \$50,000
32	ishares s&p small cap 600 growth ETF	Purchase	11/16/2018	No	\$15,001 - \$50,000
33	vanguard value ETF	Purchase	11/16/2018	No	\$15,001 - \$50,000
34	Vanguard Mid-Cap Growth	Purchase	11/16/2018	No	\$50,001 - \$100,000
35	vanguard mid-cap value etf	Purchase	11/16/2018	No	\$50,001 - \$100,000
36	vanguard s&p 500 index etf	Purchase	11/16/2018	No	\$100,001 - \$250,000
37	Vanguard FTSE All-World ex-US Index Admiral	Sale	11/16/2018	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
38	vanguard ftse europe etf	Sale	11/16/2018	No	\$1,001 - \$15,000
39	Vanguard Mid-Cap Growth	Sale	11/16/2018	No	\$100,001 - \$250,000

## Endnotes

PART	#	ENDNOTE
Transactions	17	value less than \$1000

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a

function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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