

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Fernandez, Jose W

Under Secretary for Economic Growth, Energy, & the Environment, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fernandez, Jose W [electronically signed on 06/22/2022 by Fernandez, Jose W in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Merron, Eric, Certifying Official [electronically signed on 07/05/2022 by Merron, Eric in Integrity.gov]

Other review conducted by

/s/ Merron, Eric, Ethics Official [electronically signed on 07/05/2022 by Merron, Eric in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/25/2022 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Abbvie Inc. (ABBV)	Sale	10/19/2021	No	\$1,001 - \$15,000
2	American Express Co. (AXP)	Sale	10/19/2021	No	\$1,001 - \$15,000
3	Amerisourcebergen Corp.(ABC)	Sale	10/19/2021	No	\$1,001 - \$15,000
4	Apple Inc. (AAPL)	Sale	10/19/2021	No	\$1,001 - \$15,000
5	Applied Materials Inc. (AMAT)	Sale	10/19/2021	No	\$1,001 - \$15,000
6	Bayer AG (BAYRY)	Sale	10/19/2021	No	\$1,001 - \$15,000
7	BHP Group (BHP)	Sale	10/19/2021	No	\$1,001 - \$15,000
8	BK of America Corp. (BAC)	Sale	10/19/2021	No	\$1,001 - \$15,000
9	Boeing Co. (BA)	Sale	10/19/2021	No	\$1,001 - \$15,000
10	Bristol-Myers Squibb Co. (BMY)	Sale	10/19/2021	No	\$1,001 - \$15,000
11	Cigna Corp. (CI)	Sale	10/19/2021	No	\$1,001 - \$15,000
12	Cisco Systems, Inc. (CSCO)	Sale	10/19/2021	No	\$1,001 - \$15,000
13	Citigroup, Inc. (C)	Sale	10/19/2021	No	\$1,001 - \$15,000
14	Coca-Cola Co. (KO)	Sale	10/19/2021	No	\$1,001 - \$15,000
15	Comcast Corp. (CMCSA)	Sale	10/19/2021	No	\$1,001 - \$15,000
16	CVS Health Corp. (CVS)	Sale	10/19/2021	No	\$1,001 - \$15,000
17	Diageo plc (DEO)	Sale	10/19/2021	No	\$1,001 - \$15,000
18	Discover Financial Services (DFS)	Sale	10/19/2021	No	\$1,001 - \$15,000
19	Duke Energy Corp. (DUK)	Sale	10/19/2021	No	\$1,001 - \$15,000
20	eBay, Inc. (EBAY)	Sale	10/19/2021	No	\$1,001 - \$15,000
21	Equinor ASA (EQNR)	Sale	10/19/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Exxon Mobil Corp. (XOM)	Sale	10/19/2021	No	\$1,001 - \$15,000
23	Fidelity Japan Smaller Companies Fund (FJSCX)	Sale	10/19/2021	No	\$1,001 - \$15,000
24	Financial Select Sector SPDR Fund (XLF)	Sale	10/19/2021	No	\$1,001 - \$15,000
25	General Dynamics Corp. (GD)	Sale	10/19/2021	No	\$1,001 - \$15,000
26	General Electric (GE)	Sale	10/19/2021	No	\$1,001 - \$15,000
27	Glaxosmithkline (GSK)	Sale	10/19/2021	No	\$1,001 - \$15,000
28	Goldman Sachs Group Inc. (GS)	Sale	10/19/2021	No	\$1,001 - \$15,000
29	Hess Corp. (HES)	Sale	10/19/2021	No	\$1,001 - \$15,000
30	Intel Corp. (INTC)	Sale	10/19/2021	No	\$1,001 - \$15,000
31	International Business Machines Corp. (IBM)	Sale	10/19/2021	No	\$1,001 - \$15,000
32	Johnson & Johnson (JNJ)	Sale	10/19/2021	No	\$1,001 - \$15,000
33	JPMorgan Chase & Co. (JPM)	Sale	10/19/2021	No	\$1,001 - \$15,000
34	Lowes Companies Inc. (LOW)	Sale	10/19/2021	No	\$1,001 - \$15,000
35	McKesson Corp. (MCK)	Sale	10/19/2021	No	\$1,001 - \$15,000
36	Microsoft Corp. (MSFT)	Sale	10/19/2021	No	\$1,001 - \$15,000
37	Morgan Stanley (MS)	Sale	10/19/2021	No	\$1,001 - \$15,000
38	Motorola Solutions, Inc. (MSI)	Sale	10/19/2021	No	\$1,001 - \$15,000
39	Nestle ADR (NSRGY)	Sale	10/19/2021	No	\$1,001 - \$15,000
40	Northern Trust Corp. (NTRS)	Sale	10/19/2021	No	\$1,001 - \$15,000
41	Philipps 66 (PSX)	Sale	10/19/2021	No	\$1,001 - \$15,000
42	PNC Financial Services Group (PNC)	Sale	10/19/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	PPL Corp. (PPL)	Sale	10/19/2021	No	\$1,001 - \$15,000
44	Procter and Gamble (PG)	Sale	10/19/2021	No	\$1,001 - \$15,000
45	Qualcomm Inc. (QCOM)	Sale	10/19/2021	No	\$1,001 - \$15,000
46	Regions Financial Corp. (RF)	Sale	10/19/2021	No	\$1,001 - \$15,000
47	Royal Dutch Shell (RDSB)	Sale	10/19/2021	No	\$1,001 - \$15,000
48	Sanofi SA (SNY)	Sale	10/19/2021	No	\$1,001 - \$15,000
49	SAP (SAP)	Sale	10/19/2021	No	\$1,001 - \$15,000
50	State Street Corp. (STT)	Sale	10/19/2021	No	\$1,001 - \$15,000
51	Sysco Corp. (SYY)	Sale	10/19/2021	No	\$1,001 - \$15,000
52	TE Connectivity LTD. (TEL)	Sale	10/19/2021	No	\$1,001 - \$15,000
53	TJX Companies Inc. (TJX)	Sale	10/19/2021	No	\$1,001 - \$15,000
54	Truist Financial Corp. (TFC)	Sale	10/19/2021	No	\$1,001 - \$15,000
55	UnitedHealth Group Inc. (UNH)	Sale	10/19/2021	No	\$1,001 - \$15,000
56	US Bancorp (USB)	Sale	10/19/2021	No	\$1,001 - \$15,000
57	Vanguard Financials Index Fund ETF Shares (VFH)	Sale	10/19/2021	No	\$1,001 - \$15,000
58	Verizon Communications Inc. (VZ)	Sale	10/19/2021	No	\$1,001 - \$15,000
59	Vivendi SA (VIVHY)	Sale	10/19/2021	No	\$1,001 - \$15,000
60	Walmart Inc. (WMT)	Sale	10/19/2021	No	\$1,001 - \$15,000
61	Wells Fargo Co. (WFC)	Sale	10/19/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
