

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Kennedy, Patrick

Under Secretary for Management and CFO, Department of State

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kennedy, Patrick [electronically signed on 01/11/2017 by Kennedy, Patrick in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 02/22/2017 by Huitema, David in Integrity.gov]

Other review conducted by

/s/ Taylor, Sarah E, Ethics Official [electronically signed on 02/21/2017 by Taylor, Sarah E in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 03/27/2017 by Rounds, Emory in Integrity.gov]

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	SX5E CLIRM Issuer CS	See Endnote	Purchase	12/30/16	No	\$1,001 - \$15,000
2	SP500 CLIRN Issuer CIBC	See Endnote	Purchase	12/30/16	No	\$15,001 - \$50,000
3	INTL BKT ARN ISS CS	See Endnote	Sale	12/30/16	No	\$15,001 - \$50,000
4	SP500 ARN Issuer BAC	See Endnote	Sale	12/30/16	No	\$15,001 - \$50,000
5	Vanguard Materials ETF	See Endnote	Purchase	12/09	No	\$1,001 - \$15,000
6	Vanguard Information Tech ETF	See Endnote	Purchase	12/09/16	No	\$1,001 - \$15,000
7	Vanguard Industrial ETF	See Endnote	Purchase	12/06/16	No	\$15,001 - \$50,000
8	First TR Exchanged Traded FD Dow Jones IN	See Endnote	Purchase	12/06/16	No	\$1,001 - \$15,000
9	Real Estatate Select Sector SPDR FD SHS	See Endnote	Purchase	12/06/16	No	\$1,001 - \$15,000
10	First Trust Cloud Computing ETF IDX ETF	See Endnote	Purchase	12/09/16	No	\$1,001 - \$15,000
11	Health Care Select SPDR	See Endnote	Purchase	12/09/16	No	\$15,001 - \$50,000
12	Customer Discretionary SPDR	See Endnote	Purchase	12/09/16	No	\$15,001 - \$50,000
13	Sector SPDR Energy	See Endnote	Purchase	12/09/16	No	\$15,001 - \$50,000
14	SPDR US Financial Sector ETF	See Endnote	Purchase	12/09/16	No	\$1,001 - \$15,000
15	Vanguard Consumer Staples ETF	See Endnote	Purchase	12/09/16	No	\$15,001 - \$50,000
16	Vanguard EXTD MKT ETF	See Endnote	Sale	12/09/16	No	\$1,001 - \$15,000
17	Vanguard Large-CAP ETF	See Endnote	Sale	12/09/16	No	\$15,001 - \$50,000
18	Vanguard Dividend Appreciation ETF	See Endnote	Sale	12/09/16	No	\$15,001 - \$50,000
19	Vanguard FTSE Developed Markets ETF	See Endnote	Sale	12/09/16	No	\$15,001 - \$50,000
20	ISHARES S&P 100	See Endnote	Sale	12/09/16	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	1	Spouse
Transactions	2	Spouse
Transactions	3	Spouse
Transactions	4	Spouse
Transactions	5	Spouse
Transactions	6	Spouse
Transactions	7	Spouse
Transactions	8	Spouse
Transactions	9	Spouse
Transactions	10	Spouse
Transactions	11	Spouse
Transactions	12	Spouse
Transactions	13	Spouse
Transactions	14	Spouse
Transactions	15	Spouse
Transactions	16	Spouse
Transactions	17	Spouse
Transactions	18	Spouse
Transactions	19	Spouse
Transactions	20	Spouse

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
