

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

---

Albright, Alice P.

Chief Executive Officer, Millennium Challenge Corporation

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
- 

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Albright, Alice P. [electronically signed on 07/21/2021 by Albright, Alice P. in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ McCarthy, Richard J, Certifying Official [electronically signed on 11/08/2021 by McCarthy, Richard J in Integrity.gov]

---

Other review conducted by

/s/ Barnes, Ann D, Ethics Official [electronically signed on 11/08/2021 by Barnes, Ann D in Integrity.gov]

---

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/09/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Global Partnership for Education - Hosted by the World Bank	Washington, District of Columbia	International partnership/fund hosted by the World Bank	Chief Executive Officer	2/2013	Present
2	Mercersburg Academy	Mercersburg, Pennsylvania	Preparatory School	Member, Board of Regents	5/2010	6/2021
3	UNESCO Institute for Statistics	Montreal, Outside U.S.	Partnership/Initiative within Unicef, a UN Agency	Member, Board of Directors	11/2014	Present
4	Global Leadership Council	New York, New York	Partnership within Unicef, a UN Agency	Member, Board of Directors	9/2018	Present
5	Williams College	Williamstown, Massachusetts	University/College	Member, Board of Directors	7/2021	Present
6	Moonbright LLC	Homewood, Illinois	Partnership	Partner	12/2004	Present
7	GIGA	New York, New York	Partnership/Initiative within Unicef, a UN Agency	Member, Interim Advisory Group	4/2021	Present
8	Irrevocable Family Trust #2	Jackson, Wyoming	Trust	Trustee	2/2013	Present
9	Irrevocable Child Trust #1	Washington, District of Columbia	Trust	Trustee	12/2004	Present
10	Irrevocable Child Trust #2	Washington, District of Columbia	Trust	Trustee	12/2004	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
11	Irrevocable Child Trust #3	Jackson, Wyoming	Trust	Trustee	2/2012	Present
12	Irrevocable Child Trust #4	Jackson, Wyoming	Trust	Trustee	2/2012	Present
13	MAAK Foundation	Washington, District of Columbia	Tax-exempt Foundation	Member of the Board of Directors	7/2002	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	World Bank Group defined benefit plan (value not readily ascertainable): eligible for \$28,000/yr. at age 62	N/A			None (or less than \$201)
2	World Bank Group	N/A		Salary	\$781,385
3	World Bank Group 401(k) Plan	No			
3.1	Wells Fargo Core Bond R6	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard Target Retirement 2035 Inv	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.3	Vanguard Institutional Index I	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	Invesco Developing Markets Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Vanguard Developed Markets Index Admiral	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	World Bank Group Qualified Cash Balance Plan	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	3 Month Euro LIBOR index with FOREX		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	JP Morgan Emerging Market Bond Index Plus		Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	MSCI EAFE Developed Markets - Gross Index - Standard		Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	MSCI Emerging Market Index		Yes	\$15,001 - \$50,000		None (or less than \$201)
4.5	Real 3% (based on US CPI)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.6	Russell 2000 Index		Yes	\$15,001 - \$50,000		None (or less than \$201)
4.7	Standard and Poors 500 - Total Return - Index		Yes	\$100,001 - \$250,000		None (or less than \$201)
4.8	Barclays Capital (formerly Lehman Brothers) Government/Credit Index		Yes	\$15,001 - \$50,000		None (or less than \$201)
5	World Bank Group Supplemental Staff Retirement Plan (Cash Balance)		No			
5.1	Barclays Capital (formerly Lehman Brothers) US TIPS Index		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	JP Morgan Emerging Market Bond Index Plus		Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	MSCI EAFE Developed Markets - Gross Index - Standard		Yes	\$50,001 - \$100,000		None (or less than \$201)
5.4	MSCI Emerging Market Index		Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	Real 3% (based on US CPI)	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Russell 2000 Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.7	Standard and Poors 500 - Total Return - Index	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.8	Barclays Capital (formerly Lehman Brothers) Government/Credit Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	3 Month Euro LIBOR index with FOREX	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	World Bank Group Supplemental Staff Retirement Plan (Defined Benefit Lump sum): eligible upon termination of employment for approx. 4,493.94/month.	N/A	\$250,001 - \$500,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	World Bank Group [WBG] (Defined Benefit Plan)	Washington, District of Columbia	I will continue to participate in this defined benefit Plan. If I receive a distribution, and if any portion or all of my benefit is subject to income tax I will be eligible to receive a tax supplement which represents an approximate amount of the actual tax that may be due to be paid to the IRS.	2/2013
2	World Bank Group 401K Plan	Washington, District of Columbia	I will continue to participate in this defined contribution plan, however WBG will cease to make any contributions after my separation.	2/2013
3	World Bank Group Qualified Cash Balance Plan (Defined Contribution Plan)	Washington, District of Columbia	I will continue to participate in this defined contribution plan, however WBG will cease to make any contributions after my separation. If I receive a distribution, and if any portion or all of my benefit is subject to income tax I will be eligible to receive a tax supplement which represents an approximate amount of the actual tax that may be due to be paid to the IRS.	2/2013

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	World Bank Group (Supplemental Staff Retirement Plan "SSRP") Cash Balance Plan [non-qualified]	Washington, District of Columbia	Upon my confirmation I will resign from my position with the GPE. Pursuant to the terms of the plan I will commence to receive my monthly distributions from this supplemental defined contribution plan. If I receive a distribution, and if any portion or all of my benefit is subject to income tax I will be eligible to receive a tax supplement which represents an approximate amount of the actual tax that may be due to be paid to the IRS.	2/2013
5	World Bank Group SSRP Defined Benefit Lump Sum Plan [non-qualified]	Washington, District of Columbia	Upon my confirmation I will resign from my position with the GPE. Pursuant to the terms of the plan I will commence to receive my monthly distributions from this supplemental defined benefit plan. If I receive a distribution, and if any portion or all of my benefit is subject to income tax I will be eligible to receive a tax supplement which represents an approximate amount of the actual tax that may be due to be paid to the IRS.	2/2013

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Global Partnership for Education - Hosted by the World Bank	Washington, District of Columbia	Chief Executive Officer

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	US Bank (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	FLIR SYSTEMS INC	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	ACM Strategic Investment Partners IV, LP	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	ACM II General Partner, LLC (general partner in 3.1)	No			
3.1	ACM Emerging Markets Fund I, LP	Yes	Over \$1,000,000		\$5,001 - \$15,000
4	Albright Capital Management LP	N/A		Salary	
5	ACM Strategic Investment Partners IV, LP carried interest (value not readily ascertainable): 10% of profits over a 10% hurdle rate (Investment fund)	N/A			None (or less than \$201)
5.1	Atlas Corporation (Marine freight and logistics)	N/A			
5.2	Credera Capital LLC (Trade finance)	N/A			
5.3	HedgePoint Global Markets LLC (Financial Services)	N/A			
5.4	Inovattel LLC (Cell towers)	N/A			
5.5	Rodovias do Tiete SA (Highway concessionaire)	N/A			
5.6	ACM Tower Holdings I LP (investment fund)	No			
5.6.1	Inovattel LLC (Cell towers)	N/A			
6	ACM Emerging Markets Fund I, LP carried interest (value not readily ascertainable): 15% of profits over a hurdle rate of 4% (Investment Fund)	N/A			None (or less than \$201)
6.1	AMPAL (Natural gas transfer)	N/A			
6.2	Atlas Corporation (Marine freight and logistics)	N/A			
6.3	DLJ International LP (Investment fund)	Yes			
6.4	Helios Towers PLC (Cell towers)	N/A			



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.5	Jin Young Precision (Precision manufacturing)		N/A			
6.6	Spring Capital Asia Fund LP (Investment fund)		Yes			
6.7	ACM Tower Holdings I LP (investment fund)		No			
6.7.1	Inovattel LLC (Cell towers)					
7	ACM Tower Holdings I, LP carried interest (value not readily ascertainable): 10% of profits over an 8% hurdle (see line item 5.6.1 for underlying investment)	See Endnote	N/A			None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. BANK #1 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Brokerage Account #1		No			
2.1	US brokerage account (CASH)		N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
2.2	TARGET CORPORATION CMN		N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.3	JPMORGAN CHASE & CO CMN		N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
2.4	MERCK & CO., INC. CMN		N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
2.5	ALLSTATE CORPORATION COMMON STOCK		N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
2.6	COCA-COLA COMPANY (THE) CMN		N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	EXXON MOBIL CORPORATION CMN	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.8	PHILIP MORRIS INTL INC CMN	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2.9	INTEL CORPORATION CMN	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.10	DISCOVER FINANCIAL SERVICES CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.11	CIGNA CORP CMN	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.12	ALTRIA GROUP, INC. CMN	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
2.13	MONDELEZ INTERNATIONAL, INC. CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.14	CHARTER COMMUNICATIONS, INC. CMN	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.15	AT&T INC. CMN	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
2.16	AGILENT TECHNOLOGIES, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	THE KRAFT HEINZ CO CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.18	KEYSIGHT TECHNOLOGIES, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	BP P.L.C. SPONSORED ADR CMN	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
3	Brokerage Account #2	No			
3.1	US brokerage account (CASH)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
4	Brokerage Account #3	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	GS HIGH YIELD MUNICIPAL FUND CLASS P	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
4.2	GS TACTICAL TILT OVERLAY FUND CLASS P	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
4.3	JPMORGAN CHASE & CO. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 07/27/2022	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.4	SOCIETE GENERALE LNKD TO NASDAQ 100 STOCK INDX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 07/27/2022	N/A	\$250,001 - \$500,000		None (or less than \$201)
4.5	VANGUARD FTSE ALL-WORLD EX-US INDEX FUND ETF	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.6	XTRACKERS MSCI EAFE HEDGED EQUITY ETF	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
4.7	DFA REAL ESTATE SECURITIES PORTFOLIO INSTITUTIONAL	Yes	None (or less than \$1,001)		\$201 - \$1,000
4.8	SPDR S&P 500 ETF TRUST	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
4.9	VANGUARD INDEX FUNDS - VANGUARD SMALL-CAP GROWTH ETF	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
4.10	WISDOMTREE EUR HDGD EQ FD CMN	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
5	Brokerage Account #4	No			
5.1	ANNE ARUNDEL CNTY MD GO 5% 10/01/24 AO GO CONSOLIDATED BDS 2016 LT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.2	COMMONWEALTH OF MASSACHUSETTS GO 5.0000% 04/01/22 AO - BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	COOK CNTY ILL SCH DIST NO 023 GO 4% 12/15/21 JD PROSPECT HEIGHTS GO LTD SCH BDS 2013 SR LIEN	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.4	COOK CNTY ILL SCH DIST NO 023 GO 4.0000% 12/15/21 JD PROSPECT HEIGHTS GO LTD SCH BDS 2013 LT BNK QUAL BEO SR	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
5.5	DELAWARE ST GO 5% 03/01/23 MS GO REF BDS 2016 C UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.6	EUGENE ORE ELEC UTIL REV REV 5% 08/01/23 FA REV REF BDS 2016 A BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.7	FLORIDA ST DB 5% 07/01/25 JJ RIGHT OF WAY ACQUISTION AND BRDG CONSTR BDS 2018B UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.8	FORT WORTH TEX WTR & SWR REV REV 5% 02/15/27 FA SYS REF IMPT BDS 2020A BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5.9	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
5.10	GREENSBORO N C GO 5% 10/01/26 AO GO REF BDS UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.11	HAWAII ST GO 5% 11/01/25 MN GO BDS EE PRERE 11/01/22 UT BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.12	IND ST FIN AUTH REV 5.0000% 02/01/24 FA RFDG-ST REVOLVING PROG SER C-GREEN BDS BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.13	INDIANA FIN AUTH HWY REV REV 5.0000% 12/01/25 JD RFDG-SER C BEO	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
5.14	KANSAS ST DEPT TRANSN HWY REV REV 5% 09/01/22 MS REF BDS 2015A BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	KY ASET/LIABILITY COMMN AGY FD REV 5.0000% 09/01/23 MS PROJ NTS-FED HWY TR FD-FIRST SER A BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.16	LOUDOUN CNTY VA ECON DEV AUT REV 5.0000% 12/01/21 JD PUB FAC LEASE REV RFDG CTY PUB FACS PROJ A BEO SR LIEN	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.17	LOUISVILLE & JEFFERSON CNTY REV 5.0000% 05/15/23 MN RFDG-SER C BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.18	MARICOPA CNTY ARIZ SCH DIST #4 GO 5.0000% 07/01/21 JJ MESA UNI SCH IMPT- PROJ 2012 SER E UT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.19	MASS BAY TRANSP AUTHORITY REV 5.0000% 07/01/25 JJ SNR SALES TAX BNDS 05 SER A BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.20	METROPOLITAN ATLANTA RAPID REV 3.7500% 07/01/21 JJ TRAN AUTH GA SALES TAX REV REF BDS 2018A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.21	MIAMI-DADE CNTY FLA GO 5% 07/01/23 JJ GO REF BDS 2016 A UT BEO	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
5.22	MINNESOTA ST GO 5% 08/01/27 FA GO VAR PURP BDS 2020 A UT BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5.23	MISSOURI ST BRD PUB BLDGS SPL REV 5% 04/01/24 AO OBLIG SPL OBLIG BDS 2017 A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.24	NEW MEXICO ST GO 5% 03/01/27 MS GO BDS A UT BEO	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.25	NORTH CAROLINA ST LTD OBLIG REV 5% 06/01/25 JD LTD OBLIG REF BDS 2014 B BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.26	OHIO ST GO 5% 09/15/24 MS COMMON SCHS GO REF BDS 2017B UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.27	OKLAHOMA AGRIC & MECHANICAL REV 5% 08/01/21 FA COLLEGES REV GEN-OKLA ST UNIV-SER C BEO	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
5.28	OKLAHOMA TRANSN AUTH OK F/K/A REV 5% 01/01/24 JJ OKLAHOMA ST TPK AUTH REF SER REV BDS 2017E BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.29	ORLANDO & ORANGE CNTY EXPWY REV 5.0000% 07/01/22 JJ AUTH FLA EXPWY REV BONDS SER 2012 BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.30	OSHKOSH WIS SWR SYS REV REV 5% 05/01/24 MN SWR SYS REV BDS 2017 D BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.31	PENNSYLVANIA ST UNIV REV 5% 09/01/22 MS REF BDS B BEO SR LIEN	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.32	SEATTLE WASH MUN LT & PWR REV REV 5% 02/01/26 FA REF BDS 2019 B BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.33	SNOHOMISH CNTY WASH SCH DIST GO 5.0000% 12/01/22 JD #201ULTD TAX GO IMPT&REF BDS 2016 UT BEO	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.34	TUCSON ARIZ WTR REV REV 5% 07/01/23 JJ REF BDS A BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.35	UNION CNTY N C GO 5% 09/01/26 MS GO PUB IMPT BDS 2019 C UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.36	UNIVERSITY ALA GEN REV REV 5% 07/01/26 JJ BDS 2019 A BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.37	WASHINGTON ST DB 5% 06/01/27 JD MTR VEH FUEL TAX GO BDS 2020 D UT BEO	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5.38	WASHOE CNTY NEV DB 5.0000% 07/01/21 JJ GO BDS RENO-SPARKS CONVENTION CTR 2011 ETM REFUNDED	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.39	WILL CNTY ILL CMNTY UNIT SCH GO 5% 11/01/21 MN DIST NO 365-U VY VIEW GO REF BDS 2011B UT BEO	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
5.40	WISCONSIN ST GO 5% 05/01/22 MN GO BDS 2016 D UT BEO SR LIEN	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
5.41	WISCONSIN ST GO 5.0000% 05/01/25 MN WISCONSIN BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.42	YORK CNTY S C SCH DIST NO 1 GO 5% 03/01/23 MS YORK GO REF BDS 2016 UT BEO	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6	Brokerage Account #5	No			
6.1	3M COMPANY CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.2	ABBVIE INC CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.3	ACCENTURE PLC CMN CLASS A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.4	AIR PRODUCTS & CHEMICALS INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.5	ALEXION PHARMACEUTICALS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.6	ALIGN TECHNOLOGY, INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	ALLIANT ENERGY CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.8	ALLY FINANCIAL INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.9	ALPHABET INC. CMN CLASS A	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.10	ALPHABET INC. CMN CLASS C	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.11	AMAZON.COM INC CMN	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.12	AMEREN CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	AMERICAN ELECTRIC POWER INC CMN	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.14	AMERICAN TOWER CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.15	AMERICAN WATER WORKS CO, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.16	AMETEK INC (NEW) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.17	AMGEN INC. CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.18	AMPHENOL CORP CL-A (NEW) CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.19	ANTHEM, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.20	AON PUBLIC LIMITED COMPANY CMN	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
6.21	APPLE INC. CMN	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.22	APPLIED MATERIALS INC CMN	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
6.23	ARROW ELECTRONICS INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.24	ATMOS ENERGY CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.25	AVERY DENNISON CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.26	BALL CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.27	BANK OF AMERICA CORP CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.28	BAXTER INTERNATIONAL INC CMN	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
6.29	BERKSHIRE HATHAWAY INC. CLASS B	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.30	BERRY GLOBAL GROUP INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.31	BLACKROCK, INC. CMN	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6.32	BOEING COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.33	C.H. ROBINSON WORLDWIDE, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.34	CARMAX, INC. CMN	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.35	CATERPILLAR INC (DELAWARE) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.36	CENTENE CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.37	CF INDUSTRIES HOLDINGS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.38	CHARTER COMMUNICATIONS, INC. CMN	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.39	CHURCH & DWIGHT CO., INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.40	CIMAREX ENERGY CO. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.41	CINTAS CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.42	CISCO SYSTEMS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.43	CITIGROUP INC. CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.44	CLOROX CO (THE) (DELAWARE) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.45	COCA-COLA COMPANY (THE) CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.46	COCA-COLA EUROPEAN PARTNERS PL CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.47	CONSOLIDATED EDISON INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.48	CONTINENTAL RESOURCES, INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.49	COPA HOLDINGS, S.A. CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.50	COSTCO WHOLESALE CORPORATION CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.51	COTY, INC. CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.52	CSX CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.53	CUMMINS INC COMMON STOCK	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.54	DEERE & COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.55	DELTA AIR LINES, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.56	DEVON ENERGY CORPORATION (NEW) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.57	DIAMONDBACK ENERGY INC CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.58	DISCOVER FINANCIAL SERVICES CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.59	DOMINION ENERGY INC CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.60	DOW INC. CMN	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.61	DUPONT DE NEMOURS INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.62	EATON CORP PLC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.63	ECOLAB INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.64	EDWARDS LIFESCIENCES CORPORATI CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.65	ELANCO ANIMAL HEALTH INCORPORA CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.66	ELI LILLY & CO CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.67	ENTERGY CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.68	EPAM SYS INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.69	EPR PROPERTIES CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.70	ESTEE LAUDER COS INC CL-A CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.71	FACEBOOK, INC. CMN CLASS A	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.72	FIFTH THIRD BANCORP CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.73	FISERV, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.74	FLEETCOR TECHNOLOGIES, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.75	FLOWERS FOODS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.76	FORD MOTOR COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.77	FORTIVE CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.78	FREEPORT-MCMORAN INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.79	GAMING AND LEISURE PROP, INC. CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.80	GARTNER, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.81	GENERAL MOTORS COMPANY CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.82	GLOBAL PAYMENTS INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.83	US brokerage account (CASH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.84	GRAFTECH INTERNATIONAL LTD. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.85	HALLIBURTON COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.86	HCA HEALTHCARE, INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.87	HONEYWELL INTL INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.88	HORMEL FOODS CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.89	HUMANA INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.90	IHS MARKIT LTD CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.91	ILLINOIS TOOL WORKS CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.92	INTEL CORPORATION CMN	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6.93	INTL BUSINESS MACHINES CORP CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
6.94	IPG PHOTONICS CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.95	IQVIA HOLDINGS INC CMN	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.96	JABIL INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.97	JOHNSON & JOHNSON CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.98	JPMORGAN CHASE & CO CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.99	KOHL'S CORP (WISCONSIN) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.100	KROGER COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.101	L3HARRIS TECHNOLOGIES INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.102	LAMAR ADVERTISING COMPANY CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.103	LAMB WESTON HOLDINGS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.104	LEGGETT & PLATT, INCORPORATED CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.105	LOCKHEED MARTIN CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.106	LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.107	MACY'S INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.108	MASTERCARD INCORPORATED CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.109	MC DONALDS CORP CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.110	MEDTRONIC PUBLIC LIMITED COMPA CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.111	MERCK & CO., INC. CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.112	MICROSOFT CORPORATION CMN	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.113	MOLINA HEALTHCARE, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.114	MONSTER BEVERAGE CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.115	NETFLIX, INC. CMN	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.116	NEWMONT CORP CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.117	NEXTERA ENERGY, INC. CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.118	NIKE CLASS-B CMN CLASS B	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.119	NORFOLK SOUTHERN CORP CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.120	NORTHROP GRUMMAN CORP CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.121	NRG ENERGY, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.122	O-I GLASS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.123	OLIN CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.124	ONEOK INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.125	ORACLE CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.126	PALO ALTO NETWORKS INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.127	PAYPAL HOLDINGS, INC. CMN	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.128	PEPSICO, INC. CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.129	PFIZER INC. CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
6.130	PILGRIM'S PRIDE CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.131	PROCTER & GAMBLE COMPANY (THE) CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.132	PRUDENTIAL FINANCIAL INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.133	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.134	RANGE RESOURCES CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.135	REGAL BELOIT CORP CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.136	ROCKWELL AUTOMATION INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.137	ROYAL CARIBBEAN GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.138	SALESFORCE.COM, INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.139	SENSATA TECHNOLOGIES HOLDING P CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.140	SHERWIN-WILLIAMS CO CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.141	SIMON PROPERTY GROUP INC CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.142	SYSCO CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.143	TARGA RESOURCES CORP. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.144	TEMPUR SEALY INTERNATIONAL INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.145	TESLA, INC. CMN	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.146	THE SOUTHERN CO. CMN	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.147	THE WILLIAMS COMPANIES, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.148	THERMO FISHER SCIENTIFIC INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.149	TRACTOR SUPPLY COMPANY CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.150	TRANE TECHNOLOGIES PUBLIC LIMI CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.151	TRANSDIGM GROUP INCORPORATED CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.152	TRUIST FINANCIAL CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.153	U.S. BANCORP CMN	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
6.154	UGI CORPORATION (HOLDING CO) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.155	UNION PACIFIC CORP. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.156	UNITED AIRLINES HOLDINGS INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.157	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.158	UNITEDHEALTH GROUP INCORPORATE CMN	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.159	UNIVERSAL HEALTH SVC CL B CMN CLASS B	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.160	VEEVA SYSTEMS INC CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.161	VENTAS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.162	VERISK ANALYTICS, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.163	VERIZON COMMUNICATIONS, INC. CMN	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
6.164	VERTEX PHARMACEUTICALS INCORPORATE CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.165	VISA INC. CMN CLASS A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.166	VONTIER CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.167	VULCAN MATERIALS CO CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.168	W.R. GRACE & CO (NEW) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.169	WALMART INC CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.170	WALT DISNEY COMPANY (THE) CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.171	WAYFAIR INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.172	WELLS FARGO & CO (NEW) CMN	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.173	WELLTOWER INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.174	WESTLAKE CHEMICAL CORPORATION CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.175	XILINX INCORPORATED CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.176	XYLEM INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.177	ZOETIS INC. CMN CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.178	ZSCALER, INC. CMN	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.179	BIOGEN INC. CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.180	BRISTOL-MYERS SQUIBB COMPANY CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.181	DEXCOM, INC. CMN	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
6.182	EXPEDITORS INTERNATIONAL OF WA CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.183	FIDELITY NATL INFO SVCS INC CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.184	INSULET CORPORATION CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.185	INTUITIVE SURGICAL, INC. CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.186	KIMBERLY-CLARK CORPORATION CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.187	PROLOGIS INC CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.188	REGENERON PHARMACEUTICAL INC CMN	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
6.189	TIFFANY & CO CMN	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
7	Brokerage Account #6	No			
7.1	US brokerage account (CASH)	N/A	\$500,001 - \$1,000,000	Interest	None (or less than \$201)
7.2	iShares Core S&P 500 Index ETF (XSP) Put	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
8	Brokerage Account #7	No			
8.1	US brokerage account (CASH)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
8.2	GS SHORT DURATION INCOME FUND CLASS P SYMBOL: GMCPX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8.3	GS TAX-ADVANTAGED GLOBAL EQUITY PORTFOLIO CLASS P SYMBOL: GSKPX	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
8.4	ISHARES CORE U.S. AGGREGATE BOND ETF SYMBOL: AGG	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
8.5	VANGUARD INTERNATIONAL EQUITY INDEX FUNDS TOTAL WORLD STK INDEX FD ETF S EXCHANGE-TRADED FUND SYMBOL: VT	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	Irrevocable Family Trust #1	No		cash distribution	\$5,000
9.1	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	Vanguard 500 Index Admiral (VFIAX)		Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
10	Line Intentionally Left Blank					
11	U.S. BANK ACCOUNT - CASH		N/A	\$1,001 - \$15,000		None (or less than \$201)
12	Moonbright LLC		No			
12.1	Undeveloped land, Hillsboro VA		N/A	\$15,001 - \$50,000		None (or less than \$201)
12.2	US Bank Acct (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
13	New York Life, Inc., whole life policy	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
14	Brokerage Account #8		No			
14.1	Federated Hermes Government Obligations Fund		Yes	Over \$1,000,000		\$5,001 - \$15,000
15	US Bank #2 (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
16	DC College Savings Plan #1		No			
16.1	Intermediate-Term Bond Portfolio		Yes	\$15,001 - \$50,000		None (or less than \$201)
17	DC College Savings Plan #2		No			
17.1	Intermediate-Term Bond Portfolio		Yes	\$50,001 - \$100,000		None (or less than \$201)
18	DC College Savings Plan #3		No			
18.1	Intermediate-Term Bond Portfolio		Yes	\$50,001 - \$100,000		None (or less than \$201)
19	DC College Savings Plan #4		No		Cash payment	\$9,395

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.1	Intermediate-Term Bond Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	Iowa College Savings Plan #1	No		Cash payment	\$18,000
20.1	Bond Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Iowa College Savings Plan #2	No			
21.1	Bond Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Iowa College Savings Plan #3	No		Cash payment	\$55,260
22.1	Bond Index Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	Iowa College Savings Plan #4	No			
23.1	Bond Index Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
24	UTMA Acct #1 (closed account)	No			
24.1	VMMXX Cash Res Fed MM Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
24.2	VEUSX European Stock Index Adm	Yes	None (or less than \$1,001)		\$201 - \$1,000
24.3	VMFXX Federal Money Mkt Fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
24.4	VWELX Wellington Fund Inv	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
24.5	VFIAX 500 Index Fund Adm	Yes	None (or less than \$1,001)		\$201 - \$1,000
25	UTMA Acct #2 (closed account)	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25.1	VMMXX Cash Res Fed MM Fund	Yes	None (or less than \$1,001)		\$201 - \$1,000
25.2	VMFXX Federal Money Mkt Fund	Yes	None (or less than \$1,001)		None (or less than \$201)
25.3	VWELX Wellington Fund Inv	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
25.4	VFIAX 500 Index Fund Adm	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
26	Irrevocable Family Trust #2	No		Cash Distribution	\$340,000
26.1	Trust Brokerage Acct #1	No			
26.1.1	ADT INC (ADT)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.1.2	AGILENT TECHNOLOGIES, INC. CMN (A)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.1.3	ALPHABET INC (GOOGL)	N/A	\$250,001 - \$500,000		None (or less than \$201)
26.1.4	AMERICAN INTL GROUP, INC. CMN (AIG)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26.1.5	AON PLC (AON)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
26.1.6	APPLE INC (AAPL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$100,001 - \$1,000,000
26.1.7	AUTOMATIC DATA PROCESSING INC CM (ADP)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26.1.8	BANK OF AMERICA CORP (BAC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
26.1.9	BLACKROCK INC (BLK)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.1.10	CATERPILLAR INC (DELAWARE) (CAT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.1.11	CBRE GROUP INC (CBRE)	N/A	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000
26.1.12	CDK GLOBAL INC CMN (CDK)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
26.1.13	CHARLES SCHWAB (SCHW)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26.1.14	CHARTER COMMUNICATIONS INC (CHTR)	N/A	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000
26.1.15	COMCAST CORP CL A (CMCSA)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26.1.16	CONSTELLATION BRANDS INC CMN CL (STZ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.1.17	DIAMONDBACK ENERGY INC (FANG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.1.18	FACEBOOK,INC CL A (FB)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.1.19	FISERV, INC. CMN (FISV)	N/A	\$50,001 - \$100,000		None (or less than \$201)
26.1.20	GENERAL MOTORS CO (GM)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$50,001 - \$100,000
26.1.21	GOLDMAN SACHS GROUP INC (GS)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
26.1.22	HCA HOLDINGS INC (HCA)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
26.1.23	HILTON WORLDWIDE HOLDINGS INC (HLT)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.1.24	HUMANA INC. CMN (HUM)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
26.1.25	INTEL CORPORATION (INTC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
26.1.26	KEURIG DR PEPPER INC CMN (KDP)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
26.1.27	NETFLIX INC (NFLX)	N/A	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000
26.1.28	ORACLE CORPORATION (ORCL)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
26.1.29	POST HOLDINGS, INC. CMN (POST)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.1.30	REGENERON PHARMACEUTICAL INC (REGN)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.1.31	SOUTHWEST AIRLINES (LUV)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.1.32	TD AMERITRADE (AMTD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.1.33	THE HOWARD HUGHES CO (HHC)	N/A	\$50,001 - \$100,000		None (or less than \$201)
26.1.34	UNDER ARMOUR, INC CL C (UA.C)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.1.35	VISA INC. CMN CLASS A (V)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
26.1.36	WELLS FARGO & CO (WFC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
26.1.37	WORKDAY, INC. CMN CLASS A (WDAY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.2	Trust Brokerage Acct #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2.1	MSCI EAFE HEDGED EQUITY INDEX FD (DB XTRACKERS) (DBEF)	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.2.2	GS HIGH YIELD MUNICIPAL FUND CLASS P SHARES (GGLPX)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
26.2.3	GS SMALL CAP EQUITY INSIGHTS FUND CLASS P SHARES (GMAPX)	Yes	None (or less than \$1,001)		None (or less than \$201)
26.2.4	GS TACTICAL TILT OVERLAY MUTUAL FUND SHARES (GSLPX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
26.2.5	VANGUARD FTSE ALL-WORLD EX-US INDEX FUND ETF (VEU)	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.2.6	VANGUARD FTSE EMERGING MARKETS INDEX FUND ETF (VWO)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
26.2.7	SPDR S&P 500 ETF TRUST (SPY)	Yes	\$250,001 - \$500,000		\$100,001 - \$1,000,000
26.2.8	PUT/S&P 500 Index (SPX), open position for written put options: 3820 shares, exp. 9/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2.9	PUT/S&P 500 Index (SPX), open position for written put options: 3850 shares, 8/2021	N/A	None (or less than \$1,001)		None (or less than \$201)
26.2.10	PUT/S&P 500 Index (SPX), open position for written put options: 3890 shares, 9/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2.11	PUT/S&P 500 Index (SPX), open position for written put options: 3920 shares, 8/2021	N/A	None (or less than \$1,001)		None (or less than \$201)
26.2.12	PUT/S&P 500 Index (SPX), open position for written put options: 3960 shares, 10/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2.13	PUT/S&P 500 Index (SPX), open position for written put options: 4000 shares, 8/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2.14	PUT/S&P 500 Index (SPX), open position for written put options: 4050 shares, 11/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2.15	PUT/S&P 500 Index (SPX), open position for written put options: 4190 shares, 9/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.2.16	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.17	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.18	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.19	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.20	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.21	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.22	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.23	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.24	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.25	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.26	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.27	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.28	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2.29	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.30	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.31	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.32	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.33	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.34	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.35	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.36	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.2.37	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.38	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.39	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.2.40	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.41	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.2.42	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2.43	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.2.44	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.45	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.46	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.47	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.48	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.49	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.50	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.51	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.52	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.53	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
26.2.54	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.55	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.56	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.2.57	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.58	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.59	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.60	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.61	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.62	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.63	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.64	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.65	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.66	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.67	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.68	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.2.69	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.2.70	S&P 500 Index (SPX), closed position for written put option	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.3	Trust Brokerage Acct #3	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.3.1	AIRBUS S.E. ADR (EADSY)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.3.2	ALPHABET INC (GOOG)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.3.3	AMAZON (AMZN)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.3.4	AMERISOURCEBERGEN (ABC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.3.5	ANTHEM INC (ANTM)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.3.6	APPLIED MATERIALS (AMAT)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.3.7	BOOKING HOLDINGS INC (BKNG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.3.8	BROOKFIELD ASSET MANAGEMENT (BAM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.3.9	CARLYLE GROUP INC (CG)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.3.10	COMPASS GROUP PLC SPONSORED ADR (CMPGY)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
26.3.11	COSTAR GROUP, INC. CMN (CSGP)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.3.12	CREDIT ACCEPTANCE CORPORATION (CACC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.3.13	CVS HEALTH CORP (CVS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.3.14	EVEREST RE GROUP LTD (RE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.3.15	EVOLUTION AB ADR CMN (EVTY)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.3.16	FACEBOOK, INC (FB)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.3.17	GENERAL ELECTRIC CO (GE)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$50,001 - \$100,000
26.3.18	HEICO CORP CL A (HEIA)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
26.3.19	HILTON WORLDWIDE HOLDINGS INC (HLT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.3.20	HOWMET AEROSPACE INC (HWM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.3.21	JONES LANG LASALLE INC (JLL)	N/A	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
26.3.22	KKR & CO INC (KKR)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.3.23	LAM RESEARCH CORPORATION CMN	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.3.24	MARRIOTT INTERNATIONAL, INC (MAR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.3.25	MASTERCARD INC (MA)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$5,001 - \$15,000
26.3.26	MCKESSON CORP (MCK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.3.27	MICROSOFT CORP (MFST)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
26.3.28	NATIONAL OILWELL VARCO INC (NOV)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.3.29	NVIDIA CORPORATION (NVDA)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$100,001 - \$1,000,000
26.3.30	ORACLE CORPORATION (ORCL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.3.31	QORVO INC (QRVO)	N/A	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
26.3.32	S&P GLOBAL INC (SPGI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
26.3.33	SAP SE (SPON ADR) (SAP)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.3.34	SALESFORCE.COM (CRM)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.3.35	SKYWORKS SOLUTIONS INC (SWKS)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$50,001 - \$100,000
26.3.36	SS&C TECHNOLOGIES HOLDINGS, IN CMN (SSNC)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
26.3.37	SWISS RE LTD SPONSORED ADR (SSREY)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
26.3.38	TRANSDIGM GROUP INC (TDG)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000
26.3.39	UNITED PARCEL SERVICE, INC CL B (UPS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.3.40	VISA INC (V)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
26.3.41	WAYFAIR INC. CMN (W)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.3.42	WHITBREAD PLC SPONSORED ADR (WTBDY)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
26.4	Trust Brokerage Acct #4	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.1	3M COMPANY (MMM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.2	ABBVIE INC (ABBV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.3	ABBOTT LABORATORIES (ABT)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.4.4	ACCENTURE PLC (ACN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.5	ACTIVISION BLIZZARD INC (ATVI)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.6	ADAPTIVE BIOTECHNOLOGIES CORP (ADPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.7	ADOBE INC (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.8	ADVANCE AUTO PARTS INC (AAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.9	ADVANCED MICOR DEVICES, INC (AMD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.10	AES CORP (AES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.11	AFLAC INC (AFL)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.12	AGNC INVESTMENT CORP (AGNC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.13	AIR PRODUCTS & CHEMICALS INC (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.14	ALEXANDRIA REAL ESTATE EQUITIES, INC (ARE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.15	ALIGN TECHNOLOGY, INC (ALGN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.16	ALLERGAN PLC (AGN)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.4.17	ALLSTATE CORPORATION (ALL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.18	ALLY FINANCIAL INC (ALLY)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19	ALPHABET INC. CMN CLASS A (GOOGL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.4.20	ALPHABET INC. CMN CLASS C (GOOG)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
26.4.21	ALTERYX, INC (AYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.22	ALTRIA GROUP, INC (MO)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.23	AMAZON.COM INC CMN (AMZN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.4.24	AMCOR PLC (AMCR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.25	AMEREN CORPORATION (AEE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.26	AMERICAN ELECTRIC POWER INC (AEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.27	AMERICAN EXPRESS (AXP)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.28	AMERICAN INTL GROUP (AIG)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.29	AMERICAN TOWER CORPORATION (AMT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.30	AMERICAN WATER WORKS CO, INC (AWK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.31	AMERISOURCEBERGEN CORP (ABC)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
26.4.32	AMETEK INC (AME)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
26.4.33	AMGEN INC (AMGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.34	AMPHENOL CORP CL-A (NEW) CLASS A (APH)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.35	ANNALY CAPITAL MANAGEMENT, INC CMN (NLY)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
26.4.36	ANTHEM, INC. (ANTM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.37	AON PLC (AON)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
26.4.38	APPLE INC. (AAPL)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$100,001 - \$1,000,000
26.4.39	APPLIED MATERIALS INC (AMAT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.40	ARAMARK (ARMK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.41	ARCH CAPITAL GROUP LTD. CMN (ACGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.42	ARCHER-DANIELS-MIDLAND (ADM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.43	AT&T INC (T)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
26.4.44	AUTODESK, INC (ADSK)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.45	AUTOMATIC DATA PROCESSING INC (ADP)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.46	AVALARA INC (AVLR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.47	AVALONBAY COMMUNITIES INC (AVB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.48	AVANGRID, INC (AGR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.49	BALL CORP (BLL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.50	BANK OF AMERICA CORP (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.51	BAXTER INTERNATIONAL INC (BAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.52	BECTON DICKINSON & CO (BDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.53	BERKSHIRE HATHAWAY INC CLASS B (BRKB)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
26.4.54	BIOGEN INC (BIIB)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.55	BOEING COMPANY (BA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.56	BOOKING HOLDINGS INC (BKNG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.57	BOSTON PROPERTIES, INC (BXP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.58	BOSTON SCIENTIFIC CORP (BSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.59	BRISTOL-MYERS SQUIBB CO (BMY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.60	BROADCOM INC. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.61	BRUNSWICK CORP (BC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.62	C.H. ROBINSON WORLDWIDE INC (CHRW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
26.4.63	CAPRI HOLDINGS LIMITED (CPRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.64	CARDINAL HEALTH INC (CAH)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.65	CARMAX, INC. CMN (KMX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.66	CARNIVAL CORPORATION (CCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.67	CATERPILLAR INC (DELAWARE) (CAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.68	CELANESE CORPORATION (CE)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.69	CENTENE CORPORATION (CNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.70	CENTERPOINT ENERGY INC (CNP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
26.4.71	CENTURYLINK INC (LUMN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.72	CERNER CORP (CERN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.4.73	CHARLES SCHWAB CORPORATION (SCHW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.74	CHARTER COMMUNICATIONS, INC. (CHTR)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.75	CHEVRON CORP (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.76	CHIPOTLE MEXICAN GRILL INC (CMG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.77	CHUBB LTD (CB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.78	CHURCH & DWIGHT CO, INC (CHD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.79	CIGNA CORPORATION (CI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.80	CINCINNATI FINANCIAL CORP (CINF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.81	CINTAS CORP (CTAS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.82	CISCO SYSTEMS, INC (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.83	CITIGROUP INC (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.84	CITIZENS FINANCIAL GROUP IN (CFG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.85	CLOROX CO (THE) (DELEWARE) (CLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.86	CME GROUP INC CLASS A (CME)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.87	CMS ENERGY CORPORATION (CMS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.88	CNA FINANCIAL CORP (CAN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.89	COCA-COLA COMPANY THE (KO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.90	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A (CTSH)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.91	COMCAST CORPORATION CLASS A VOTING (CMCSA)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
26.4.92	COMERICA INCORPORATED (CMA)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.93	CONAGRA BRANDS INC (CAG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.94	COGNIZANT TECHNOLOGY SOLUTIONS CL A (CTSH)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.95	CONOCOPHILLIPS (COP)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.96	CONSOLIDATED EDISON INC (ED)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.97	CONSTELLATION BRANDS INC CLASS A (STZ)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
26.4.98	CONTINENTAL RESOURCES, INC (CLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.99	COPART INC (CPRT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.10 0	CORTEVA, INC (CTVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.10 1	COSTCO WHOLESALE CORPORATION (COST)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.10 2	COTY INC CL A (COTY)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.10 3	COUPA SOFTWARE INC (COUP)	N/A	None (or less than \$1,001)		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.10 4	CROWN CASTLE INTL CORP (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.10 5	CUMMINS INC (CMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.10 6	CVS HEALTH CORP (CVS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.10 7	D.R. HORTON (DHI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.10 8	DANAHER CORPORATION (DHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.10 9	DARDEN RESTAURANTS, INC (DRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.11 0	DEERE & COMPANY (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.11 1	DELTA AIR LINES INC (DAL)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.11 2	DENTSPLY SIRONA INC (XRAY)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.11 3	DEXCOM, INC. (DXCM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.11 4	DIAMONDBACK ENERGY INC (FANG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.11 5	DIGITAL REALTY TRUST, INC (DLR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.11 6	DISCOVER FINANCIAL SERVICES, INC (DFS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.11 7	DISCOVERY INC CMN (DISCK)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.11 8	DOLLAR GENERAL CORP (DG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.11 9	DOLLAR TREE INC (DLTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.12 0	DOMINION ENERGY INC (D)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.4.12 1	DTE ENERGY COMPANY (DTE)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.12 2	DUKE ENERGY CORP (DUK)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.12 3	DYNATRACE HOLDINGS LLC (DT)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.12 4	EATON CORP PLC CMN (ETN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.12 5	EBAY INC (EBAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.12 6	ECOLAB INC (ECL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.12 7	EDISON INTERNATIONAL (EIX)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.12 8	EDWARDS LIFESCIENCES CORP (EW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.12 9	ELANCO ANIMAL HEALTH INC (ELAN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.13 0	ELECTRONIC ARTS (EA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.13 1	ELI LILLY & CO (LLY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.13 2	EMERSON ELECTRIC CO (EMR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.13 3	ENPHASE ENERGY, INC (ENPH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.13 4	ENTERGY CORPORATION (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.13 5	EQUIFAX INC (EFX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.13 6	EQUINIX INC (EQIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.13 7	ESSEX PROPERTY TRUST INC (ESS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.13 8	ESTEE LAUDER COS INC CL-A CLASS A (EL)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.13 9	ETSY, INC (ETSY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.14 0	EXELON CORPORATION (EXC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.14 1	EXPEDITORS INTERNATIONAL OF WA (EXPD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.14 2	EXTRA SPACE STORAGE INC (EXR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.14 3	EXXON MOBIL CORPORATION (XOM)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.14 4	F5 NETWORKS, INC. CMN (FFIV)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.14 5	FACEBOOK, INC. (FB)	N/A	\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.14 6	FASTENAL COMPANY (FAST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.14 7	FEDERAL RLTY INVT TR SBI CMN (FRT)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.14 8	FEDEX CORPORATION (FDX)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.14 9	FIDELITY NATL FINANCIAL I (FNF)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.15 0	FIDELITY NATL INFO SVCS INC (FIS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.15 1	FIFTH THIRD BANCORP (FITB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.15 2	FIRST REPUBLIC BANK (FRC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.15 3	FIRSTENERGY CORP (FE)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.15 4	FISERV INC (FISV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.15 5	FLEETCOR TECHNOLOGIES, INC. (FLT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.15 6	FORD MOTOR COMPANY (F)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.15 7	FORTINET, INC (FTNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.15 8	FORTIVE CORP (FTV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.15 9	FOX CORPORATION (FOXA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.16 0	FOX CORPORATION CMN CLASS B (FOX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.16 1	FRANKLIN RESOURCES INC (BEN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.16 2	FREEMPORT-MCMORAN INC (FCX)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.16 3	GARMIN LTD (GRMN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.16 4	GARTNER, INC (IT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.4.16 5	GENERAL DYNAMICS CORP (GD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.16 6	GENERAL ELECTRIC CO (GE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.16 7	GENERAL MILLS (GIS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
26.4.16 8	GENERAL MOTORS (GM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.16 9	GENUINE PARTS CO. CMN (GPC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.17 0	GILEAD SCIENCES (GILD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.17 1	GLOBAL PAYMENTS INC. (GPN)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
26.4.17 2	GLOBE LIFE INC (GL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.4.17 3	HALLIBURTON COMPANY (HAL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.17 4	HARTFORD FINANCIAL SRVCS GROUP (HIG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.17 5	HASBRO, INC (HAS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.17 6	HEALTHPEAK PROPERTIES INC (PEAK)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.17 7	HENRY SCHEIN INC (HSIC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.17 8	HILTON WORLDWIDE HOLDINGS INC (HLT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.17 9	HONEYWELL INTL INC (HON)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.4.18 0	HORMEL FOODS CORP (HRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.18 1	HOST HOTELS & RESORTS INC (HST)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.18 2	HUMANA INC (HUM)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
26.4.18 3	HUNTINGTON BANCSHARES INC (HBAN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.18 4	IHS MARKIT LTD (INFO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.18 5	ILLINOIS TOOL WORKS (ITW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.18 6	ILLUMINA, INC (ILMN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.18 7	INGERSOLL-RAND PLC (IR)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.18 8	INSULET CORP (PODD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.18 9	INTEL CORPORATION (INTC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.19 0	INTERCONTINENTAL EXCHANGE INC (ICE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.19 1	INTERNATIONAL PAPER CO (IP)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19 2	INTERNATIONAL BUSINESS MACHINES (IBM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.4.19 3	INTERNATIONAL FLAVORS & FRAGRANCE (IFF)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19 4	INTUIT INC (INTU)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19 5	IQVIA HOLDINGS INC (IQV)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19 6	IRON MOUNTAIN INC (IRM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.19 7	J.M. SMUCKER COMPANY/THE (SJM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.19 8	JETBLUE AIRWAYS CORPORATION (JBLU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.19 9	JOHNSON & JOHNSON (JNJ)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
26.4.20 0	JOHNSON CONTROLS INTL (JCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.20 1	JPMORGAN CHASE & CO (JPM)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.20 2	JUNIPER NETWORKS, INC. CMN (JNPR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.20 3	KANSAS CITY SOUTHERN (KSU)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
26.4.20 4	KEYCORP (KEY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.20 5	KIMCO REALTY CORPORATION (KIM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.20 6	KINDER MORGAN INC CL P (KMI)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.20 7	KLA CORP (KLAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.20 8	KOHL'S CORP (WISCONSIN) (KSS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.20 9	KROGER COMPANY (KR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.21 0	L3HARRIS TECHNOLOGIES INC (LHX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.21 1	LAM RESEARCH CORPORATION (LRCX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.21 2	LENNAR CORP CL A (LEN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.21 3	LENNAR CORP CL B (LENB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.21 4	LIBERTY GLOBAL, PLC. CMN CLASS A (LBTYA)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.21 5	LIBERTY GLOBAL, PLC. CMN CLASS C (LBTYK)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.21 6	LIBERTY MEDIA CORP SERIES A (LSXMA)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.21 7	LIBERTY MEDIA CORP SERIES C (LSXMK)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.21 8	LINDE PLC (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.21 9	LKQ CORPORATION (LKQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.22 0	LOEWS CORP (L)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.22 1	LOWES COMPANIES INC (LOW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.22 2	LULULEMON ATHLETICA INC (LULU)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.4.22 3	LYONDELLBASELL INDUSTRIES N.V. (LYB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.22 4	M&T BANK CORP (MTB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.22 5	MARKEL CORP (MKL)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.22 6	MARRIOTT INTERNATIONAL, INC (MAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.22 7	MARSH & MCLENNAN CO INC (MMC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.22 8	MARTIN MARIETTA MATERIALS, INC (MLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.22 9	MARVELL TECHNOLOGY INC (MRVL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.23 0	MASTERCARD INCORPORATED (MA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.23 1	MATCH GROUP, INC. (MTCH)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
26.4.23 2	MAXIM INTEGRATED PRODUCTS INC (MXIM)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.23 3	MCCORMICK & CO NON VTG SHRS (MCK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.23 4	MCDONALDS CORP (MCD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.23 5	MCKESSON CORP (MCK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.23 6	MEDTRONIC PUBLIC LIMITED COMPA (MDT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.23 7	MERCK & CO., INC (MRK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
26.4.23 8	METLIFE, INC (MET)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.23 9	MICROCHIP TECHNOLOGY INCORPORATED (MCHP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.24 0	MICRON TECHNOLOGY, INC (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.24 1	MICROSOFT CORPORATION (MSFT)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
26.4.24 2	MID-AMERICA APT CMNTYS INC (MAA)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.24 3	MOHAWK INDUSTRIES INC (MHK)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.24 4	MOLSON COORS BEVERAGE CO (TAP)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.24 5	MONDELEZ INTERNATIONAL INC (MDLZ)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.24 6	MONSTER BEVERAGE CORP (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.24 7	NASDAQ INC (NDAQ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.24 8	NEWMONT MINING CORPORATION (NEM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.24 9	NEWS CORPORATION CL B (NWS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.25 0	NEXTERA ENERGY, INC (NEE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.4.25 1	NIKE CLASS-B CLASS B (NKE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.25 2	NORFOLK SOUTHERN CORP (NSC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
26.4.25 3	NORTHERN TRUST CORP (NTRS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.25 4	NORTHROP GRUMMAN CORP (NOC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.25 5	NORWEGIAN CRUISE LINE HLDG LTD (NCLH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.25 6	NUCOR CORPORATION (NUE)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.25 7	NVIDIA CORPORATION (NVDA)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.25 8	O'REILLY AUTOMOTIVE INC (ORLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.25 9	OCCIDENTAL PETROLEUM CORP (OXY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.26 0	OGE ENERGY CORP (OGE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
26.4.26 1	OLD DOMINION FGHT LINES INC (ODFL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.26 2	OMNICOM GROUP CMN (OMC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.26 3	ONEOK INC (OKE)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.26 4	ORACLE CORPORATION (ORCL)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.4.26 5	ORGANON & CO (OGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.26 6	PACCAR INC (PCAR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.26 7	PACKAGING CORP OF AMERICA (PKG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
26.4.26 8	PACWEST BANCORP (PACW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.26 9	PALO ALTO NETWORKS INC (PANW)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.27 0	PARKER-HANNIFIN CORP (PH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.27 1	PAYCHEX, INC (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.27 2	PAYCOM SOFTWARE, INC (PAYC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.27 3	PAYPAL HOLDINGS, INC. (PYPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.27 4	PEPSICO, INC (PEP)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.27 5	PFIZER INC (PFE)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
26.4.27 6	PHILIP MORRIS INTL INC (PM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.27 7	PHILLIPS 66 (PSX)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.27 8	PINNACLE WEST CAPITAL CORP (PNW)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.27 9	PNC FINANCIAL SERVICES GROUP (PNC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
26.4.28 0	PPL CORPORATION	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
26.4.28 1	PROCTER & GAMBLE COMPANY (THE) (PG)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
26.4.28 2	PROLOGIS INC (PLD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.28 3	PUBLIC STORAGE (PSA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.28 4	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (PEG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.28 5	QUALCOMM INC (QCOM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.28 6	RAYTHEON CO (RTN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.28 7	REALTY INCOME CORPORATION (O)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
26.4.28 8	REGENCY CENTERS CORP (REG)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.28 9	REGENEREN PHARMACEUTICAL INC (REGN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.29 0	REGIONS FINANCIAL CORP	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.29 1	REPUBLIC SERVICES INC (RSG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.29 2	RESMED INC (RMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.29 3	ROPER TECHNOLOGIES INC (ROP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.29 4	ROSS STORES,INC CMN (ROST)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
26.4.29 5	ROYAL CARIBBEAN GROUP (RCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.29 6	S&P GLOBAL INC (SPGI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.29 7	SALESFORCE.COM, INC (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.29 8	SCHLUMBERGER LTD (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.29 9	SEI INVESTMENTS COMPANY (SEIC)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.30 0	SEMPRA ENERGY (SRE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.30 1	SERVICENOW INC (NOW)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.30 2	SHERWIN-WILLIAMS CO (SHW)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.30 3	SIMON PROPERTY GROUP INC (SPG)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26.4.30 4	SKYWORKS SOLUTIONS, INC (SWKS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.30 5	SNAP INC CL A (SNAP)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.4.30 6	SNAP-ON INC (SNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.30 7	SOLAREEDGE TECHNOLOGIES, INC (SEDG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.30 8	SOUTHWEST AIRLINES CO (LUV)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.30 9	SPLUNK INC (SPLK)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.31 0	SPRINT CORP (S)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.31 1	STANLEY BLACK & DECKER, INC (SWK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.31 2	STARBUCKS CORP (SBUX)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
26.4.31 3	STERLING BANCORP (STL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.31 4	STRYKER CORP (SYK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.31 5	SYSCO CORPORATION (SYY)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.31 6	T-MOBILE US, INC (TMUS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.31 7	TAPESTRY, INC (TPR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.31 8	TARGA RESOURCES CORP (TRGP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.31 9	TARGET CORP (TGT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.32 0	TD AMERITRADE HOLDING CORP (AMTD)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.32 1	TE CONNECTIVITY LTD (TEL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.32 2	TERADYNE INC (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.32 3	TESLA, INC (TSLA)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
26.4.32 4	TEXAS INSTRUMENTS INC (TXN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.32 5	THE BANK OF NY MELLON CORP (BK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.32 6	THE HERSHEY COMPANY (HSY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.32 7	THE HOME DEPOT, INC. (HD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.32 8	THE KRAFT HEINZ CO (KHC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.32 9	THE PROGRESSIVE CORP (PGR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.33 0	THE SOUTHERN CO (SO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
26.4.33 1	THE TRAVELERS COMPANIES, INC (TRV)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.33 2	THERMO FISHER SCIENTIFIC INC (TMO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.33 3	TIFFANY & CO (TIF)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
26.4.33 4	TJX COMPANIES INC (TJX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26.4.33 5	TRACTOR SUPPLY CO (TSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.33 6	TRANE TECHNOLOGIES PUBLIC LIMI CMN (TT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
26.4.33 7	TRANSUNION (TRU)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.33 8	TRUIST FINANCIAL CORP (TFC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.33 9	TYSON FOODS INC CL A (TSN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
26.4.34 0	U.S. BANCORP (USB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.34 1	ULTA BEAUTY INC (ULTA)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.34 2	UNION PACIFIC CORP (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.34 3	UNITED AIRLINES HOLDINGS, INC (UAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.34 4	UNITED PARCEL SERVICE, INC CL B (UPS)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.34 5	UNITED RENTALS, INC (URI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.34 6	UNITED TECHNOLOGIES CORP (UTX)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.34 7	UNITEDHEALTH GROUP INCORPORATE (UNH)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.34 8	UNIVERSAL DISPLAY CORP (OLED)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.34 9	UNIVERSAL HEALTH SVC CL B (UHS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
26.4.35 0	VALERO ENERGY CORPORATION (VLO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.35 1	VARIAN MEDICAL SYSTEMS INC (VAR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26.4.35 2	VENTAS INC (VTR)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.35 3	VEREIT INC (VER)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.35 4	VERISK ANALYTICS, INC (VRSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.35 5	VERIZON COMMUNICATIONS, INC (VZ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.35 6	VF CORP (VFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.35 7	VIACOMCBS INC CL B (VIAC)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.35 8	VISA INC CLASS A (V)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
26.4.35 9	VISTRA ENERGY CORP (VST)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.36 0	VMWARE, INC. (VMW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.36 1	VONTIER CORPORATION CMN (VNT)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.36 2	VORNADO REALTY TRUST (VNO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.36 3	VULCAN MATERIALS CO (VMC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
26.4.36 4	W.P. CAREY INC (WPC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.36 5	W.W. GRAINGER INC (GWW)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.36 6	WALGREENS BOOTS ALLIANCE INC (WBA)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.36 7	WAL MART STORES INC (WMT)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.36 8	WALT DISNEY COMPANY (THE) (DIS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
26.4.36 9	WASTE MANAGEMENT INC (WM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.4.37 0	WAYFAIR INC (W)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.37 1	WEC ENERGY GROUP, INC (WEC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.37 2	WELLS FARGO & CO (NEW) (WFC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.37 3	WELLTOWER INC (WELL)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.37 4	WENDY'S CO/THE (WEN)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.37 5	WESTINGHOUSE AIR BRAKE TECH (WAB)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.37 6	WEYERHAEUSER COMPANY (WY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.37 7	WILLIS TOWERS WATSON PLC (WLTW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
26.4.37 8	XCEL ENERGY INC (XEL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
26.4.37 9	XILINX INC (XLNX)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
26.4.38 0	XYLEM INC (XYL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.4.38 1	ZAYO GROUP HOLDINGS INC (ZAYO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
26.4.38 2	ZIMMER BIOMET HOLDINGS INC (ZBH)	N/A	None (or less than \$1,001)		None (or less than \$201)
26.4.38 3	ZSCALER, INC (ZS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26.5	Trust Brokerage Acct #5	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5.1	AUSTIN TEX INDPT SCH DIST GO PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 5.00%; DUE: 08/01/2026	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
26.5.2	BALL ST UNIV IND UNIV REVS PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 5.00%; DUE: 07/01/2024	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.3	BETHLEHEM PA AREA SCH DIST GO PRINCIPAL AMOUNT: 390,000; RATE OF INTEREST: 5.00%; DUE: 02/01/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.4	CALIFORNIA ST FOR PREVIOUS GO PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 5.00%; DUE: 11/01/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.5	CK KN LK & MC HNR CN IL CLG DIST PRINCIPAL AMOUNT: 405,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2027	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
26.5.6	CLARK CNTY WASH PUB UTIL DIST REV PRINCIPAL AMOUNT: 315,000; RATE OF INTEREST: 5.00%; DUE: 01/01/2024	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.7	COMMONWEALTH FING AUTH PA TOB REV PRINCIPAL AMOUNT: 405,000; RATE OF INTEREST: 5.00%; DUE: 06/01/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.8	COOK & DUPAGE CNTYS ILL HIGH GO PRINCIPAL AMOUNT: 405,000; RATE OF INTEREST: 4.00%; DUE: 01/01/2029	N/A	\$250,001 - \$500,000		None (or less than \$201)
26.5.9	CUMBERLAND CNTY ME GO PRINCIPAL AMOUNT: 430,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2025	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
26.5.10	DORM AUTHORITY OF NY ST REV PRINCIPAL AMOUNT: 425,000; RATE OF INTEREST: 5.00%; DUE: 02/15/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5.11	FLA ST BRD ED PUB ED DB PRINCIPAL AMOUNT: 200,000; RATE OF INTEREST: 5.00%; DUE: 06/01/2021	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
26.5.12	FLORIDA ST DEPT MGMT SVCS CTFS REV PRINCIPAL AMOUNT: 440,000; RATE OF INTEREST: 5.00%; DUE: 11/01/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.13	FLORIDA ST MUN PWR AGY REV PRINCIPAL AMOUNT: 265,000; RATE OF INTEREST: 5.00%; DUE: 10/01/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.14	HARRIS CNTY TEX FLD CNTRL DIST REV PRINCIPAL AMOUNT: 450,000; RATE OF INTEREST: 5.00%; DUE: 10/01/2034	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
26.5.15	HOLLYWOOD FLA CAP IMPT REV PRINCIPAL AMOUNT: 390,000; RATE OF INTEREST: 5.00%; DUE: 07/01/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.16	HOUSTON TEXAS (CITY OF) REV PRINCIPAL AMOUNT: 225,000; RATE OF INTEREST: 5.25%; DUE: 11/15/2029	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
26.5.17	ILLINOIS FIN AUTH REV PRINCIPAL AMOUNT: 425,000; RATE OF INTEREST: 5.00%; DUE: 12/15/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.18	INDIANA MUN PWR AGY PWR SUPPLY REV PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 5.00%; DUE: 01/01/2021	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
26.5.19	JACKSON TENN ENERGY AUTH WTR REV PRINCIPAL AMOUNT: 430,000; RATE OF INTEREST: 5.00%; DUE: 06/01/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.20	JACKSON TENN ENERGY AUTH WTR REV PRINCIPAL AMOUNT: 415,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2024	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5.21	KENTUCKY ST PPTY & BLDGS COMMN REV PRINCIPAL AMOUNT: 375,000; RATE OF INTEREST: 5.25%; DUE: 11/15/2029	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.22	KING COUNTY WASH SCH DIST NO 403 PRINCIPAL AMOUNT: 565,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2022	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
26.5.23	LAS VEGAS VY NEV WTR DIST GO PRINCIPAL AMOUNT: 430,000; RATE OF INTEREST: 5.00%; DUE: 06/01/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.24	LUBBOCK TEX GO PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 5.00%; DUE 02/15/2020	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
26.5.25	MADISON WISCONSIN GO PRINCIPAL AMOUNT: 250,000; RATE OF INTEREST: 4.00%; DUE: 10/01/2020	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
26.5.26	MARICOPA CNTY ARIZ UN HIGH SCH PRINCIPAL AMOUNT: 415,000; RATE OF INTEREST: 5.00%; DUE: 07/01/2026	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
26.5.27	MARYLAND ST GO PRINCIPAL AMOUNT: 425,000; RATE OF INTEREST: 5.00%; DUE: 08/01/2026	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
26.5.28	MASSACHUSETTS ST SCH BLDG AUTH PRINCIPAL AMOUNT: 385,000; RATE OF INTEREST: 5.00%; DUE: 11/15/2024	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.29	METROPOLITAN TRANSN AUTH N Y REV PRINCIPAL AMOUNT: 470,000; RATE OF INTEREST: 5.00%; DUE 05/15/2020	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
26.5.30	MONTANA ST BRD REGENTS HIGHER REV PRINCIPAL AMOUNT: 395,000; RATE OF INTEREST: 5.00%; DUE: 11/01/2026	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.31	NEW YORK NY FOR PREVIOUS GO PRINCIPAL AMOUNT: 325,000; RATE OF INTEREST: 5.00%; DUE: 08/01/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5.32	NEW YORK ST URBAN DEV CORP REV PRINCIPAL AMOUNT: 175,000; RATE OF INTEREST: 5.00%; DUE: 03/15/2020	N/A	None (or less than \$1,001)		None (or less than \$201)
26.5.33	N Y CITY MUN WTR FIN AUTH REV PRINCIPAL AMOUNT: 335,000; RATE OF INTEREST: 5.00%; DUE: 06/15/2029	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
26.5.34	NORTH BRUNSWICK TWP N J BRD ED GO PRINCIPAL AMOUNT: 455,000 RATE OF INTEREST: 0.874%; DUE: 01/15/2024	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
26.5.35	OKLAHOMA CNTY OKLA INDPT SCH GO PRINCIPAL AMOUNT: 470,000 RATE OF INTEREST: 2.00%; DUE: 08/01/2021	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
26.5.36	PENNSYLVANIA ST GO PRINCIPAL AMOUNT: 430,000 RATE OF INTEREST: 5.00%; DUE: 01/01/2023	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.37	RICHARDSON TEX INDPT SCH DIST PRINCIPAL AMOUNT: 375,000 RATE OF INTEREST: 5.00%; DUE: 02/15/2021	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
26.5.38	SIOUX CITY IOWA FOR ISSUES DTD GO PRINCIPAL AMOUNT: 460,000; RATE OF INTEREST: 3.00%; DUE: 06/01/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.39	SKOKIE ILL GO PRINCIPAL AMOUNT: 395,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2027	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.40	SOUTHFIELD MICH PUB SCHS GO PRINCIPAL AMOUNT: 405,000; RATE OF INTEREST: 5.00%; DUE: 05/01/2021	N/A	None (or less than \$1,001)	Capital Gains Interest	\$15,001 - \$50,000
26.5.41	SUGAR LAND TEX GO PRINCIPAL AMOUNT: 325,000; RATE OF INTEREST: 5.00%; DUE: 02/15/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.5.42	SUMNER CNTY TENN PRINCIPAL AMOUNT: 450,000; RATE OF INTEREST: 5.00%; DUE: 12/01/2021	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.43	TULSA CNTY OKLA INDL AUTH EDL REV PRINCIPAL AMOUNT: 405,000; RATE OF INTEREST: 5.00%; DUE: 09/01/2020	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
26.5.44	UPPER MERION PA AREA SCH DIST GO PRINCIPAL AMOUNT: 340,000; RATE OF INTEREST: 4.00%; DUE: 01/15/2025	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.45	U.S. TREASURY NOTE PRINCIPAL AMOUNT: 515,000; RATE OF INTEREST: 0.625%; DUE: 12/31/2027	N/A	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500
26.5.46	VIRGINIA COMMONWEALTH UNIV REV PRINCIPAL AMOUNT: 365,000; RATE OF INTEREST: 5.00%; DUE: 11/01/2026	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.47	WEST VA HIGHER ED POL COMMN REV PRINCIPAL AMOUNT: 445,000; RATE OF INTEREST: 5.00%; DUE: 04/01/2022	N/A	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
26.5.48	JPMORGAN CHASE & CO LINKED TO NASDAQ 100 STOCK IND ABSOLUTE RETURN W BUFFER STRUCTURED NOTE PRINCIPAL AMOUNT: 2,250,000; DUE: 12/15/2021	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
26.5.49	JPMORGAN CHASE & CO LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE PRINCIPAL AMOUNT: 1,200,000; DUE: 07/27/2022	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
26.6	Trust Brokerage Acct #6	No			
26.6.1	PRIVATE EQUITY MANAGERS: 2011 L.P.	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.6.2	PRIVATE EQUITY MANAGERS: 2014 L.P.	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.6.3	PRIVATE EQUITY MANAGERS: 2015 L.P.	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
26.6.4	PRIVATE EQUITY MANAGERS: 2016 L.P.	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
26.6.5	PRIVATE EQUITY MANAGERS: 2018 L.P.	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
26.6.6	PRIVATE EQUITY MANAGERS: 2019 L.P.	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
26.6.7	PRIVATE EQUITY MANAGERS: 2020, LP	Yes	\$50,001 - \$100,000		\$201 - \$1,000
26.6.8	PRIVATE EQUITY MANAGERS: 2021, LP	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.6.9	PRIVATE EQUITY CO-INVESTMENT PARTNERS L.P.	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.6.10	PRIVATE EQUITY CO-INVESTMENT PARTNERS II L.P.	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
26.6.11	PROGRESS RESIDENTIAL ACCESS LP	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.6.12	HORIZON ENVIRONMENT & CLIMATE SOLUTIONS I, LP	Yes	\$50,001 - \$100,000		None (or less than \$201)
26.6.13	OZRE III ACCESS LP	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
26.6.14	TCV XI ACCESS LP	Yes	\$50,001 - \$100,000		None (or less than \$201)
26.6.15	VINTAGE REAL ESTATE FUND, LP	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
26.6.16	VINTAGE REAL ESTATE PARTNERS II, LP	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.6.17	VINTAGE VII, LP	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.6.18	VINTAGE VIII, LP	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
26.6.19	WEST STREET CAPITAL PARTNERS VIII	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
26.6.20	ARES SPECIAL OPPORTUNITIES ACCESS LP	Yes	\$500,001 - \$1,000,000		\$100,001 - \$1,000,000
26.6.21	BROAD STREET SENIOR CREDIT PARTNERS II, LP	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
26.6.22	GOLDMAN SACHS PRIV MIDDLE MKT CREDIT II LLC	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
26.6.23	CHINA-US INDUSTRIAL COOPERATION PSHP, LP	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
26.6.24	GS ACCESS - GOLDMAN SACHS INVESTMENT PARTNERS/LIBERTY HARBOR	Yes	\$50,001 - \$100,000		\$201 - \$1,000
26.6.25	GOLDMAN SACHS HEDGE FUND OPPORTUNITIES, LLC CLASS A SERIES 1	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
26.6.26	WELLINGTON: NON-US EQUITY LLC - CLASS 2	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
26.6.27	ARTISAN: DYNAMIC EQUITY(NON-US EQUITY) SERIES CLASS 2	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
26.6.28	WILSHIRE EUROPEAN PRIVATE MARKETS FUND VI, LP	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
26.6.29	WILSHIRE NON U.S. PRIVATE MARKETS FUND IV, LP	Yes	\$1,001 - \$15,000		None (or less than \$201)
26.6.30	WILSHIRE U.S. PRIVATE MARKETS FUND IV, LP	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26.6.31	WILSHIRE U.S. PRIVATE MARKETS FUND VI, LP	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
27	Brokerage Account #9	No			
27.1	Federated Hermes Government Obligations Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	BMO Harris Bank NA	Mortgage on Personal Residence	\$100,001 - \$250,000	2015	3.125	10 Years
2	US Bank Home Mortgage	Mortgage on Personal Residence	\$100,001 - \$250,000	2014	3.375	15 Years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
2.	4.5	A fixed income security that pays a return equal to 3% plus the change in the consumer price index.
2.	5.5	A fixed income security that pays a return equal to 3% plus the change in the consumer price index.
5.	7	Special purpose asset investment partnership
6.	13	Filer is the beneficiary.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---