Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Sherwood-Randall, Elizabeth D

Assistant to the President for Homeland Security, White House - Biden-Harris Administration

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Sherwood-Randall, Elizabeth D [electronically signed on 05/06/2024 by Sherwood-Randall, Elizabeth D in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Dorsey, Osasumwen Z, Certifying Official [electronically signed on 05/10/2024 by Dorsey, Osasumwen Z in Integrity.gov]

Other review conducted by
/s/ Dorsey, Osasumwen Z, Ethics Official [electronically signed on 05/10/2024 by Dorsey, Osasumwen Z in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Irrevocable Family Trust 03	Piedmont, California	Trust	Trustee	11/2018	Present
2	Revocable Family Trust 02	Piedmont, California	Trust	Trustee	12/2006	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SEP IRA	No			
1.1	U.S. brokerage account (cash) (IRA)	N/A	$1,001 - $15,000		None (or less than $201)
1.2	iShares MSCI EAFE ETF (EFA)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	JPMorgan Ultra-Short Income ETF (JPST)	Yes	$15,001 - $50,000		None (or less than $201)
1.4	Schwab US Small-Cap ETF (SCHA)	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Schwab Emerging Markets Equity ETF (SCHE)	Yes	$1,001 - $15,000		None (or less than $201)
1.6	Schwab International Equity ETF (SCHF)	Yes	$15,001 - $50,000		None (or less than $201)
1.7	Schwab US Mid-Cap ETF (SCHM)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Schwab Fundamental US Large Company Index Fund (SFLNX)	Yes	$50,001 - $100,000		None (or less than $201)
1.9	Morgan Stanley D W Disc Srmtns 05/17/2023 3.371%	N/A	None (or less than $1,001)		None (or less than $201)
1.10	Invesco BulletShares 2024 Corp Bd ETF (BSCO)	Yes	$15,001 - $50,000		None (or less than $201)
1.11	Invesco BulletShares 2024 High Yield Corporate Bond (BSJO)	Yes	$15,001 - $50,000		None (or less than $201)
1.12	Invesco BulletShares 2025 Corp Bd ETF (BSCP)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	SPDRA Blmbg Barclays ST Corp Bd ETF (SPSB)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sutter West Bay Medical Group (Medical Practice)	N/A		salary, bonus	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	PBSMG Holdings, LLC (1/3 Interest in LLC that holds commercial real estate)	No			
2.1	commercial real estate, Danville, CA	N/A	$250,001 - $500,000	Rent or Royalties	$5,001 - $15,000
3	IRA 01	No			
3.1	U.S. brokerage account (cash) IRA	N/A	$15,001 - $50,000		None (or less than $201)
3.2	Goldman Sachs ActiveBeta International Equity ETF (GSIE)	Yes	$100,001 - $250,000		None (or less than $201)
3.3	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	$100,001 - $250,000		None (or less than $201)
3.4	Invesco BulletShares 2023 Corporate Bond ETF (BSCN)	Yes	None (or less than $1,001)		None (or less than $201)
3.5	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)	Yes	$50,001 - $100,000		None (or less than $201)
3.6	Invesco S&P 500 Equal Weight ETF (RSP)	Yes	$50,001 - $100,000		None (or less than $201)
3.7	iShares Floating Rate Bond ETF (FLOT)	Yes	$50,001 - $100,000		None (or less than $201)
3.8	iShares MBS ETF (MBB)	Yes	$50,001 - $100,000		None (or less than $201)
3.9	iShares Russell 1000 ETF (IWB)	Yes	$100,001 - $250,000		None (or less than $201)
3.10	iShares Russell 2000 ETF (IWM)	Yes	$15,001 - $50,000		None (or less than $201)
3.11	iShares Russell Mid-Cap ETF (IWR)	Yes	$50,001 - $100,000		None (or less than $201)
3.12	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	Schwab Fundamental US Large Company Index ETF (FNDX)	Yes	$100,001 - $250,000		None (or less than $201)
3.14	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	$50,001 - $100,000		None (or less than $201)
3.15	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	$100,001 - $250,000		None (or less than $201)
3.16	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	$15,001 - $50,000		None (or less than $201)
3.17	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	$50,001 - $100,000		None (or less than $201)
3.18	Invesco BulletShares 2024 High Yield Corporate Bond (BSJO)	Yes	$15,001 - $50,000		None (or less than $201)
3.19	Invesco BulletShares 2025 Corp Bd ETF (BSCP)	Yes	$50,001 - $100,000		None (or less than $201)
3.20	JPMorgan Ultra-Short Income ETF (JPST)	Yes	$15,001 - $50,000		None (or less than $201)
3.21	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)	Yes	$50,001 - $100,000		None (or less than $201)
3.22	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)	Yes	$50,001 - $100,000		None (or less than $201)
4	Pacific Brain and Spine Medical Group Inc. Defined Contribution Retirement Plan	No			
4.1	U.S. brokerage account (cash) Def. Ctb. Plan	N/A	$15,001 - $50,000		None (or less than $201)
4.2	JPMorgan Ultra-Short Income ETF (JPST)	Yes	$100,001 - $250,000		None (or less than $201)
4.3	Schwab US Small-Cap ETF (SCHA)	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	Schwab Emerging Markets Equity ETF (SCHE)	Yes	$15,001 - $50,000		None (or less than $201)
4.5	Schwab International Equity ETF (SCHF)	Yes	$50,001 - $100,000		None (or less than $201)
4.6	Schwab US Mid-Cap ETF (SCHM)	Yes	$100,001 - $250,000		None (or less than $201)
4.7	Schwab Fundamental US Large Company Index Fund (SFLNX)	Yes	$100,001 - $250,000		None (or less than $201)
4.8	Cranbrook Realty Investment Fund, LP	No	$100,001 - $250,000		None (or less than $201)
4.8.1	Commercial real estate, San Leandro, CA	N/A			
4.8.2	Commercial real estate, Oakland, CA	N/A			
4.8.3	Commercial real estate, Benicia, CA	N/A			
4.8.4	Commercial real estate, Hayward, CA	N/A			
4.8.5	Commercial real estate, Walnut Creek, CA	N/A			
4.8.6	Commercial real estate, San Ramon, CA	N/A			
4.8.7	Commercial real estate, San Leandro, CA	N/A			
4.8.8	Commercial real estate, Bakersfield, CA	N/A			
4.8.9	Commercial real estate, Cordova, CA	N/A			
4.8.10	Commercial real estate, Lodi, CA	N/A			
4.8.11	Commercial real estate, Modesto, CA	N/A			
4.8.12	Commercial real estate, Walnut Creek, CA	N/A			
4.8.13	Commercial real estate, Livermore, CA	N/A			
4.8.14	Commercial real estate, Manteca, CA	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8.15	Commercial real estate, Antioch, CA	N/A			
4.9	Invesco BulletShares 2024 Corp Bd ETF (BSCO)	Yes	$50,001 - $100,000		None (or less than $201)
4.10	Invesco BulletShares 2024 High Yield Corporate Bond (BSJO)	Yes	$15,001 - $50,000		None (or less than $201)
4.11	Invesco BulletShares 2025 Corp Bd ETF (BSCP)	Yes	$50,001 - $100,000		None (or less than $201)
4.12	Invesco BulletShares 2026 Corp Bd ETF (BSCQ)	Yes	$1,001 - $15,000		None (or less than $201)
4.13	SPDRA Blmbg Barclays ST Corp Bd ETF (SPSB)	Yes	$15,001 - $50,000		None (or less than $201)
5	Cholakian and Associates Law Firm - Medical Expert Witness Testimony	N/A		Expert Witness Testimony	
6	Sutter West Bay Medical Group, 401(k) plan	No			
6.1	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)	Yes	$50,001 - $100,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Irrevocable Family Trust 01	No			
1.1	U.S. brokerage account #1 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
1.2	Goldman Sachs ActiveBeta International Equity ETF (GSIE)	Yes	None (or less than $1,001)		$201 - $1,000
1.3	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	None (or less than $1,001)		$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)		Yes	$15,001 - $50,000		$201 - $1,000
1.5	Residential Real Estate - Walnut Creek, CA		N/A	$250,001 - $500,000		None (or less than $201)
1.6	iShares Core Growth Allocation ETF (AOR)	See Endnote	Yes	None (or less than $1,001)		$201 - $1,000
2	Revocable Family Trust 02		No			
2.1	U.S. brokerage account (cash)		N/A	$250,001 - $500,000		$1,001 - $2,500
2.2	Schwab Fundamental US Large Company Index ETF (FNDX)		Yes	$100,001 - $250,000		$1,001 - $2,500
2.3	Goldman Sachs ActiveBeta International Equity ETF (GSIE)		Yes	$100,001 - $250,000		$2,501 - $5,000
2.4	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)		Yes	$100,001 - $250,000		$5,001 - $15,000
2.5	iShares Russell 1000 ETF (IWB)		Yes	$250,001 - $500,000		$2,501 - $5,000
2.6	iShares Russell 2000 ETF (IWM)		Yes	$50,001 - $100,000		$201 - $1,000
2.7	iShares Russell Mid-Cap ETF (IWR)		Yes	$100,001 - $250,000		$1,001 - $2,500
2.8	JPMorgan Ultra-Short Income ETF (JPST)		Yes	$100,001 - $250,000		$5,001 - $15,000
2.9	Invesco S&P 500 Equal Weight ETF (RSP)		Yes	$50,001 - $100,000		$201 - $1,000
2.10	Schwab US Small-Cap ETF (SCHA)		Yes	$50,001 - $100,000		$201 - $1,000
2.11	Schwab US Broad Market ETF (SCHB)		Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	Schwab Emerging Markets Equity ETF (SCHE)	Yes	$1,001 - $15,000		$201 - $1,000
2.13	Schwab International Equity ETF (SCHF)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.14	Schwab US Mid-Cap ETF (SCHM)	Yes	$50,001 - $100,000		$201 - $1,000
2.15	Schwab Fundamental US Large Company Index Fund (SFLNX)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.16	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	$100,001 - $250,000		$5,001 - $15,000
2.17	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.18	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	$50,001 - $100,000		$1,001 - $2,500
2.19	Cranbrook Realty Investment Fund, LP	No	$50,001 - $100,000	Rent or Royalties	$2,501 - $5,000
2.19.1	Commercial real estate, San Leandro, CA	N/A			
2.19.2	Commercial real estate, Oakland, CA	N/A			
2.19.3	Commercial real estate, Benicia, CA	N/A			
2.19.4	Commercial real estate, Hayward, CA	N/A			
2.19.5	Commercial real estate, Walnut Creek, CA	N/A			
2.19.6	Commercial real estate, San Ramon, CA	N/A			
2.19.7	Commercial real estate, San Leandro, CA	N/A			
2.19.8	Commercial real estate, Bakersfield, CA	N/A			
2.19.9	Commercial real estate, Cordova, CA	N/A			
2.19.10	Commercial real estate, Lodi, CA	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.19.11	Commercial real estate, Modesto, CA		N/A			
2.19.12	Commercial real estate, Walnut Creek, CA		N/A			
2.19.13	Commercial real estate, Livermore, CA		N/A			
2.19.14	Commercial real estate, Manteca, CA		N/A			
2.19.15	Commercial real estate, Antioch, CA		N/A			
2.20	ECCC Property LLC	See Endnote	No			
2.20.1	EPICare Cyberknife (radiation therapy center)		N/A	$15,001 - $50,000	Distribution	$3,400
2.21	Invesco BulletShares 2023 Corporate Bond ETF (BSCN)		Yes	None (or less than $1,001)		$201 - $1,000
2.22	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)		Yes	$100,001 - $250,000		$1,001 - $2,500
2.23	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)		Yes	$15,001 - $50,000		None (or less than $201)
2.24	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)		Yes	$100,001 - $250,000		$5,001 - $15,000
2.25	Invesco BulletShares 2024 High Yield Corporate Bond (BSJO)		Yes	$15,001 - $50,000		$2,501 - $5,000
2.26	Invesco BulletShares 2025 Corp Bd ETF (BSCP)		Yes	$100,001 - $250,000		$2,501 - $5,000
2.27	Invesco BulletShares 2026 Corp Bd ETF (BSCQ)		Yes	$100,001 - $250,000		$2,501 - $5,000
2.28	iShares Floating Rate Bond (FLOT)		Yes	$50,001 - $100,000		$5,001 - $15,000
2.29	PIMCO Enhanced Short Maturity ETF (MINT)		Yes	$50,001 - $100,000		$2,501 - $5,000
2.30	Holly Mortgage Trust - Common Stock		Yes	$1,001 - $15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.31	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	$100,001 - $250,000		$1,001 - $2,500
2.32	iShares Core Growth Allocation ETF (AOR)	Yes	$100,001 - $250,000		None (or less than $201)
2.33	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)	Yes	$50,001 - $100,000		$201 - $1,000
2.34	Schwab Government Money Fund Investor Class Shares (SNVXX)	Yes	None (or less than $1,001)		$5,001 - $15,000
3	Irrevocable Family Trust 03	No			
3.1	U.S. brokerage account (cash) #3	N/A	$100,001 - $250,000		$201 - $1,000
3.2	Goldman Sachs ActiveBeta International Equity ETF (GSIE)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
3.3	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
3.4	Invesco BulletShares 2023 Corporate Bond ETF (BSCN)	Yes	None (or less than $1,001)		$5,001 - $15,000
3.5	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.6	Invesco S&P 500 Equal Weight ETF (RSP)	Yes	$100,001 - $250,000		$2,501 - $5,000
3.7	iShares Floating Rate Bond ETF (FLOT)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.8	iShares MBS ETF (MBB)	Yes	$50,001 - $100,000		$2,501 - $5,000
3.9	iShares Russell 1000 ETF (IWB)	Yes	$500,001 - $1,000,000		$5,001 - $15,000
3.10	iShares Russell 2000 ETF (IWM)	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	iShares Russell Mid-Cap ETF (IWR)	Yes	$250,001 - $500,000		$2,501 - $5,000
3.12	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.13	Schwab Fundamental US Large Company Index ETF (FNDX)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.14	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.15	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	$500,001 - $1,000,000		$15,001 - $50,000
3.16	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.17	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	$100,001 - $250,000		$2,501 - $5,000
3.18	Invesco BulletShares 2024 High Yield Corporate Bond (BSJO)	Yes	$15,001 - $50,000		$2,501 - $5,000
3.19	Invesco BulletShares 2025 Corp Bd ETF (BSCP)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.20	Invesco BulletShares 2026 Corp Bd ETF (BSCQ)	Yes	$250,001 - $500,000		$5,001 - $15,000
3.21	JPMorgan Ultra-Short Income ETF (JPST)	Yes	$100,001 - $250,000		$5,001 - $15,000
3.22	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)	Yes	$250,001 - $500,000		$2,501 - $5,000
3.23	Schwab Government Money Fund Investor Class Shares (SNVXX)	Yes	None (or less than $1,001)		$5,001 - $15,000
4	AR Brighter Future Advisor 529 Plan 01	No			
4.1	iShares College Portfolio Class F	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	AR Brighter Future Advisor 529 Plan 02	No			
5.1	iShares College Portfolio Class F	Yes	$15,001 - $50,000		None (or less than $201)
6	Northwestern Mutual - Whole Life Policy	N/A	$250,001 - $500,000	Dividends	$5,001 - $15,000
7	U.S. bank (cash) #1	N/A	$100,001 - $250,000		None (or less than $201)
8	Cranbrook Realty Investment Fund, L.P.	No	$50,001 - $100,000	Rent or Royalties	$2,501 - $5,000
8.1	Commercial real estate, San Leandro, CA	N/A			
8.2	Commercial real estate, Oakland, CA	N/A			
8.3	Commercial real estate, Benicia, CA	N/A			
8.4	Commercial real estate, Hayward, CA	N/A			
8.5	Commercial real estate, Walnut Creek, CA	N/A			
8.6	Commercial real estate, San Ramon, CA	N/A			
8.7	Commercial real estate, San Leandro, CA	N/A			
8.8	Commercial real estate, Bakersfield, CA	N/A			
8.9	Commercial real estate, Cordova, CA	N/A			
8.10	Commercial real estate, Lodi, CA	N/A			
8.11	Commercial real estate, Modesto, CA	N/A			
8.12	Commercial real estate, Walnut Creek, CA	N/A			
8.13	Commercial real estate, Livermore, CA	N/A			
8.14	Commercial real estate, Manteca, CA	N/A			
8.15	Commercial real estate, Antioch, CA	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Richard H. McDonnel Pacheco Project LLC (dba Rancho Diablo Mobile Home Park) (Pacheco, CA)		$100,001 - $250,000	Rent or Royalties	$15,001 - $50,000
9.1	Mobile Home Park	N/A	$100,001 - $250,000		

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Tax-Exempt Fund of California Class A Shares (TAFTX)		Sale	03/27/2023	$1,001 - $15,000
2	Franklin California High Yield Municipal Fund Class A1 Shares (FCAMX)		Sale	03/27/2023	$1,001 - $15,000
3	Franklin Growth Fund Class A Shares (FKGRX)		Sale	03/27/2023	$1,001 - $15,000
4	Goldman Sachs ActiveBeta International Equity ETF (GSIE)		Purchase	07/03/2023	$1,001 - $15,000
5	Goldman Sachs ActiveBeta International Equity ETF (GSIE)		Purchase	08/24/2023	$50,001 - $100,000
6	Goldman Sachs ActiveBeta International Equity ETF (GSIE)		Purchase	08/25/2023	
7	Goldman Sachs ActiveBeta International Equity ETF (GSIE)	See Endnote	Sale	03/27/2023	$15,001 - $50,000
8	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)		Purchase	08/25/2023	$15,001 - $50,000
9	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)		Sale	03/27/2023	$15,001 - $50,000
10	Invesco BulletShares 2023 Corporate Bond ETF (BSCN)	See Endnote	Sale	09/25/2023	$250,001 - $500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
11	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)		Purchase	08/18/2023	$15,001 - $50,000
12	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)		Purchase	08/25/2023	$15,001 - $50,000
13	Invesco BulletShares 2024 Corporate Bond ETF (BSCO)	See Endnote	Purchase	09/29/2023	$50,001 - $100,000
14	Invesco BulletShares 2024 High Yield Corporate Bd ETF (BSJO)		Purchase	08/18/2023	$1,001 - $15,000
15	Invesco BulletShares 2025 Corporate Bond ETF (BSCP)		Purchase	08/18/2023	$1,001 - $15,000
16	Invesco BulletShares 2025 Corporate Bond ETF (BSCP)		Purchase	08/25/2023	$15,001 - $50,000
17	Invesco BulletShares 2025 Corporate Bond ETF (BSCP)	See Endnote	Purchase	09/28/2023	$250,001 - $500,000
18	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)		Purchase	01/11/2023	$15,001 - $50,000
19	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)		Purchase	08/18/2023	$1,001 - $15,000
20	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)		Purchase	08/24/2023	$1,001 - $15,000
21	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)		Purchase	08/25/2023	$1,001 - $15,000
22	Invesco BulletShares 2026 Corporate Bond ETF (BSCQ)	See Endnote	Purchase	09/27/2023	$250,001 - $500,000
23	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)	See Endnote	Purchase	08/24/2023	$100,001 - $250,000
24	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)		Purchase	08/25/2023	$1,001 - $15,000
25	Invesco BulletShares 2027 Corporate Bond ETF (BSCR)	See Endnote	Purchase	09/26/2023	$250,001 - $500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
26	Invesco BulletShares 2028 High Yield Corporate Bond ETF Invesco BulletShares 2028 High Yield Corporate Bond ETF (BSJS)		Purchase	08/25/2023	$15,001 - $50,000
27	Invesco Intermediate Term Municipal Income Fd Class Y Shares (VKLIX)		Sale	03/27/2023	$1,001 - $15,000
28	Invesco S&P 500 Equal Weight ETF (RSP)		Purchase	08/25/2023	$15,001 - $50,000
29	iShares Floating Rate Bond ETF (FLOT)		Purchase	08/25/2023	$15,001 - $50,000
30	iShares Floating Rate Bond ETF (FLOT)	See Endnote	Sale	03/27/2023	$15,001 - $50,000
31	iShares Floating Rate Bond ETF (FLOT)	See Endnote	Sale	09/26/2023	$100,001 - $250,000
32	iShares MBS ETF (MBB)	See Endnote	Sale	03/27/2023	$15,001 - $50,000
33	iShares MSCI EAFE ETF (EFA)		Sale	03/27/2023	$1,001 - $15,000
34	iShares Russell 1000 ETF (IWB)		Purchase	08/25/2023	$15,001 - $50,000
35	iShares Russell 2000 ETF (IWM)		Purchase	08/25/2023	$15,001 - $50,000
36	iShares Russell Mid-Cap ETF (IWR)		Purchase	08/25/2023	$1,001 - $15,000
37	JPMorgan Ultra-Short Income ETF (JPST)		Purchase	08/24/2023	$15,001 - $50,000
38	JPMorgan Ultra-Short Income ETF (JPST)		Purchase	08/25/2023	$1,001 - $15,000
39	JPMorgan Ultra-Short Income ETF (JPST)	See Endnote	Sale	09/26/2023	$100,001 - $250,000
40	Massachusetts Investors Trust Class A Shares (MITTX)	See Endnote	Sale	03/27/2023	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
41	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)		Purchase	08/24/2023	$50,001 - $100,000
42	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)		Purchase	08/25/2023	$15,001 - $50,000
43	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)	See Endnote	Sale	09/27/2023	$100,001 - $250,000
44	PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT)		Sale	11/29/2023	$1,001 - $15,000
45	SchwabEmerging Markets Equity ETF (SCHE)		Purchase	08/24/2023	$1,001 - $15,000
46	Schwab Fundamental US Large Company Index Fund (SFLNX)		Purchase	08/24/2023	$15,001 - $50,000
47	Schwab Fundamental US Large Company Index ETF (FNDX)		Purchase	08/24/2023	$1,001 - $15,000
48	Schwab Fundamental US Large Company Index ETF (FNDX)		Purchase	08/25/2023	$15,001 - $50,000
49	Schwab International Equity ETF (SCHF)		Purchase	08/24/2023	$15,001 - $50,000
50	Schwab International Equity ETF (SCHF)		Purchase	12/12/2023	$1,001 - $15,000
51	Schwab US Mid-Cap ETF (SCHM)		Purchase	08/24/2023	$15,001 - $50,000
52	Schwab US Small-Cap ETF (SCHA)		Purchase	08/24/2023	$15,001 - $50,000
53	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)		Purchase	08/24/2023	$1,001 - $15,000
54	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)		Purchase	08/25/2023	$1,001 - $15,000
55	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Purchase	06/26/2023	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
56	Vanguard Developed Markets Index Fund ETF Shares (VEA)	See Endnote	Purchase	08/24/2023	$100,001 - $250,000
57	Vanguard Developed Markets Index Fund ETF Shares (VEA)		Purchase	12/22/2023	$1,001 - $15,000
58	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)		Purchase	08/24/2023	$1,001 - $15,000
59	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)		Purchase	08/25/2023	$15,001 - $50,000
60	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)		Purchase	08/25/2023	$15,001 - $50,000
61	Schwab Fundamental US Large Company Index Fund (SFLNX)	See Endnote	Purchase	12/08/2023	$1,001 - $15,000
62	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	02/23/2023	$1,001 - $15,000
63	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	05/25/2023	$1,001 - $15,000
64	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	06/23/2023	$1,001 - $15,000
65	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	07/27/2023	$1,001 - $15,000
66	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	08/11/2023	$1,001 - $15,000
67	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)		Purchase	12/27/2023	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	1.6	This asset was inherited.
6.	2.20	LLC holding investment in Radiation Therapy Center located in California. Spouse does not practice medicine there.
7.	7	Multiple sales 03/27/2023
7.	10	Multiple sales 09/25/2023
7.	13	Multiple purchases 09/29/2023
7.	17	Multiple purchases 09/28/2023
7.	22	Multiple purchases 09/27/2023
7.	23	Multiple purchases 08/24/2023
7.	25	Multiple purchases 09/26/2023
7.	30	Multiple sales 03/27/2023
7.	31	Multiple sales 09/26/2023
7.	32	Multiple sales 03/27/2023
7.	39	Multiple sales 09/26/2023
7.	40	Multiple sales 03/27/2023

PART	#	ENDNOTE
7.	43	Multiple sales 09/27/2023
7.	56	Multiple purchases 08/24/2023
7.	61	Multiple purchases 12/08/2023

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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