

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

STEVENS, ALLISON M

Deputy General Counsel, DoD - National Geospatial-Intelligence Agency

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ STEVENS, ALLISON M [electronically signed on 05/14/2022 by STEVENS, ALLISON M in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Leone, Robert, Certifying Official [electronically signed on 10/25/2022 by Leone, Robert in Integrity.gov]

Other review conducted by

/s/ Leone, Robert, Ethics Official [electronically signed on 10/14/2022 by Leone, Robert in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/31/2022 by Granahan, Megan in Integrity.gov]

Data Revised 10/26/2022

Data Revised 10/14/2022

Data Revised 10/07/2022

Data Revised 09/26/2022

Data Revised 09/22/2022

Data Revised 09/21/2022

Data Revised 09/20/2022

Data Revised 08/24/2022

Data Revised 08/22/2022

Data Revised 06/15/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/07/2022, Leone, Robert): You disclosed financial interests in companies that do business with DoD. As a rule and with limited exceptions, you may not personally and substantially participate in a Government assignment or project where you could directly and predictably affect your financial interests, or those imputed to you. With your supervisor's certification, it does not appear that your current duties are likely to involve or create conflicts. Should your duties change and result in any potential action affecting a company in which you maintain a financial interest, do not take any action and contact OGCA for guidance to resolve any potential conflict of interest.
N/A	N/A	General	(10/14/2022, Leone, Robert): The outside employment approval form is attached.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Kokopelli Enterprises, Inc.	See Endnote	Silver City, New Mexico	Corporation	Officer	9/2009	Present
2	Kokopelli Business Trust	See Endnote	Alexandria, Virginia	Trust	Officer	5/2011	Present
3	Kokopelli Properties, LLC	See Endnote	Alexandria, Virginia	Corporation	Officer	10/2009	Present
4	American Bar Association (ABA)	See Endnote	Washington, District of Columbia	Non-Profit	Advisor	10/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Equity Growth Separately Managed Account - Bull Run Financial Group	No			
1.1	Charles Schwab - Traditional IRA - 4105	No			
1.1.1	FDIC Insured - Charles Schwab Bank	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.2	Amplify Online Retail ETF (IBUY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.3	Amplify Transformational Data Sharing ETF (BLOK)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.1.4	ARK Fintech Innovation ETF (ARKF)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.5	ARK Genomic Revolution ETF (ARKG)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.1.6	KraneShares CSI China Internet ETF (KWEB)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.1.7	Vaneck Digital Transformation ETF (DAPP)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.1.8	WisdomTree Cloud Computing ETF (WCLD)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2	Charles Schwab - Individual - 4431	No			
1.2.1	FDIC Insured - Charles Schwab Bank	N/A	None (or less than \$1,001)		None (or less than \$201)
1.2.2	Amplify Online Retail ETF (IBUY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.3	Amplify Transformational Data Sharing ETF (BLOK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.4	ARK Fintech Innovation ETF (ARKF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.5	ARK Genomic Revolution ETF (ARKG)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2.6	KraneShares CSI China Internet ETF (KWEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.7	Vaneck Digital Transformation ETF (DAPP)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.2.8	WisdomTree Cloud Computing Fund (WCLD)	Yes	None (or less than \$1,001)		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Equity Growth Separately Managed Account - Bull Run Financial Group	No			
1.1	ROTH IRA - CHARLES SCHWAB CUSTODIAN	No			
1.1.1	FDIC INSURED DEPOSIT ACCOUNT - CHARLES SCHWAB BANK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.2	AFFIRM HLDGS INC - AFRM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.3	ALIBABA GROUP HLDG LTD F - BABA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.4	ALPHABET INC - C	N/A	None (or less than \$1,001)		None (or less than \$201)
1.1.5	AMAZON.COM INC - AMZN	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.6	BILIBILI INC - BILI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.7	BLOCK INC - SQ	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.8	CARVANA CO - CVNA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.9	COINBASE GLOBAL INC - COIN	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.10	DIGITAL TURBINE INC - APPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.11	EVERQUOTE INC - EVER	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.12	FIVERR INTERNTNL LTD - FVRR	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.13	FUTU HOLDINGS LIMITED F - FUTU N	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.14	GRAYSCALE BITCOIN TR BTC - GBTC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.15	GROWGENERATION CORP - GRWG	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.16	IONQ INC - IONQ	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.17	MERCADOLIBRE INC - MELI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.18	META PLATFORMS INC - FB	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.19	NVIDIA CORP - NVDA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.20	OVERSTOCK.COM, IN 1% PFD - A-1	N/A	None (or less than \$1,001)		None (or less than \$201)
1.1.21	PINDUODUO INC - PDD	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.22	ROBLOX CORP - RBLX	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.23	ROKU I N C - ROKU	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.24	SEA LTD - SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.25	SILVERGATE CAP CORP - SI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.26	SNAP INC - SNAP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.27	SOFI TECHNOLOGIES INC - SOFI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.28	TELADOC INC - TDOC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.29	UP FINTECH HLDG LTD - TIGR	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.30	UPSTART HLDGS INC - UPST	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.31	ZOOM VIDEO COMMUNICATION - ZM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	CHARLES SCHWAB ACCOUNT - MANAGED FUND ACCOUNT	No			
1.2.1	AFFIRM HLDGS INC - AFRM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.2	ALIBABA GROUP HLDG LTD F - BABA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.3	ALPHABET INC. (M) - GOOG	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.4	AMAZON.COM INC - AMZN	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.5	BILIBILI INC F - BIL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.6	BLOCK INC - SQ	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.7	CARVANA CO - CVNA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.8	COINBASE GLOBAL INC - COIN	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.9	DIGITAL TURBINE INC - APPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.10	EVERQUOTE INC - EVER N	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.11	FIVERR INTERNTNL LTD F - FVRR	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.12	FUTU HOLDINGS LIMITED F - FUTU	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.13	GRAYSCALE BITCOIN TR BTC - GBTC	N/A	\$1,001 - \$15,000		\$2,501 - \$5,000
1.2.14	GROWGENERATION CORP - GRWG	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.15	IONQ INC - IONQ	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.16	MERCADOLIBRE INC - MELI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.17	META PLATFORMS INC - FB	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.18	NVIDIA CORP - NVDA	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.19	PINDUODUO INC F - PDD	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.20	ROBLOX CORP - RBLX	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.21	ROKU I N C - ROKU	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.22	SEA LTD - SE	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.23	SILVERGATE CAP CORP - SI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.24	SNAP INC - SNAP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.25	SOFI TECHNOLOGIES INC - SOFI	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.26	TELADOC INC - TDOC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.27	UP FINTECH HLDG LTD F - TIGR	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.28	UPSTART HLDGS INC - UPST	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.29	ZOOM VIDEO COMMUNICATION - ZM	N/A	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Virginia Prepaid 529 Account (D1)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Virginia Prepaid 529 Account (D2)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Navy Federal Credit Union (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Navy Federal Credit Union (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Navy Federal Credit Union (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	Navy Federal Credit Union (Money Market - cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Navy Federal Credit Union (CD - cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	American Funds - College America 529	No			
8.1	AMERICAN FUNDS COLLEGE 2030 FUND (CTYCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Navy Federal Credit Union - Kokopelli (Checking and 3 Savings Accts- cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Real Estate, Alexandria, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
11	Real Estate, Alexandria VA	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
12	Real Estate, Springfield, VA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
13	Liberty National Whole Life	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	Liberty National Whole Life	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Advantage FCU	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Navy Federal Credit Union (Share Savings-cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Navy Federal Credit Union (Share Savings-cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Navy Federal Credit Union (Share Savings-cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
19	Navy Federal Credit Union (Share Savings-cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
20	Navy Federal Credit Union (Share Savings-cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
21	Fidelity Investments (Education Account)	No			
21.1	NH Portfolio 2030	Yes	\$1,001 - \$15,000		\$201 - \$1,000
22	Athene Annuity	N/A	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500
23	Navy Federal Credit Union (CD- cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Navy Federal Credit Union (CD- cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	Navy Federal Credit Union (CD - cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	Navy Federal Credit Union (CD- cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	Equity Growth Separately Managed Account - Bull Run Financial Group	No			
27.1	Charles Schwab Managed Account (Joint Tenants)	No			
27.1.1	Affirm Hldgs Inc. (AFRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.1.2	Alibaba Group Hldg Ltd F (BABA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.3	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.4	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.5	Bilibili Inc. (BILI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.6	Block Inc. (SQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.7	Carvana Co. (CVNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.8	Coinbase Global Inc. (COIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.9	Digital Turbine, Inc. (APPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.10	EverQuote, Inc. (EVER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.11	Fiverr International Ltd (FVRR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.12	FUTU Holdings Ltd F (FUTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.13	Grayscale Bitcoin TR BTC (GBTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.14	Growgeneration Corp (GRWG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.15	IONQ Inc. (IONQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27.1.16	Mercadolibre Inc. (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.17	Meta Platforms Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.18	NVIDIA Corp. (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.19	Pinduoduo Inc. (PDD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.20	Roblox Corp (RBLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.21	Roku, Inc. (ROKU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.22	Sea Ltd (SE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.23	Silvergate Cap Corp (SI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.24	Snap, Inc. (SNAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.25	SOFI Technologies Inc. (SOFI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.26	Teladoc Inc. (TDOC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.27	UP Fintech Hldg Ltd (TIGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.28	Upstart Holdings Inc. (UPST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
27.1.29	Zoom Video Communications, Inc. (ZM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Amplify Online Retail ETF (IBUY)	Purchase	05/13/2021	\$1,001 - \$15,000
2	ARK Innovation ETF (ARKK)	Purchase	05/13/2021	\$1,001 - \$15,000
3	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	05/13/2021	\$1,001 - \$15,000
4	WisdomTree Cloud Computing Fund (WCLD)	Purchase	05/13/2021	\$1,001 - \$15,000
5	Amplify Transformational Data Sharing ETF (BLOK)	Purchase	09/09/2021	\$1,001 - \$15,000
6	Ark Fintech Innovation ETF (ARKF)	Purchase	09/09/2021	\$1,001 - \$15,000
7	ARK Innovation ETF (ARKK)	Sale	09/09/2021	\$1,001 - \$15,000
8	Invesco QQQ Trust, Series 1 (QQQ)	Sale	09/09/2021	\$1,001 - \$15,000
9	KraneShares CSI China Internet ETF (KWEB)	Purchase	09/09/2021	\$1,001 - \$15,000
10	Amplify Online Retail ETF (IBUY)	Purchase	10/12/2021	\$1,001 - \$15,000
11	Amplify Transformational Data Sharing ETF (BLOK)	Purchase	10/12/2021	\$1,001 - \$15,000
12	Ark Fintech Innovation ETF (ARKF)	Purchase	10/12/2021	\$1,001 - \$15,000
13	KraneShares CSI China Internet ETF (KWEB)	Purchase	10/12/2021	\$1,001 - \$15,000
14	WisdomTree Cloud Computing Fund (WCLD)	Purchase	10/12/2021	\$1,001 - \$15,000
15	ARK Genomic Revolution ETF (ARKG)	Purchase	12/21/2021	\$1,001 - \$15,000
16	WisdomTree Cloud Computing Fund (WCLD)	Sale	12/21/2021	\$1,001 - \$15,000
17	AMMO Inc, (POWW)	Purchase	02/16/2021	\$1,001 - \$15,000
18	Amazon.com, Inc. (AMZN)	Purchase	02/16/2021	\$1,001 - \$15,000
19	Bilibili Inc. F (BILI)	Purchase	02/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Carvana Co. (CVNA)	Purchase	02/16/2021	\$1,001 - \$15,000
21	Chewy Inc. (CHWY)	Purchase	02/16/2021	\$1,001 - \$15,000
22	Digital Turbine, Inc. (APPS)	Purchase	02/16/2021	\$1,001 - \$15,000
23	Etsy, Inc. (ETSY)	Purchase	02/16/2021	\$1,001 - \$15,000
24	FIVERR International LTD F (FVRR)	Purchase	02/16/2021	\$1,001 - \$15,000
25	FUBOTV Inc. (FUBO)	Purchase	02/16/2021	\$1,001 - \$15,000
26	FUTU Holdings Limited F (FUTU)	Purchase	02/16/2021	\$1,001 - \$15,000
27	Green Thumb Inds Inc. F (GTBIF)	Purchase	02/16/2021	\$1,001 - \$15,000
28	Growgeneration Corp (GRWG)	Purchase	02/16/2021	\$1,001 - \$15,000
29	JD Com Inc. F (JD)	Purchase	02/16/2021	\$1,001 - \$15,000
30	JOYY Inc. F (YY)	Purchase	02/16/2021	\$1,001 - \$15,000
31	Mercadolibre Inc. (MELI)	Purchase	02/16/2021	\$1,001 - \$15,000
32	NVIDIA Corp. (NVDA)	Purchase	02/16/2021	\$1,001 - \$15,000
33	OnTrack Inc. (OTRK)	Purchase	02/16/2021	\$1,001 - \$15,000
34	Roku, Inc. (ROKU)	Purchase	02/16/2021	\$1,001 - \$15,000
35	Square, Inc. (SQ)	Purchase	02/16/2021	\$1,001 - \$15,000
36	Teladoc, Inc. (TDOC)	Purchase	02/16/2021	\$1,001 - \$15,000
37	Translate Bio, Inc. (TBIO)	Purchase	02/16/2021	\$1,001 - \$15,000
38	UP Fintech Hldg Ltd F (TIGR)	Purchase	02/16/2021	\$1,001 - \$15,000
39	Youdao Inc. F (DAO)	Purchase	02/16/2021	\$1,001 - \$15,000
40	Bilibili Inc. F (BILI)	Sale	02/22/2021	\$1,001 - \$15,000
41	Translate Bio, Inc. (TBIO)	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Translate Bio, Inc. (TBIO)	Sale	02/22/2021	\$1,001 - \$15,000
43	FUBOTV Inc. (FUBO)	Sale	02/23/2021	\$1,001 - \$15,000
44	AMMO Inc. (POWW)	Sale	03/11/2021	\$1,001 - \$15,000
45	Growgeneration Corp (GRWG)	Purchase	03/31/2021	\$1,001 - \$15,000
46	Invitae Corp. (NVTA)	Purchase	03/31/2021	\$1,001 - \$15,000
47	Facebook, Inc. (FB)	Purchase	04/09/2021	\$1,001 - \$15,000
48	NIO Inc F (NIO)	Purchase	04/09/2021	\$1,001 - \$15,000
49	One Hundred One Inc. F (YI)	Purchase	04/09/2021	\$1,001 - \$15,000
50	Snap, Inc. (SNAP)	Purchase	04/09/2021	\$1,001 - \$15,000
51	AMMO Inc. (POWW)	Purchase	04/12/2021	\$1,001 - \$15,000
52	Youdao Inc. D (DAO)	Sale	04/12/2021	\$1,001 - \$15,000
53	AMMO Inc. (POWW)	Sale	04/14/2021	\$1,001 - \$15,000
54	Alphabet, Inc. (GOOG)	Purchase	04/14/2021	\$1,001 - \$15,000
55	Carvana Co. (CVNA)	Sale	04/14/2021	\$1,001 - \$15,000
56	DocuSign, Inc. (DOCU)	Purchase	04/14/2021	\$1,001 - \$15,000
57	Facebook, Inc. (FB)	Sale	04/14/2021	\$1,001 - \$15,000
58	FUTU Holdings Ltd. F (FUTU)	Sale	04/14/2021	\$1,001 - \$15,000
59	Growgeneration Corp (GRWG)	Sale	04/14/2021	\$1,001 - \$15,000
60	JD Com Inc. F (JD)	Sale	04/14/2021	\$1,001 - \$15,000
61	Mercadolibre Inc. (MELI)	Sale	04/14/2021	\$1,001 - \$15,000
62	NVIDIA Corp. (NVDA)	Sale	04/14/2021	\$1,001 - \$15,000
63	One Hundred One Inc. F (YI)	Purchase	04/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	OnTrak Inc. (OTRK)	Sale	04/14/2021	\$1,001 - \$15,000
65	Pinterest, Inc. (PINS)	Purchase	04/14/2021	\$1,001 - \$15,000
66	Roblox Corp (RBLX)	Purchase	04/14/2021	\$1,001 - \$15,000
67	Snap, Inc. (SNAP)	Sale	04/14/2021	\$1,001 - \$15,000
68	Tesla, Inc. (TSLA)	Purchase	04/14/2021	\$1,001 - \$15,000
69	VipShop Hldgs Ltd F (VIPS)	Purchase	04/14/2021	\$1,001 - \$15,000
70	Coinbase Global Inc. (COIN)	Purchase	04/21/2021	\$1,001 - \$15,000
71	NIO Inc F (NIO)	Sale	04/21/2021	\$1,001 - \$15,000
72	VipShop Hldgs Ltd F (VIPS)	Sale	04/21/2021	\$1,001 - \$15,000
73	Coinbase Global Inc. (COIN)	Purchase	04/22/2021	\$1,001 - \$15,000
74	Peloton Interactive Inc. (PTON)	Purchase	04/28/2021	\$1,001 - \$15,000
75	Pinterest, Inc. (PINS)	Sale	04/28/2021	\$1,001 - \$15,000
76	Cowen, Inc. (COWN)	Purchase	05/05/2021	\$1,001 - \$15,000
77	Invitae Corp. (NVTA)	Sale	05/05/2021	\$1,001 - \$15,000
78	Peloton Interactive Inc. (PTON)	Sale	05/05/2021	\$1,001 - \$15,000
79	One Hundred One Inc F (YI)	Sale	05/07/2021	\$1,001 - \$15,000
80	VipShop Hldgs Ltd F (VIPS)	Sale	05/07/2021	\$1,001 - \$15,000
81	Netflix, Inc. (NFLX)	Purchase	05/11/2021	\$1,001 - \$15,000
82	Carnival Corp. (CCL)	Purchase	05/24/2021	\$1,001 - \$15,000
83	JD Com Inc. F (JD)	Sale	05/24/2021	\$1,001 - \$15,000
84	Proshares Ultra Russell2000 (UWM)	Purchase	05/07/2021	\$1,001 - \$15,000
85	Carnival Corp. (CCL)	Sale	06/04/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
86	FUBOTV Inc. (FUBO)	Purchase	06/04/2021	\$1,001 - \$15,000
87	Lightspeed Pos Inc. (LSPD)	Purchase	06/04/2021	\$1,001 - \$15,000
88	Sea Ltd F (SE)	Purchase	06/04/2021	\$1,001 - \$15,000
89	Growgeneration Corp (GRWG)	Purchase	06/28/2021	\$1,001 - \$15,000
90	Proshares Ultra Russell2000 (UWM)	Sale	06/04/2021	\$1,001 - \$15,000
91	Cowen, Inc. (COWN)	Sale	07/21/2021	\$1,001 - \$15,000
92	DocuSign, Inc. (DOCU)	Sale	07/21/2021	\$1,001 - \$15,000
93	SilvergateCap Corp (SI)	Purchase	07/21/2021	\$1,001 - \$15,000
94	Coinbase Global Inc. (COIN)	Purchase	07/23/2021	\$1,001 - \$15,000
95	FUBOTV Inc. (FUBO)	Sale	07/23/2021	\$1,001 - \$15,000
96	Netflix, Inc. (NFLX)	Sale	07/26/2021	\$1,001 - \$15,000
97	Riot Blockchain, Inc. (RIOT)	Purchase	07/26/2021	\$1,001 - \$15,000
98	FUTU Holdings Ltd F (FUTU)	Purchase	07/27/2021	\$1,001 - \$15,000
99	Roku, Inc. (ROKU)	Sale	07/27/2021	\$1,001 - \$15,000
100	UP Fintech Hldg Ltd (TIGR)	Purchase	07/27/2021	\$1,001 - \$15,000
101	Riot Blockchain, Inc. (RIOT)	Sale	07/28/2021	\$1,001 - \$15,000
102	Teladoc Inc. (TDOC)	Sale	07/28/2021	\$1,001 - \$15,000
103	SEA Ltd F (SE)	Purchase	07/29/2021	\$1,001 - \$15,000
104	Bit Digital Inc (BTBT)	Purchase	08/02/2021	\$1,001 - \$15,000
105	DocuSign, Inc. (DOCU)	Sale	08/02/2021	\$1,001 - \$15,000
106	Digihost Technology In F (HSSHF)	Purchase	08/04/2021	\$1,001 - \$15,000
107	NIO Inc. F (NIO)	Sale	08/13/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
108	Palantir Tech In (PLTR)	Purchase	08/18/2021	\$1,001 - \$15,000
109	Green Thumbs Inds Inc (GTBIF)	Sale	08/20/2021	\$1,001 - \$15,000
110	Pinduoduo Inc (PDD)	Purchase	08/20/2021	\$1,001 - \$15,000
111	Canaan Inc (CAN)	Purchase	08/27/2021	\$1,001 - \$15,000
112	Innovative Industrial Properties, Inc. (IIPR)	Sale	08/04/2021	\$1,001 - \$15,000
113	Bilibili Inc F (BILI)	Purchase	09/02/2021	\$1,001 - \$15,000
114	Chewy Inc (CHWY)	Sale	09/02/2021	\$1,001 - \$15,000
115	Mercadolibre Inc (MELI)	Purchase	09/13/2021	\$1,001 - \$15,000
116	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/15/2021	\$1,001 - \$15,000
117	Growgeneration Corp (GRWG)	Sale	09/15/2021	\$1,001 - \$15,000
118	Affirm Hldgs Inc (AFRM)	Purchase	09/21/2021	\$1,001 - \$15,000
119	Mercadolibre Inc (MELI)	Sale	09/21/2021	\$1,001 - \$15,000
120	Upstart Hldgs Inc (UPST)	Purchase	09/21/2021	\$1,001 - \$15,000
121	Canaan Inc. (CAN)	Sale	09/23/2021	\$1,001 - \$15,000
122	SOFI Technologies Inc (SOFI)	Purchase	09/23/2021	\$1,001 - \$15,000
123	UP Fintech Hldgs Ltd (TIGR)	Sale	09/23/2021	\$1,001 - \$15,000
124	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/30/2021	\$1,001 - \$15,000
125	Palantir Technologies In (PLTR)	Sale	09/30/2021	\$1,001 - \$15,000
126	AFFIRM Holdings Inc (AFRM)	Sale	10/11/2021	\$1,001 - \$15,000
127	Alibaba Group Hldg Ltd F (BABA)	Purchase	10/11/2021	\$1,001 - \$15,000
128	Etsy, Inc. (ETSY)	Sale	10/11/2021	\$1,001 - \$15,000
129	Facebook, Inc. (FB)	Sale	10/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
130	FUTU Holdings Limited F (FUTU)	Sale	10/15/2021	\$1,001 - \$15,000
131	Digital World Acquisition (DWAC)	Purchase	10/21/2021	\$1,001 - \$15,000
132	Grayscale Bitcoin TR BTC (GBTC)	Sale	10/21/2021	\$1,001 - \$15,000
133	Digital World Acquisition (DWAC)	Sale	10/22/2021	\$1,001 - \$15,000
134	Tesla, Inc. (TSLA)	Purchase	10/26/2021	\$1,001 - \$15,000
135	UP Fintech Hldg Ltd F (TIGR)	Purchase	10/26/2021	\$1,001 - \$15,000
136	UP Fintech Hldg Ltd F (TIGR)	Sale	10/29/2021	\$1,001 - \$15,000
137	Tesla, Inc. (TSLA)	Sale	11/02/2021	\$1,001 - \$15,000
138	AirBNB Inc (ABNB)	Purchase	11/08/2021	\$1,001 - \$15,000
139	Tesla, Inc. (TSLA)	Sale	11/08/2021	\$1,001 - \$15,000
140	Riot Blockchain, Inc. (RIOT)	Purchase	11/10/2021	\$1,001 - \$15,000
141	SoFI Technologies Inc (SOFI)	Sale	11/10/2021	\$1,001 - \$15,000
142	IONQ Inc (IONQ)	Purchase	11/15/2021	\$1,001 - \$15,000
143	Lightspeed Commerce In F (LSPD)	Sale	11/15/2021	\$1,001 - \$15,000
144	Digihost Technology In F (DGHI)	Sale	11/16/2021	\$1,001 - \$15,000
145	IONQ Inc (IONQ)	Purchase	11/16/2021	\$1,001 - \$15,000
146	AirBNB Inc (ABNB)	Sale	11/26/2021	\$1,001 - \$15,000
147	Bilibili Inc F (BILI)	Sale	11/26/2021	\$1,001 - \$15,000
148	DocuSign, Inc. (DOCU)	Purchase	11/26/2021	\$1,001 - \$15,000
149	Etsy, Inc. (ETSY)	Sale	11/26/2021	\$1,001 - \$15,000
150	FIVERR International Ltd F (FVRR)	Purchase	11/26/2021	\$1,001 - \$15,000
151	Pinduoduo Inc.	Sale	11/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
152	Teladoc, Inc. (TDOC)	Purchase	11/26/2021	\$1,001 - \$15,000
153	Zoom Video Communications, Inc. (ZM)	Purchase	11/26/2021	\$1,001 - \$15,000
154	Bilibili Inc F (BILI)	Purchase	12/03/2021	\$1,001 - \$15,000
155	Digihost Technology In F (DGHI)	Sale	12/03/2021	\$1,001 - \$15,000
156	Etsy, Inc. (ETSY)	Sale	12/03/2021	\$1,001 - \$15,000
157	FUTU Holdings Limited F (FUTU)	Purchase	12/03/2021	\$1,001 - \$15,000
158	Grayscale Bitcoin TR BTC (GBTC)	Purchase	12/03/2021	\$1,001 - \$15,000
159	Pinduoduo Inc F (PDD)	Purchase	12/03/2021	\$1,001 - \$15,000
160	UP Fintech Hldg Ltd F (TIGR)	Purchase	12/03/2021	\$1,001 - \$15,000
161	DocuSign, Inc. (DOCU)	Sale	12/07/2021	\$1,001 - \$15,000
162	BIT Digital Inc F (BTBT)	Sale	12/13/2021	\$1,001 - \$15,000
163	Mercadolibre Inc (MELI)	Purchase	12/13/2021	\$1,001 - \$15,000
164	Roku, Inc. (ROKU)	Purchase	12/13/2021	\$1,001 - \$15,000
165	EverQuote, Inc. (EVER)	Purchase	12/17/2021	\$1,001 - \$15,000
166	Grayscale Bitcoin TR BTC (GBTC)	Sale	12/17/2021	\$1,001 - \$15,000
167	Mercadolibre Inc (MELI)	Sale	12/17/2021	\$1,001 - \$15,000
168	Innovative Industrial Properties, Inc. (IIPR)	Sale	12/03/2021	\$1,001 - \$15,000
169	AMMO Inc. (POWW)	Purchase	02/12/2021	\$1,001 - \$15,000
170	Aerie Pharmaceuticals, Inc. (AERI)	Sale	02/12/2021	\$1,001 - \$15,000
171	Amazon.com, Inc. (AMZN)	Purchase	02/12/2021	\$1,001 - \$15,000
172	Bilibili Inc F (BILI)	Purchase	02/12/2021	\$1,001 - \$15,000
173	Boston Beer Co., Inc. (SAM)	Sale	02/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
174	Carvana Co. (CVNA)	Purchase	02/12/2021	\$1,001 - \$15,000
175	Chewy Inc. (CHWY)	Purchase	02/12/2021	\$1,001 - \$15,000
176	Digital Turbine, Inc. (APPS)	Purchase	02/12/2021	\$1,001 - \$15,000
177	Etsy, Inc. (ETSY)	Purchase	02/12/2021	\$1,001 - \$15,000
178	FIVERR International Ltd (FVRR)	Purchase	02/12/2021	\$1,001 - \$15,000
179	FUBOTV Inc. (FUBO)	Purchase	02/12/2021	\$1,001 - \$15,000
180	FUTU Holdings Limited F (FUTU)	Purchase	02/12/2021	\$1,001 - \$15,000
181	Green Thumb Inds Inc. F (GTBIF)	Purchase	02/12/2021	\$1,001 - \$15,000
182	Growgenration Corp (GRWG)	Purchase	02/12/2021	\$1,001 - \$15,000
183	JD Com Inc (JD)	Purchase	02/12/2021	\$1,001 - \$15,000
184	JOYY Inc F (YY)	Purchase	02/12/2021	\$1,001 - \$15,000
185	Maxar Technologies, Inc. (MAXR)	Sale	02/12/2021	\$1,001 - \$15,000
186	Medifast, Inc. (MED)	Sale	02/12/2021	\$1,001 - \$15,000
187	Mercadolibre Inc (MELI)	Purchase	02/12/2021	\$1,001 - \$15,000
188	NVIDIA Corp. (NVDA)	Purchase	02/12/2021	\$1,001 - \$15,000
189	OnTrak Inc (OTRK)	Purchase	02/12/2021	\$1,001 - \$15,000
190	Pinterest, Inc. (PINS)	Sale	02/12/2021	\$1,001 - \$15,000
191	Roku, Inc. (ROKU)	Purchase	02/12/2021	\$1,001 - \$15,000
192	Square, Inc. (SQ)	Purchase	02/12/2021	\$1,001 - \$15,000
193	Teladoc Inc. (TDOC)	Purchase	02/12/2021	\$1,001 - \$15,000
194	Translate Bio, Inc. (TBIO)	Purchase	02/12/2021	\$1,001 - \$15,000
195	UP Fintech Hldg Ltd F (TIGR)	Purchase	02/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
196	Youdao Inc (DAO)	Purchase	02/12/2021	\$1,001 - \$15,000
197	AMMO Inc. (POWW)	Purchase	02/16/2021	\$1,001 - \$15,000
198	Carvana Co. (CVNA)	Purchase	02/16/2021	\$1,001 - \$15,000
199	Chewy Inc (CHWY)	Purchase	02/16/2021	\$1,001 - \$15,000
200	FIVERR International Ltd (FVRR)	Purchase	02/16/2021	\$1,001 - \$15,000
201	FUBOTV Inc. (FUBO)	Purchase	02/16/2021	\$1,001 - \$15,000
202	FUTU Holdings Limited F (FUTU)	Purchase	02/16/2021	\$1,001 - \$15,000
203	Green Thumb Inds Inc. F (GTBIF)	Purchase	02/16/2021	\$1,001 - \$15,000
204	Growgenration Corp (GRWG)	Purchase	02/16/2021	\$1,001 - \$15,000
205	Growgenration Corp (GRWG)	Purchase	02/16/2021	\$1,001 - \$15,000
206	Growgenration Corp (GRWG)	Purchase	02/16/2021	\$1,001 - \$15,000
207	JD Com Inc (JD)	Purchase	02/16/2021	\$1,001 - \$15,000
208	JOYY Inc F (YY)	Purchase	02/16/2021	\$1,001 - \$15,000
209	Mercadolibre Inc (MELI)	Purchase	02/16/2021	\$1,001 - \$15,000
210	NVIDIA Corp. (NVDA)	Purchase	02/16/2021	\$1,001 - \$15,000
211	One Hundred One Inc (YI)	Purchase	02/16/2021	\$1,001 - \$15,000
212	Square, Inc. (SQ)	Purchase	02/16/2021	\$1,001 - \$15,000
213	Teladoc Inc. (TDOC)	Purchase	02/16/2021	\$1,001 - \$15,000
214	Translate Bio, Inc. (TBIO)	Purchase	02/16/2021	\$1,001 - \$15,000
215	Translate Bio, Inc. (TBIO)	Sale	02/16/2021	\$1,001 - \$15,000
216	UP Fintech Hldg Ltd F (TIGR)	Purchase	02/16/2021	\$1,001 - \$15,000
217	Bilibili Inc F (BILI)	Sale	02/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
218	Cowen, Inc. (COWN)	Purchase	02/22/2021	\$1,001 - \$15,000
219	Advanced Micro Devices, Inc. (AMD)	Purchase	02/23/2021	\$1,001 - \$15,000
220	FUBOTV Inc. (FUBO)	Sale	02/23/2021	\$1,001 - \$15,000
221	One Hundred One Inc (YI)	Purchase	02/23/2021	\$1,001 - \$15,000
222	First Trust Value Line Dividend Index Fund (FVD)	Sale	02/12/2021	\$1,001 - \$15,000
223	Invesco S&P 500 High Dividend Low Volatility ETF (SPHD)	Sale	02/12/2021	\$1,001 - \$15,000
224	iShares Core Dividend Growth ETF (DGRO)	Sale	02/12/2021	\$1,001 - \$15,000
225	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	02/12/2021	\$1,001 - \$15,000
226	Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Sale	02/12/2021	\$1,001 - \$15,000
227	SPDR S&P Dividend ETF (SDY)	Sale	02/12/2021	\$1,001 - \$15,000
228	VanEck Vectors Morningstar Durable Dividend ETF (DURA)	Sale	02/12/2021	\$1,001 - \$15,000
229	Vanguard Communication Services Index Fund ETF Shares (VOX)	Sale	02/12/2021	\$1,001 - \$15,000
230	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Sale	02/12/2021	\$1,001 - \$15,000
231	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Sale	02/12/2021	\$1,001 - \$15,000
232	Vanguard Energy Index Fund ETF Class Shares (VDE)	Sale	02/12/2021	\$1,001 - \$15,000
233	Vanguard Financials Index Fund ETF Shares (VFH)	Sale	02/12/2021	\$1,001 - \$15,000
234	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	02/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
235	Vanguard Health Care Index Fund ETF Class Shares (VHT)	Sale	02/12/2021	\$1,001 - \$15,000
236	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Sale	02/12/2021	\$1,001 - \$15,000
237	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Sale	02/12/2021	\$1,001 - \$15,000
238	Vanguard Materials Index Fund ETF Shares (VAW)	Sale	02/12/2021	\$1,001 - \$15,000
239	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Sale	02/12/2021	\$1,001 - \$15,000
240	Vanguard Utilities Index Fund ETF Shares (VPU)	Sale	02/12/2021	\$1,001 - \$15,000
241	Rydex Mid-Cap 1.5x Strategy Fund H-Class Shares (RYMDX)	Sale	02/12/2021	\$1,001 - \$15,000
242	Rydex Russell 2000 1.5x Strategy Fund H-Class Shares (RYMKX)	Sale	02/12/2021	\$1,001 - \$15,000
243	Innovative Industrial Properties, Inc. (IIPR)	Purchase	02/12/2021	\$1,001 - \$15,000
244	Cowen, Inc. (COWN)	Sale	02/26/2021	\$1,001 - \$15,000
245	Facebook, Inc. (FB)	Purchase	02/26/2021	\$1,001 - \$15,000
246	AMMO Inc. (POWW)	Sale	03/11/2021	\$1,001 - \$15,000
247	Advanced Micro Devices, Inc. (AMD)	Sale	03/11/2021	\$1,001 - \$15,000
248	NIO Inc F (NIO)	Purchase	03/11/2021	\$1,001 - \$15,000
249	Snap, Inc. (SNAP)	Purchase	03/11/2021	\$1,001 - \$15,000
250	JOYY Inc F (YY)	Sale	03/26/2021	\$1,001 - \$15,000
251	Invitae Corp. (NVTA)	Purchase	03/31/2021	\$1,001 - \$15,000
252	AMMO Inc. (POWW)	Purchase	04/12/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
253	Youdao Inc. F (DAO)	Sale	04/12/2021	\$1,001 - \$15,000
254	AMMO Inc. (POWW)	Sale	04/14/2021	\$1,001 - \$15,000
255	Alphabet, Inc. (GOOG)	Purchase	04/14/2021	\$1,001 - \$15,000
256	Carvana Co. (CVNA)	Sale	04/14/2021	\$1,001 - \$15,000
257	DocuSign, Inc. (DOCU)	Purchase	04/14/2021	\$1,001 - \$15,000
258	Facebook, Inc. (FB)	Sale	04/14/2021	\$1,001 - \$15,000
259	FUTU Holdings Limited F (FUTU)	Sale	04/14/2021	\$1,001 - \$15,000
260	Growgeneration Corp (GRWG)	Sale	04/14/2021	\$1,001 - \$15,000
261	JD Com Inc F (JD)	Sale	04/14/2021	\$1,001 - \$15,000
262	NVIDIA Corp. (NVDA)	Sale	04/14/2021	\$1,001 - \$15,000
263	Ontrak Inc. (OTRK)	Sale	04/14/2021	\$1,001 - \$15,000
264	Pinterest, Inc. (PINS)	Purchase	04/14/2021	\$1,001 - \$15,000
265	Roblox Corps (RBLX)	Purchase	04/14/2021	\$1,001 - \$15,000
266	Snap, Inc. (SNAP)	Sale	04/14/2021	\$1,001 - \$15,000
267	Square, Inc. (SQ)	Sale	04/14/2021	\$1,001 - \$15,000
268	Tesla, Inc. (TSLA)	Purchase	04/14/2021	\$1,001 - \$15,000
269	VipShop Holdings Ltd (VIPS)	Purchase	04/14/2021	\$1,001 - \$15,000
270	Coinbase Global Inc. (COIN)	Purchase	04/21/2021	\$1,001 - \$15,000
271	Nio Inc. F (NIO)	Sale	04/21/2021	\$1,001 - \$15,000
272	VipShop Holdings Ltd (VIPS)	Sale	04/21/2021	\$1,001 - \$15,000
273	Peloton Interactive Inc. (PTON)	Purchase	04/28/2021	\$1,001 - \$15,000
274	Pinterest, Inc. (PINS)	Sale	04/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
275	Cowen, Inc. (COWN)	Purchase	05/05/2021	\$1,001 - \$15,000
276	Invitae Corp. (NVTA)	Sale	05/05/2021	\$1,001 - \$15,000
277	Peloton Interactive Inc. (PTON)	Sale	05/05/2021	\$1,001 - \$15,000
278	One Hundred One Inc (YI)	Sale	05/07/2021	\$1,001 - \$15,000
279	VipShop Holdings Ltd (VIPS)	Sale	05/07/2021	\$1,001 - \$15,000
280	Netflix, Inc. (NFLX)	Purchase	05/11/2021	\$1,001 - \$15,000
281	Carnival Corp. (CCL)	Purchase	05/24/2021	\$1,001 - \$15,000
282	JD Com Inc F (JD)	Sale	05/24/2021	\$1,001 - \$15,000
283	Proshares Ultra Russell2000 (UWM)	Purchase	05/07/2021	\$1,001 - \$15,000
284	Carnival Corp. (CCL)	Sale	06/04/2021	\$1,001 - \$15,000
285	FUBOTV Inc. (FUBO)	Purchase	06/04/2021	\$1,001 - \$15,000
286	Lightspeed Pos Inc. (LSPD)	Purchase	06/04/2021	\$1,001 - \$15,000
287	Sea Ltd. F (SE)	Purchase	06/04/2021	\$1,001 - \$15,000
288	Proshares Ultra Russell2000 (UWM)	Sale	06/04/2021	\$1,001 - \$15,000
289	Cowen, Inc. (COWN)	Sale	07/21/2021	\$1,001 - \$15,000
290	DocuSign, Inc. (DOCU)	Sale	07/21/2021	\$1,001 - \$15,000
291	Silvergate Cap Corp (SI)	Purchase	07/21/2021	\$1,001 - \$15,000
292	Coinbase Global Inc. (COIN)	Purchase	07/23/2021	\$1,001 - \$15,000
293	FUBOTV Inc. (FUBO)	Sale	07/23/2021	\$1,001 - \$15,000
294	Netflix, Inc. (NFLX)	Sale	07/26/2021	\$1,001 - \$15,000
295	Riot Blockchain, Inc. (RIOT)	Purchase	07/26/2021	\$1,001 - \$15,000
296	Roku, Inc. (ROKU)	Sale	07/27/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
297	Riot Blockchain, Inc. (RIOT)	Purchase	07/28/2021	\$1,001 - \$15,000
298	Teladoc Inc. (TDOC)	Sale	07/28/2021	\$1,001 - \$15,000
299	Sea Ltd. F (SE)	Purchase	07/29/2021	\$1,001 - \$15,000
300	BIT Digital Inc (BTBT)	Purchase	08/02/2021	\$1,001 - \$15,000
301	DocuSign, Inc. (DOCU)	Sale	08/02/2021	\$1,001 - \$15,000
302	Digihost Technology IN F (HSSHF)	Purchase	08/04/2021	\$1,001 - \$15,000
303	Nio Inc. F (NIO)	Sale	08/13/2021	\$1,001 - \$15,000
304	Palantir Technologies IN (PLTR)	Purchase	08/18/2021	\$1,001 - \$15,000
305	Green Thumb INDS IN F (GTBIF)	Sale	08/20/2021	\$1,001 - \$15,000
306	Pinduoduo Inc. (PDD)	Purchase	08/20/2021	\$1,001 - \$15,000
307	Canaan Inc. (CAN)	Purchase	08/27/2021	\$1,001 - \$15,000
308	Bilibili Inc. (BILI)	Purchase	09/02/2021	\$1,001 - \$15,000
309	Chewy Inc. (CHWY)	Sale	09/02/2021	\$1,001 - \$15,000
310	Alphabet, Inc. (GOOG)	Sale	09/13/2021	\$1,001 - \$15,000
311	Alphabet, Inc. (GOOG)	Purchase	09/15/2021	\$1,001 - \$15,000
312	Amazon.com, Inc. (AMZN)	Sale	09/15/2021	\$1,001 - \$15,000
313	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/15/2021	\$1,001 - \$15,000
314	Affirm Holdings Inc. (AFRM)	Purchase	09/21/2021	\$1,001 - \$15,000
315	Mercadolibre Inc. (MELI)	Sale	09/21/2021	\$1,001 - \$15,000
316	Upstart Holdings Inc. (UPST)	Purchase	09/21/2021	\$1,001 - \$15,000
317	Canaan Inc. (CAN)	Sale	09/23/2021	\$1,001 - \$15,000
318	SOFI Technologies Inc. (SOFI)	Purchase	09/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
319	UP Fintech HLDG Ltd F (TIGR)	Sale	09/23/2021	\$1,001 - \$15,000
320	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/30/2021	\$1,001 - \$15,000
321	Palantir Technologies IN (PLTR)	Sale	09/30/2021	\$1,001 - \$15,000
322	Affirm Holdings Inc. (AFRM)	Sale	10/11/2021	\$1,001 - \$15,000
323	Alibaba Group Hldg Ltd F (BABA)	Purchase	10/11/2021	\$1,001 - \$15,000
324	Digital World Acquisition (DWAC)	Purchase	10/21/2021	\$1,001 - \$15,000
325	Digital World Acquisition (DWAC)	Sale	10/22/2021	\$1,001 - \$15,000
326	Alphabet, Inc. (GOOG)	Sale	10/26/2021	\$1,001 - \$15,000
327	Amazon.com, Inc. (AMZN)	Purchase	10/26/2021	\$1,001 - \$15,000
328	UP Fintech HLDG Ltd F (TIGR)	Purchase	10/26/2021	\$1,001 - \$15,000
329	UP Fintech HLDG Ltd F (TIGR)	Sale	10/29/2021	\$1,001 - \$15,000
330	Alphabet, Inc. (GOOG)	Purchase	11/02/2021	\$1,001 - \$15,000
331	Amazon.com, Inc. (AMZN)	Sale	11/02/2021	\$1,001 - \$15,000
332	Mercadolibre Inc. (MELI)	Purchase	11/02/2021	\$1,001 - \$15,000
333	Tesla, Inc. (TSLA)	Sale	11/02/2021	\$1,001 - \$15,000
334	AirBNB Inc. (ABNB)	Purchase	11/08/2021	\$1,001 - \$15,000
335	Tesla, Inc. (TSLA)	Sale	11/08/2021	\$1,001 - \$15,000
336	IONQ Inc. (IONQ)	Purchase	11/15/2021	\$1,001 - \$15,000
337	Lightspeed Commerce IN F (LSPD)	Sale	11/15/2021	\$1,001 - \$15,000
338	Bilibili Inc. (BILI)	Sale	11/26/2021	\$1,001 - \$15,000
339	DocuSign, Inc. (DOCU)	Purchase	11/26/2021	\$1,001 - \$15,000
340	Pinduoduo Inc. (PDD)	Sale	11/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
341	Teladoc Inc. (TDOC)	Purchase	11/26/2021	\$1,001 - \$15,000
342	Zoom Video Communications, Inc. (ZM)	Purchase	11/26/2021	\$1,001 - \$15,000
343	Bilibili Inc. (BILI)	Purchase	12/03/2021	\$1,001 - \$15,000
344	Digihost Technology IN F (DGHI)	Sale	12/02/2021	\$1,001 - \$15,000
345	Etsy, Inc. (ETSY)	Sale	12/03/2021	\$1,001 - \$15,000
346	FUTU Holdings Ltd fF(FUTU)	Purchase	12/03/2021	\$1,001 - \$15,000
347	Grayscale Bitcoin TR BTC (GBTC)	Sale	12/03/2021	\$1,001 - \$15,000
348	Pinduoduo Inc. (PDD)	Purchase	12/03/2021	\$1,001 - \$15,000
349	UP Fintech HLDG Ltd F (TIGR)	Purchase	12/03/2021	\$1,001 - \$15,000
350	DocuSign, Inc. (DOCU)	Sale	12/07/2021	\$1,001 - \$15,000
351	BIT Digital Inc. (BTBT)	Sale	12/13/2021	\$1,001 - \$15,000
352	Riot Blockchain, Inc. (RIOT)	Sale	12/13/2021	\$1,001 - \$15,000
353	Roku, Inc. (ROKU)	Purchase	12/13/2021	\$1,001 - \$15,000
354	EverQuote, Inc. (EVER)	Purchase	12/17/2021	\$1,001 - \$15,000
355	Innovative Industrial Properties, Inc. (IIPR)	Sale	12/03/2021	\$1,001 - \$15,000
356	Facebook, Inc. (FB)	Sale	01/04/2021	\$1,001 - \$15,000
357	UP Fintech HLDG Ltd F (TIGR)	Purchase	01/04/2021	\$1,001 - \$15,000
358	Kushco Holdings Inc. (KSHB)	Purchase	01/06/2021	\$1,001 - \$15,000
359	Wayfair, Inc. (W)	Sale	01/06/2021	\$1,001 - \$15,000
360	First Solar, Inc. (FSLR)	Sale	01/15/2021	\$1,001 - \$15,000
361	FUTU Holdings Limited F (FUTU)	Purchase	01/15/2021	\$1,001 - \$15,000
362	FUBOTV Inc. (FUBO)	Purchase	01/20/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
363	Twillo Inc. (TWLO)	Sale	01/20/2021	\$1,001 - \$15,000
364	FIVERR International Ltd (FVRR)	Sale	01/21/2021	\$1,001 - \$15,000
365	FUBOTV Inc. (FUBO)	Purchase	01/21/2021	\$1,001 - \$15,000
366	FUBOTV Inc. (FUBO)	Purchase	01/27/2021	\$1,001 - \$15,000
367	Shopify Inc. (SHOP)	Sale	01/27/2021	\$1,001 - \$15,000
368	Audioeye Inc. (AEYE)	Sale	02/01/2021	\$1,001 - \$15,000
369	Kuschco Hldgs Inc. (KSHB)	Sale	02/02/2021	\$1,001 - \$15,000
370	AMMO Inc. (POWW)	Purchase	02/04/2021	\$1,001 - \$15,000
371	Tesla, Inc. (TSLA)	Sale	02/04/2021	\$1,001 - \$15,000
372	Translate Bio, Inc. (TBIO)	Purchase	02/08/2021	\$1,001 - \$15,000
373	FUTU Holdings Limited F (FUTU)	Sale	02/09/2021	\$1,001 - \$15,000
374	UP Fintech Hldg Limited F (TIGR)	Sale	02/09/2021	\$1,001 - \$15,000
375	Youdao Inc. (DAO)	Purchase	02/09/2021	\$1,001 - \$15,000
376	Adapt Health Corp. (AHCO)	Sale	02/10/2021	\$1,001 - \$15,000
377	Green Thumb Inds Inc. (GTBIF)	Purchase	02/10/2021	\$1,001 - \$15,000
378	Billibili Inc. (BILI)	Sale	02/11/2021	\$1,001 - \$15,000
379	Etsy, Inc. (ETSY)	Sale	02/11/2021	\$1,001 - \$15,000
380	Fiverr International Ltd. (FVRR)	Sale	02/11/2021	\$1,001 - \$15,000
381	FUBOTV Inc. (FUBO)	Sale	02/11/2021	\$1,001 - \$15,000
382	FUTU Holdings Ltd. (FUTU)	Sale	02/11/2021	\$1,001 - \$15,000
383	Green Thumb Inds Inc. (GTBIF)	Purchase	02/11/2021	\$1,001 - \$15,000
384	JOYY Inc. (YY)	Purchase	02/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
385	NVIDIA Corp. (NVDA)	Purchase	02/11/2021	\$1,001 - \$15,000
386	UP Fintech Hldg Limited F (TIGR)	Sale	02/11/2021	\$1,001 - \$15,000
387	One Hundred One Inc. (DAO)	Purchase	02/16/2021	\$1,001 - \$15,000
388	Translate Bio, Inc. (TBIO)	Sale	02/16/2021	\$1,001 - \$15,000
389	Billibili Inc. (BILI)	Sale	02/22/2021	\$1,001 - \$15,000
390	Cowen, Inc. (COWN)	Purchase	02/22/2021	\$1,001 - \$15,000
391	Advanced Micro Devices, Inc. (AMD)	Purchase	02/23/2021	\$1,001 - \$15,000
392	FUBOTV Inc. (FUBO)	Sale	02/23/2021	\$1,001 - \$15,000
393	One Hundred One Inc. (DAO)	Purchase	02/23/2021	\$1,001 - \$15,000
394	Cowen, Inc. (COWN)	Sale	02/26/2021	\$1,001 - \$15,000
395	Facebook, Inc. (FB)	Purchase	02/26/2021	\$1,001 - \$15,000
396	AMMO Inc. (POWW)	Sale	03/11/2021	\$1,001 - \$15,000
397	Advanced Micro Devices, Inc. (AMD)	Sale	03/11/2021	\$1,001 - \$15,000
398	Nio Inc. (NIO)	Purchase	03/11/2021	\$1,001 - \$15,000
399	Snap, Inc. (SNAP)	Purchase	03/11/2021	\$1,001 - \$15,000
400	JOYY Inc. (YY)	Sale	03/26/2021	\$1,001 - \$15,000
401	Growgeneration Corp (GRWG)	Purchase	03/30/2021	\$1,001 - \$15,000
402	Invitae Corp. (NVTA)	Purchase	03/31/2021	\$1,001 - \$15,000
403	AMMO Inc. (POWW)	Purchase	04/12/2021	\$1,001 - \$15,000
404	Youdao Inc. (DAO)	Sale	04/12/2021	\$1,001 - \$15,000
405	AMMO Inc. (POWW)	Sale	04/14/2021	\$1,001 - \$15,000
406	Alphabet, Inc. (GOOG)	Purchase	04/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
407	Carvana Co. (CVNA)	Sale	04/14/2021	\$1,001 - \$15,000
408	DocuSign, Inc. (DOCU)	Purchase	04/14/2021	\$1,001 - \$15,000
409	Facebook, Inc. (FB)	Purchase	04/14/2021	\$1,001 - \$15,000
410	FIVERR International Ltd (FVRR)	Sale	04/14/2021	\$1,001 - \$15,000
411	FUTU Holdings Ltd. (FUTU)	Sale	04/14/2021	\$1,001 - \$15,000
412	Growgeneration Corp (GRWG)	Sale	04/14/2021	\$1,001 - \$15,000
413	JD Com Inc. (JD)	Sale	04/14/2021	\$1,001 - \$15,000
414	Mercadolibre Inc. (MELI)	Sale	04/14/2021	\$1,001 - \$15,000
415	NVIDIA Corp. (NVDA)	Sale	04/14/2021	\$1,001 - \$15,000
416	One Hundred One Inc. (DAO)	Purchase	04/14/2021	\$1,001 - \$15,000
417	Ontrack Inc. (OTRK)	Sale	04/14/2021	\$1,001 - \$15,000
418	Pinterest, Inc. (PINS)	Purchase	04/14/2021	\$1,001 - \$15,000
419	Roblox Corp (RBLX)	Purchase	04/14/2021	\$1,001 - \$15,000
420	Snap, Inc. (SNAP)	Sale	04/14/2021	\$1,001 - \$15,000
421	Square, Inc. (SQ)	Sale	04/14/2021	\$1,001 - \$15,000
422	Tesla, Inc. (TSLA)	Purchase	04/14/2021	\$1,001 - \$15,000
423	Vipshop Holdings Ltd (VIPS)	Purchase	04/14/2021	\$1,001 - \$15,000
424	Coinbase Global Inc. (COIN)	Purchase	04/21/2021	\$1,001 - \$15,000
425	Nio Inc. (NIO)	Sale	04/21/2021	\$1,001 - \$15,000
426	Vipshop Holdings Ltd (VIPS)	Sale	04/21/2021	\$1,001 - \$15,000
427	Coinbase Global Inc. (COIN)	Purchase	04/22/2021	\$1,001 - \$15,000
428	Pelaton Interactive Inc. (PTON)	Purchase	04/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
429	Pinterest, Inc. (PINS)	Sale	04/28/2021	\$1,001 - \$15,000
430	Cowen, Inc. (COWN)	Purchase	05/05/2021	\$1,001 - \$15,000
431	Invitae Corp. (NVTA)	Sale	05/05/2021	\$1,001 - \$15,000
432	Pelaton Interactive Inc. (PTON)	Sale	05/05/2021	\$1,001 - \$15,000
433	One Hundred One Inc. (DAO)	Sale	05/07/2021	\$1,001 - \$15,000
434	Vipshop Holdings Ltd (VIPS)	Sale	05/07/2021	\$1,001 - \$15,000
435	Netflix, Inc. (NFLX)	Purchase	05/11/2021	\$1,001 - \$15,000
436	Carinval Corp (CCL)	Purchase	05/24/2021	\$1,001 - \$15,000
437	JD Com Inc. (JD)	Sale	05/24/2021	\$1,001 - \$15,000
438	Proshares Ultra Russell2000 (UWM)	Purchase	05/07/2021	\$1,001 - \$15,000
439	Carinval Corp (CCL)	Sale	06/04/2021	\$1,001 - \$15,000
440	FUBOTV Inc. (FUBO)	Purchase	06/04/2021	\$1,001 - \$15,000
441	Lightspeed Pos Inc. (LSPD)	Purchase	06/04/2021	\$1,001 - \$15,000
442	Sea Ltd (SE)	Purchase	06/04/2021	\$1,001 - \$15,000
443	Growgeneration Corp (GRWG)	Purchase	06/28/2021	\$1,001 - \$15,000
444	Proshares Ultra Russell2000 (UWM)	Sale	06/04/2021	\$1,001 - \$15,000
445	Cowen, Inc. (COWN)	Sale	07/21/2021	\$1,001 - \$15,000
446	DocuSign, Inc. (DOCU)	Sale	07/21/2021	\$1,001 - \$15,000
447	Silvergate Cap Corp (SI)	Purchase	07/21/2021	\$1,001 - \$15,000
448	Coinbase Global Inc. (COIN)	Purchase	07/23/2021	\$1,001 - \$15,000
449	FUBOTV Inc. (FUBO)	Sale	07/23/2021	\$1,001 - \$15,000
450	Netflix, Inc. (NFLX)	Sale	07/26/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
451	Riot Blockchain, Inc. (RIOT)	Purchase	07/26/2021	\$1,001 - \$15,000
452	FUTU Holdings Limited F (FUTU)	Purchase	07/27/2021	\$1,001 - \$15,000
453	Roku, Inc. (ROKU)	Sale	07/27/2021	\$1,001 - \$15,000
454	UP Fintech Holdings Ltd (TIGR)	Purchase	07/27/2021	\$1,001 - \$15,000
455	Riot Blockchain, Inc. (RIOT)	Purchase	07/28/2021	\$1,001 - \$15,000
456	Teladoc, Inc. (TDOC)	Sale	07/28/2021	\$1,001 - \$15,000
457	Sea Ltd (SE)	Purchase	07/29/2021	\$1,001 - \$15,000
458	Bit Digital Inc. (BTBT)	Purchase	08/02/2021	\$1,001 - \$15,000
459	DocuSign, Inc. (DOCU)	Sale	08/02/2021	\$1,001 - \$15,000
460	Digihost Technology In F (HSSHF)	Purchase	08/04/2021	\$1,001 - \$15,000
461	Nio Inc. (NIO)	Sale	08/13/2021	\$1,001 - \$15,000
462	Palantir Technologies In (PLTR)	Purchase	08/18/2021	\$1,001 - \$15,000
463	Green Thumbs Inds Inc. (GTBIF)	Sale	08/20/2021	\$1,001 - \$15,000
464	Pinduoduo Inc. (PDD)	Purchase	08/20/2021	\$1,001 - \$15,000
465	Canaan Inc. (CAN)	Purchase	08/27/2021	\$1,001 - \$15,000
466	Innovative Industrial Properties, Inc. (IIPR)	Sale	08/06/2021	\$1,001 - \$15,000
467	Bilibili Inc. (BILI)	Purchase	09/02/2021	\$1,001 - \$15,000
468	Chewy Inc. (CHWY)	Sale	09/02/2021	\$1,001 - \$15,000
469	Mercadolibre Inc. (MELI)	Purchase	09/13/2021	\$1,001 - \$15,000
470	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/15/2021	\$1,001 - \$15,000
471	Affirm Holdings Inc. (AFRM)	Purchase	09/21/2021	\$1,001 - \$15,000
472	Mercadolibre Inc. (MELI)	Sale	09/21/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
473	Upstart Holdings Inc. (UPST)	Purchase	09/21/2021	\$1,001 - \$15,000
474	Canaan Inc. (CAN)	Sale	09/23/2021	\$1,001 - \$15,000
475	Sofi Technologies Inc. (SOFI)	Purchase	09/23/2021	\$1,001 - \$15,000
476	UP Fintech Holdings Ltd (TIGR)	Sale	09/23/2021	\$1,001 - \$15,000
477	Grayscale Bitcoin TR BTC (GBTC)	Purchase	09/30/2021	\$1,001 - \$15,000
478	Palantir Technologies, IN. (PLTR)	Sale	09/30/2021	\$1,001 - \$15,000
479	Affirm Holdings Inc. (AFRM)	Sale	10/11/2021	\$1,001 - \$15,000
480	Alibaba Group Hldg Ltd F (BABA)	Purchase	10/11/2021	\$1,001 - \$15,000
481	Etsy, Inc. (ETSY)	Sale	10/11/2021	\$1,001 - \$15,000
482	Facebook, Inc. (FB)	Sale	10/11/2021	\$1,001 - \$15,000
483	FUTU Holdings Limited F (FUTU)	Sale	10/15/2021	\$1,001 - \$15,000
484	Digital World Acquisition (DWAC)	Purchase	10/21/2021	\$1,001 - \$15,000
485	Grayscale Bitcoin TR BTC (GBTC)	Sale	10/21/2021	\$1,001 - \$15,000
486	Digital World Acquisition (DWAC)	Sale	10/22/2021	\$1,001 - \$15,000
487	Tesla, Inc. (TSLA)	Sale	10/26/2021	\$1,001 - \$15,000
488	UP Fintech Holdings Ltd (TIGR)	Purchase	10/26/2021	\$1,001 - \$15,000
489	UP Fintech Holdings Ltd (TIGR)	Sale	10/29/2021	\$1,001 - \$15,000
490	Tesla, Inc. (TSLA)	Sale	11/02/2021	\$1,001 - \$15,000
491	Airbnb Inc. (ABNB)	Purchase	11/08/2021	\$1,001 - \$15,000
492	Riot Blockchain, Inc. (RIOT)	Purchase	11/10/2021	\$1,001 - \$15,000
493	Sofi Technologies Inc. (SOFI)	Sale	11/10/2021	\$1,001 - \$15,000
494	IONQ Inc. (IONQ)	Purchase	11/15/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
495	Lightspeed Commerce In F (LPSD)	Sale	11/15/2021	\$1,001 - \$15,000
496	Digihost Technology In F (DGHI)	Sale	11/16/2021	\$1,001 - \$15,000
497	IONQ Inc. (IONQ)	Purchase	11/16/2021	\$1,001 - \$15,000
498	Airbnb Inc. (ABNB)	Sale	11/26/2021	\$1,001 - \$15,000
499	Bilibili Inc. (BILI)	Sale	11/26/2021	\$1,001 - \$15,000
500	DocuSign, Inc. (DOCU)	Purchase	11/26/2021	\$1,001 - \$15,000
501	Etsy, Inc. (ETSY)	Sale	11/26/2021	\$1,001 - \$15,000
502	Fiverr International Ltd F (FVRR)	Purchase	11/26/2021	\$1,001 - \$15,000
503	Pinduoduo Inc. (PDD)	Sale	11/26/2021	\$1,001 - \$15,000
504	Teladoc, Inc. (TDOC)	Purchase	11/26/2021	\$1,001 - \$15,000
505	Zoom Video Communications, Inc. (ZM)	Purchase	11/26/2021	\$1,001 - \$15,000
506	Bilibili Inc. (BILI)	Purchase	12/03/2021	\$1,001 - \$15,000
507	Digihost Technology IN F (DGHI)	Sale	12/03/2021	\$1,001 - \$15,000
508	Etsy, Inc. (ETSY)	Sale	12/03/2021	\$1,001 - \$15,000
509	FUTU Holdings Limited F (FUTU)	Purchase	12/03/2021	\$1,001 - \$15,000
510	Grayscale Bitcoin TR BTC (GBTC)	Sale	12/03/2021	\$1,001 - \$15,000
511	Pinduoduo Inc. (PDD)	Purchase	12/03/2021	\$1,001 - \$15,000
512	UP Fintech Holdings Ltd (TIGR)	Purchase	12/03/2021	\$1,001 - \$15,000
513	DocuSign, Inc. (DOCU)	Sale	12/07/2021	\$1,001 - \$15,000
514	BIT Digital Inc. (BTBT)	Sale	12/13/2021	\$1,001 - \$15,000
515	Mercadolibre Inc. (MELI)	Purchase	12/13/2021	\$1,001 - \$15,000
516	Riot Blockchain, Inc. (RIOT)	Sale	12/13/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
517	Roku, Inc. (ROKU)	Purchase	12/13/2021	\$1,001 - \$15,000
518	EverQuote, Inc. (EVER)	Purchase	12/17/2021	\$1,001 - \$15,000
519	Grayscale Bitcoin TR BTC (GBTC)	Sale	12/17/2021	\$1,001 - \$15,000
520	Mercadolibre Inc. (MELI)	Sale	12/17/2021	\$1,001 - \$15,000
521	Innovative Industrial Properties, Inc. (IIPR)	Sale	12/03/2021	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Navy Federal Credit Union	Mortgage (investment/rental property)	\$100,001 - \$250,000	2020	3.376	15
2	Navy Federal Credit Union	Mortgage (investment/rental property)	\$250,001 - \$500,000	2007	3.88	30
3	Navy Federal Credit Union	Mortgage (investment/rental property)	\$250,001 - \$500,000	2012	4.5	30

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Outside Government Employment Form approved and on file.
1.	2	Outside Government Employment Form approved and on file.
1.	3	Outside Government Employment Form approved and on file.
1.	4	Outside Government Employment Form approved and on file.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
