Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Nies-Vogel, Karen H

Director, Office of Exporter Services, Department of Commerce

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Nies-Vogel, Karen H [electronically signed on 10/28/2022 by Nies-Vogel, Karen H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 11/22/2022 by Dornburg, Erica M in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	ТҮРЕ	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Fidelity Money Market Fund Premium Class (FZDXX)	Sale	09/26/2022	No	\$1,001 - \$15,000
2	Fidelity Strategic Advisor International Fund (FUSIX) to Fidelity Money Market Premium Class (FZDXX))	Sale	10/05/2022	No	\$1,001 - \$15,000
3	iShares TR EAFE SML CP ETF (SCZ) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
4	Strategic Advisors Fidelity Core Income (FIWGX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/05/2022	No	\$1,001 - \$15,000
5	Fidelity Real Estate Investment Portfolio (FRESX) to Fidelity Money market Premium Class (FZDXX)	Sale	10/05/2022	No	\$1,001 - \$15,000
6	SPDR Nuveen Bloomberg High Yield Municipal (HYMB) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
7	Fidelity Money Market Premium Class (FZDXX)) to iShares TR MSCI International Value FT (IVLU)	Purchase	10/11/2022	No	\$1,001 - \$15,000
8	Fidelity Money Market Fund Premium Class (FZDXX) to IShares Russell 1000 Index fund (IWB)	Purchase	10/11/2022	No	\$15,001 - \$50,000
9	iShares Russell 2000 ETF (IWM) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
10	Fidelity Money Market Fund Premium Class (FZDXX) to Conf: 035762024 MFS Virginia Muni Bond Class A (MSVAX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
11	TR Rowe Price Virginia Tax-Free Bond (PRVAX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
12	Fidelity Money Market Fund Premium Class (FZDXX) to IShares TR MSCI USA QLT FCT (QUAL)	Purchase	10/11/2022	No	\$1,001 - \$15,000
13	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Overseas (FOSFX)	Purchase	10/11/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
14	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity SAI Municipal Income Fund (FSMNX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
15	Fidelity Large Cap Growth Index Fund (FSPGX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
16	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity International Index Fund (FSPSX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
17	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity SAI US Momentum Index Fund (FUMIX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
18	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity SAI US Quality Index Fund (FUQIX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
19	Fidelity Value Discovery Fund (FVDFX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
20	Fidelity 500 Index Fund (FXAIX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
21	Fidelity Advisors Focused Emerging Markets Z (FZAEX) to Fidelity Money Market Premium Class 9FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
22	Fidelity Advisor Mega Cap Stock Fund Class Z Shares (FZALX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
23	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Advisor International Discovery CL I (FIADX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
24	Fidelity International Enhanced Index Fund (FIENX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
25	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity International Cap Appreciation fund (FIVFX))	Purchase	10/11/2022	No	\$1,001 - \$15,000
26	Fidelity International Value Fund (FIVLX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
27	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Large Cap Stock (FLCSX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
28	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Large Cap Value Enhanced (FLVEX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
29	Fidelity Magellan Fund (FMAGX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
30	Fidelity Conservative Income Municipal Bond Fund Institutional Class Shares (FMNDX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
31	iShares TR EAFE Growth ETF (EFG) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
32	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Blue Chip Value (FBCVX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
33	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Gowth Company (FDGRX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
34	Fidelity Diversified International Fund (FDIVX) to Fidelity Money market Premium class (FZDXX)	Sale	10/11/2022	No	\$1,001 - \$15,000
35	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity Stock Selector All Cap (FDSSX)	Purchase	10/11/2022	No	\$1,001 - \$15,000
36	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity SAI Emerging Markets Value Index Fund (FEMVX)	Purchase	10/20/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
37	Fidelity Emerging Markets Index Fund ( to Fidelity Money market Premium Class (FZDXX))	Sale	10/20/2022	No	\$1,001 - \$15,000
38	Fidelity SAI Tax-Free Bond Fund (FSAJX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/20/2022	No	\$15,001 - \$50,000
39	Fidelity Money Market Fund Premium Class (FZDXX) to Fidelity SAI Municipal Income Fund (FSMNX)	Purchase	10/20/2022	No	\$15,001 - \$50,000
40	Fidelity SAI US Treasury Bond Index Fund (FUTBX) to Fidelity Money Market Premium Class (FZDXX)	Sale	10/20/2022	No	\$1,001 - \$15,000
41	Fidelity Money Market Fund Premium Class (FZDXX) to iShares TR US Treas BD ETF (GOVT)	Purchase	10/20/2022	No	\$1,001 - \$15,000
42	Fidelity Money Market Fund Premium Class (FZDXX) to iShares Core S&P mid-cap ETF (IJH)	Purchase	10/20/2022	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the

information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections: (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation: (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.