

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Jeffrey, Deborah

Inspector General, Corporation for National and Community Service

Report Year: 2017

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jeffrey, Deborah [electronically signed on 06/29/2017 by Jeffrey, Deborah in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Greenhaugh, John, Certifying Official [electronically signed on 07/27/2017 by Greenhaugh, John in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 08/10/2017 by Skalla, Daniel L in Integrity.gov]

Data Revised 07/05/2017

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	parking space		\$15,001 - \$50,000	Rent or Royalties	\$1,001 - \$2,500

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash deposit PNC Bank, Washington, DC (checking and savings)		\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Cash Deposit-Bank of America, Washington, DC (checking and savings)		\$100,001 - \$250,000		None (or less than \$201)
3	Bond - State of Israel 6th Libor Float Rate 10-year State of Israel-Development Corporation for Israel	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
4	Bond - State of Israel 7th Development Issue Supplement State of Israel--Development Corporation for Israel		\$1,001 - \$15,000		None (or less than \$201)
5	ACAP STRATEGIC INTERVAL COMMON (XCAPX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6	CRE11 LLC (BAU21)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	LEGG MASON REAL EST CAP II (AIN68)	See Endnote	\$15,001 - \$50,000		\$5,001 - \$15,000
8	AMERICAN EUROPACIFIC GRW F2 (AEPFX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
9	AMERICAN GW FD OF AMERICA F2 (GFFFX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10	AQR LONG-SHORT EQ INST (QLEIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	AQR MANAGED FUTURES STRATEGY I (AQMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	AQR STYLE PREMIA ALTERNATIVE I (QSPIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13	BARON SMALL CAP INSTL (BSFIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
14	BLACKSTONE ALT MULT-STRAT INST (BXMIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	DAVIS NEW YORK VENTURE Y (DNVYX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
16	DIAMOND HILL LONG-SHORT I (DHLX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
17	HENDERSON INTL OPPORT I (HFOIX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	ISHARES CORE U.S. AGGREGATE (AGG)	Yes	\$100,001 - \$250,000		None (or less than \$201)
19	MLP SELECT 40Y (MLPTX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
20	NEUBERGER INTRINSIC VALUE INST (NINLX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
21	OAKMARK I (OAKMX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
22	OHIO NATIONAL - ONCORE LITE III CINCINNATI, OH US	Yes	\$250,001 - \$500,000		None (or less than \$201)
23	ORION MANAGED FUTURES FUND	N/A	\$100,001 - \$250,000		None (or less than \$201)
24	SKYBRIDGE MULTI-ADSR SERIES G HEDGE FUND OF FUNDS	Yes	\$100,001 - \$250,000		None (or less than \$201)
25	TRANSAMERICA LIBERTY 2008 CEDAR RAPIDS, IA US	Yes	\$250,001 - \$500,000		None (or less than \$201)
26	VANGUARD MID CAP VALUE ETF (VOE)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
27	VANGUARD MIDCAP GROWTH ETF (VOT)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
28	VANGUARD SHORT TERM BOND (BSV)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
29	VIRTUS INSIGHT EMERG MKTS I (HIEMX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
30	Cash - Morgan Stanley	N/A	\$15,001 - \$50,000		None (or less than \$201)
31	ABBAY CAPITAL FUTURES (ABYIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
32	BARON REAL ESTATE INST BREIX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
33	BLACKROCK HI YIELD BD PTF INST	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	CENTER COAST MLP FOCUS (CCCNX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
35	ISHARES S&P 500 GRWTH	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
36	MATTHEWS ASIAN JAPAN INV (MJFOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	PIONEER MLTI AST ULT SHT INC (MYFRX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
38	T ROWE PRICE JAPAN FUND (PRJPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
39	TEMPLETON INTL Bond (FIBZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	6th Libor Float Rate 10-year State of Israel Bond maturity	See Endnote	Exchange		\$1,001 - \$15,000
2	APCANADARKO PETE		Sale	09/15/2016	\$1,001 - \$15,000
3	ADSKAUTODESK INC DELAWARE		Sale	09/15/2016	\$1,001 - \$15,000
4	BIIBBIOGEN INC COM		Sale	09/15/2016	\$1,001 - \$15,000
5	CTXSCITRIX SYSTEMS INC		Sale	09/15/2016	\$1,001 - \$15,000
6	DLBDOLBY CLA A COM STK		Sale	09/15/2016	\$1,001 - \$15,000
7	CMCSACOMCAST CORP (NEW) CLASS A		Sale	09/15/2016	\$1,001 - \$15,000
8	FLRFLUOR CORP NEW		Sale	09/15/2016	\$1,001 - \$15,000
9	DISCADISCOVERY COMMUNICATIONS SER A		Sale	09/15/2016	\$1,001 - \$15,000
10	IONSIONIS PHARMACEUTICALS INC		Sale	09/15/2016	\$1,001 - \$15,000
11	LLLL-3 COMMUNICATIONS HOLDING INC		Sale	09/15/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	FCXFREEPORT-MCMORAN INC	Sale	09/15/2016	\$1,001 - \$15,000
13	QVCALIBERTY INTERACTIVE CORP QVC A	Sale	09/15/2016	\$1,001 - \$15,000
14	TWTRTWITTER INC	Sale	09/15/2016	\$1,001 - \$15,000
15	NOVNATIONAL OILWELL VARCO INC	Sale	09/15/2016	\$1,001 - \$15,000
16	JCIJOHNSON CTLS INTL PLC	Sale	09/15/2016	\$1,001 - \$15,000
17	WDCWESTERN DIGITAL CORPORATION	Sale	09/15/2016	\$1,001 - \$15,000
18	UNHUNITEDHEALTH GP INC	Sale	09/15/2016	\$1,001 - \$15,000
19	WFTWEATHERFORD INTERNATIONAL	Sale	09/15/2016	\$1,001 - \$15,000
20	STXSEAGATE TECHNOLOGY PLC	Sale	09/15/2016	\$1,001 - \$15,000
21	VRTXVERTEX PHARMACEUTICALS	Sale	09/15/2016	\$1,001 - \$15,000
22	DNOWNOW INC	Sale	09/15/2016	\$1,001 - \$15,000
23	MDTMEDTRONIC PLC SHS	Sale	09/15/2016	\$1,001 - \$15,000
24	NUENUCOR CORPORATION	Sale	09/15/2016	\$1,001 - \$15,000
25	AGNALLERGAN PLC SHS	Sale	09/15/2016	\$1,001 - \$15,000
26	PNRPENTAIR PLC	Sale	09/15/2016	\$1,001 - \$15,000
27	TELTE CONNECTIVITY LTD NEW	Sale	09/15/2016	\$1,001 - \$15,000
28	AVGOBROADCOM LTD SHS	Sale	09/15/2016	\$1,001 - \$15,000
29	Weatherford (WFT)	Sale	04/06/2016	\$1,001 - \$15,000
30	Twitter (TWTR)	Purchase	01/22/2016	\$1,001 - \$15,000
31	UnitedHealth (UNH)	Sale	01/22/2016	\$1,001 - \$15,000
32	Broadcom Corp A (BRCM)	Sale	03/08/2016	\$1,001 - \$15,000
33	Freeport McMoRan (FCX)	Sale	03/08/2016	\$1,001 - \$15,000
34	Seagate Technology (stx)	Sale	03/08/2016	\$1,001 - \$15,000
35	Anadarko (APC)	Sale	03/08/2016	\$1,001 - \$15,000
36	ADT Corporation (ADT)	Sale	02/16/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	JP MORGAN MID CAP VALUE JMVSX	Purchase	01/05/2016	\$15,001 - \$50,000
38	BCS TRIGGER PLUS BASED ON DXJ APDQX	Sale	01/05/2016	\$15,001 - \$50,000
39	ARTISAN MID CAP VALUE ADV (APDQX)	Sale	01/05/2016	\$15,001 - \$50,000
40	ISHARES TIPS BOND ETF (TIP)	Purchase	01/11/2016	\$15,001 - \$50,000
41	AQR MANAGED FUTURES STRATEGY AQMIX	Purchase	01/11/2016	\$15,001 - \$50,000
42	ishares Core US AGG	Sale	01/11/2016	\$15,001 - \$50,000
43	VIRTUS INSIGHT EMERG MKTS (HIEMX)	Purchase	01/21/2016	\$15,001 - \$50,000
44	VANGUARD FTSE EMERGING MARKETS (VWO)	Sale	01/21/2016	\$100,001 - \$250,000
45	VIRTUS INSIGHT EMERG MKTS (HIEMX)	Purchase	01/22/2016	\$50,001 - \$100,000
46	ISHARES MSCI JAPAN (EWJ)	Purchase	01/22/2016	\$15,001 - \$50,000
47	HENDERSON INTL OPPORT (HFOIX)	Purchase	01/22/2016	\$15,001 - \$50,000
48	AMERICAN EUROPACIFIC GRW (AEPFX)	Purchase	01/22/2016	\$15,001 - \$50,000
49	ISHARES TIPS BOND ETF (TIP)	Purchase	01/22/2016	\$1,001 - \$15,000
50	PIMCO ENHANCED SHRT (MINT)	Purchase	01/22/2016	\$1,001 - \$15,000
51	ISHARES CORE U.S. AGGREGATE (AGG)	Purchase	01/22/2016	\$1,001 - \$15,000
52	MATTHEWS ASIAN JAPAN INV (MJFOX)	Purchase	01/22/2016	\$1,001 - \$15,000
53	OAKMARK I (OAKMX)	Purchase	01/22/2016	\$1,001 - \$15,000
54	ISHARES S&P 500 (IVE)	Purchase	01/22/2016	\$1,001 - \$15,000
55	AMERICAN EUROPACIFIC GRW (AEPFX)	Purchase	01/22/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
56	BRANDES INTERNATIONAL (BIEX)	Purchase	01/22/2016	\$1,001 - \$15,000
57	HENDERSON INTL OPPORT (HFOIX)	Purchase	01/22/2016	\$1,001 - \$15,000
58	MLP SELECT 40 Y (MLPTX)	Purchase	01/22/2016	\$1,001 - \$15,000
59	VANGUARD MID CAP VALUE ETF (VOE)	Purchase	01/22/2016	\$1,001 - \$15,000
60	NATIXIS ASG GLOBAL ALTERNAT (GAFYX)	Sale	01/22/2016	\$1,001 - \$15,000
61	OAKMX	Sale	01/22/2016	\$1,001 - \$15,000
62	DAVIS NEW YORK VENTURE (DNVYX)	Sale	01/22/2016	\$1,001 - \$15,000
63	BLACKSTONE ALT MULT-STRAT (BXMIX)	Sale	01/22/2016	\$1,001 - \$15,000
64	AQR MANAGED FUTURES STRATEGYAQMIX	Sale	01/22/2016	\$1,001 - \$15,000
65	AQR STYLE PREMIA ALTERNATIVE IQSPIX	Sale	01/22/2016	\$1,001 - \$15,000
66	ISHARES IBOXX \$ H/Y COR BD ETFHYG	Sale	01/22/2016	\$1,001 - \$15,000
67	IVY MID CAP GROWTH IIMIX	Sale	01/22/2016	\$1,001 - \$15,000
68	BARON GROWTH INSTITUTIONALBGRIX	Sale	01/22/2016	\$1,001 - \$15,000
69	AQR LONG-SHORTY EQ INSTQLEIX	Sale	01/22/2016	\$1,001 - \$15,000
70	ROYCE TOTAL RETURN INVRYTRX	Sale	01/22/2016	\$1,001 - \$15,000
71	AMERICAN GW FD OF AMERICA F2GFFFX	Sale	01/22/2016	\$1,001 - \$15,000
72	COLUMBIA LARGE CAP GROWTH V ZNGIPX	Sale	01/22/2016	\$1,001 - \$15,000
73	AMERICAN GW FD OF AMERICA F2GFFFX	Sale	01/22/2016	\$1,001 - \$15,000
74	VANGUARD MIDCAP GROWTH ETFVOT	Sale	01/22/2016	\$1,001 - \$15,000
75	VANGUARD SHORT TERM BNDBSV	Sale	01/22/2016	\$15,001 - \$50,000
76	BARON SMALL CAP INSTLBSFIX	Sale	01/22/2016	\$15,001 - \$50,000
77	NEUBERGER INTRINSIC VALUE INSTNINLX	Sale	01/22/2016	\$15,001 - \$50,000
78	DREYFUS NATURAL RESOURCES IDLDRX	Sale	01/22/2016	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	WESTERN ASSET CORE PLUS BD IWACPX	Purchase	01/28/2016	\$15,001 - \$50,000
80	PIONEER MLTI AST ULT SHT INC YMYFRX	Purchase	01/28/2016	\$15,001 - \$50,000
81	SKYBRIDGE MULTI-ADSR HF SER G	Purchase	01/28/2016	\$1,001 - \$15,000
82	PIMCO ENHANCED SHRT MTRT EXCMINT	Sale	01/28/2016	\$1,001 - \$15,000
83	ISHARES CORE U.S. AGGREGATEAGG	Sale	01/28/2016	\$1,001 - \$15,000
84	DAVIS NEW YORK VENTURE YDNVYX	Purchase	03/01/2016	\$100,001 - \$250,000
85	ISHARES S&P 500 VAL ETFIVE	Sale	03/01/2016	\$100,001 - \$250,000
86	HENDERSON INTL OPPORT IHFOIX	Purchase	03/04/2016	\$1,001 - \$15,000
87	COLUMBIA LARGE CAP GROWTH V NGIPX	Purchase	03/04/2016	\$1,001 - \$15,000
88	AMERICAN EUROPACIFIC GRW AEPFX	Purchase	03/04/2016	\$1,001 - \$15,000
89	DAVIS NEW YORK VENTURE DNVYX	Purchase	03/04/2016	\$1,001 - \$15,000
90	WESTERN ASSET CORE PLUS BD IWACPX	Purchase	03/08/2016	\$50,001 - \$100,000
91	VANGUARD SHORT TERM BNDBSV	Purchase	03/08/2016	\$15,001 - \$50,000
92	TEMPLETON INTL BOND ADVFIBZX	Purchase	03/08/2016	\$15,001 - \$50,000
93	BARON REAL ESTATE INSTBREIX	Purchase	03/08/2016	\$15,001 - \$50,000
94	PIONEER MLTI AST ULT SHT INC MYFRX	Purchase	03/08/2016	\$15,001 - \$50,000
95	BARON SMALL CAP INSTLBSFIX	Purchase	03/08/2016	\$15,001 - \$50,000
96	VANGUARD MIDCAP GROWTH ETFVOT	Purchase	03/08/2016	\$15,001 - \$50,000
97	NEUBERGER INTRINSIC VALUE INSTNINLX	Purchase	03/08/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
98	BROADCOM LTD SHSAVGO	Sale	03/08/2016	\$1,001 - \$15,000
99	AMERICAN GW FD OF AMERICA GFFFX	Sale	03/08/2016	\$1,001 - \$15,000
100	TORTOISE MLP FUND INCNTG	Sale	03/08/2016	\$1,001 - \$15,000
101	DAVIS NEW YORK VENTURE DNVYX	Sale	03/08/2016	\$15,001 - \$50,000
102	OAKMARK OAKMX	Sale	03/08/2016	\$15,001 - \$50,000
103	ISHARES IBOX \$ H/Y COR BD ETFHYG	Sale	03/08/2016	\$15,001 - \$50,000
104	ISHARES MSCI JAPAN ETFEWJ	Sale	03/08/2016	\$15,001 - \$50,000
105	ISHARES TIPS BOND ETFTIP	Sale	03/08/2016	\$50,001 - \$100,000
106	ISHARES S&P 500 GRWTH ETFIVW	Purchase	03/11/2016	\$15,001 - \$50,000
107	AQR MANAGED FUTURES STRATEGY AQMIX	Purchase	03/11/2016	\$15,001 - \$50,000
108	ISHARES MSCI JAPAN ETFEWJ	Purchase	03/11/2016	\$1,001 - \$15,000
109	VANGUARD MID CAP VALUE ETFVOE	Purchase	03/11/2016	\$1,001 - \$15,000
110	AMERICAN EUROPACIFIC GRW F2AEPFX	Purchase	03/11/2016	\$1,001 - \$15,000
111	NATIXIS ASG GLOBAL ALTERNAT GAFYX	Purchase	03/11/2016	\$1,001 - \$15,000
112	AQR LONG-SHORTY EQ INSTQLEIX	Purchase	03/11/2016	\$1,001 - \$15,000
113	NEUBERGER INTRINSIC VALUE INSTNINLX	Purchase	03/11/2016	\$1,001 - \$15,000
114	DIAMOND HILL LONG-SHORT IDHLSX	Purchase	03/11/2016	\$1,001 - \$15,000
115	BARON SMALL CAP INSTLBSFIX	Purchase	03/11/2016	\$1,001 - \$15,000
116	VANGUARD MIDCAP GROWTH ETFVOT	Purchase	03/11/2016	\$1,001 - \$15,000
117	AQR STYLE PREMIA ALTERNATIVE IQSPIX	Purchase	03/11/2016	\$1,001 - \$15,000
118	BLACKSTONE ALT MULT-STRAT INSTBXMIX	Purchase	03/11/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
119	HENDERSON INTL OPPORT IHFOIX	Purchase	03/11/2016	\$1,001 - \$15,000
120	AMERICAN GW FD OF AMERICA F2GFFFX	Sale	03/11/2016	\$1,001 - \$15,000
121	OAKMARK IOAKMX	Sale	03/11/2016	\$1,001 - \$15,000
122	DAVIS NEW YORK VENTURE YDNVYX	Sale	03/11/2016	\$1,001 - \$15,000
123	MATTHEWS ASIAN JAPAN INVMJFOX	Sale	03/11/2016	\$1,001 - \$15,000
124	BARON GROWTH INSTITUTIONALBGRIX	Sale	03/11/2016	\$1,001 - \$15,000
125	IVY MID CAP GROWTH IIMIX	Sale	03/11/2016	\$1,001 - \$15,000
126	ROYCE TOTAL RETURN INVRYTRX	Sale	03/11/2016	\$1,001 - \$15,000
127	JP MORGAN MID CAP VALUE SJMV SX	Sale	03/11/2016	\$15,001 - \$50,000
128	COLUMBIA LARGE CAP GROWTH NGIPX	Sale	03/11/2016	\$15,001 - \$50,000
129	BRANDES INTERNATIONAL BIIE X	Sale	03/11/2016	\$50,001 - \$100,000
130	BLACKROCK HI YIELD BD PTF INSTBHYIX	Purchase	03/21/2016	\$15,001 - \$50,000
131	AQR MANAGED FUTURES STRATEGY AQMIX	Purchase	03/21/2016	\$1,001 - \$15,000
132	NATIXIS ASG GLOBAL ALTERNAT GAFYX	Purchase	03/21/2016	\$1,001 - \$15,000
133	ISHARES IBOX \$ H/Y COR HYG	Sale	03/21/2016	\$15,001 - \$50,000
134	WESTERN ASSET CORE PLUS BDWACPX	Purchase	04/06/2016	\$15,001 - \$50,000
135	VANGUARD SHORT TERM BNDBSV	Purchase	04/06/2016	\$1,001 - \$15,000
136	BLACKSTONE ALT MULT-STRAT INSTBXMIX	Purchase	04/06/2016	\$1,001 - \$15,000
137	AQR STYLE PREMIA ALTERNATIVE IQSPIX	Purchase	04/06/2016	\$1,001 - \$15,000
138	VIRTUS INSIGHT EMERG MKTS HIEMX	Sale	04/06/2016	\$1,001 - \$15,000
139	BARON REAL ESTATE INSTBREIX	Sale	04/06/2016	\$1,001 - \$15,000
140	WEATHERFORD INTERNATIONALWFT	Sale	04/06/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
141	VANGUARD MID CAP VALUE VOE	Sale	04/06/2016	\$1,001 - \$15,000
142	MLP SELECT 40 YMLPTX	Sale	04/06/2016	\$1,001 - \$15,000
143	AMERICAN EUROPACIFIC GRW F2AEPFX	Sale	04/06/2016	\$1,001 - \$15,000
144	DAVIS NEW YORK VENTURE YDNVYX	Sale	04/06/2016	\$1,001 - \$15,000
145	OAKMARK IOAKMX	Sale	04/06/2016	\$1,001 - \$15,000
146	AMERICAN GW FD OF AMERICA F2GFFFX	Sale	04/06/2016	\$1,001 - \$15,000
147	TEMPLETON INTL BOND ADVFIBZX	Sale	04/06/2016	\$15,001 - \$50,000
148	ALERIAN MLP ETMLP	Purchase	04/22/2016	\$1,001 - \$15,000
149	ISHARES CORE U.S. AGGREGATAGG	Purchase	04/22/2016	\$1,001 - \$15,000
150	HENDERSON INTL OPPORT IHFOIX	Purchase	04/22/2016	\$1,001 - \$15,000
151	AMERICAN EUROPACIFIC GRW F2AEPFX	Purchase	04/22/2016	\$1,001 - \$15,000
152	ISHARES S&P 500 GRWTH ETFIWW	Sale	04/22/2016	\$1,001 - \$15,000
153	AMERICAN GW FD OF AMERICA GFFFX	Sale	04/22/2016	\$1,001 - \$15,000
154	DAVIS NEW YORK VENTURE DNVYX	Sale	04/22/2016	\$1,001 - \$15,000
155	OAKMARK IOAKMX	Sale	04/22/2016	\$1,001 - \$15,000
156	AQR MANAGED FUTURES STRATEGY AQMIX	Sale	04/22/2016	\$1,001 - \$15,000
157	CENTER COAST MLP FOCUS CCCNX	Purchase	04/28/2016	\$15,001 - \$50,000
158	AQR STYLE PREMIA ALTERNATIVE QSPIX	Purchase	04/28/2016	\$15,001 - \$50,000
159	ALERIAN MLP ETFMLP	Sale	04/28/2016	\$1,001 - \$15,000
160	NATIXIS ASG GLOBAL ALTERNAT GAFYX	Sale	04/28/2016	\$15,001 - \$50,000
161	MLP SELECT 40 MLPTX	Sale	04/28/2016	\$15,001 - \$50,000
162	VIRTUS INSIGHT EMERG MKTS HIEMX	Purchase	07/15/2016	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
163	NEUBERGER INTRINSIC VALUE INSTNINLX	Purchase	07/15/2016	\$1,001 - \$15,000
164	VANGUARD MIDCAP GROWTH ETFVOT	Purchase	07/15/2016	\$1,001 - \$15,000
165	DAVIS NEW YORK VENTURE YDNVYX	Purchase	07/15/2016	\$1,001 - \$15,000
166	ISHARES MSCI JAPAN ETFEWJ	Sale	07/15/2016	\$1,001 - \$15,000
167	HENDERSON INTL OPPORT IHFOIX	Sale	07/15/2016	\$1,001 - \$15,000
168	AMERICAN EUROPACIFIC GRW F2AEPFX	Sale	07/15/2016	\$1,001 - \$15,000
169	T ROWE PRICE JAPAN FUNDRJPX	Purchase	08/02/2016	\$1,001 - \$15,000
170	MATTHEWS ASIAN JAPAN INVMJFOX	Purchase	08/02/2016	\$1,001 - \$15,000
171	ISHARES MSCI JAPAN ETFEWJ	Sale	08/02/2016	\$1,001 - \$15,000
172	CENTER COAST MLP FOCUS ICCCNX	Purchase	08/12/2016	\$1,001 - \$15,000
173	HENDERSON INTL OPPORT IHFOIX	Sale	08/12/2016	\$1,001 - \$15,000
174	ISHARES CORE U.S. AGGREGATEAGG	Sale	08/12/2016	\$1,001 - \$15,000
175	ISHARES S&P 500 GRWTH ETFIVW	Purchase	09/15/2016	\$100,001 - \$250,000
176	AQR MANAGED FUTURES STRATEGY IAQMIX	Purchase	12/02/2016	\$1,001 - \$15,000
177	WESTERN ASSET CORE PLUS BD IWACPX	Purchase	12/02/2016	\$1,001 - \$15,000
178	VANGUARD SHORT TERM BNDBSV	Purchase	12/02/2016	\$1,001 - \$15,000
179	VIRTUS INSIGHT EMERG MKTS IHIEMX	Purchase	12/02/2016	\$1,001 - \$15,000
180	BARON SMALL CAP INSTLBSFIX	Purchase	12/02/2016	\$1,001 - \$15,000
181	BARON REAL ESTATE INSTBREIX	Purchase	12/02/2016	\$1,001 - \$15,000
182	ABBAY CPTL FUTURES STRATEGY IABYIX	Purchase	12/8/2016	\$50,001 - \$100,000
183	AQR MANAGED FUTURES STRATEGY IAQMIX	Sale	12/08/2016	\$50,001 - \$100,000
184	ISHARES CORE U.S. AGGREGATEAGG	Purchase	12/20/2016	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
185	WESTERN ASSET CORE PLUS BD IWACPX	Sale	12/20/2016	\$100,001 - \$250,000
186	VIRTUS INSIGHT EMERG MKTS IHIMX	Purchase	12/23/2016	\$1,001 - \$15,000
187	HENDERSON INTL OPPORT HFOIX	Purchase	12/23/2016	\$1,001 - \$15,000
188	AQR MANAGED FUTURES STRATEGY IAQMIX	Purchase	12/23/2016	\$1,001 - \$15,000
189	AMERICAN EUROPACIFIC GRW F2AEPFX	Purchase	12/23/2016	\$1,001 - \$15,000
190	BARON SMALL CAP INSTLBSFIX	Purchase	12/23/2016	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CitiMortgage, Inc. O'Fallon, MO	Mortgage on Personal Residence	\$50,001 - \$100,000	2012	3.375	15 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	3	See endnote
6.	7	Redemptions (capital returns) 2/2/2015 \$ 3,000 4/6/2015 \$ 5,000 6/29/2015 \$5,000 11/10/2015 \$ 12,500

PART	#	ENDNOTE
7.	1	Bond matured 10/01/2016

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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