

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Johnson, Jennifer

Director, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Director, Office of Policy Coordination, Bureau of Global Talent Management (8/2019 - Present)

Executive Assistant, Office of the Under Secretary for Management (7/2021 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Johnson, Jennifer [electronically signed on 03/25/2022 by Johnson, Jennifer in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Alexander, Mj, Certifying Official [electronically signed on 09/19/2022 by Alexander, Mj in Integrity.gov]

Other review conducted by

Data Revised 09/19/2022

Data Revised 09/18/2022

Data Revised 09/16/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	Equitable (variable annuity)	No			
1.1.1	Delaware Ivy VIP Asset Strategy Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.2	EQ/Invesco International Growth Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.3	EQ/Amer Century Mid Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.4	EQ/Lazard Emerging Markets Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.5	EQ/Fidelity American Large Cap Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.6	EQ/T.Rowe Price Growth Stock	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.7	1290 VT GAMCO Small Comp Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.8	Delaware Ivy VIP Global Equity Income Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.9	EQ/Invesco Global Portfolio Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.10	Invesco V.I. High Yield Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.11	EQ/T.Rowe Price Health Sciences Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.12	EQ/MFS Technology Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Making Cents International, Inc.	N/A		Salary	
2	Virginia Family Therapy	N/A		Salary	
3	IRA #1	No			
3.1	Equitable (Variable Annuity)	N/A			
3.1.1	MFS Technology Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1.2	Lazard Emerging Markets Equity Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.3	EQ/Growth Strategy Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.4	VanEck VIP Global Resources Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.5	Multimanager Aggressive Equity	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.6	Delaware Ivy VIP Small Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.7	Invesco V.I. High Yield	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.1.8	Janus Enterprise Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.9	Delaware Ivy VIP Global Equity Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1.10	Invesco Global Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	IRA #2	No			
4.1	Fidelity Advisor Dividend Growth CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Fidelity Advisor Equity Value FD CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Fidelity Advisor Growth Op CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Fidelity Advisor Intl Cap Apprec CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Fidelity Advisor Mega Cap Stock CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	Fidelity Advisor Mid Cap Value CI Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.7	Fidelity Advisor Overseas FD CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Fidelity Advisor Value CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Fidelity Extended Market Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.10	Fidelity Global EX US Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.11	Fidelity Large Cap Growth Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.12	Fidelity Mid Cap Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.13	Fidelity 500 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.14	Fidelity Advisor Environmental BD Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.15	Fidelity Advisor Total Bond CL Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	Fidelity Adviser Small Cap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.17	Fidelity Government Cash Reserves	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Roth IRA #2	No			
5.1	American Balanced Fund F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	American Small Cap World Fund F3	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	Capital Income Builder F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Capital World Growth and Income F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	The Growth Fund of America F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	The Investment Co of America F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Washington Mutual Investors FS CL F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	American Funds Strategic Bond FD F3	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	The Bond Fund of America F3	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Federal credit union #1 (cash account)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2	Federal credit union #2 (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Federal credit union #3 (cash account)	No	\$1,001 - \$15,000		None (or less than \$201)
4	MetLife (Life Insurance) (not variable)	No	\$50,001 - \$100,000		None (or less than \$201)
5	Brokerage Account #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	First Trust Preferred Securities & Income ETF See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.2	SPDR Dow Jones Industrial Average ETF Trust	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.3	iShares US Financials ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	iShares US Home Construction ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	iShares US Medical Devices ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	American Capital World Growth and Income Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.7	The Growth Fund of America F3	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.8	American New World Fund F3	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.9	Pimco Enhanced Short Maturity Active ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	American Balanced Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.11	Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.12	iShares Core Total USD Bond Market ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	iShares Trust Fallen Angels USD BD ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	iShares GSCI Commodity Dynamic Roll Strategy ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	Invesco KBW Premium Yield Equity REIT ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	iShares Core S&P Small-Cap E	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.17	iShares US Energy Sector Fund ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.18	iShares S&P Global Financials Sector ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.19	iShares S&P Global Technology Sector Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.20	iShares MSCI EAFE Growth ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.21	First Trust Capital Strength ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.22	First Trust Value Line Dividend Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.23	Calamos Dynamic Convertible & Income Com	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.24	First Trust Cloud Computing ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.25	First Trust Mid Cap Growth Alphadex Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.26	iShares Morningstar Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.27	iShares Global Clean Energy ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.28	iShares MSCI USA Value Factor ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.29	iShares ESG Aware MSCI USA ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.30	iShares Short Maturity Bond ETF	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.31	First Trust Enhanced Short Maturity ETF	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.32	iShares Core S&P 500 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.33	iShares MSCI EAFE Value ETF		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.34	Invesco KBW High Dividend Yield Financial ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Brokerage Account #2		No			
6.1	Fidelity Govt Cash Reserves		Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	iShares Core S&P 500 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	iShares ESG Aware MSCI USA ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	iShares National Municipal Bond ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	ISHARES TR US TREAS BD ETF (Brokerage 1)		Sale	11/15/2021	\$1,001 - \$15,000
2	ISHARES TIPS BOND ETF (Brokerage 1)		Sale	11/15/2021	\$1,001 - \$15,000
3	Invesco KBW Premium Yield Equity REIT ETF (Brokerage 1)		Purchase	11/15/2021	\$1,001 - \$15,000
4	ISHARES CORE S&P 500 ETF (IRA 2)	See Endnote	Sale		\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
5	ISHARES CORE S&P SMALL-CAP E (IRA #2)		Sale	11/08/2021	\$1,001 - \$15,000
6	ISHARES DOW JONES US ENERGY SECTOR FUND (IRA #2)	See Endnote	Sale		\$1,001 - \$15,000
7	ISHARES IBOXX \$ INVESTMENT GRADE CORPORATE BOND ETF (IRA #2)	See Endnote	Sale		\$1,001 - \$15,000
8	ISHARES INC ESG AWR MSCI EM (IRA #2)	See Endnote	Sale		\$1,001 - \$15,000
9	ISHARES S&P GLOBAL FINANCIALS SECTOR INDEX FUND (IRA #2)		Sale	10/11/2021	\$1,001 - \$15,000
10	ISHARES S&P GLOBAL TECHNOLOGY SECTOR INDEX FUND (IRA #2)		Sale	11/10/2021	\$1,001 - \$15,000
11	ISHARES TIPS BOND ETF (IRA #2)		Sale	11/10/2021	\$1,001 - \$15,000
12	ISHARES TR CORE TOTAL USD (IRA#2)	See Endnote	Sale		\$1,001 - \$15,000
13	ISHARES TR EAFE GRWTH ETF (IRA #2)		Sale	11/10/2021	\$1,001 - \$15,000
14	ISHARES TR EAFE VALUE ETF (IRA #2)		Sale	11/10/2021	\$1,001 - \$15,000
15	ISHARES TR ESG AWARE MSCI USA ETF (IRA #2)		Sale	11/10/2021	\$1,001 - \$15,000
16	ISHARES TR MBS ETF (IRA #2)		Sale	01/14/2021	\$1,001 - \$15,000
17	ISHARES TR MBS ETF (IRA #2)		Sale	11/08/2021	\$1,001 - \$15,000
18	ISHARES TR MSCI USA MOMENTUM FACTOR (IRA #2)		Sale	07/27/2021	\$1,001 - \$15,000
19	ISHARES TR MSCI USA VALUE (IRA #2)		Sale	11/08/2021	\$1,001 - \$15,000
20	ISHARES TR U.S. MED DVC ETF (IRA #2)		Sale	05/26/2021	\$1,001 - \$15,000
21	ISHARES TR US TREAS BD ETF (IRA #2)	See Endnote	Sale		\$1,001 - \$15,000
22	ISHARES TRUST CORE MSCI EAFE ETF (IRA #2)		Sale	01/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	ISHARES US ETF TRUST GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF (IRA #2)	Sale	11/10/2021	\$1,001 - \$15,000
24	FIDELITY 500 INDEX FUND (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
25	FIDELITY ADVISOR DIVIDEND GROWTH CL Z (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
26	FIDELITY ADVISOR DIVIDEND GROWTH CL Z (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
27	FIDELITY ADVISOR EQUITY VALUE FD CL Z	Purchase	11/09/20221	\$1,001 - \$15,000
28	FIDELITY ADVISOR GROWTH OPP CL Z (IRA 2)	Purchase	11/09/20221	\$1,001 - \$15,000
29	FIDELITY ADVISOR INTL CAP APPREC CL Z (IRA 2)	Purchase	11/09/20221	\$1,001 - \$15,000
30	FIDELITY ADVISOR MEGA CAP STOCK CL Z	Purchase	11/09/20221	\$1,001 - \$15,000
31	FIDELITY ADVISOR MID CAP VALUE CL Z (IRA 2)	Purchase	11/09/20221	\$1,001 - \$15,000
32	FIDELITY ADVISOR OVERSEAS FD CL Z (IRA 2)	Purchase	11/09/20221	\$1,001 - \$15,000
33	FIDELITY ADVISOR SMALL CAP FD CL Z (IRA 2)	Purchase	12/31/20221	\$1,001 - \$15,000
34	FIDELITY ADVISOR TOTAL BOND CL Z (IRA 2)	Purchase	11/08/20221	\$1,001 - \$15,000
35	FIDELITY ADVISOR VALUE CL Z (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
36	FIDELITY EXTENDED MARKET INDEX FUND (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
37	FIDELITY GLOBAL EX US INDEX FUND (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	FIDELITY LARGE CAP GROWTH INDEX FUND (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
39	FIDELITY MID CAP GROWTH INDEX FD (IRA 2)	Purchase	12/31/2021	\$1,001 - \$15,000
40	FIDELITY MID CAP INDEX FUND (IRA 2)	Purchase	11/09/2021	\$1,001 - \$15,000
41	ISHARES CORE S&P 500 ETF (IRA 2)	Purchase	10/25/2021	\$1,001 - \$15,000
42	ISHARES DOW JONES US ENERGY SECTOR FUND (IRA 2)	Purchase	03/25/2021	\$1,001 - \$15,000
43	ISHARES TR CORE TOTAL USD (IRA 2)	Purchase	01/14/2021	\$1,001 - \$15,000
44	ISHARES TR CORE TOTAL USD (IRA 2)	Purchase	03/23/2021	\$1,001 - \$15,000
45	ISHARES TR EAFE VALUE ETF	Purchase	01/19/2021	\$1,001 - \$15,000
46	ISHARES TR MSCI USA MIN VOL (IRA 2)	Purchase	10/25/2021	\$1,001 - \$15,000
47	ISHARES TR MSCI USA MMENTM (IRA 2)	Purchase	01/21/2021	\$1,001 - \$15,000
48	ISHARES TR US TREAS BD ETF (IRA 2)	Purchase	01/19/2021	\$1,001 - \$15,000
49	ISHARES US ETF TRUST GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF(IRA 2)	Purchase	05/26/2021	\$1,001 - \$15,000
50	WASHINGTON MUTUAL INVESTORS FD CL F3 (Roth 2)	Purchase	05/18/2021	\$1,001 - \$15,000
51	American SMALLCAP World Fund, Inc Class F3 Shares (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
52	THE INVESTMENT CO OF AMERICA F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
53	THE GROWTH FUND OF AMERICA F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
54	Capital World Growth & Income Fund Class F3 Shares (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	CAPITAL INCOME BUILDER F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
56	THE BOND FUND OF AMERICA F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
57	AMERICAN FUNDS STRATEGIC BOND FD F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
58	AMERICAN FUNDS STRATEGIC BOND FD F3 (Roth 2)	Purchase	05/19/2021	\$1,001 - \$15,000
59	ISHARES CORE S&P 500 ETF (Brokerage #2)	Purchase	11/18/2021	\$1,001 - \$15,000
60	iShares Short-Term National Muni Bond ETF (SUB) (Brokerage 2)	Purchase	11/16/2021	\$1,001 - \$15,000
61	ISHARES TR EAFE GRWTH ETF (Brokerage 2)	Purchase	11/16/2021	\$1,001 - \$15,000
62	ISHARES TR ESG AWARE MSCI USA ETF (Brokerage 2)	Purchase	11/18/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	5.1	Same as FIRST TR EXCHANGE TRADED FD III on prior report
6.	5.30	Shows as ISHARES U S ETF TR BLACKROCK ST MAT in prior report
6.	5.31	Same as First Trust Ex Traded FD IV on prior report
7.	4	Various Dates
7.	6	Various Dates
7.	7	Transactions on various dates
7.	8	Transactions on various dates
7.	12	Transactions on various dates.
7.	21	Transactions on various dates.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
