Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020)

### **Executive Branch Personnel**

# Public Financial Disclosure Report (OGE Form 278e)

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Meyer, Jonathan

General Counsel, Department of Homeland Security

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

• Committee on Homeland Security and Governmental Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Meyer, Jonathan [electronically signed on 02/28/2021 by Meyer, Jonathan in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Maher, Joseph, Certifying Official [electronically signed on 04/20/2021 by Maher, Joseph in Integrity.gov]

Other review conducted by

/s/ Dornburg, Erica M, Ethics Official [electronically signed on 04/20/2021 by Dornburg, Erica M in Integrity.gov]

U.S. Office of Government Ethics Certification /s/ Apol, David, Certifying Official [electronically signed on 04/21/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Sheppard Mullin Richter & Hampton LLP	Washington, District of Columbia	Law Firm	Partner	9/2016	Present
2	Biden-Harris Transition Team	Washington, District of Columbia	Non-Profit	DHS Agency Review Team (Volunteer)	9/2020	2/2021

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sheppard Mullin Richter & Hampton LLP (law firm)	N/A		Salary	\$546,399
1.1	Sheppard Mullin Richter & Hampton LLP Cash Balance Pension plan	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Sheppard Mullin Richter & Hampton LLP 401(k) plan:	No			
1.2.1	T. Rowe Price Retirement 2035 (TRRJX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Traditional IRA	No			
2.1	Vanguard LifeStrategy Growth Fund (VASGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	SEP IRA	No			
3.1	Vanguard Target Retirement 2030 Fund (VTHRX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Roth IRA	No			
4.1	Vanguard LifeStrategy Growth Fund (VASGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Health Equity - HSA	No			
5.1	Cash	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.2	Vanguard Small-Cap Value Index Fund Admiral Class Shares (VSIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	Vanguard Growth Index Fund Institutional Class Shares (VIGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Vanguard Total International Stock Index Fund Institutional Plus Shares (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.5	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	Vanguard Value Index Fund Admiral Shares (VVIAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Sheppard Mullin Richter & Hampton LLP	Washington, District of Columbia	At the time that I separate from the firm I will be entitled to receive the firm's contributions to my defined contribution plan for the period of 2021 through the date of my separation. I will receive a cash payment representing the firm's contribution to this plan within 3 weeks of my separation from the firm. Additionally, I will continue to participate in this defined contribution plan. The plan sponsor will not make any further contributions to this plan after my separation.	1/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Sheppard Mullin Richter & Hampton LLP	Washington, District of Columbia	Following my separation, I will receive a lump-sum distribution of my Sheppard Mullin Richter & Hampton LLP, Cash Balance Pension plan. The firm will not make further contributions after my separation.	9/2016

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Sheppard Mullin Richter & Hampton LLP	Washington, District of Columbia	Partner of SheppardMullin
2	1 individual confidential client is not disclosed because the individual was subject to non-public investigation	Washington, District of Columbia	Legal services (client of SheppardMullin)
3	Criteo	Paris, France	Legal services (client of SheppardMullin)
4	GAT Airline Ground Support	Peachtree City, Georgia	Legal services (client of SheppardMullin)
5	The Quikrete Companies	Atlanta, Georgia	Legal services (client of SheppardMullin)
6	Brian Raymond	San Diego, California	Legal services (client of SheppardMullin)
7	Electronic Warfare Associates, Inc.	Herndon, Virginia	Legal services (client of SheppardMullin)
8	Isocrates Limited	London, England	Legal services (client of SheppardMullin)
9	KVD Corporation	San Jose, California	Legal services (client of SheppardMullin)
10	Eutelsat America	Washington, District of Columbia	Legal services (client of SheppardMullin)
11	Save Mart Supermarkets	Modesto, California	Legal services (client of SheppardMullin)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
12	Angelus Block, Co., Inc.	Sun Valley, California	Legal services (client of SheppardMullin)
13	All Star Autolights	Orlando, Florida	Legal services (client of SheppardMullin)
14	Kymeta Corporation	Redmond, Washington	Legal services (client of SheppardMullin)
15	Blue Halo LLC	Miami, Florida	Legal services (client of SheppardMullin)
16	LiveRamp, Inc.	San Francisco, California	Legal services (client of SheppardMullin)
17	Snowflake, Inc.	San Mateo, California	Legal services (client of SheppardMullin)
18	Octo Consulting Group, LLC	Reston, Virginia	Legal services (client of SheppardMullin)
19	Systems Sunlight SA	Athens, Greece	Legal services (client of SheppardMullin)
20	Casa Dragones Tequila Company	Mexico City, Mexico	Legal services (client of SheppardMullin)
21	Integrity Applications Holdings Parent	Chantilly, Virginia	Legal services (client of SheppardMullin)
22	Universal Computer Services and Reynolds & Reynolds	Dayton, Ohio	Legal services (client of SheppardMullin)
23	Doosan Fuel Cell America	Windsor, Connecticut	Legal services (client of SheppardMullin)
24	Stepan Chernovetskyi	Kiev, Ukraine	Legal services (client of SheppardMullin)
25	Alexandra Preate	New York, New York	Legal services (client of SheppardMullin)
26	Barco Uniforms, Inc.	Los Angeles, California	Legal services (client of SheppardMullin)
27	Kunal Sood	Pune, India	Legal services (client of SheppardMullin)
28	DORDA Rechtsanwalte GmbH	Vienna, Austria	Legal services (client of SheppardMullin)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
29	SAP National Security Services	Alexandria, Virginia	Legal services (client of SheppardMullin)
30	Nnaisense SA	Lugano, Switzerland	Legal services (client of SheppardMullin)
31	L&T Technology Services	Plano, Texas	Legal services (client of SheppardMullin)
32	Tex-Tech Industries, Inc.	Portland, Maine	Legal services (client of SheppardMullin)
33	Rakuten Mobile UA	Tokyo, Japan	Legal services (client of SheppardMullin)
34	Anglicotech, LLC	Arlington, Virginia	Legal services (client of SheppardMullin)
35	Molecular Products, Inc.	Louisville, Colorado	Legal services (client of SheppardMullin)
36	Precision Medicine Holdings	Bethesda, Maryland	Legal services (client of SheppardMullin)
37	Quantum Spatial, Inc.	Sheboygan Falls, Wisconsin	Legal services (client of SheppardMullin)
38	Arlington Capital Partners	Chevy Chase, Maryland	Legal services (client of SheppardMullin)
39	Salesforce	San Francisco, California	Legal services (client of SheppardMullin)
40	Deloitte	Washington, District of Columbia	Legal services (client of SheppardMullin)
41	Otay TJ Venture	San Diego, California	Legal services (client of SheppardMullin)
42	Cubic Corporation	San Diego, California	Legal services (client of SheppardMullin)
43	Tata Technologies, Inc.	Detroit, Michigan	Legal services (client of SheppardMullin)
44	Moog Inc.	Elma, New York	Legal services (client of SheppardMullin)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
45	CACI	Arlington, Virginia	Legal services (client of SheppardMullin)
46	T3 Holdings	Frederick, Maryland	Legal services (client of SheppardMullin)

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American University	N/A		salary	
2	The George Washington University (Education)	N/A		consulting fees	
3	Lillian & Albert Small Capital Jewish Museum	N/A		consulting fees	
4	Roth IRA	No			
4.1	Vanguard LifeStrategy Moderate Growth Fund (VSMGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	SEP IRA	No			
5.1	Vanguard Target Retirement 2035 Fund (VTTHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6	Traditional IRA	No			
6.1	Vanguard LifeStrategy Moderate Growth Fund (VSMGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	The George Washington University 401(a) plan:	No			
7.1	Fidelity Freedom 2030 K (FSNQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Bethesda Live & Learn, 2/11/20	N/A		Honoraria	\$350

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Bethesda Live & Learn, 4/28/20	N/A		Honoraria	\$350
10	Bethesda Live & Learn, 5/13/20	N/A		Honoraria	\$350
11	Bethesda Live & Learn, 6/16/20	N/A		Honoraria	\$350
12	Bethesda Live & Learn, 9/22/20	N/A		Honoraria	\$350
13	Washington Jewish HIstory Colloquium, 10/16/20	N/A		Honoraria	\$300
14	Bethesda Live & Learn, 10/20/20	N/A		Honoraria	\$350
15	Haberman Institute for Jewish Studies, 10/27/20	N/A		Honoraria	\$500
16	Temple Beth El, 11/8/20	N/A		Honoraria	\$250

# 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DC 1 529 - Maryland College Investment Plan	No			
1.1	Portfolio for Education Today	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	Brokerage Account 1:	No			
2.1	Vanguard LifeStrategy Growth Fund (VASGX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.2	Vanguard 500 Index Fund (VFIAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	DC 1 - UGMA	No			
3.1	Vanguard LifeStrategy Growth Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Apple (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.3	Magnite (MGNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	Vanguard LifeStrategy Moderate Growth Fund (VSMGX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
5	Vanguard US Value Fund Investor Shares (VUVLX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6	US bank (cash)	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
7	Brokerage Account 2:	No			
7.1	U.S. brokerage money market account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.2	AT&T (T)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7.4	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.5	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.6	Ariel Appreciation Fund (CAAPX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
7.7	MFS Growth Fund Class A (MFEGX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
8	Brokerage Account 3:	No			
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#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	Invesco Value Opportunities A (VVOAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	Invesco Charter Fund (CHTRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Family Trust	No			
9.1	U.S. brokerage money market account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
9.2	Maryland Community Development Administration, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9.3	Maryland State Health and Higher Education, Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
9.4	Maryland Baltimore Revitalization Project, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9.5	Maryland Community Development Administration, Local Govt, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9.6	Maryland State Health and Higher Education, Bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
9.7	Maryland Community Development Administration, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9.8	Maryland State Health and Higher Education, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9.9	Maryland State Health and Higher Education, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9.10	Maryland Community Development Administration, Local Govt, Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
9.11	Maryland State Health and Higher Education, Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
9.12	AT&T (T)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.13	Comcast Corp. (CMCSA)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.14	International Business Machines Corp. (IBM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
9.15	NCR Corp. (NCR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.16	Teradata Corp. (TDC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.17	Verizon Communications, Inc. (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.18	Vodafone Group Plc (VOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	Exxon Mobil Corp. (XOM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
12	AT&T (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	US Savings Bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	DC 2 529 - Maryland College Investment Plan	No			
14.1	Portfolio 2021	Yes	\$100,001 - \$250,000		None (or less than \$201)
15	DC 2 UGMA	No			
15.1	Vanguard LifeStrategy Moderate Growth Fund (VSMGX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	Brokerage Account 4:	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.1	Chevron Corp. (CVX)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
16.2	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
16.3	Vanguard Growth Index Fund Admiral Class Shares (VIGAX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

## 7. Transactions

(N/A) - Not required for this type of report

### 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Caliber Home Loans	Mortgage on Personal Residence	\$250,001 - \$500,000	2013	3.25	30 years

# 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## **Endnotes**

## **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

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