

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Fristedt, Andi

Deputy Commissioner for Policy, Legislation, & International Affairs, Office of the Commissioner, Food & Drug Administration, Department of Health & Human Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fristedt, Andi [electronically signed on 03/30/2021 by Fristedt, Andi in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 06/07/2021 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Shouman, Mohammad, Ethics Official [electronically signed on 03/31/2021 by Shouman, Mohammad in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/07/2021

Data Revised 06/06/2021

Data Revised 06/01/2021

Data Revised 03/31/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(03/31/2021, Shouman, Mohammad): End Initial Review: 03/31/2021. MS.
N/A	N/A	General	(06/07/2021, Olesh, Stanley): 6/6/21 -- confirmed all divestitures accounted for -- SO

Transactions

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Exxon Mobil Corporation (XOM)		Sale	03/02/2021	No	\$1,001 - \$15,000
2	Trust account #3: Abbott Laboratories (ABT)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
3	Trust account #3: Advanced Micro Devices, Inc. (AMD)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
4	Trust account #3: Apple, Inc. (AAPL)	See Endnote	Sale	03/10/2021	No	\$15,001 - \$50,000
5	Trust account #3: Apple, Inc., bonds	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
6	Trust account #3: Cisco Systems, Inc., bonds	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
7	Trust account #3: Danaher Corporation (DHR)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
8	Trust account #3: Gilead Sciences, Inc., bonds	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
9	Trust account #3: Linde, PLC (LIN)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
10	Trust account #3: Lowe's Companies, Inc. (LOW)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
11	Trust account #3: Microsoft Corporation (MSFT)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
12	Trust account #3: NextEra Energy, Inc. (NEE)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
13	Trust account #3: Nike, Inc. (NKE)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
14	Trust account #3: Oracle Corporation, bonds	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
15	Trust account #3: Thermo Fisher Scientific, Inc. (TMO)	See Endnote	Sale	03/15/2021	No	\$1,001 - \$15,000
16	Trust account #3: T-Mobile US, Inc. (TMUS)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
17	Trust account #3: Tractor Supply Co. (TSCO)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
18	Trust account #3: Verizon Communications, Inc., bonds	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
19	Trust account #3: Zoetis, Inc. (ZTS)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
20	Trust account #3: Communication Services Select Sector SPDR Fund (XLC)	See Endnote	Sale	03/10/2021	No	\$50,001 - \$100,000
21	Trust account #3: iShares MSCI Taiwan ETF (EWT)	See Endnote	Sale	03/10/2021	No	\$1,001 - \$15,000
22	Trust account #5: Diversified Healthcare Trust, 5.625% Senior Notes due 2042 (DHCNI)	See Endnote	Sale	03/03/2021	No	\$1,001 - \$15,000
23	Trust account #5: MPLX, LP (MPLX)	See Endnote	Sale	03/03/2021	No	\$15,001 - \$50,000
24	Trust account #5: Plains All American Pipeline, LP (PAA)	See Endnote	Sale	03/03/2021	No	\$1,001 - \$15,000
25	Trust account #5: Telephone & Data Systems, Inc., 7.000% Senior Notes due 2060 (TDJ)	See Endnote	Sale	03/03/2021	No	\$1,001 - \$15,000
26	Trust account #6: 3M Co. (MMM)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
27	Trust account #6: Abbott Laboratories (ABT)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
28	Trust account #6: Accenture, PLC (ACN)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
29	Trust account #6: Aflac, Inc. (AFL)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
30	Trust account #6: Air Products & Chemicals, Inc. (APD)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
31	Trust account #6: American Tower Corporation (AMT)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
32	Trust account #6: Amgen, Inc. (AMGN)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
33	Trust account #6: Analog Devices, Inc. (ADI)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
34	Trust account #6: Apple, Inc. (AAPL)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
35	Trust account #6: AT&T, Inc. (T)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
36	Trust account #6: Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
37	Trust account #6: Becton, Dickinson & Co. (BDX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
38	Trust account #6: Chevron Corporation (CVX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
39	Trust account #6: Chubb Limited (CB)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
40	Trust account #6: Cisco Systems, Inc. (CSCO)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
41	Trust account #6: Clorox Co. (CLX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
42	Trust account #6: Colgate-Palmolive Co. (CL)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
43	Trust account #6: Comcast Corporation (CMCSA)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
44	Trust account #6: Emerson Electric Co. (EMR)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
45	Trust account #6: Eversource Energy (ES)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
46	Trust account #6: General Dynamics Corporation (GD)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
47	Trust account #6: Illinois Tool Works, Inc. (ITW)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
48	Trust account #6: Johnson & Johnson (JNJ)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
49	Trust account #6: L3Harris Technologies, Inc. (LHX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
50	Trust account #6: Linde, PLC (LIN)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
51	Trust account #6: Lowe's Companies, Inc. (LOW)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
52	Trust account #6: McDonald's Corporation (MCD)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
53	Trust account #6: Medtronic, PLC (MDT)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
54	Trust account #6: Microsoft Corporation (MSFT)	See Endnote	Sale	03/04/2021	No	\$15,001 - \$50,000
55	Trust account #6: NextEra Energy, Inc. (NEE)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
56	Trust account #6: Nike, Inc. (NKE)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
57	Trust account #6: Norfolk Southern Corporation (NSC)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
58	Trust account #6: Novartis AG (NVS)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
59	Trust account #6: Paychex, Inc. (PAYX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
60	Trust account #6: PepsiCo, Inc. (PEP)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
61	Trust account #6: Phillips 66 Co. (PSX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
62	Trust account #6: Procter & Gamble Co. (PG)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
63	Trust account #6: Raytheon Technologies Corporation (RTX)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
64	Trust account #6: Target Corporation (TGT)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
65	Trust account #6: UnitedHealth Group, Inc. (UNH)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
66	Trust account #6: VF Corporation (VFC)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000

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67	Trust account #6: Walmart, Inc. (WMT)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
68	Trust account #6: WEC Energy Group, Inc. (WEC)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
69	Trust account #6: Blackrock Inc (BLK)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
70	Trust account #6: Factset Research Systems (FDS)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
71	Trust account #6: JPMorgan Chase & Co (JPM)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000
72	Trust account #6: U.S. Bancorp (USB)	See Endnote	Sale	03/04/2021	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	2	This asset is held in a family trust.
Transactions	3	This asset is held in a family trust.
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Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

[Privacy Act Statement](#)

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
