

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Harpootlian, Jamie

Ambassador to the Republic of Slovenia, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Harpootlian, Jamie [electronically signed on 04/30/2021 by Harpootlian, Jamie in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 08/06/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 08/06/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Richard A. Harpootlian, P.A.	Columbia, South Carolina	Law Firm	Attorney, Of Counsel	4/2007	Present
2	Charles Robert Lindler Trust	Columbia, South Carolina	Trust	Trustee	6/2009	Present
3	JLH Trust 2019 (Revocable)	Columbia, South Carolina	Trust	Trustee	7/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Richard A. Harpootlian, P.A. (law firm)	N/A		Salary	\$67,308
2	IRA	No			
2.1	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Accenture Plc (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	Alibaba Holding Group Limited (BABA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.4	Alphabet Inc. CL C (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Alphabet Inc. CL A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	American Express Co. (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.8	Anthem, Inc. (ANTM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	AT&T, Inc. (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Autodesk, Inc. (ADSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Baxter International, Inc. (BAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	The Boeing Co. (BA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Cerner Corp. (CERN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Chubb Ltd. (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	CME Group, Inc. (CME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.21	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.22	CyrusOne, Inc. (CONE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Deere & Co. (DE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.25	Duke Realty Corp. (DRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.26	Expeditors International of Washington, Inc. (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	Facebook, Inc. (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.29	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.31	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.32	International Flavors & Fragrances, Inc. (IFF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.33	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.34	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.35	JPMorgan Chase & Co. (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.36	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.37	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.38	Lowe's Companies, Inc. (LOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.39	Marsh & McLennan Companies, Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.40	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.41	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.42	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.43	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.44	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.45	Monster Beverage Corp. (MNST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.46	Motorola Solutions, Inc. (MSI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.47	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.48	Novartis AG (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.49	Novo Nordisk A/S (NVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.50	Nvidia Corporation (NVDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.51	Oracle Corp. (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.52	Packaging Corporation of America (PKG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.53	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.54	Philip Morris International, Inc. (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.55	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.56	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.57	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.58	Roche Holding AG (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.59	salesforce.com, inc. (CRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.60	Schlumberger Limited (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.61	The Charles Schwab Corp. (SCHW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.62	SEI Investments Co. (SEIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.63	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.64	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.65	The TJX Companies, Inc. (TJX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.66	Trane Technologies plc (TT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.67	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.68	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.69	Visa, Inc. (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.70	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.71	Workday, Inc. (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.72	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.73	Yum China Holdings, Inc. (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.74	Goldman Sachs ActiveBeta Emerging Markets Equity ETF (GEM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.75	iShares Core Dividend Growth ETF (DGRO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.76	iShares Core S&P 500 ETF (IVV)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.77	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.78	Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.79	Xtrackers MSCI EAFE Hedged Equity ETF (DBEF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.80	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.81	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	ROTH IRA	No			
3.1	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Richard A. Harpootlian, P.A.	Columbia, South Carolina	Attorney, Of Counsel to the firm -- research, writing, advising firm

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No		cash distribution	\$57,265
1.1	ABB Ltd. (ABLZF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	adidas AG (ADDDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	AIA Group Limited (AAIGF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.6	L'Air Liquide S.A. (AIQUF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	Airbus SE (EADSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	Alibaba Group Holding Limited (BABA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.10	Alphabet, Inc. (GOOGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.11	Alphabet, Inc. CL C (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.12	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.13	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Amgen Inc. (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.15	Anheuser-Busch InBev SA/NV (BUDFF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.16	Aon Plc (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.17	Asahi Kasei Corporation (AHKSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.18	ASML Holding N.V. (ASMLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.19	ASSA ABLOY AB (publ) (ASAZF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.20	AstraZeneca plc (AZNCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.21	Atlassian Corporation plc (TEAM)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.22	Autodesk, Inc. (ADSK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.23	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.24	B&M European Value Retail (BMRPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.25	Banco Bilbao Vizcaya Argentaria, S.A. (BBVXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.26	BeiGene, Ltd. (BGNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.27	Best Buy Co., Inc. (BBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.29	BNP PARIBAS (BNPQF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.30	Boeing Co (BA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.31	British American Tobacco p.l.c. (BTAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.32	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.33	Canadian National Railway Company (CNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.34	Carlsberg A/S (CABJF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.35	Cerner Corp. (CERN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.36	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.37	Compagnie Financiere Richemont SA (CFRHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.38	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.39	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.40	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.41	Crown Castle International Corp., Inc. (REIT) (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.42	Daiichi Sankyo Company, Limited (DSKYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.43	DBS Group Holdings, Ltd. (DBSDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.44	Deere & Co. (DE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.45	Deutsche Borse AG (DBOEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.46	Diageo plc (DGEAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.47	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.48	DNB ASA (DNBHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.49	DVS Panalpina A/S (DSDVF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.50	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.51	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.52	Enel SpA (ESOCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.53	ENGIE SA (ENGQF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.54	EssilorLuxottica (ESLOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.55	Eversource Energy (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.56	Expeditors International of Washington, Inc. (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.57	Facebook, Inc. (FB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.58	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.59	Flutter Entertainment plc (PDYPF)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.60	Genmab A/S (GNMSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.61	Givaudan SA (GVDNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.62	Grifols, S.A. (GIFO)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.63	HDFC Bank Limited (HDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.64	Hermes International S A (HESAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.65	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.66	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.67	Hong Kong Exchanges and Clearing Limited (HKXCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.68	HOYA Corporation (HOCPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.69	Hutchinson China MediTech Limited (HCM)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.70	Iberdrola S.A. (IBDSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.71	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.72	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.73	Industria De Diseno Textile, S.A. ((IDEXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.74	ING Group N.V. (INGVF)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.75	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.76	Jardine Matheson Holdings, Ltd. (JARLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.77	JPMorgan Chase & Co. (JPM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.78	Kering SA (PPRUF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.79	Keyence Corp (KYCCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.80	Koninklijke KPN (KKPNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.81	Koninklijke Philips NV (RYLPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.82	L'Oreal S.A. (LRLCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.83	L.V.M.H. (LVMHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.84	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.85	London STK Exchange LDNXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.86	Marsh & McLennan Cos Inc (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.87	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.88	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.89	Mercadolibre Inc (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.90	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.91	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.92	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.93	Monster Beverage Corp. (MNST)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.94	Murata Manufacturing Co., Ltd. (MRAAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.95	Nestle S.A. (NSRGF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.96	NetEase, Inc. (NETTF)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.97	NextEra Energy, Inc. (NEE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.98	Nidec Corporation (NNDNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.99	Nippon Telegraph & Telephone Corp. (NPPXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.100	Nitori Holdings Co., Ltd. (NCLTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.101	Novartis AG (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.102	Novo Nordisk A/S (NVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.103	Novo Nordisk A/S (NONOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.104	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.105	Oracle Corp. (ORCL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.106	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.107	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.108	Pernod Ricard (PDRDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.109	Phillips 66 SHS (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.110	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.111	Proctor & Gamble Co (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.112	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.113	Prosus N V (PROSY)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.114	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.115	Realty Incm Crp Md PV \$1 (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.116	Reckitt Benckiser Group (RBGPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.117	Recruit Holdings Co. Ltd. (RCRRF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.118	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.119	Relx Plc (RLXXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.120	Rio Tinto PLC (RTPPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.121	Roche Holding AG (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.122	Safran SA (SAFRF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.123	salesforce.com, inc. (CRM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.124	Sap SE (SAPGF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.125	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.126	SEI Investments Company (SEIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.127	Sempra Energy (SRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.128	Shin-Etsu Chemical, Co., Ltd. (SHECF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.129	Shionogi & Co., Ltd. (SGIOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.130	SMC Corporation (SMECF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.131	Softbank Group (SFTBF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.132	St Microelectronics N.V. (STMEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.133	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.134	Straumann Holdgs (SAUHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.135	Suzuki Motor Corp. (SZKMF)	N/A	None (or less than \$1,001)		None (or less than \$201)
1.136	Taiwan Manufacturin ADR (TSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.137	Tencent Holdings Limited (TCTZF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.138	Terumo Corporation (TRUMF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.139	Texas Instruments Incorporated (TXN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.140	TFI International Inc (TFII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.141	Tokyo Electron Limited (TOELF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.142	Total SE (TTFNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.143	Truist Financial Corp (TFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.144	Uni Charm Co Ltd (UNCHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.145	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.146	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.147	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.148	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.149	Visa, Inc. (V)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.150	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.151	Wisdom Tree U.S. Quality Dividend Growth (DGRW)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.152	Workday Inc Cl A (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.153	Yum! Brands Inc. (YUM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.154	Yum China Holdings Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.155	DoubleLine Total Return Bond Fund (DBLTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.156	Pimco Income Fund CL 12 (PONPX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.157	American Intl Group (AIG26), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.158	American Tower Corp (AMT27A), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.159	Amgen Inc (AMGN24), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.160	Anheuser-Busch Co/Bev (BUD 26A), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.161	Anheuser-Busch Inbev wor (BUD28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.162	Anthem Inc (ANTM28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.163	Anthem Inc (ANTM24), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.164	AT&T Inc (T 27), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.165	Baker Hughes LC/CO-OBL (BKR27), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.166	BP Cap Markets America (BP 24D), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.167	BP Cap Markets America (BP 26A), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.168	Burlingtn North Santa Fe (BRKB 24B), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.169	Citigroup Inc (C 26), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.170	Citigroup Inc (C 28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.171	Crown Castle Intl Corp (CC127), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.172	D.R. Horton Inc (DHI25), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.173	Discover Financial Svs (DFS26G), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.174	Duke Energy Corp (DUK26A), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.175	Ebay Inc (EBAY25), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.176	Entergy Corp (ENTR26), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.177	Essex Portfolio LP (ESS27), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.178	Federal Realty Investment Trust, bonds (FRT27A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.179	Fiserv Inc (FISV26), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.180	Fiserv Inc (FISV24), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.181	General Electric (GE27), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.182	General Motors Finl Co (GM 24C), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.183	General Motors Finl Co (GM 27), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.184	Georgia Power Co (SO 27), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.185	Goldman Sachs Group Inc (GS260), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.186	Goldman Sachs Group Inc (GS 28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.187	Home Depot Inc (HD24), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.188	JP Morgan Chase & Co (JPM26C), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.189	Kinder Morgan Inc/DELAWA (KMI25), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.190	McCormick & Co (MKC24D), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.191	MidAmerican Energy Co (BRKB 24A), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.192	Morgan Stanley (MS26C), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.193	Morgan Stanley (MORG26), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.194	Morgan Stanley (ZZZZ28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.195	NetApp, Inc. (NTAP25), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.196	Oneok Inc (OKE26), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.197	Shell International Fin (RDSA26), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.198	Sumitomo Mitsui Finl Grp (SMFG27A), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.199	Sumitomo Mitsui Finl Grp (SMFG26A), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.200	Unum Group (UNM25A), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.201	US Bancorp (USB28), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.202	Virginia Elec & Power Co (D24), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.203	VMware, Inc. (VMW27), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.204	Wells Fargo & Company (95000U2A0), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.205	Wells Fargo & Company (95000U2S1), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.206	WRKCO Inc., bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.207	Zimmer Biomet Holdings (ZBH26A), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.208	First Trust & Tactical High Yield ETF (HYLS)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.209	WRKCO, Inc., bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.210	PayPal Holdings, Inc. (PYPL26), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.211	Fox Corp. (FOXA29), bonds	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.212	KLA-Tencor Corp. (KLAC29), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.213	U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.214	AT&T, Inc. (T29E), bonds	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Richard A. Harpootlian, P.A.	N/A	\$1,000,001 - \$5,000,000	Legal fees and salary	
3	South Carolina State Senate	N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Loomis SP500 Managed Account	No			
1.1	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Abbvie Inc SHS (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.3	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	Alibaba Group Holding (BABA)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	Alphabet Inc SHS CL C (GOOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	Alphabet Inc SHS CL A (GOOGL)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
1.7	American Express Company (AXP)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.8	American Tower REIT Inc (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	Amgen Inc Com (AMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Autodesk Inc DEL (ADSK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.11	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.12	Best Buy Co., Inc. (BBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.14	Boeing Company (BA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.15	Broadcom Ltd (AVGO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.16	Cerner Corp (CERN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.17	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.18	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.19	Colgate-Palmolive Co. (CL)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.20	Comcast Corporation (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.21	Crown Castle REIT Inc (CCI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.22	Deere & Co. (DE)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.23	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.24	Eaton Corp. Plc (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.25	Eli Lilly & Co (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.26	Eversource Energy Com (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.27	Expeditors International of Washington, Inc. (EXPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.28	Facebook, Inc. (FB)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
1.29	FactSet Research Systems, Inc. (FDS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.30	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
1.31	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
1.32	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.33	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.34	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.35	JP Morgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
1.36	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.37	Marsh & McLennan Companies, Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.38	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.39	Medtronic plc (MDT)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
1.40	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.41	Microsoft Corp. (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.42	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.43	Monster Beverage Corp. (MNST)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.44	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
1.45	Novartis AG (NVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.46	Novo Nordisk A/S (NVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.47	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.48	Oracle Corp. (ORCL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.49	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.50	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
1.51	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.52	The PNC Financial Services Group, Inc. (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.53	Proctor & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.54	Prologis Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.55	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.56	Realty Incm Crp MD PV \$1 (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.57	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.58	Roche Holding (RHHBY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.59	Salesforce Com Inc. (CRM)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
1.60	Schlumberger Ltd (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.61	SEI Investments Co. (SEIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.62	Sempra Energy (SRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.63	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.64	Texas Instruments Incorporated (TXN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.65	Truist Financial Corp (TFC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.66	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
1.67	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.68	Verizon Communications, Inc. (VZ)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
1.69	Visa, Inc. (V)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
1.70	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.71	Workday, Inc. (WDAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.72	Yum! Brands, Inc. (YUM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
1.73	Yum China Holdings Inc (YUMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.74	Ishares Core S & P 500 ETF (IVV)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
1.75	AmerisourceBergen Corp. (ABC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.76	Amphenol Corporation (APH)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.77	Aon Plc (AON)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.78	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.79	Booking Holdings Inc. (BKNG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.80	Broadridge Financial Solutions, Inc. (BR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.81	Cimarex Energy Co. (XEC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.82	Cintas Corp. (CTAS)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.83	Constellation Brands, Inc. (STZ)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.84	Copart, Inc. (CPRT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.85	FleetCor Technologies, Inc. (FLT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.86	Fortune Brands Home & Security, Inc. (FBHS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.87	General Dynamics Corp. (GD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.88	Globe Life, Inc. (GL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.89	Intel Corp. (INTC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.90	Intuit, Inc. (INTU)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.91	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
1.92	The Kroger Co. (KR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.93	Lowe's Companies, Inc. (LOW)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.94	Markel Corp. (MKL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.95	Mastercard, Inc. (MA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
1.96	Match Group, Inc. (MTCH)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.97	Maxim Integrated Products, Inc. (MXIM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
1.98	McKesson Corp. (MCK)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.99	PayPal Holdings, Inc. (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.100	PPG Industries, Inc. (PPG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.101	PPL Corp. (PPL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.102	National Grid plc (NGG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.103	S&P Global, Inc. (SPGI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.104	Norton Life Lock, Inc. (NLOK)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.105	The Sherwin-Williams Co. (SHW)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.106	Sysco Corp. (SYY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.107	Target Corp. (TGT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.108	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
1.109	The TJX Cos., Inc. (TJX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.110	The Travelers Cos., Inc. (TRV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.111	Varian Medical Systems, Inc. (VAR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.112	Williams Companies (WMB)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.113	Zebra Technologies Corp. (ZBRA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.114	Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
1.115	Sanofi (SNY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.116	The Charles Schwab Corp. (SCHW)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
1.117	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
1.118	STERIS Plc (Ireland) (STE)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
1.119	Novo Nordisk A/S SHS (NONOF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Joint Equity Managed Account	No			
2.1	ABB Ltd (ABLZF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.2	Adidas AG (ADDDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	AIA Group Ltd (AAIGF)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
2.4	Air Liquide FN (AIQUF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.5	Air Products & Chem (APD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.6	Airbus SE (EADSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Alibaba Group Holding LT (BABA)	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
2.8	Alphabet Inc SHS CL C (GOOG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.9	Alphabet Inc SHS CL A (GOOGL)	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
2.10	Altria Group, Inc. (MO)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
2.11	Amazon.com, Inc. (AMZN)	N/A	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000
2.12	Anheuser-Busch Inbev Sa (BUDFF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Aon Plc (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Apple, Inc. (AAPL)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$15,001 - \$50,000
2.15	Asahi Kasei Corporation (AHKSF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	ASML Holding N.V. (ASMLF)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.17	Assa Abloy AB SH (ASAZF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.18	AstraZeneca PLC (AZNCF)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
2.19	Atlassian Corp PLC (TEAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Autodesk, Inc. (ADSK)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
2.21	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
2.22	B&M European Value Retail (BMRPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.23	Banco Bilbao Vizcaya ARG (BBVXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	Beigene Ltd (BGNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.25	Berkshire Hathaway Inc. (BRKB)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.26	BlackRock, Inc. (BLK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.27	BNP Paribas (BNPQF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
2.28	Boeing Company (BA)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.29	British American Tobacco (BTAFF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.30	Canadian National Railway (CNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.31	Carlsberg A/S (CABJF)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
2.32	Cerner Corp. (CERN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
2.33	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.34	Compagnie Financier Richemont (CFRHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.35	Cincinnati Financial Corporation (CINF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.36	Cisco Systems, Inc. (CSCO)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
2.37	The Coca-Cola Co. (KO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.38	Colgate-Palmolive Co. (CL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.39	Comcast Corp. (CMCSA)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
2.40	Crown Castle International Corp. REIT (CCI)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.41	Daiichi Sankyo Co Ltd (DSKYF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.42	DBS Group Holdings Ltd (DBSDF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.43	Deere & Co. (DE)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
2.44	Deutsche Borse AG (DBOEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.45	Diageo plc (DGEAF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.46	Diageo PLC SPSD ADR (DEO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.47	The Walt Disney Co. (DIS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.48	DNB NOR ASA (DNBHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.49	Dominion Energy, Inc. (D)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.50	DSV Panalpina A S (DSDVF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.51	Enel Spa (ESOCF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.52	Engie SA (ENGQF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.53	Essilorluxottica (ESLOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.54	Expeditors International of Washington, Inc. (EXPD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.55	Facebook, Inc. (FB)	N/A	\$100,001 - \$250,000	Capital Gains	\$2,501 - \$5,000
2.56	FactSet Research Systems, Inc. (FDS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.57	Fastenal Co. (FAST)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
2.58	Flutter Entertainment (PDYPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.59	Franklin Resources, Inc. (BEN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.60	Genmab A/S (GNMSF)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.61	Givaudan SA (GVDNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.62	Grifols SA SHS-B-B (GIFOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.63	HDFC Bank Ltd (HDB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.64	Hermes International SA (HESAF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.65	Hong Kong Exchanges (HKXCF)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.66	HOYA Corporation (HOCPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.67	Hutchinson China Meditech (HCM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.68	Iberdrola, S.A. (IBDSF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.69	Illumina, Inc. (ILMN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.70	Industria De Diseno Text (IDEXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.71	ING Group NV SHS (INGVF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.72	Intel Corp. (INTC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.73	Intuitive Surgical, Inc. (ISRG)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
2.74	Jardine Matheson Holdgs (JARLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.75	Johnson & Johnson Com (JNJ)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.76	Kering (PPRUF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.77	Keyence Corporation (KYCCF)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
2.78	Koninklijke KPN N.V. (KKPNF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.79	Koninklijke Philips NV (RYLPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.80	L Oreal (LRLCF)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.81	L.V.M.H. (LVMHF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
2.82	London Stock Exchange Group (LDNXF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.83	Lowes Companies Inc (LOW)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.84	Mercado Libre Inc (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.85	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
2.86	Microsoft Corp. (MSFT)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
2.87	Monster Beverage Corp. (MNST)	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
2.88	Murata Manufacturing Co. Ltd. (MRAAF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
2.89	Nestle S A Rep Rg Sh Adr (NSRGY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.90	Nestle SA Cham Und Veve (NSRGF)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.91	Netease Inc Reg Shs (NETTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.92	Nidec Corporation (NNDNF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
2.93	Nintendo Ltd (NTDOY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.94	Nippon Telegraph and Telephone Corporation(NPPXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.95	Nitori Holdings (NCLTF)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.96	Norfolk Southern Corp. (NSC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.97	Novartis ADR	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
2.98	Novo Nordisk A S ADR (NVO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
2.99	Novo Nordisk A/S Shs (NONOF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.100	NVIDIA Corp. (NVDA)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
2.101	Oracle Corp. (ORCL)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
2.102	Paychex, Inc. (PAYX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.103	Pernod Ricard SA (PDRDF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.104	Pfizer Inc. (PFE)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.105	Philip Morris Intl Inc (PM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.106	Prosus N V (PROSY)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
2.107	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
2.108	Reckitt Benckiser Group (RBGPF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.109	Recruit Holdings Co., Ltd. (RCRRF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.110	Regeneron Pharmaceuticals, Inc. (REGN)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
2.111	Relx PLC (RLXXF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.112	Rio Tinto PLC (RTPPF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
2.113	Roche Holding (RHHBY)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
2.114	Safran SA (SAFRF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.115	salesforce.com, inc. (CRM)	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
2.116	SAP SE (SAPGF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.117	Schlumberger NV (SLB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.118	SEI Invt Co Pa PV (SEIC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
2.119	Shin-Etsu Chemical Co., Ltd. (SHECF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.120	Shionogi & Co. Ltd. (SGIOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.121	SMC Corporation (SMECF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.122	SoftBank Group Corp. (SFTBF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
2.123	STMicroelectronics N.V. (STMEF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.124	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.125	Straumann Holding AG (SAUHF)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
2.126	Suzuki Motor Corporation (SZKMF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.127	Taiwan Semiconductor Manufacturing Company Limited (TSM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
2.128	Target Corp. (TGT)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
2.129	Tencent Holdings Ltd (TCTZF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
2.130	Terumo Corporation (TRUMF)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.131	Texas Instruments Incorporated (TXN)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.132	TFI International Inc (TFII)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.133	Tokyo Electron Limited (TOELF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.134	Total SE (TTFNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.135	Unicharm Corporation (UNCHF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.136	United Parcel Service, Inc. (UPS)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
2.137	Verizon Communications, Inc. (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2.138	Visa, Inc. (V)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
2.139	Workday, Inc. (WDAY)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
2.140	Yum! Brands, Inc. (YUM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
2.141	Yum China Holdings Inc (YUMC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
2.142	iShares Core S&P 500 ETF (IVV)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2.143	Wisdomtree U.S. Quality Dividend Growth Fund ETF (DGRW)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2.144	Amgen Inc. (AMGN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
2.145	Daikin Industries, Ltd. (DKILF)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
2.146	Enbridge, Inc. (ENB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
2.147	PACCAR, Inc. (PCAR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
2.148	Viatis	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
2.149	Vodafone Group Plc (VOD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.150	Varian Medical Systems, Inc. (VAR)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
2.151	The Proctor & Gamble Company (PG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
2.152	InterContinental Hotels Group PLC (ICHGF)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
2.153	Sampo oyj (SAXPF)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
2.154	Sonova Holding AG (SONVF)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
2.155	Svenska Handelsbanken AB (SVNLF)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
2.156	iShares Russell 1000 Growth ETF (IWF)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
2.157	Vanguard High Dividend Yield Index Fund ETF Shares (VYM)	Yes	None (or less than \$1,001)		\$201 - \$1,000
3	Fixed Income Managed Account	No			
3.1	Tempe Ariz Go Bds B, bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.2	Oklahoma Cnty Okla Indpt Sch Dist, bond	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.3	New York NY Go Bds, bonds	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.4	Bergen Cnty NJ Ref Bds, bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.5	Hawaii St Go Bds FK, bonds	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.6	New Jersey Health Care, bonds	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	Lakewood Ohio City Sch Dist, bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.8	NYS Dorm At St Pers Inc, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.9	North Richland Hills Tex, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.10	Holmdel TWP NJ Gen Impt, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.11	University Washington Univ, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.12	University Ark Univ Rev, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.13	Cuyahoga Ohio Cmnty, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.14	Canadian Cnty Okla Indpt Sch Dist, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.15	North Tex Mun Wtr Dist, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.16	Houston Tex Util Sys Rev, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.17	Goshen NY Cent Sch Dist, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.18	San Antonio Tex Wtr Rev, bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.19	Hopewell TWP NJ Go Impt, bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.20	Pima Cnty Ariz Str & Hwy, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.21	Topeka Kans Ref Go Impt, bonds	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.22	Wichita Kans Wtr & Swr, bonds	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.23	East Windsor TWP NJ Gen, bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.24	Madison Wis Met Sch Dist, bonds	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
4	U.S. Bank #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Brokerage CMA	No			
5.1	Coastal Carolina Univ SC, municipal bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.2	South Carolina St Pub, municipal bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.3	South Carolina St Pub, municipal bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6	Hartford, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Irrevocable Life Insurance Trust - Hartford, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	EIC Alta Managed Account (closed)	No			
8.1	AGNC Investment Corp. (AGNC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
8.2	Alphabet Inc Cl A (GOOGL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.3	Amphenol Corporation (APH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
8.5	Broadridge Financial Solutions, Inc. (BR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.6	Check Point Software Technologies (CHKP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.7	Cimarex Energy Co. (XEC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.8	Dollar Tree, Inc. (DLTR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.9	eBay, Inc. (EBAY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.10	Facebook, Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
8.11	Fortune Brands Home & Security, Inc. (FBHS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.12	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.13	The Kroger Co. (KR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.14	Lowe's Companies, Inc. (LOW)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.15	Medtronic Plc (MDT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.16	National Grid plc (NGG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.17	PayPal Holdings, Inc. (PYPL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.18	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.19	S & P Global, Inc. (SPGI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.20	Sysco Corp. (SYY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.21	Target Corporation (TGT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.22	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.23	The TJX Cos., Inc. (TJX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.24	The Travelers Cos., Inc. (TRV)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.25	Truist Financial Corporation (TFC)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.26	Union Pacific Corporation (UNP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.27	United Parcel Service, Inc. (UPS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.28	U.S. Bancorp (USB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
8.29	Verizon Communications, Inc. (VZ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
8.30	Wells Fargo & Company (WFC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
9	U.S. brokerage account #1 (cash)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	U.S. brokerage account #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	U.S. Bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Exercised line of credit	\$250,001 - \$500,000	2017	1.61%	on-demand
2	Bank of America	Exercised line of credit	\$100,001 - \$250,000	2020	1.61%	on-demand
3	First Palmetto Bank	Exercised Line of Credit	\$100,001 - \$250,000	2020	3.75	12 months

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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